

# **WATER TREATMENT 303**

**CONTINUING EDUCATION  
PROFESSIONAL DEVELOPMENT COURSE**





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*This course contains EPA's federal rule requirements. Please be aware that each state implements drinking water / safety regulations that may be more stringent than EPA's or OSHA's regulations.*

*Check with your state environmental agency for more information. You are solely responsible in ensuring that you abide with your jurisdiction or agency's rules and regulations.*



# Technical Learning College's Scope and Function

Welcome to the Program,

Technical Learning College (TLC) offers affordable continuing education for today's working professionals who need to maintain licenses or certifications. TLC holds several different governmental agency approvals for granting of continuing education credit.

TLC's delivery method of continuing education can include traditional types of classroom lectures and distance-based courses or independent study. TLC's distance based or independent study courses are offered in a print - based distance educational format. We will beat any other training competitor's price for the same CEU material or classroom training.

Our courses are designed to be flexible and for you to finish the material at your convenience. Students can also receive course materials through the mail. The CEU course or e-manual will contain all your lessons, activities and instruction to obtain the assignments. All of TLC's CEU courses allow students to submit assignments using e-mail or fax, or by postal mail. (See the course description for more information.)

Students have direct contact with their instructor—primarily by e-mail or telephone. TLC's CEU courses may use such technologies as the World Wide Web, e-mail, CD-ROMs, videotapes and hard copies. (See the course description.) Make sure you have access to the necessary equipment before enrolling; i.e., printer, Microsoft Word and/or Adobe Acrobat Reader. Some courses may require proctored closed-book exams, depending upon your state or employer requirements.

## **Flexible Learning**

At TLC there are no scheduled online sessions or passwords you need contend with, nor are you required to participate in learning teams or groups designed for the "typical" younger campus based student. You will work at your own pace, completing assignments in time frames that work best for you. TLC's method of flexible individualized instruction is designed to provide each student the guidance and support needed for successful course completion.

## **Course Structure**

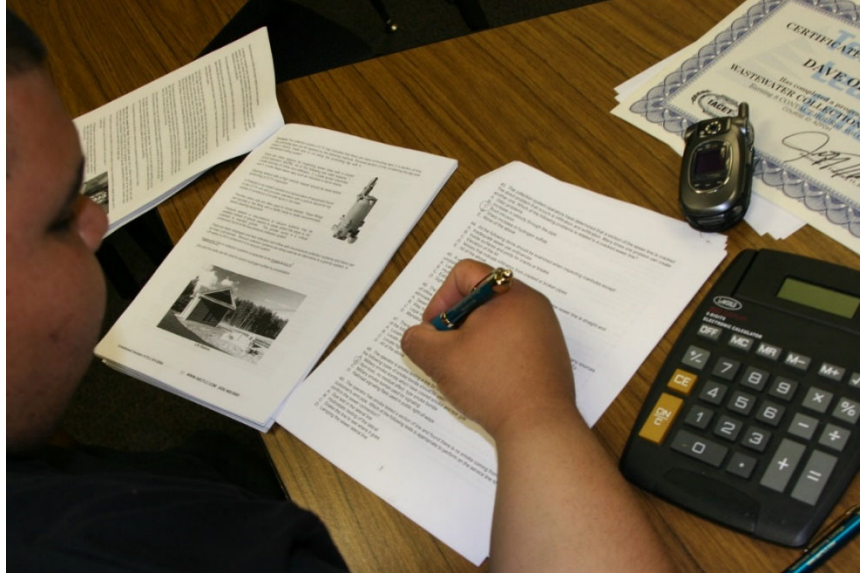
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## **Classroom of One**

TLC offers you the best of both worlds. You learn on your own terms, on your own time, but you are never on your own. Once enrolled, you will be assigned a personal Student Service Representative who works with you on an individualized basis throughout your program of study. Course specific faculty members (S.M.E.) are assigned at the beginning of each course providing the academic support you need to successfully complete each course. Please call or email us for assistance.

### **Satisfaction Guaranteed**

We have many years of experience, dealing with thousands of students. We assure you, our customer satisfaction is second to none. This is one reason we have taught more than 20,000 students.



We welcome you to do the electronic version of the assignment and submit the answer key and registration to us either by fax or e-mail. If you need this assignment graded and a certificate of completion within a 48-hour turn around, prepare to pay an additional rush charge of \$50.

We welcome you to complete the assignment in Word.

Once we grade it, we will mail a certificate of completion to you. Call us if you need any help.

**Contact Numbers**  
**Fax (928) 468-0675**  
**Email [Info@tlch2o.com](mailto:Info@tlch2o.com)**  
**Telephone (866) 557-1746**

## **Water Treatment 303 CEU Training Course Description**

This distance learning CEU training course will examine various aspects of conventional water treatment methods, understanding pathogens, understand the disinfection process, and review federal drinking water rules and regulations. This course will also cover water treatment pumps and motors. This course was designed to provide continuing education credit to water treatment operators.

Water Distribution, Well Drillers, Pump Installers, Water Treatment Operators, Water Treatment Specialists and Customer Service Personnel are welcomed to take this course. The target audience for this course is the person interested in working in a water treatment or distribution facility and/or wishing to maintain CEUs for a certification license or to learn how to do the job safely and effectively and/or to meet education needs for promotion.

Task Analysis and Training Needs Assessments have been conducted to determine or set Needs-To-Know for this CEU course. The following is a listing of some of those who have conducted extensive valid studies from which TLC has based this program upon: the Environmental Protection Agency (EPA), the Arizona Department of Environmental Quality (ADEQ), the Texas Commission of Environmental Quality (TCEQ) and the American Boards of Certification (ABC).

### **Final Examination for Credit**

Opportunity to pass the final comprehensive examination is limited to three attempts per course enrollment.

### **Course Procedures for Registration and Support**

All of TLC's correspondence courses have complete registration and support service offered. Delivery of service will include, e-mail, web site, telephone, fax and mail support. TLC will attempt immediate and prompt service.

When a student registers for a distance or correspondence course, he or she is assigned a start date and an end date. It is the student's responsibility to note dates for assignments and keep up with the course work. If a student falls behind, he/she must contact TLC and request an end date extension in order to complete the course. It is the prerogative of TLC to decide whether to grant the request. All students will be tracked by a unique assigned number.

### **Instructions for Written Assignments**

The Water Treatment 303 CEU training course uses a multiple-choice and fill-in-the-blank answer key. You can find the Microsoft Word version on the Assignment page. We would prefer the answers are typed and faxed or e-mailed to [info@tlch2o.com](mailto:info@tlch2o.com). If you are unable to do so, please write inside the assignment booklet, make a copy for yourself and fax or email us the completed assignment. Please feel free to call us if you need assistance.

### **Other Student Information**

#### **Feedback Mechanism (Examination procedures)**

Each student will receive a feedback form as part of their study packet. You will be able to find this form in the front of the course assignment or lesson. By completing this form, you can help us improve our course and serve your need better in the future.

### **Security and Integrity**

All students are required to do their own work. All lesson sheets and final exams are not returned to the student to discourage sharing of answers. Any fraud or deceit will result in the student forfeiting all fees, and the appropriate agency will be notified.

### **Grading Criteria**

TLC offers the student either pass/fail or a standard letter grading assignment if we are notified by the student. If we are not notified, you will only receive a certificate for passing the test.

### **Recordkeeping and Reporting Practices**

TLC will keep all student records for a minimum of seven years. It is the student's responsibility to give the completion certificate to the appropriate agencies. TLC will not release any records to any party, except to the student self.

### **ADA Compliance**

TLC will make reasonable accommodations for persons with documented disabilities. Students should notify TLC and their instructors of any special needs. Course content may vary from this outline to meet the needs of this particular group.

### **Note to Students**

**Keep a copy of everything that you submit!** If your work is lost, you can submit your copy for grading. If you do not receive your certificate of completion or other results within two to three weeks after submitting it, please contact your instructor.

### **Educational Mission**

#### **The educational mission of TLC is:**

To provide TLC students with comprehensive and ongoing training in the theory and skills needed for the environmental education field,

To provide TLC students with opportunities to apply and understand the theory and skills needed for operator certification,

To provide opportunities for TLC students to learn and practice environmental educational skills with members of the community for the purpose of sharing diverse perspectives and experience,

To provide a forum in which students can exchange experiences and ideas related to environmental education,

To provide a forum for the collection and dissemination of current information related to environmental education, and to maintain an environment that nurtures academic and personal growth.

### **Mission Statement**

Our only product is educational service. Our goal is to provide you with the best continuing educational service possible. TLC attempts to make your learning experience an enjoyable educational opportunity.

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**Hyperlink to the Glossary and Appendix**

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*This course contains EPA’s federal rule requirements. Please be aware that each state implements drinking water regulations that may be more stringent than EPA’s regulations. Check with your state environmental agency for more information.*

## Topic Legend

This CEU course covers several different educational topics/functions/purposes/objectives of conventional water treatment, filtration processes, bacteriological monitoring and regulatory compliance. The topics listed below are to assist in determining which educational objective or goal is covered for a specific topic area:

**CRAO - Compliance and Regulatory Affairs:** The regulatory and compliance component of your need to know. May be a requirement of the SDWA act or State Regulations, i.e. Compliance, non-compliance, process control related sampling or other drinking water related requirement. This EPA information is to satisfy the regulatory portion of your operator training. Part of O&M or laboratory training requirement for many operators.

**DISN - Disinfection:** This area covers plant disinfection procedures. Part of O&M training for many operators. May include alternative disinfection procedures, i.e. Ozone and Ultraviolet

**GP - GROUNDWATER MINING OR PRODUCTION:** This may be considered O&M training for many operators or credit for pump engineers or well drillers.

**M/O - Microorganisms:** The biological component. The microorganisms that are specifically found in drinking water. This section may be part of required sampling, i.e. Total Coliform Rule or other biological related sampling. Part of O&M or laboratory training requirement for many operators.

**MOTOR:** Having to do with the electrical-mechanical portion of moving water. This may be considered O&M training for many operators. Maybe good for credit for those who hold an electrician or instrumentation certification.

**O&M - Operations and Maintenance:** This area is for normal Operation and/or Maintenance of the plant. Part of O&M training requirement for many operators.

**PE - PUMP ENGINEERING:** The technical science of pumping and pump performance principles. May be a law or theory or calculation related to pumping. Information that a pump engineer or well operator may need.

**SAFETY:** This area describes process safety procedures. It may be part of O&M training requirement for many operators.

**TECH -TECHNICAL:** The mechanical or physical treatment process/component. The conventional or microfiltration process including pretreatment processes/ applications/ engineering/ theories. Part of O&M training for many operators.

**WQ – Water Quality:** Having to do with Water Quality or pollutants, i.e., hard water to primary water standards. May be a requirement of the SDWA and/or water chemistry concerns. This along with the EPA information is to satisfy the regulatory portion of your operator training.

## Common Water Treatment Acronyms

AA - Activated alumina  
AC - Activated carbon  
ASR - Annual Status Report  
As(III) - Trivalent arsenic, common inorganic form in water is arsenite,  $H_3AsO_3$   
As(V) - Pentavalent arsenic, common inorganic form in water is arsenate,  $H_2AsO_4$   
BDAT - Best demonstrated available technology  
BTEX - Benzene, toluene, ethylbenzene, and xylene  
CCA - Chromated copper arsenate  
CERCLA - Comprehensive Environmental Response, Compensation, and Liability Act  
CERCLIS 3 - CERCLA Information System  
CLU-IN - EPA's CLeanUp INformation system  
CRAO- Compliance and Regulatory Affairs Office  
CWS - Community Water System  
cy - Cubic yard  
DDT - Dichloro-diphenyl-trichloroethane  
DI - De-ionized  
DOC - Dissolved organic carbon  
DoD - Department of Defense  
DOE - Department of Energy  
EDTA - Ethylenediaminetetraacetic acid  
EPA - U.S. Environmental Protection Agency  
EPT - Extraction Procedure Toxicity Test  
FRTR - Federal Remediation Technologies Roundtable  
ft - feet  
gpd - gallons per day  
gpm - gallons per minute  
HTMR - High temperature metals recovery  
MCL - Maximum Contaminant Level (enforceable drinking water standard)  
MF - Microfiltration  
MHO - Metallurgie-Hoboken-Overpelt  
mgd - million gallons per day  
mg/kg - milligrams per kilogram  
mg/L - milligrams per Liter  
NF - Nanofiltration  
NPL - National Priorities List  
OCLC - Online Computer Library Center  
ORD - EPA Office of Research and Development  
OU - Operable Unit  
PAH - Polycyclic aromatic hydrocarbons  
PCB - Polychlorinated biphenyls  
P.L. – Public Laws  
POTW - Publicly owned treatment works  
PRB - Permeable reactive barrier  
RCRA - Resource Conservation and Recovery Act  
Redox - Reduction/oxidation  
RO - Reverse osmosis  
ROD - Record of Decision  
SDWA - Safe Drinking Water Act

SMZ - Surfactant modified zeolite  
SNAP - Superfund NPL Assessment Program  
S/S - Solidification/Stabilization  
SVOC - Semi-volatile organic compounds  
TCLP - Toxicity Characteristic Leaching Procedure  
TNT - 2,3,6-trinitrotoluene  
TWA - Total Waste Analysis  
UF - Ultrafiltration  
VOC - Volatile organic compounds  
WET - Waste Extraction Test  
ZVI - Zero valent iron

**Hyperlink to the Glossary and Appendix**

*<http://www.abctlc.com/downloads/PDF/WTGlossary.pdf>*

## Common Water Quality Terms

**Community Water System (CWS).** A public water system that serves at least 15 service connections used by year-round residents of the area served by the system or regularly serves at least 25 year-round residents.

**Class V Underground Injection Control (UIC). Rule** A rule under development covering wells not included in Class I, II, III or IV in which nonhazardous fluids are injected into or above underground sources of drinking water.

**Contamination Source Inventory.** The process of identifying and inventorying contaminant sources within delineated source water protection areas through recording existing data, describing sources within the source water protection area, targeting likely sources for further investigation, collecting and interpreting new information on existing or potential sources through surveys, and verifying accuracy and reliability of the information gathered.

**Cryptosporidium.** A protozoan associated with the disease cryptosporidiosis in humans. The disease can be transmitted through ingestion of drinking water, person-to-person contact, or other exposure routes. Cryptosporidiosis may cause acute diarrhea, abdominal pain, vomiting, and fever that last 1-2 weeks in healthy adults, but may be chronic or fatal in immunocompromised people.

**Drinking Water State Revolving Fund (DWSRF).** Under section 1452 of the SDWA, the EPA awards capitalization grants to states to develop drinking water revolving loan funds to help finance drinking water system infrastructure improvements, source water protection, to enhance operations and management of drinking water systems, and other activities to encourage public water system compliance and protection of public health.

**Exposure.** Contact between a person and a chemical. Exposures are calculated as the amount of chemical available for absorption by a person.

**Giardia lamblia.** A protozoan, which can survive in water for 1 to 3 months, associated with the disease giardiasis. Ingestion of this protozoan in contaminated drinking water, exposure from person-to-person contact, and other exposure routes may cause giardiasis. The symptoms of this gastrointestinal disease may persist for weeks or months and include diarrhea, fatigue, and cramps.

**Ground Water Disinfection Rule (GWDR).** Under section 107 of the SDWA Amendments of 1996, the statute reads, ". . . the Administrator shall also promulgate national primary drinking water regulations requiring disinfection as a treatment technique for all public water systems, including surface water systems, and as necessary, ground water systems."

**Maximum Contaminant Level (MCL).** In the SDWA, an MCL is defined as "*the maximum permissible level of a contaminant in water which is delivered to any user of a public water system.*" MCLs are enforceable standards.

**Maximum Contaminant Level Goal (MCLG).** The maximum level of a contaminant in drinking water at which no known or anticipated adverse effect on the health effect of persons would occur, and which allows for an adequate margin of safety. MCLGs are non-enforceable public health goals.

**Nephelometric Turbidity Units (NTU).** A unit of measure used to describe the turbidity of water. Turbidity is the cloudiness in water.

**Nitrates.** Inorganic compounds that can enter water supplies from fertilizer runoff and sanitary wastewater discharges. Nitrates in drinking water are associated with methemoglobinemia, or blue baby syndrome, which results from interferences in the blood's ability to carry oxygen.

**Non-Community Water System (NCWS).** A public water system that is not a community water system. There are two types of NCWSs: transient and non-transient.

**Organics.** Chemical molecules contain carbon and other elements such as hydrogen. Organic contaminants of concern to drinking water include chlorohydrocarbons, pesticides, and others.

**Phase I Contaminants.** The Phase I Rule became effective on January 9, 1989. This rule, also called the Volatile Organic Chemical Rule, or VOC Rule, set water quality standards for 8 VOCs and required all community and Non-Transient, Non-Community water systems to monitor for, and if necessary, treat their supplies for these chemicals. The 8 VOCs regulated under this rule are: Benzene, Carbon Tetrachloride, para-dichlorobenzene, trichloroethylene, vinyl chloride, 1,1,2-trichloroethane, 1,1-dichloroethylene, and 1,2-dichloroethane.

**Per capita.** Per person; generally used in expressions of water use, gallons per capita per day (gpcd).

**Point-of-Use Water Treatment.** Refers to devices used in the home or office on a specific tap to provide additional drinking water treatment.

**Point-of-Entry Water Treatment.** Refers to devices used in the home where water pipes enter to provide additional treatment of drinking water used throughout the home.

**Primacy State –** A State that has the responsibility for ensuring a law is implemented, and has the authority to enforce the law and related regulations. This State has adopted rules at least as stringent as federal regulations and has been granted primary enforcement responsibility.

**Radionuclides.** Elements that undergo a process of natural decay. As radionuclides decay, they emit radiation in the form of alpha or beta particles and gamma photons. Radiation can cause adverse health effects, such as cancer, so limits are placed on radionuclide concentrations in drinking water.

**Risk.** The potential for harm to people exposed to chemicals. In order for there to be risk, there must be hazard and there must be exposure.

**SDWA - The Safe Drinking Water Act.** The Safe Drinking Water Act was first passed in 1974 and established the basic requirements under which the nation's public water supplies were regulated. The US Environmental Protection Agency (EPA) is responsible for setting the national drinking water regulations, while individual states are responsible for ensuring that public water systems under their jurisdiction are complying with the regulations. The SDWA was amended in 1986 and again in 1996.

**Significant Potential Source of Contamination.** A facility or activity that stores, uses, or produces chemicals or elements, and that has the potential to release contaminants identified in a state program (contaminants with MCLs plus any others a state considers a health threat)

within a source water protection area in an amount which could contribute significantly to the concentration of the contaminants in the source waters of the public water supply.

**Sole Source Aquifer (SSA) Designation.** The surface area above a sole source aquifer and its recharge area.

**Source Water Protection Area (SWPA).** The area delineated by the state for a PWS or including numerous PWSs, whether the source is ground water or surface water or both, as part of the state SWAP approved by the EPA under section 1453 of the SDWA.

**Sub-watershed.** A topographic boundary that is the perimeter of the catchment area of a tributary of a stream.

**State Source Water Petition Program.** A state program implemented in accordance with the statutory language at section 1454 of the SDWA to establish local voluntary incentive-based partnerships for SWP and remediation.

**State Management Plan (SMP) Program.** A state management plan under FIFRA required by the EPA to allow states (i.e. states, tribes and U.S. territories) the flexibility to design and implement approaches to manage the use of certain pesticides to protect ground water.

**Surface Water Treatment Rule (SWTR).** The rule specifies maximum contaminant level goals for *Giardia lamblia*, viruses and *Legionella*, and promulgated filtration and disinfection requirements for public water systems using surface water sources, or by ground water sources under the direct influence of surface water. The regulations also specify water quality, treatment, and watershed protection criteria under which filtration may be avoided.

**Susceptibility Analysis.** An analysis to determine, with a clear understanding of where the significant potential sources of contamination are located, the susceptibility of the public water systems in the source water protection area to contamination from these sources. This analysis will assist the state in determining which potential sources of contamination are "significant."

**To the Extent Practical.** States must inventory sources of contamination to the extent they have the technology and resources to complete an inventory for a Source Water Protection Area delineated as described in the guidance. All information sources may be used, particularly previous Federal and state inventories of sources.

**Transient/Non-Transient, Non-Community Water Systems (T/NT, NCWS).** Water systems that are non-community systems: transient systems serve 25 non-resident persons per day for 6 months or less per year. Transient non-community systems typically are restaurants, hotels, large stores, etc. Non-transient systems regularly serve at least 25 of the same non-resident persons per day for more than 6 months per year. These systems typically are schools, offices, churches, factories, etc.

**Treatment Technique.** A specific treatment method required by the EPA to be used to control the level of a contaminant in drinking water. In specific cases where the EPA has determined it is not technically or economically feasible to establish an MCL, the EPA can instead specify a treatment technique. A treatment technique is an enforceable procedure or level of technical performance which public water systems must follow to ensure control of a contaminant.

**Total Coliform.** Bacteria that are used as indicators of fecal contaminants in drinking water.

**Toxicity.** The property of a chemical to harm people who come into contact with it.

**Underground Injection Control (UIC) Program.** The program is designed to prevent underground injection which endangers drinking water sources. The program applies to injection well owners and operators on Federal facilities, Native American lands, and on all U.S. land and territories.

**Watershed.** A topographic boundary area that is the perimeter of the catchment area of a stream.

**Watershed Approach.** A watershed approach is a coordinating framework for environmental management that focuses public and private sector efforts to address the highest priority problems within hydrologically-defined geographic areas, taking into consideration both ground and surface water flow.

**Watershed Area.** A topographic area that is within a line drawn connecting the highest points uphill of a drinking water intake, from which overland flow drains to the intake.


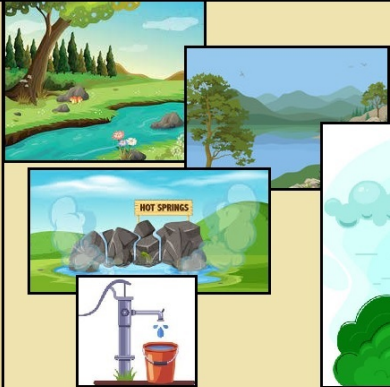
**Wellhead Protection Area (WHPA).** The surface and subsurface area surrounding a well or well field, supplying a PWS, through which contaminants are reasonably likely to move toward and reach such water well or well field.



## Preface

### SAFE DRINKING WATER ACT (SDWA)

- ★ DRAFTED IN 1974.
- ★ AMENDED IN 1986 AND ALSO IN 1996.
- ★ SETS NATIONAL HEALTH-BASED STANDARDS FOR DRINKING WATER TO PROTECT AGAINST BOTH NATURALLY-OCCURRING AND MAN-MADE CONTAMINANTS THAT MAY BE FOUND IN DRINKING WATER.
- ★ THE UNITED STATES ENVIRONMENTAL PROTECTION AGENCY (EPA) IS RESPONSIBLE FOR REGULATION AND ENFORCEMENT BY SETTING STANDARDS FOR DRINKING WATER BASED ON SOUND SCIENCE TO PROTECT AGAINST HEALTH RISKS, CONSIDERING AVAILABLE TECHNOLOGY AND ASSOCIATED COSTS.
- ★ THE AMENDMENTS REQUIRE MANY ACTIONS TO PROTECT DRINKING WATER AND ITS SOURCES:
  - RIVERS
  - LAKES
  - RESERVOIRS
  - SPRINGS
  - GROUNDWATER WELLS



### SAFE DRINKING WATER ACT FACTS



## Safe Drinking Water Act of 1974 Introduction

*(Public Law 93-523) as amended by:*

- The Safe Drinking Water Act Amendments of 1986
- National Primary Drinking Water Regulations, 40 CFR 141
- National Interim Primary Drinking Water Regulations Implementation, 40 CFR 142
- National Secondary Drinking Water Regulations, 40 CFR 143

This is the primary Federal legislation protecting drinking water supplied by public water systems (those serving more than 25 people). The Environmental Protection Agency (**EPA**) is the lead agency and is mandated to set standards for drinking water. The EPA establishes national standards of which the states are responsible for enforcing.

The act provides for the establishment of primary regulations for the protection of the public health and secondary regulations relating to the taste, odor, and appearance of drinking water. Primary drinking water regulations, by definition, include either a maximum contaminant level (**MCL**) or, when a MCL is not economically or technologically feasible, a prescribed treatment technique which would prevent adverse health effects to humans.

An MCL is the permissible level of a contaminant in water that is delivered to any user of a public water system. Primary and secondary drinking water regulations are stated in 40 CFR 141 and 143, respectively. As amended in 1986, the EPA is required to set maximum contaminant levels for 83 contaminants deemed harmful to humans (with specific deadlines). It also has authority over groundwater. Water agencies are required to monitor water to ensure it meets standards.

## National Drinking Water Regulations

The Act instructs the EPA on how to select contaminants for regulation and specifies how the EPA must establish national primary drinking water regulations once a contaminant has been selected (Section 1412). As of late 1996, the EPA had promulgated 84 drinking water regulations.

### **Contaminant Selection**

Public law 104-182 establishes a new process for the EPA to select contaminants for regulatory consideration based on occurrence, health effects, and meaningful opportunity for health risk reduction. By February 1998 and every 5 years thereafter, the EPA must publish a list of contaminants that may warrant regulation. Every 5 years thereafter, the EPA must determine whether or not to regulate at least 5 of the listed contaminants.

The Act directs the EPA to evaluate contaminants that present the greatest health concern and to regulate contaminants that occur at concentration levels and frequencies of public health concern. The law also includes a schedule for the EPA to complete regulations for disinfectants and disinfection byproducts (**D/DBPs**) and **Cryptosporidium** (a waterborne pathogen).

### **Standard Setting**

Developing national drinking water regulations is a two-part process. For each contaminant that the EPA has determined merits regulation, the EPA must set a non-enforceable maximum contaminant level goal (**MCLG**) at a level at which no known or anticipated adverse health effects occur, and which allows an adequate margin of safety.

The EPA must then set an enforceable standard, a maximum contaminant level (**MCL**), as close to the MCLG as is "**feasible**" using the best technology, treatment techniques, or other means available (taking costs into consideration).

Standards are generally based on technologies that are affordable for large communities; however, under P.L. 104-182, each regulation establishing an MCL must list any technologies, treatment techniques, or other means that comply with the MCL and that are affordable for three categories of small public water systems.

The 1996 Amendments authorize the EPA to set a standard at other than the feasible level if the feasible level would lead to an increase in health risks by increasing the concentration of other contaminants or by interfering with the treatment processes used to comply with other SDWA regulations. In such cases, the standard or treatment techniques must minimize the overall health risk.

Also, when proposing a regulation, the EPA must now publish a determination as to whether or not the benefits of the standard justify the costs. If the EPA determines that the benefits do not justify the costs, the EPA may, with certain exceptions, promulgate a standard that maximizes health risk reduction benefits at a cost that is justified by the benefits.

*More on these concerns in the Water Quality Section of the course.*

# Topic 1 - Water Quality Section

**Section Focus:** You will learn the basics of the EPA’s Safe Water Drinking Act and the reasons why we need to ensure the water means federal standards. At the end of this section, you will be able to describe EPA’s Primary and Secondary standards. There is a post quiz at the end of this section to review your comprehension and a final examination in the Assignment for your contact hours.

**Scope/Background:** EPA identifies contaminants to regulate in drinking water to protect public health. The Agency sets regulatory limits for the amounts of certain contaminants in water provided by public water systems. These contaminant standards are required by the Safe Drinking Water Act (SDWA). Drinking water standards may apply differently based on type and size of public water systems.

FACTOR	TYPE	SOURCE(S)	PROBLEM
FECAL COLIFORM BACTERIA	BIOLOGICAL	HUMAN SEWAGE; LIVESTOCK WASTE	POSSIBLE PRESENCE OF PATHOGENIC (DISEASE-CAUSING) ORGANISMS
DISSOLVED OXYGEN (DO)	CHEMICAL	AIR; AQUATIC PLANTS	LOW LEVELS CAN KILL AQUATIC ORGANISMS
NITROGEN AND PHOSPHORUS	CHEMICAL	FERTILIZERS AND DETERGENTS FROM LAWNS AND RUNOFF	EXCESSIVE ALGAE GROWTH CAN LEAD TO LOW DO
ZINC, ARSENIC, LEAD, MERCURY, CADMIUM, NICKEL	CHEMICAL	LANDFILLS; INDUSTRIAL DISCHARGES; RUNOFF	GENETIC MUTATIONS OR DEATH IN FISH & WILDLIFE (HUMAN HEALTH THREATS AS WELL)
SALT	CHEMICAL	SALTWATER INTRUSION (IF NEAR OCEAN)	KILLS FRESHWATER SPECIES OF PLANTS AND ANIMALS
MUD, SAND, OTHER SOLID PARTICLES (TURBIDITY)	PHYSICAL	EROSION AND RUNOFF FROM DEVELOPMENT; AGRICULTURE	REDUCES PHOTOSYNTHESIS IN AQUATIC VEGETATION; INTERFERES WITH RESPIRATION IN AQUATIC ANIMALS

## IMPORTANT WATER QUALITY CONCERNS

### Common Water Quality Units of Measurement

**mg/l** = Milligrams per liter. One milligram per liter equals one packet of artificial sweetener sprinkled into 250 gallons of iced tea.

**µg/l** = Micrograms per liter. One microgram per liter is equal to one packet of artificial sweetener sprinkled into an Olympic-size swimming pool.

**NTU** = Nephelometric Turbidity Units. A measurement on the cloudiness of the water.

**pCi/l** = Picocuries per liter. A measure of radioactivity.

## Acronyms

**Maximum Contaminant Level (MCL)** - The highest level of a contaminant that is allowed in drinking water.

**Maximum Contaminant Level Goal (MCLG)** - The level of a contaminant in drinking water below which there is no known or expected risk to health.

**Treatment Technique (TT)** - A required process intended to reduce the level of a contaminant in drinking water.

**Action Level (AL)** - The concentration of a contaminant that, if exceeded, triggers treatment or other requirements which a water system must follow.

## Federal Water Drinking Water Quality Regulations Timeline

**National Interim Primary Drinking Water Regulations (NIPDWR)** Promulgated 1975-1981  
Contained 7 contaminants, Targeted: Trihalomethanes, Arsenic, and Radionuclides  
Established 22 drinking water standards.

**Phase 1 Standards** Promulgated 1987 Contained 8 contaminants, Targeted: VOCs.

**Phase 2 Standards** Promulgated 1991 Contained 36 contaminants, Targeted: VOCs, SOCs, and IOCs.

**Phase 5 Standards** Promulgated 1992 Contained 23 contaminants, Targeted: VOCs, SOCs, and IOCs.

**Surface Water Treatment Rule (SWTR)** Promulgated 1989 Contained 5 contaminants, Targeted: Microbiological and Turbidity.

**Stage 1 Disinfectant/Disinfection By-product (D/DBP) Rule** Promulgated 1998 Contained 14 contaminants, Targeted: DBPs and precursors.

**Interim Enhanced Surface Water Treatment Rule (IESWTR)** Promulgated 1998  
Contained 2 contaminants, Targeted: Microbiological and Turbidity.

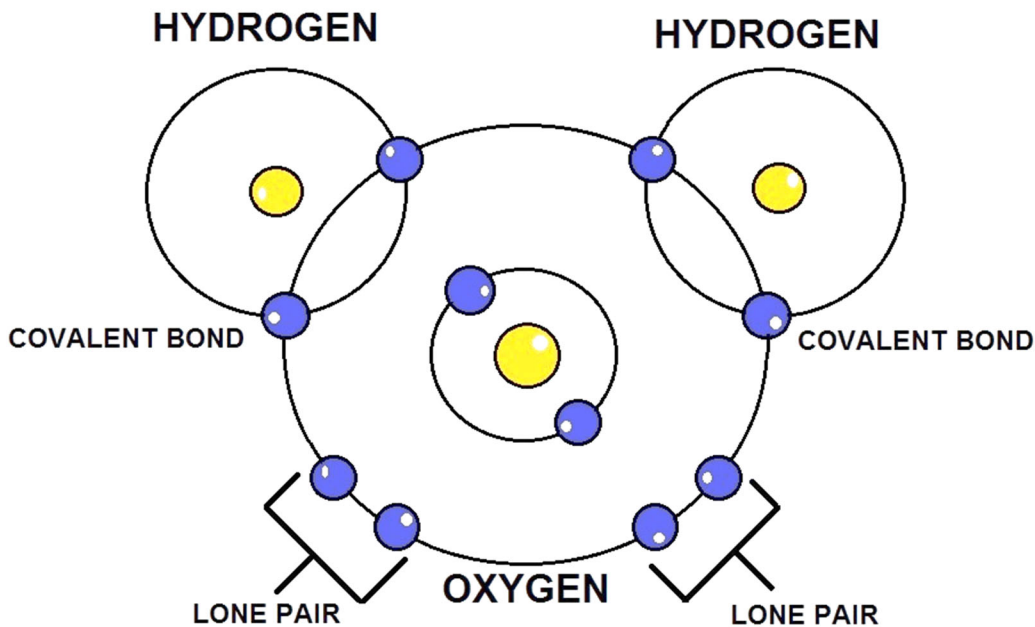
**Radionuclide Rule** Promulgated 2000 Contained 4 contaminants, Targeted: Radionuclides.

**Arsenic Rule** Promulgated 2001 Contained 1 contaminant, Targeted: Arsenic.

**Filter Backwash Recycling Rule** Promulgated 2001 Contained 2 contaminants, Targeted: Microbiological and Turbidity.

## What is Water?

Water is the chemical substance with chemical formula  $H_2O$ : one molecule of water has two hydrogen atoms covalently bonded to a single oxygen atom. Water is a tasteless, odorless liquid at ambient temperature and pressure, and appears colorless in small quantities, although it has its own intrinsic very light blue hue. Ice also appears colorless, and water vapor is essentially invisible as a gas.

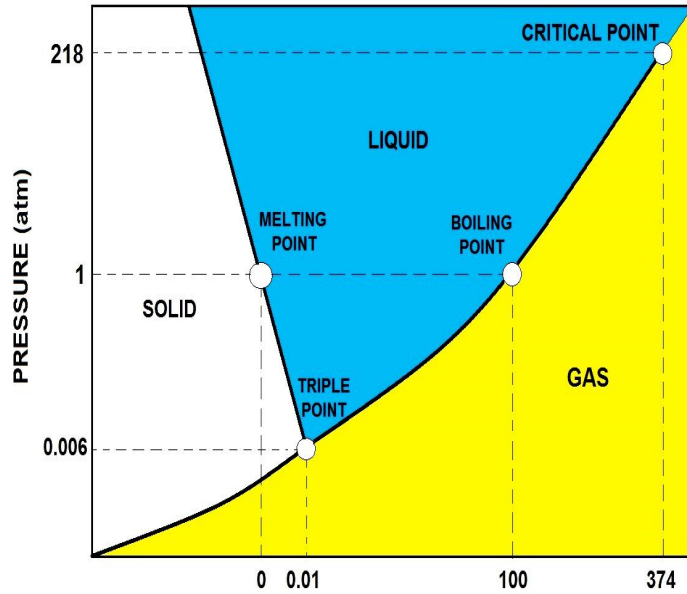


**WATER MOLECULE DIAGRAM**

Water is primarily a liquid under standard conditions on earth, to other analogous hydrides of the oxygen family in the periodic table, which are gases, such as hydrogen sulfide. The elements surrounding oxygen in the periodic table, nitrogen, fluorine, phosphorus, sulfur and chlorine, all combine with hydrogen to produce gases under standard conditions. The reason that water forms a liquid is that oxygen is more electronegative than all of these elements with the exception of fluorine.

Oxygen attracts electrons much more strongly than hydrogen, resulting in a net positive charge on the hydrogen atoms, and a net negative charge on the oxygen atom. The presence of a charge on each of these atoms gives each water molecule a net dipole moment.

Electrical attraction between water molecules due to this dipole pulls individual molecules closer together, making it more difficult to separate the molecules and therefore raising the boiling point.



**TEMPERATURE (°C) WATER PHASE DIAGRAM**

**Boiling Phase**


Once liquid water is heated to 212°F (100°C) it takes a significant amount of energy to change the “phase” of water from a liquid state to a gas state. That is one reason it’s easier to heat a pot of water to boiling rather than to evaporate all of it.

WATER PROPERTIES			
<p><b>SURFACE TENSION</b></p> <p>WATER PROPERTY OF SURFACE TENSION ALLOWS TO HOLD A CERTAIN WEIGHT ON IT'S SURFACE</p>	<p><b>ADHESION</b></p> <p>WATER'S ADHESIVE PROPERTY IS WHY WATER STICKS TO OTHER OBJECTS. LIKE A LEAF OR YOUR SKIN WHEN IT GETS WET</p>	<p><b>COHESION</b></p> <p>WATER'S COHESIVE PROPERTY IS WHY WATER DROPLETS ARE ROUND BEFORE THEY ARE AFFECTED BY ADHESION</p>	<p><b>CAPILLARY ACTION</b></p> <p>CAPILLARY ACTION IS AN ACTION MADE POSSIBLE BY WATER'S ADHESIVE PROPERTY AND SURFACE TENSION.</p>
<p><b>NEUTRAL pH</b></p> <p>THE pH SCALE SHOWS HOW ACIDIC - BASIC A SUBSTANCE IS. PURE WATER HAS A NEUTRAL pH OF 7</p>	<p><b>3 STATES OF MATTER</b></p> <p>WATER, UNLIKE ANY OTHER MATTER, CAN EXIST IN SOLID, LIQUID OR GAS FORMS</p>	<p><b>HIGH HEAT CAPACITY</b></p> <p>WATER HAS A HIGH SPECIFIC HEAT CAPACITY, MEANING THAT IT TAKES QUITE A LOT OF ENERGY TO MAKE IT WARMER</p>	<p><b>DENSITY</b></p> <p>WATER'S DENSITY IS SLIGHTLY LESS THAN 1g/cm3</p>

**PROPERTIES OF WATER**

## Surface (Raw) Water Introduction

We will go into greater detail on these concerns in the Water Analysis section.

INTRODUCTION OF RAW WATER	
<b>Raw Water is natural water found in the Environment that has not been treated and does not have any of its Minerals, Ions, Particles, Bacteria, or Parasites removed</b>	
<b>SOURCES:</b>	
<ul style="list-style-type: none"><li>• Rain Water</li><li>• Ground Water</li><li>• Water from Infiltration Wells</li><li>• Lakes and River Water</li></ul>	



### INTRODUCTION TO RAW WATER

Because raw water (surface water) is never pure of pollution, we need to properly treat it. Most of the earth's water sources obtain their water supplies through precipitation (rain). During precipitation, water passes over (runoff) and through the ground (infiltration), acquiring a wide variety of dissolved or suspended impurities that intensely alters its usefulness. Water has unique physical, chemical and biological properties.

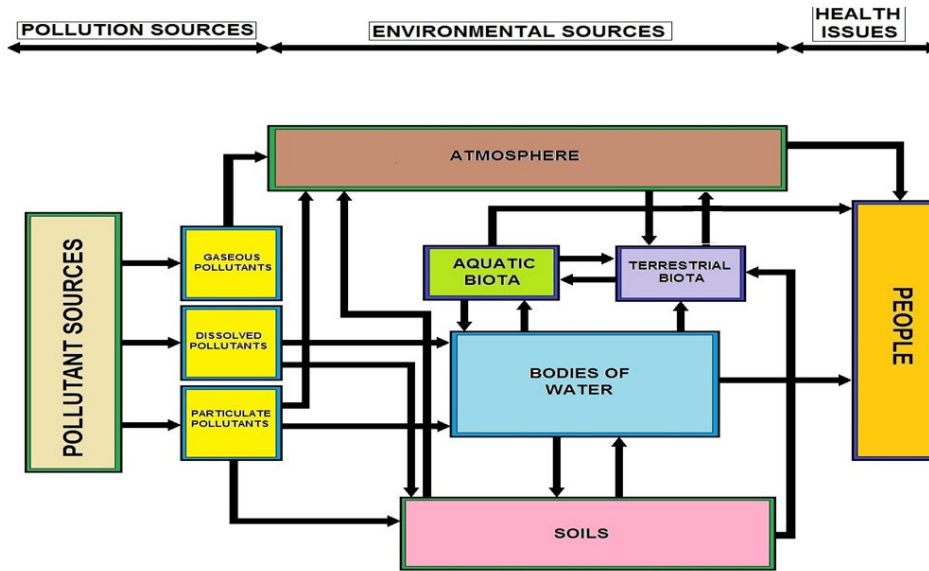
These characteristics have a direct influence on the most effective types of treatment methods and/or chemicals. The improvement of water quality and formation of policy measures (administrative and engineering) revolves around these characteristics.

It is important to remember that raw water will normally contains varying amounts of dissolved minerals including calcium, magnesium, sodium, chlorides, sulfates and bicarbonates, depending on its source.

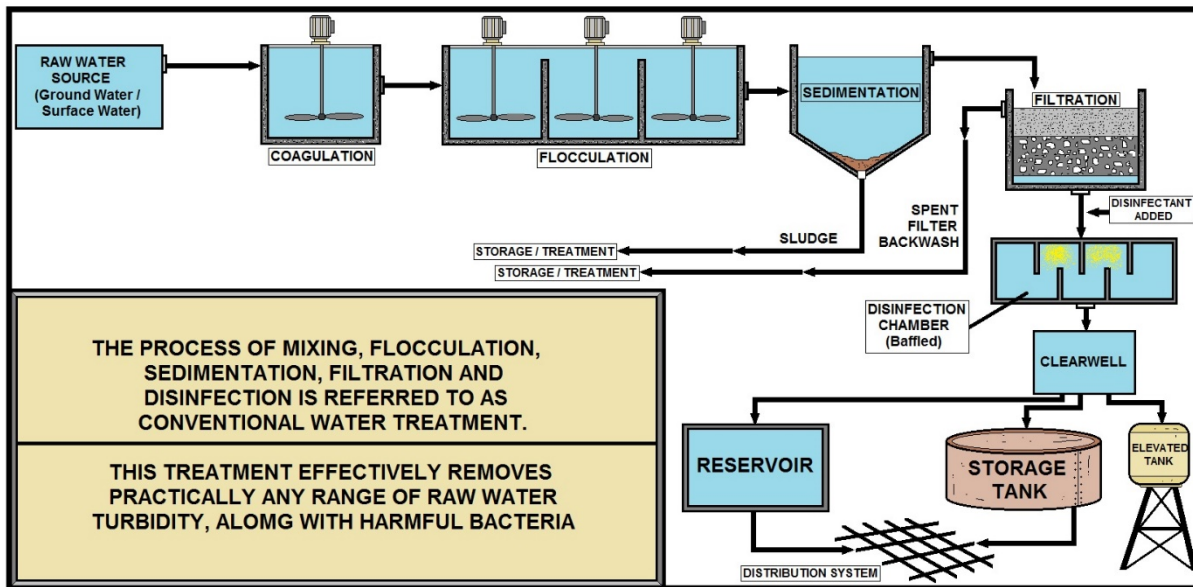
It is also not uncommon to find traces of iron, manganese, copper, aluminum, nitrates, insecticides and herbicides.

Currently, we also need to deal with contaminants of emerging concern including Pharmaceuticals and Personal Care Products. EPA defines emerging contaminants as: An emerging contaminant (EC) is a chemical or material characterized by a perceived, potential, or real threat to human health or the environment or by a lack of published health standards.

The maximum allowable amounts of all these substances are strictly limited by the regulations (MCLS). These are usually referred to as contaminants and/or pollutants.



## WATER QUALITY INDICATORS



CONVENTIONAL WATER TREATMENT OVERVIEW



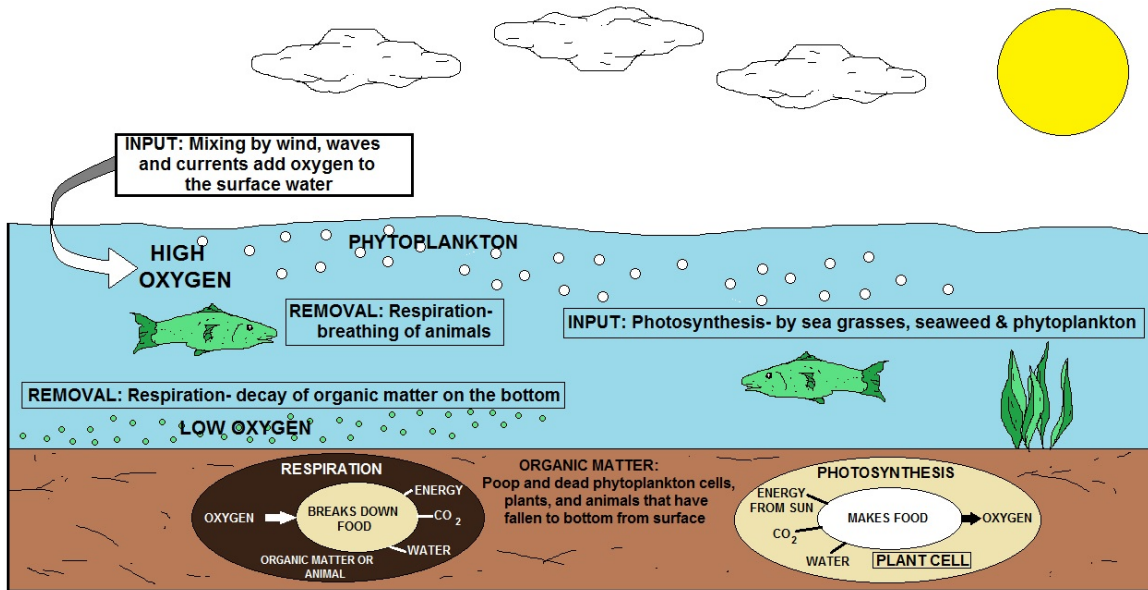
## Surface Water Properties

Some of the water will be immediately impounded in lakes and reservoirs, and some will collect as runoff to form streams and rivers that will then flow into the ocean. Water is known as the universal solvent because most substances that come in contact with it will dissolve. What's the difference between lakes and reservoirs?

Reservoirs are lakes with man-made dams. Surface water is usually contaminated and unsafe to drink.

Depending on the region, some lakes and rivers receive discharge from sewer facilities or defective septic tanks. Runoff could produce mud, leaves, decayed vegetation, and human and animal refuse. The discharge from industry could increase volatile organic compounds. Some lakes and reservoirs may experience seasonal turnover.

Changes in the dissolved oxygen, algae, temperature, suspended solids, turbidity, and carbon dioxide will change because of biological activities.



**UNDERWATER DISSOLVED OXYGEN CYCLE**

## Managing Water Quality at the Source

Depending on the region, source water may have several restrictions of use as part of a Water Shed Management Plan. In some areas, it may be restricted from recreational use, discharge or runoff from agriculture, or industrial and wastewater discharge. Another aspect of quality control is aquatic plants.

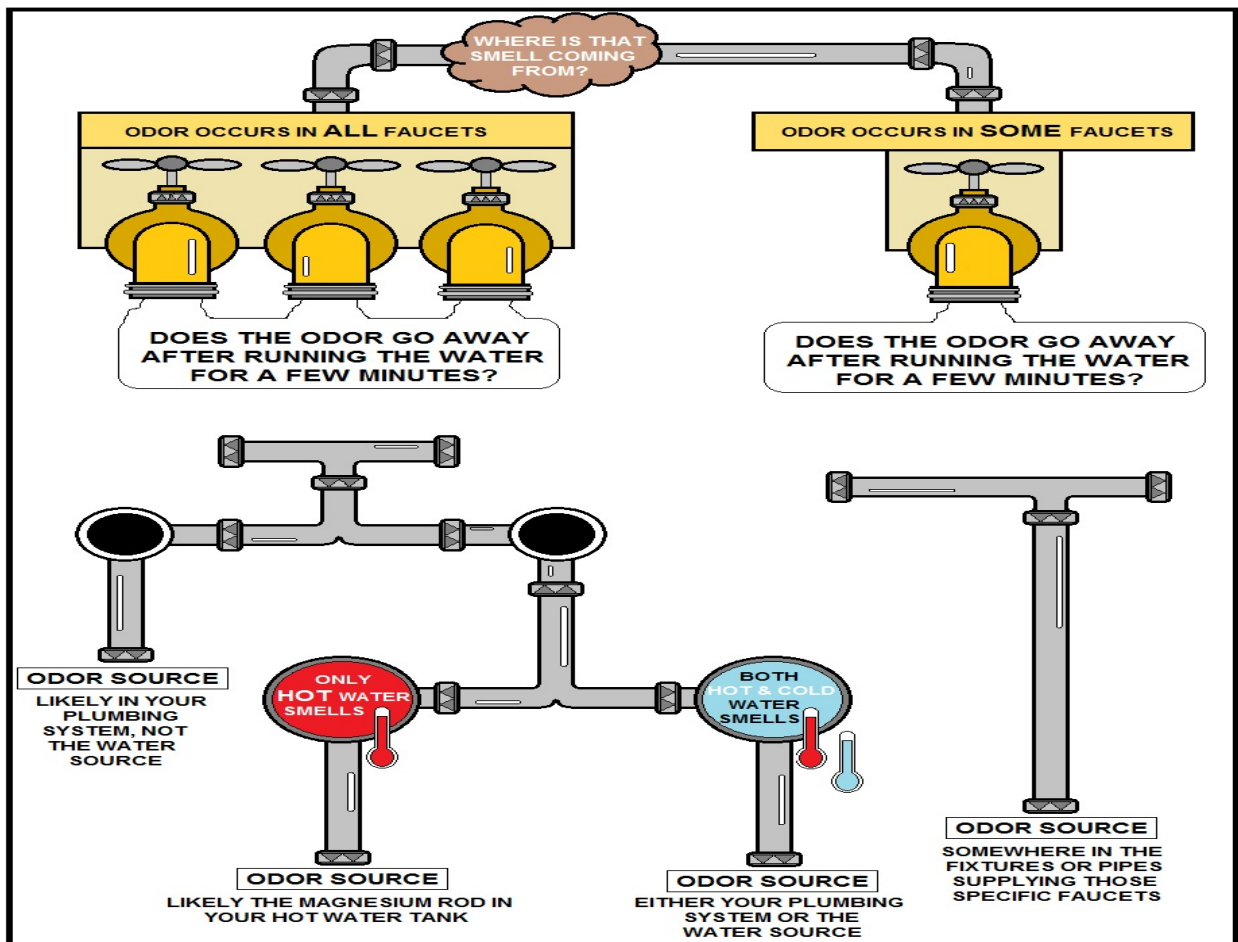
The ecological balance in lakes and reservoirs plays a natural part in purifying and sustaining the life of the lake. For example, algae and rooted aquatic plants are essential in the food chain of fish and birds. Algae growth is the result of photosynthesis. Algae growth is supplied by the energy of the sun. As algae absorbs this energy, it converts carbon dioxide to oxygen.

This creates **aerobic** conditions that supply fish with oxygen. Without sun light, the algae would consume oxygen and release carbon dioxide. The lack of dissolved oxygen in water is known as **anaerobic** conditions. Certain vegetation removes the excess nutrients that would promote the growth of algae. Too much algae will imbalance the lake and kill fish.

Most treatment plant upsets such as taste and odor, color, and filter clogging is due to algae. The type of algae determines the problem it will cause, for instance slime, corrosion, color, and toxicity. Algae can be controlled in the water supply by using chemicals such as copper sulfate.

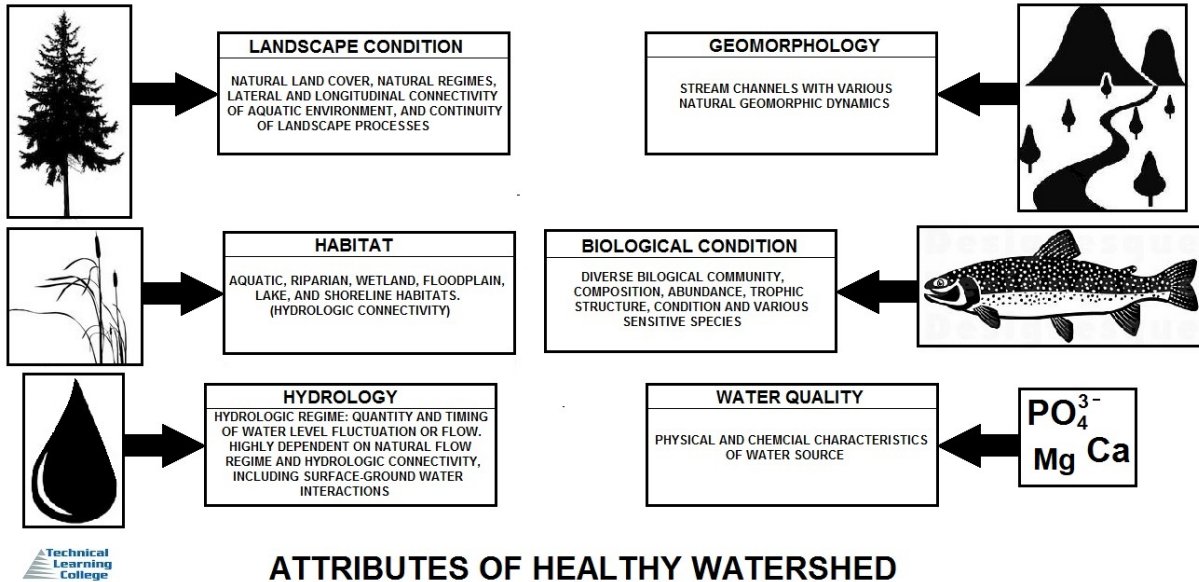
Depending on federal regulations and the amount of copper found natural in water, operators have used potassium permanganate, powdered activated carbon and chlorine to control algae blooms. The pH and alkalinity of the water will determine how these chemicals will react.

Many water systems are limiting their chlorine usage because it reacts with the organics in the water to form trihalomethanes. Most treatment plants that do not use chlorine in the disinfection process will still add chlorine for a *residual* in the distribution system.



## Physical Characteristics of Water

Physical characteristics such as taste, odor, temperature, pH, TDS, and turbidity; are mostly how the consumer judges how well the provider is treating the water.



Physical characteristics are the elements found that are considered alkali, metals, and non-metals such as carbonates, fluoride, sulfides or acids. The consumer relates it to scaling of faucets or staining. Particles and rust come from the distribution system, the gradual breakdown of the lining of concrete or iron water pipes (mains) or from sediment that has accumulated over the years and is disturbed in some way.

## SOLIDS

Solid material in wastewater may be dissolved, suspended, or settled.

**Total dissolved solids** or TDS (sometimes called filterable residue) is measured as the mass of residue remaining when a measured volume of filtered water is evaporated. The mass of dried solids remaining on the filter is called **total suspended solids** (TSS) or non-filterable residue.

**Settleable solids** are measured as the visible volume accumulated at the bottom of an Imhoff cone after water has settled for one hour.

**Turbidity** is a measure of the light scattering ability of suspended matter in the water.

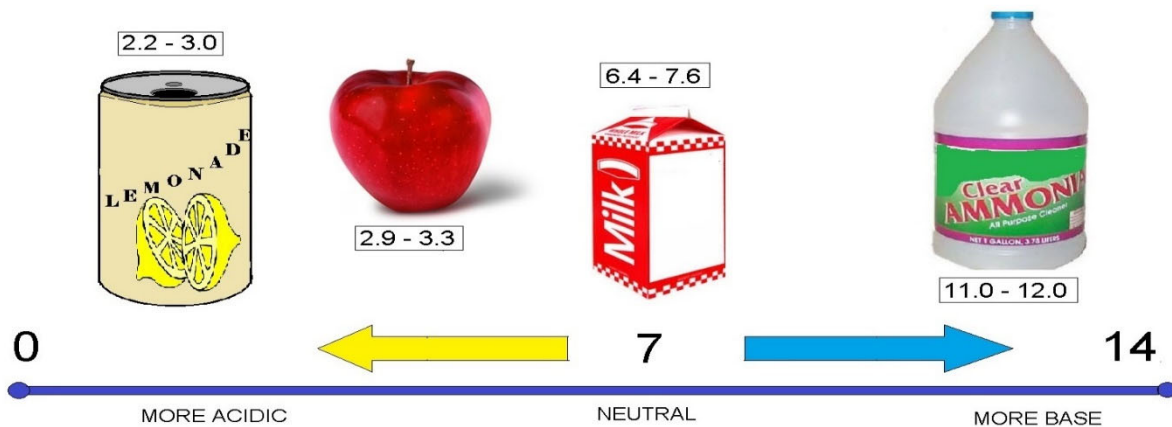
**Salinity** measures water density or conductivity changes caused by dissolved materials.



**Total Dissolved Solids (TDS)** is not a primary pollutant; it is an indicator of aesthetic water characteristics such as hardness and an indication of an assortment of chemical contaminants which might be present, such as Arsenic. We will cover this in a few more pages.

**pH** is the negative logarithm of the hydrogen ion concentration,  $[H^+]$ , a measure of the degree to which a solution is acidic or alkaline. An acid is a substance that can give up a hydrogen ion ( $H^+$ ); a base is a substance that can accept  $H^+$ .

The more acidic a solution the greater the hydrogen ion concentration and the lower the pH; a pH of 7.0 indicates neutrality, a pH of less than 7 indicates acidity, and a pH of more than 7 indicates alkalinity. We will cover this subject further in the Water Analysis/Laboratory Section.



## pH SCALE

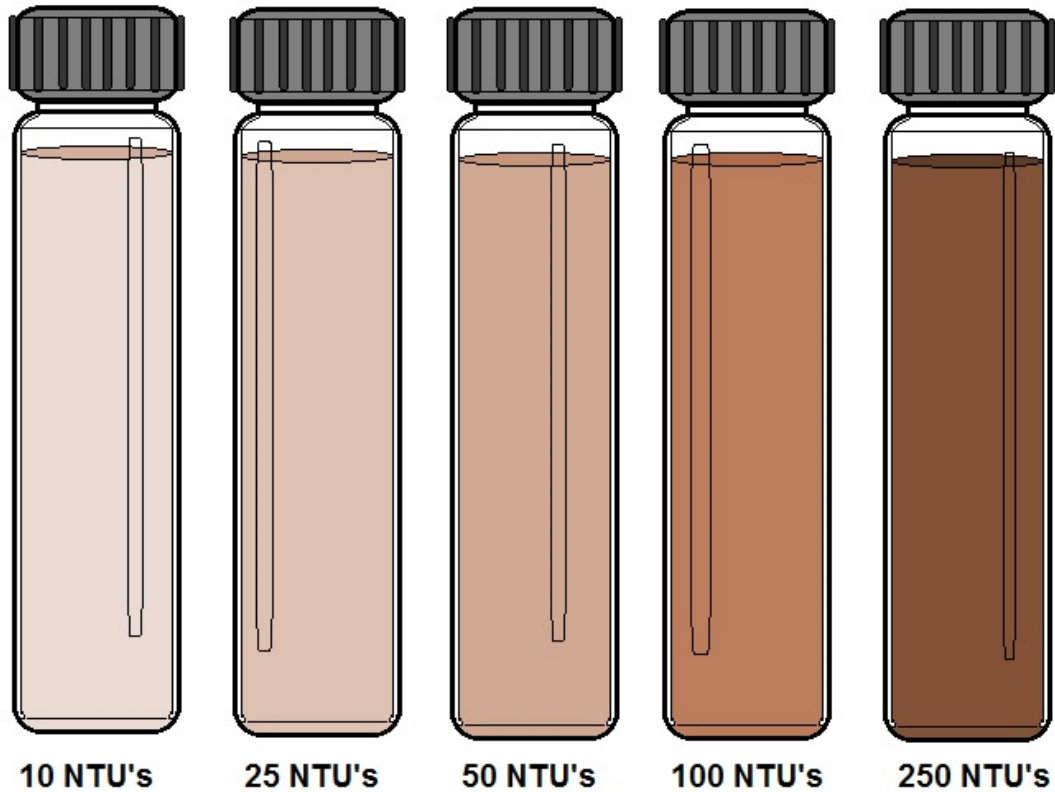
### Alkalinity

Alkalinity of water is its acid-neutralizing capacity. It is the sum of all the titratable bases. The measured value may vary significantly with the end-point pH used. Alkalinity is a measure of an aggregate property of water and can be interpreted in terms of specific substances only when the chemical composition of the sample is known.

Alkalinity is significant in many uses and treatments of natural waters and wastewaters. Because the alkalinity of many surface waters is primarily a function of carbonate, bicarbonate, and hydroxide content, it is taken as an indication of the concentration of these constituents. The measured values also may include contributions from borates, phosphates, silicates or other bases if these are present.

### Alkalinity Measurements

Alkalinity in excess of alkaline earth metal concentrations is significant in determining the suitability of water for irrigation. Alkalinity measurements are used in the interpretation and control of water and wastewater treatment processes



**TURBIDITY SAMPLES IN NTU's (Nephelometric Turbidity Unit)**

### **Turbidity Introduction**

One physical characteristic of water is turbidity. A measure of the cloudiness of water caused by suspended particles. The cloudy appearance of water caused by the presence of tiny particles. High levels of turbidity may interfere with proper water treatment and monitoring. If high quality raw water is low in turbidity, there will be a reduction in water treatment costs. Turbidity is undesirable because it causes health hazards.

The turbidity in natural surface waters is composed of a large number of sizes of particles. The sizes of particles can be changing constantly, depending on precipitation and manmade factors.

When heavy rains occur, runoff into streams, rivers, and reservoirs occurs, causing turbidity levels to increase. In most cases, the particle sizes are relatively large and settle relatively quickly in both the water treatment plant and the source of supply. However, in some instances, fine, colloidal material may be present in the supply, which may cause some difficulty in the coagulation process.

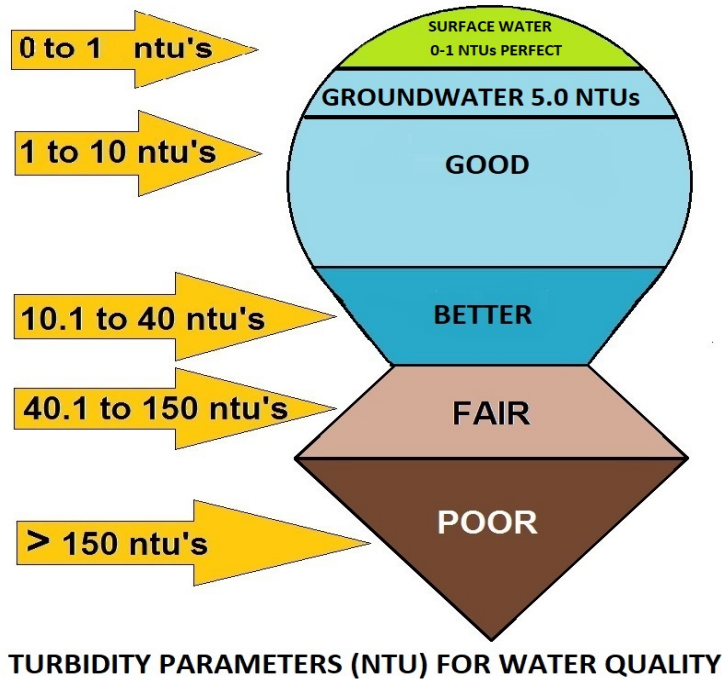
Generally, higher turbidity levels require higher coagulant dosages. However, seldom is the relationship between turbidity level and coagulant dosage linear. Usually, the additional coagulant required is relatively small when turbidities are much higher than normal due to higher collision probabilities of the colloids during high turbidities.

Conversely, low turbidity waters can be very difficult to coagulate due to the difficulty in inducing collision between the colloids.

In this instance, floc formation is poor, and much of the turbidity is carried directly to the filters. Organic colloids may be present in a water supply due to pollution, and these colloids can be difficult to remove in the coagulation process. In this situation, higher coagulant dosages are generally required.

**Turbidity MCL**

An MCL for turbidity established by the EPA because turbidity interferes with disinfection. This characteristic of water changes the most rapidly after a heavy rainfall. The following conditions may cause an inaccurate measure of turbidity; the temperature variation of a sample, a scratched or unclean sample tube in the nephelometer and selecting an incorrect wavelength of a light path.

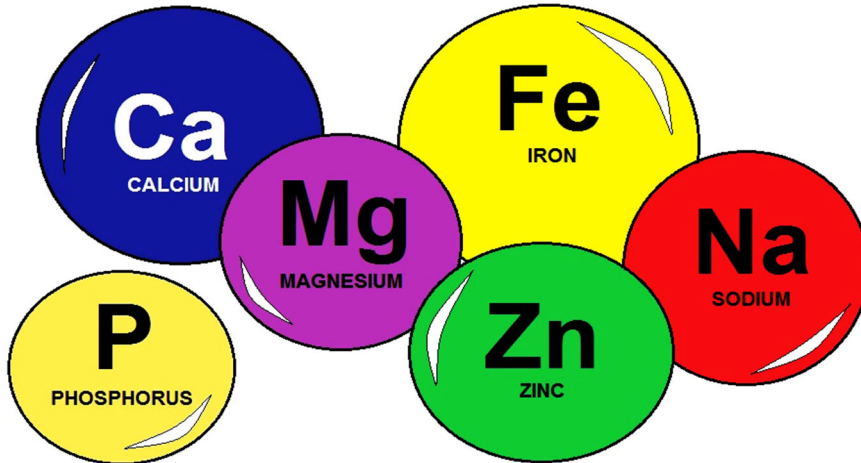


**Surface Water System Compliance Information**  
*(Depends on Systems and Rule)*

- ▶ 0.34 NTU in 95% of samples, never to exceed 1.0 NTU spike
- ▶ Sample turbidity at each individual filter effluent
- ▶ Sample the combined filter turbidity at the clear well
- ▶ (Groundwater turbidity ≤ 5.0 NTU allowed)

**Turbidity Key**

- ▶ Turbidity can also be measured in ppm (parts per million) and its size is measured in microns. Turbidity can be particles in the water consisting of finely divided solids, larger than molecules, but not visible by the naked eye; ranging in size from .001 to .150mm (1 to 150 microns).
- ▶ 0.34 NTU in 95% of surface water samples, never to exceed 1.0 NTU spike



**EXAMPLES OF TDS**  
(Total Dissolved Solids)  
FOUND IN WATER SOURCES

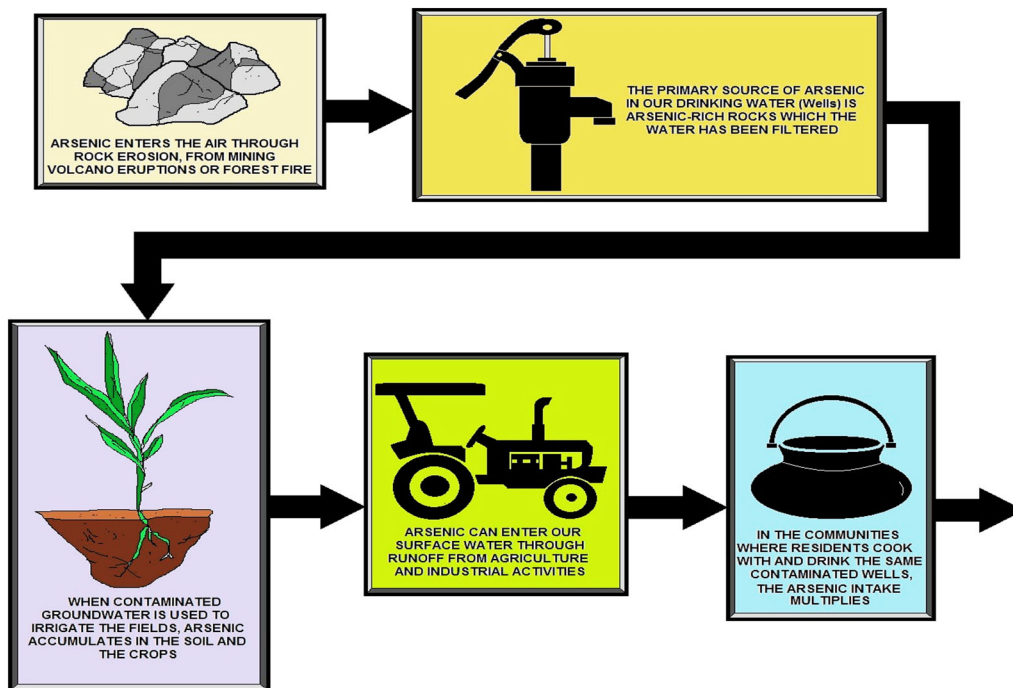
CAN BE FROM AGRICULTURE OR URBAN RUNOFF, WHICH CARRY EXCESS MINERALS INTO THE WATER SOURCE

MINERAL SPRINGS CONTAIN HIGH LEVELS OF TDS BECAUSE THE ROCKS WHICH THE WATER SOURCE FLOWS THROUGH HAVE A HIGH SALT CONTENT

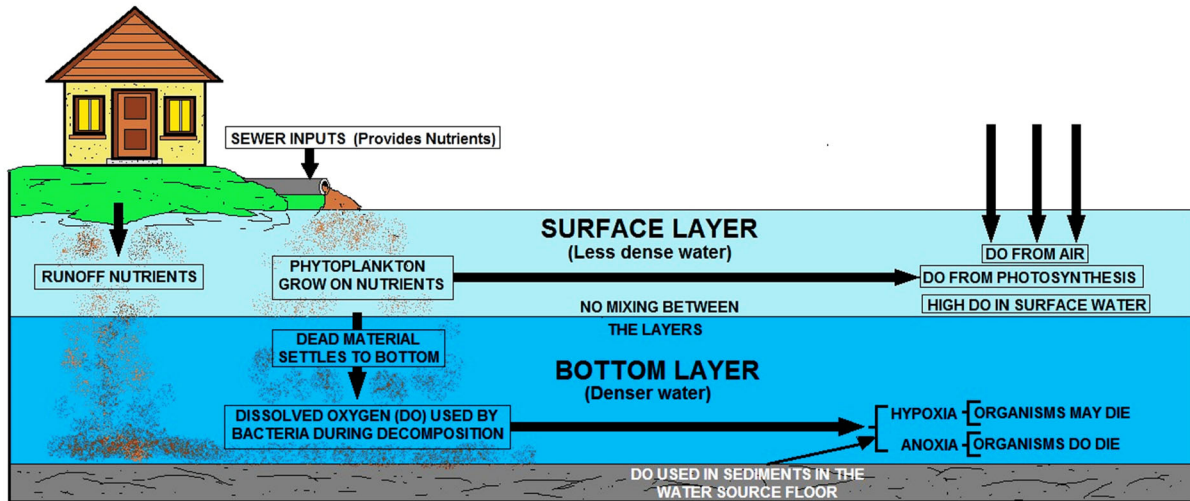


### MINERALS THAT AFFECT THE QUALITY OF WATER SOURCE

**Lead** does not usually occur naturally in water supplies but is derived from lead distribution and domestic pipework and fittings. Water suppliers (distribution systems) have removed most of the original lead piping from the mains distribution system, however many older properties still have lead service pipes and internal lead pipework. The pipework (including the service pipe) within the boundary of the property is the responsibility of the owner of the property, not the water supplier.



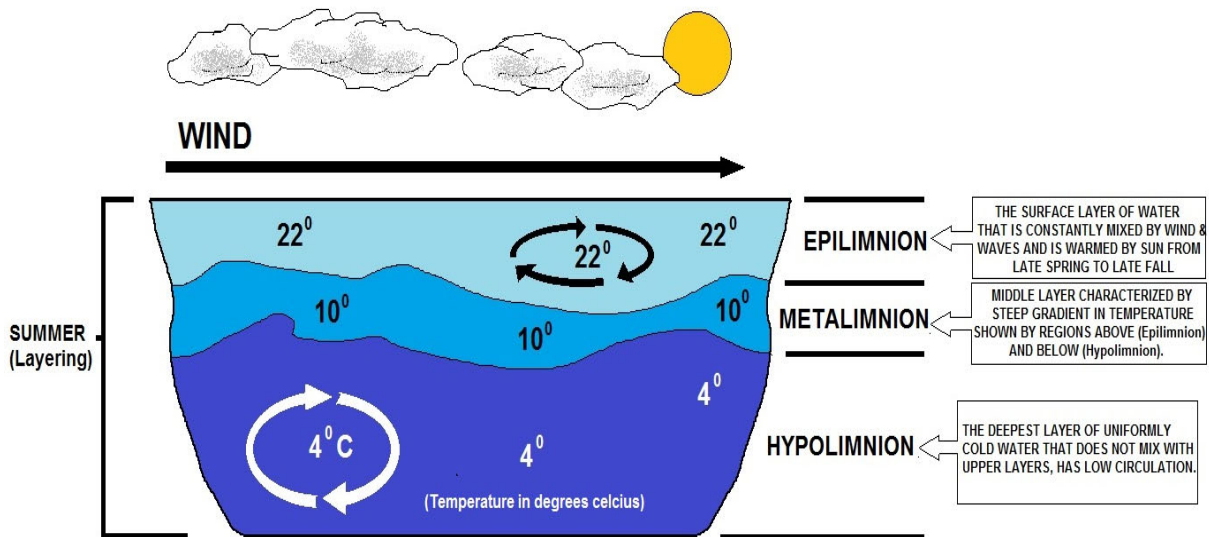
### ARSENIC IN DRINKING WATER



DISSOLVED OXYGEN AFFECT IN WATER SOURCES

## Dissolved Oxygen

The level of dissolved oxygen in natural waters is often a direct indication of quality, since aquatic plants produce oxygen, while microorganisms generally consume it as they feed on pollutants. At low temperatures the solubility of oxygen is increased, so that in winter, concentrations as high as 20 ppm may be found in natural waters; during summer, saturation levels can be as low as 4 or 5 ppm. Dissolved oxygen is essential for the support of fish and other aquatic life and aids in the natural decomposition of organic matter.



THERMAL STRATIFICATION (Temperature Transition Zones)

Thermal stratification is possible as **water becomes less dense when heated**, meaning water weighs less per unit volume. Therefore, warmer water will be lighter and colder water will be heavier. Due to this, there will always be a level of “self-induced” thermal stratification in a water storage.



# Hardness Introduction

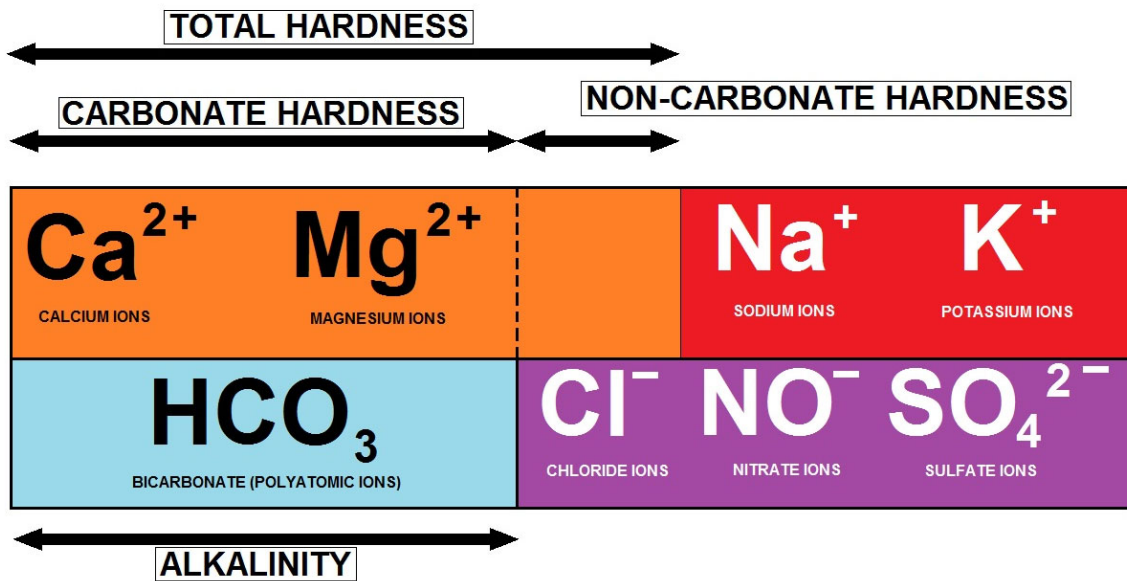
## Temporary and Permanent

There are two types of hardness: temporary and permanent. Temporary hardness comes out of the water when it is heated and is deposited as scale and “fur” on kettles, coffee makers and taps and appears as a scum or film on tea and coffee. Permanent hardness is unaffected by heating. We will cover this in the advanced water treatment section

WATER HARDNESS (Salt Types)	
CARBONATE HARDNESS COMPOUNDS	NON-CARBONATE HARDNESS COMPOUNDS
CALCIUM CARBONATE (CaCO <sub>3</sub> )	CALCIUM SULPHATE (CaSO <sub>4</sub> )
MAGNESIUM CARBONATE (MgCO <sub>3</sub> )	MAGNESIUM SULPHATE (MgSO <sub>4</sub> )
CALCIUM BICARBONATE (Ca(HCO <sub>3</sub> ) <sub>2</sub> )	CALCIUM CHLORIDE (CaCl <sub>2</sub> )
MAGNESIUM BICARBONATE (Mg(HCO <sub>3</sub> ) <sub>2</sub> )	MAGNESIUM CHLORIDE (MgCl <sub>2</sub> )
CALCIUM HYDROXIDE (Ca(OH) <sub>2</sub> )	
MAGNESIUM HYDROXIDE (Mg(OH) <sub>2</sub> )	



### CAUSES OF HARDNESS THAT AFFECTS WATER QUALITY

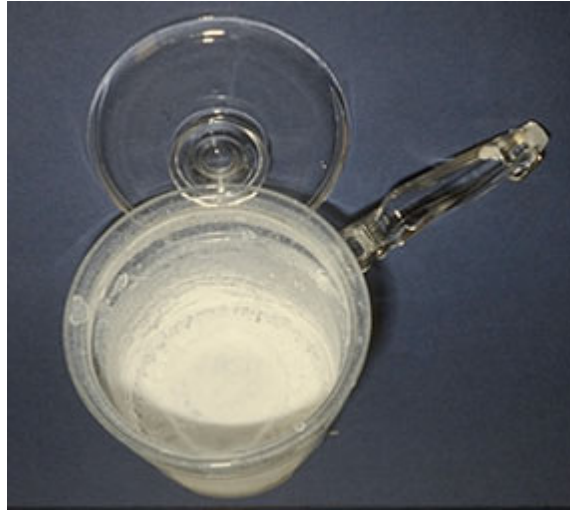


### CARBONATE HARDNESS CHART

## Objections to Hard Water

### Scale Formation

Hard water forms scale, usually calcium carbonate, which causes a variety of problems. Left to dry on the surface of glassware and plumbing fixtures, including showers doors, faucets, and sink tops; hard water leaves unsightly white scale known as water spots. Scale that forms on the inside of water pipes will eventually reduce the flow capacity or possibly block it entirely. Scale that forms within appliances and water meters causes wear on moving parts.



When hard water is heated, scale forms much faster. In particular, when the magnesium hardness is more than about 40 mg/l (as  $\text{CaCO}_3$ ), magnesium hydroxide scale will deposit in hot water heaters that are operated at normal temperatures of 140-150°F (60-66°C).

A coating of only 0.04 in. (1 mm) of scale on the heating surfaces of a hot water heater creates an insulation effect that will increase heating costs by about 10 percent.

### Effect on Soap

The historical objection to hardness has been its effect on soap. Hardness ions form precipitates with soap, causing unsightly “**curd**,” such as the familiar bathtub ring, as well as reduced efficiency in washing and laundering. To counteract these problems, synthetic detergents have been developed and are now used almost exclusively for washing clothes and dishes.

These detergents have additives known as sequestering agents that “**tie up**” the hardness ions so that they cannot form the troublesome precipitates. Although modern detergents counteract many of the problems of hard water, many customers prefer softer water. These customers can install individual softening units or use water from another source, such as a cistern, for washing.

## Total Dissolved Solids (TDS)

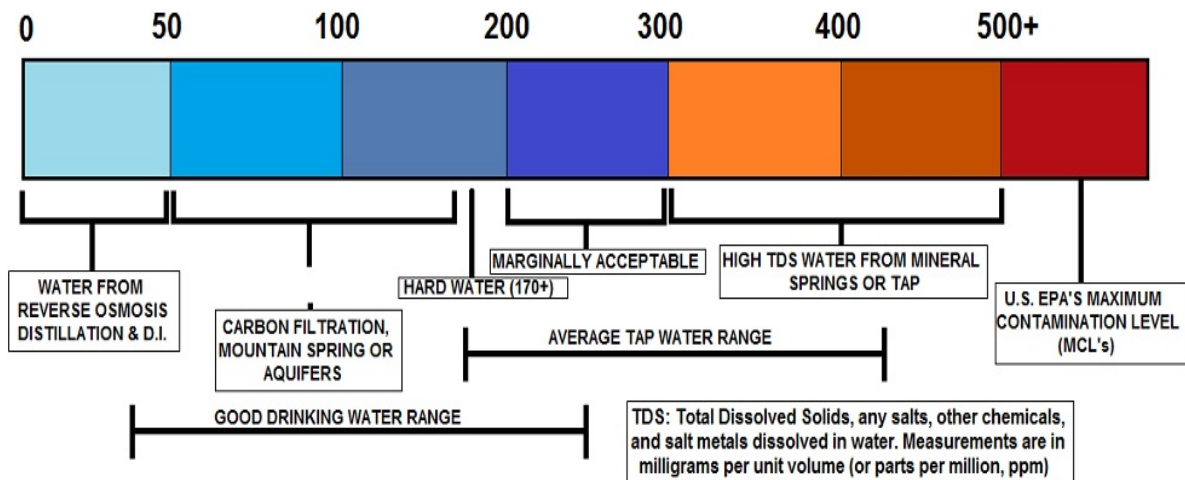
Total dissolved solids (TDS) represents the combined total of all organic and inorganic substances found in drinking water. The total dissolved solids present in water is one of the leading causes of particles and sediments in drinking water, which give water its color, odor, and flavor, and can be a general indicator of water quality.

### Organic substances found in drinking water may include:

- Algae
- Bacteria
- Fungi
- Hair
- Pesticides
- Herbicides
- Fertilizers
- Disinfectants
- Pharmaceuticals

### Inorganic substances found in drinking water may include:

- Arsenic
- Lead
- Mercury
- Chlorine
- Sodium
- Calcium
- Potassium
- Magnesium
- Fluoride



## TDS (Total Dissolved Solids) Explained

### Secondary Standard

TDS is most often measured in parts per million (ppm) or milligrams per liter of water (mg/L). The normal TDS level ranges from 50 ppm to 1,000 ppm. The Environmental Protection Agency (EPA), which is responsible for drinking water regulations in the United States, has identified TDS as a secondary standard, meaning that it is a voluntary guideline. While the United States set legal standards for many harmful substances, TDS, along with other contaminants that cause aesthetic, cosmetic, and technical effects, has only a guideline.

<b>Levels of TDS (milligrams per litre)</b>	<b>Rating</b>
Less than 300	Excellent
300 - 600	Good
600 - 900	Fair
900 - 1,200	Poor
Above 1,200	Unacceptable

Increased concentrations of dissolved solids can also have technical effects. Dissolved solids can produce hard water, which leaves deposits and films on fixtures and can corrode the insides of hot water pipes and boilers.

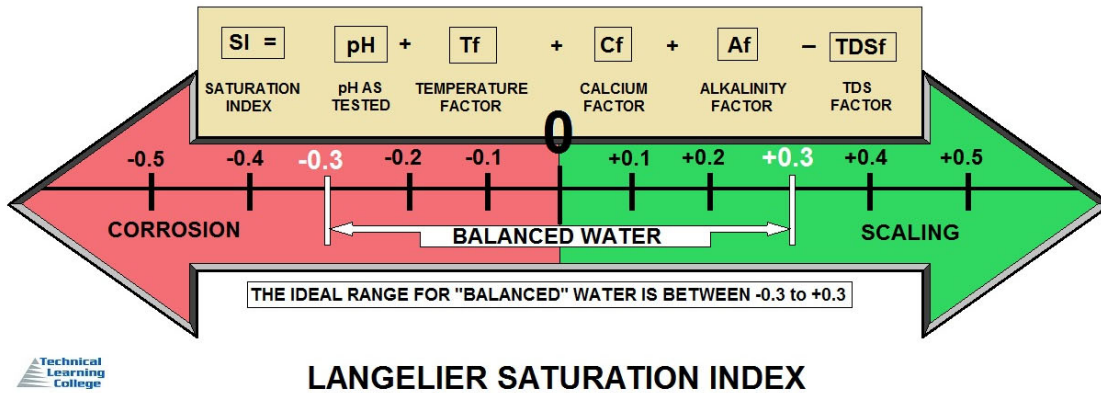
<b>PARAMETERS</b>	<b>CLARITY</b>	<b>TURBIDITY</b>	<b>TOTAL SUSPENDED SOLIDS (TSS)</b>
<b>DEFINITION</b>	HOW FAR LIGHT CAN PASS THROUGH THE WATER COLUMN	MEASURES THE DEGREE TO WHICH WATER LOSES IT'S TRANSPARENCY	PARTICLES THAT ARE LARGER THAN 2 MICRONS FOUND IN THE WATER COLUMN
<b>COMMENTS</b>	TURBIDITY AND CLARITY ARE DIRECTLY RELATED. TURBIDITY IS A MEASURE OF THE WATER'S CLARITY  High Turbidity = Low Clarity Low Turbidity = High Clarity		WHILE TSS DIRECTLY AFFECTS TURBIDITY, TURBIDITY IS NOT A DIRECT MEASUREMENT OF TOTAL SUSPENDED SOLIDS
<b>WHAT IS MEASURED?</b>	ORGANIC AND INORGANIC SUSPENDED SOLIDS, LIKE CLAY, SILT, SEDIMENT, ALGAE AND BATERIA + DISSOLVED COLORED MATERIALS (Smaller than 2 Microns)		ORGANIC AND INORGANIC SUSPENDED SOLIDS SETTLABLE SOLIDS (Solids that are moved along the bottom of water by strong flow)
<b>HOW IS IT MEASURED?</b>	<u>DIRECT MEASUREMENT</u> : TURBIDIMETER or TURBIDITY SENSOR  <u>INDIRECT MEASUREMENT</u> : SECCHI DISK or TURBIDITY TUBE  Indirect Methods are Quick and Expensive but are Dependent on the Visual Acuity of the Observer		TO MEASURE TSS, A WATER SAMPLE IS FILTERED, DRIED AND WEIGHED

### TOTAL DISSOLVED SOLIDS / WATER TREATMENT



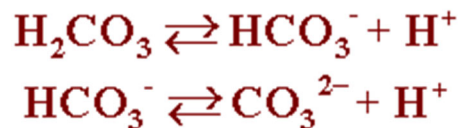
## Langelier Saturation Index

The Langelier Saturation index (LSI) is an equilibrium model derived from the theoretical concept of saturation and provides an indicator of the degree of saturation of water with respect to calcium carbonate. It can be shown that the Langelier saturation index (LSI) approximates the base 10 logarithm of the calcite saturation level. The Langelier saturation level approaches the concept of saturation using pH as a main variable. The LSI can be interpreted as the pH change required to bring water to equilibrium.



### LANGELIER SATURATION INDEX

Water with a Langelier saturation index of 1.0 is one pH unit above saturation. Reducing the pH by 1 unit will bring the water into equilibrium. This occurs because the portion of total alkalinity present as  $CO_3^{2-}$  decreases as the pH decreases, according to the equilibria describing the dissociation of carbonic acid:



- If LSI is negative: No potential to scale, the water will dissolve  $CaCO_3$
- If LSI is positive: Scale can form and  $CaCO_3$  precipitation may occur
- If LSI is close to zero: Borderline scale potential.
- Water quality or changes in temperature, or evaporation could change the index.

The LSI is probably the most widely used indicator of cooling water scale potential. It is purely an equilibrium index and deals only with the thermodynamic driving force for calcium carbonate scale formation and growth. It provides no indication of how much scale or calcium carbonate will actually precipitate to bring water to equilibrium.

It simply indicates the driving force for scale formation and growth in terms of pH as a master variable. In order to calculate the LSI, it is necessary to know the alkalinity (mg/l as  $CaCO_3$ ), the calcium hardness (mg/l  $Ca^{2+}$  as  $CaCO_3$ ), the total dissolved solids (mg/l TDS), the actual pH, and the temperature of the water ( $^{\circ}C$ ).

If TDS is unknown, but conductivity is, one can estimate mg/L TDS using a conversion table.  
LSI is defined as:

$$\text{LSI} = \text{pH} - \text{pH}_s$$

Where:

**pH** is the measured water pH

**pH<sub>s</sub>** is the pH at saturation in calcite or calcium carbonate and is defined as:

$$\text{pH}_s = (9.3 + \text{A} + \text{B}) - (\text{C} + \text{D})$$

Where:

$$\text{A} = (\text{Log}_{10} [\text{TDS}] - 1) / 10$$

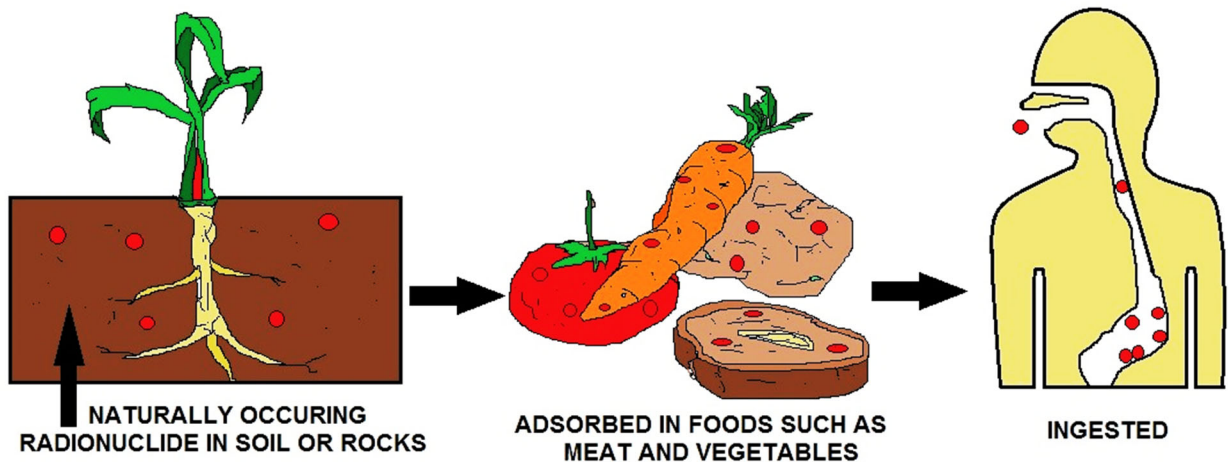
$$\text{B} = -13.12 \times \text{Log}_{10} (^\circ\text{C} + 273) + 34.55$$

$$\text{C} = \text{Log}_{10} [\text{Ca}^{2+} \text{ as CaCO}_3] - 0.4$$

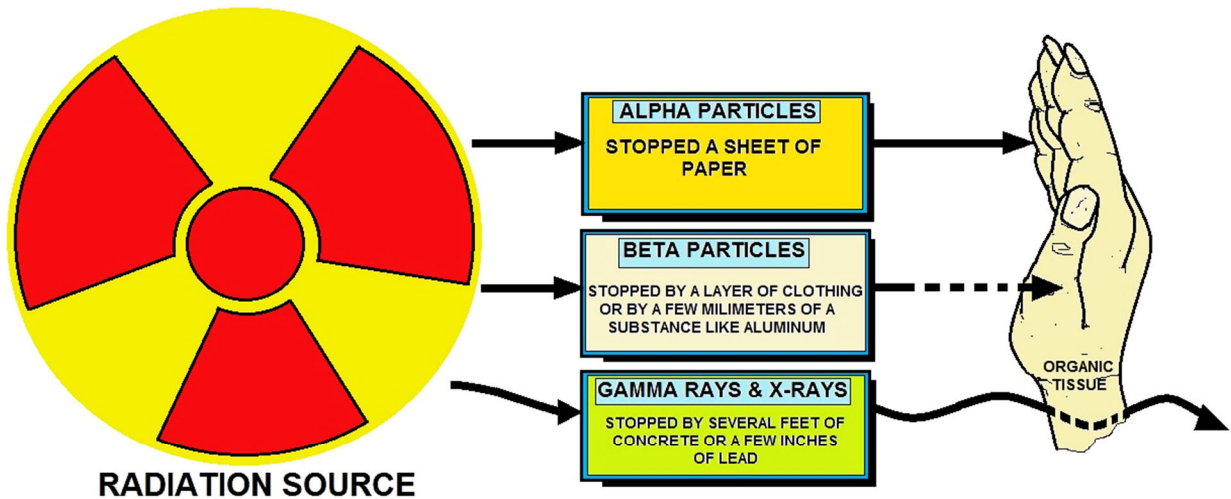
$$\text{D} = \text{Log}_{10} [\text{alkalinity as CaCO}_3]$$

## Radiological Characteristics

Radiological characteristics are the result of water coming in contact with radioactive materials. This could be associated with atomic energy.

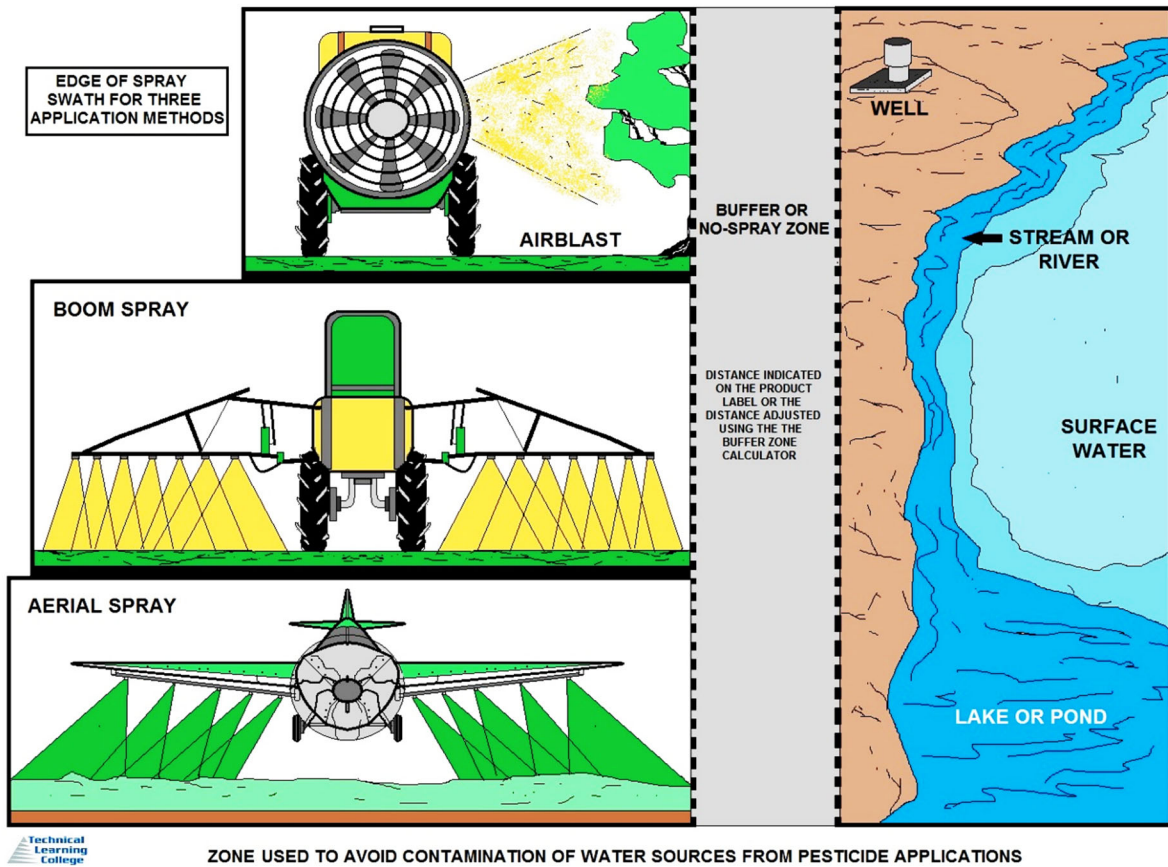


## RADIONUCLIDES



## PENETRATING POWER OF ALPHA / BETA PARTICLES AND GAMMA RAYS AND X-RAYS

Most of these substances are of natural origin and are picked up as water passes around the water cycle. Some are present due to the treatment processes that are used make the water suitable for drinking and cooking.



## Insecticides and Herbicides

Insecticides and herbicides (sometimes referred to as pesticides) are widely used in agriculture, industry, leisure facilities and gardens to control weeds and insect pests and may enter the water cycle in many ways. Aluminum salts are usually added during water treatment to remove color and suspended solids and may reduce any residual insecticides in the water.



## Biological Characteristics of Water

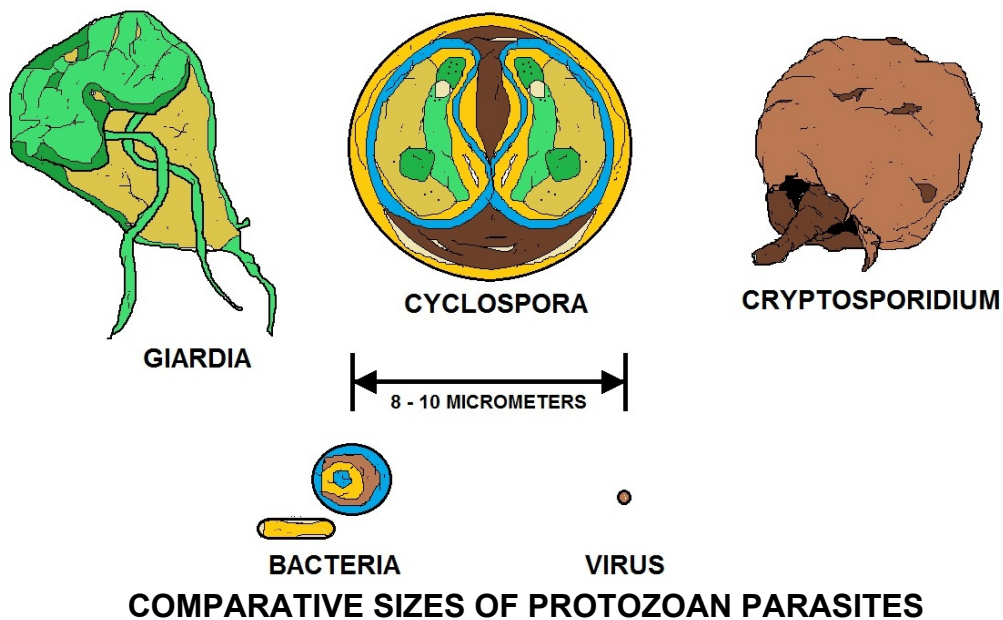
Biological characteristics are the presence of living or dead organisms. Biological characteristics will also interact with the chemical composition of the water. The consumer will become sick or complain about hydrogen sulfide odors, the rotten egg smell. We will cover the Total Coliform Rule in detail in the Water Monitoring Section - Microbiological section and again in the Appendix.

### Pathogen Definition

A pathogen is an organism capable of causing disease. Pathogens include parasites, bacteria and viruses.

### Biological Parameters

- Biological parameters are important factor that determine quality of drinking water. It is more important than physical and chemical parameters in term of direct effect on human health.
- Some important biological characteristics affecting quality of drinking water includes bacteria, protozoa, virus and algae.



### Bacteriological Aspects of Water Pollution

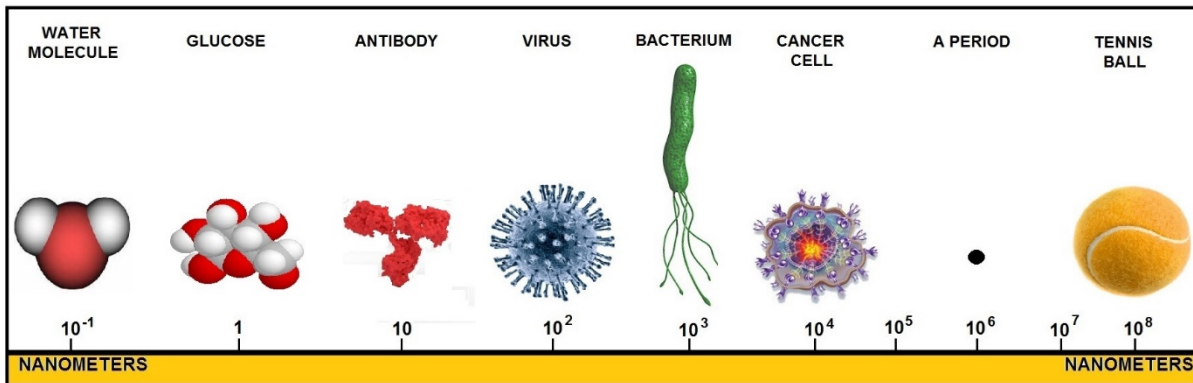
- Human beings and other animals discharge large number of intestinal bacteria into stool and urine. Therefore, bacteria appears in drinking water when water source is contaminated with feces.
- Some intestinal bacteria which are normal flora of intestine are not pathogenic while other bacteria causes serious disease when they are present in drinking water.
- Some pathogenic bacteria includes- *Salmonella*, *Shigella*, *Vibrio cholera*, *Yersinia enterocolitica*.
- These bacteria are only present in drinking water if source of water is contaminated with feces.
- Drinking water must be regularly check to detect intestinal pathogens. However all intestinal pathogens are difficult to cultivate and identify in routine examination.

Therefore, presence of pathogenic intestinal bacteria is indirectly checked by detecting intestinal normal flora. Such organism which are routinely checked for quality of water is known as indicator organism for fecal contamination.

- Some indicator organism are fecal coliform (*E. coli*), fecal Streptococci (*Enterococcus*), *Clostridium perfringens*

### Cysts

Cysts are associated with the reproductive stages of parasitic microorganisms (protozoans) which can cause acute diarrhea type illnesses; they come from farm animals, wild animals and people. Cysts are very resistant to normal disinfection processes but can be removed by advanced filtration processes installed in water treatment works. Cysts are rarely present in the public water supply. We will cover this area in the water monitoring section.



**SIZE COMPARISON**  
HOW SMALL IS SMALL ?

PRESENCE OF PATHOGENS IN WATER	TYPES OF PATHOGENS FOUND IN WATER
THE PRESENCE OF COLIFORM BACTERIA CAN INDICATE THERE MAY BE HARMFUL BACTERIA PATHOGENS IN THE WATER	
THE PRINCIPAL REMOVAL PROCESSES ARE THOSE LIKELY USED TO REMOVE THE MAJORITY OF THE MICROBES IN WATER BEING TREATED	
THE REMOVAL PROCESSES BEING UTILIZED ARE SEDIMENTATION, FLOTATION AND THE USE OF HIGH RATE GRANULAR MEDIA FILTRATION	
DISINFECTION WITH IODINE OR CHLORINE IS THE MOST EFFECTIVE AT KILLING VIRUSES FOUND IN WATER.	

**PATHOGENS FOUND IN WATER SUPPLIES**



# Drinking Water Tastes and Odors

Health concerns are not the only criteria that we use to judge our drinking water. In fact, often the most noticeable qualities that determine whether water is acceptable to consumers are unpleasant taste or odor, staining, poor reaction with soap, or mineral buildup in pipes and plumbing. These problems result from elevated concentrations of "nuisance" constituents.

CHEMICAL / HYDROCARBON / MISC.		MEDICINAL / PHENOLIC	FISHY / RANCID	FRAGRANT / FRUITY / FLOWERY	MARSHY / SWAMPY / SEPTIC / SULFUROUS	GRASSY / HAY / STRAW / WOODY
LICORICE	SWEET SOLVENT	SWEET (TUTTI-FRUIT)	MEDICINAL	RANCID / SWEATY SOCKS	FISHY	SWEET / BUTTERY
4-METHYLCYCLOHEXANEMETHANOL	METHYL TERT-BUTYL ETHER	2-ETHYL-5-DIMETHYL-1,3-DIOXANE	CHLOROPHENOLS, BROMOPHENOLS	METHYL BUTANAL	TRANS-4-HEPTENAL	DIACETYL
						TRANS-2-CIS-6-NONADIENAL
						OX-LOLONE
						DIMETHYL TRISULFIDE
						DIMETHYL SULFIDE (high - conc.)
						DIMETHYL SULFIDE (low - conc.)
						METHYL MERCAPTAN
						CIS-3-HEXENYLACETATE
						CYCLOCITRAL

CHLORINOUS / OZONOUS		EARTHY / MUSTY			MOUTHFEEL / NOSEFEEL	BITTER	SALTY	SWEET	SOUR / ACIDIC		
CHLORINOUS	SWIMMING POOL	MUSTY	MOLDY CORK	EARTHY	COOLING	CHALKY	ASTRINGENT	BITTER	SALTY	SWEET	SOUR / ACIDIC
FREE CHLORINE	DICHLORAMINE	2-METHYLLISOBORNEOL	2,4,6-TRICHLOROBENZENE	(-) GEOSMIN	MENTHOL	CALCIUM CARBONATE	ALUMINIUM SULFATE	CAFFEINE, QUININE HYDROCHLORIDE	SODIUM CHLORIDE	SUGAR, LEAD SALTS	CITRIC ACID, MAGNESIUM SULFATE



## WATER AND TASTE DECODER

Most nuisance constituents occur naturally. These constituents are more likely to occur at nuisance concentrations in groundwater than surface water, because they result from the reaction of groundwater with aquifer rocks and sediments as the water moves underground.

### Yellow Water Complaints

Dissolved iron in groundwater can stain laundry, sinks, bathtubs, and toilets a brownish red, and can degrade plumbing and heating systems. Iron also gives drinking water an unpleasant taste, making it undrinkable for many well owners. Manganese often co-occurs with iron and causes many of the same problems.

## Hard Water

Hard water—defined by high concentrations of calcium and magnesium—causes water pipes and fixtures to become coated with scale, limits the ability of soaps and detergents to form suds, and can cause premature failure of plumbing and heating fixtures. pH outside of acceptable ranges can give water a metallic taste and can cause corrosion of pipes. A high dissolved solids concentration—a measure of all dissolved substances in water, also referred to as salinity—makes water taste disagreeably salty.

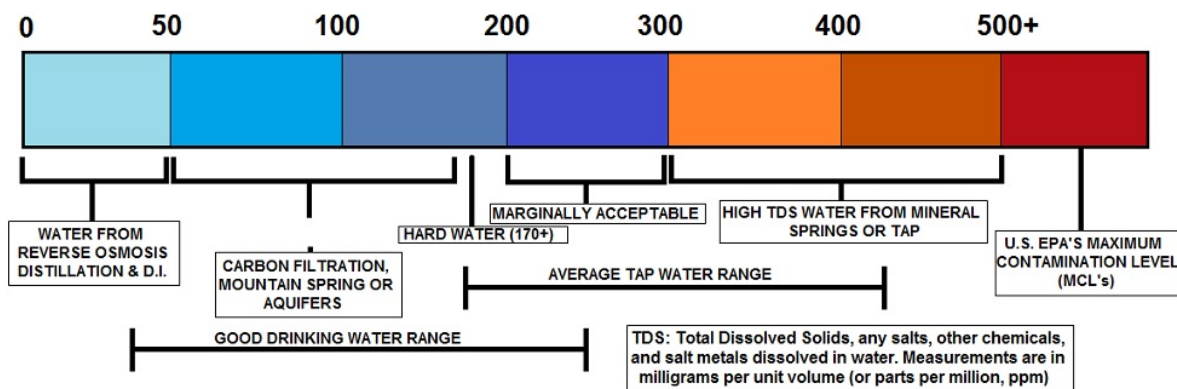
## EPA Guidelines for Nuisance Constituents

The EPA recommends limits, called Secondary Maximum Contaminant Levels (SMCLs), for nuisance constituents in public water supplies. The SMCLs are non-health-based, non-enforceable guidelines for concentrations of 15 constituents in drinking water. These guidelines are designed to assist public water systems in managing their drinking water for aesthetic considerations, such as taste, color, and odor. These contaminants are not considered to present a risk to human health at the SMCL.

Because they can be smelled, tasted, or seen, nuisance constituents may be more likely to be noticed by consumers than contaminants that actually are a health risk. However, some constituents that have an SMCL also have a higher human-health benchmark. Manganese is one example—the black staining caused by manganese might be just a nuisance or might signal a concentration high enough to be a health risk.

## Dissolved Solids

In other situations, the presence of nuisance constituents can signal geochemical conditions that promote high concentrations of other, more harmful contaminants. For example, high concentrations of dissolved solids are considered a nuisance because they cause water to taste salty, but high dissolved solids is not in itself a health concern. However, high dissolved solids can be an indication that there are elevated concentrations of arsenic, uranium, or other trace elements in the groundwater as well. The occurrence of nuisance constituents in drinking water therefore can indicate that testing for a broader range of constituents could be warranted to assess possible risks and to determine options for reducing those risks.



## TDS (Total Dissolved Solids) Explained

## Fluoride Introduction

Some water providers will add fluoride to the water to help prevent cavities in children. Too much fluoride will mottle the teeth.

### Chemical Feed

The equipment used for feeding the fluoride to water shall be accurately calibrated before being placed in operation, and at all times shall be capable of maintaining a rate of feed within 5% of the rate at which the machine is set.

#### ***The following chemical feed practices apply:***

1. Where a dry feeder of the volumetric or gravimetric type is used, a suitable weighing mechanism shall be provided to check the daily amount of chemical feed.
2. Hoppers should be designed to hold a 24 hour supply of the fluoride compound and designed such that the dust hazard to operators is minimized.
3. Vacuum dust filters shall be installed with the hoppers to prevent dust from rising into the room when the hopper is filled.
4. Dissolving chambers are required for use with dry feeders, and the dissolving chambers shall be designed such that at the required rate of feed of the chemical the solution strength will not be greater than 1/4 of that of a saturated solution at the temperature of the dissolving water. The construction material of the dissolving chamber and associated piping shall be compatible with the fluoride solution to be fed.
5. Solution feeders shall be of the positive displacement type and constructed of material compatible with the fluoride solution being fed.
6. The weight of the daily amount of fluoride fed to water shall be accurately determined.
7. Feeders shall be provided with anti-siphon valves on the discharge side. Wherever possible, positive anti-siphon breakers other than valves shall be provided.
8. A "*day tank*" capable of holding a 24 hour supply of solution should be provided.
9. All equipment shall be sized such that it will be operated within the 20 to 80 percent range of their scale, and be capable of feeding over the entire pumpage range of the plant.
10. Alarm signals are recommended to detect faulty operation of equipment; and,
11. The fluoride solution should be added to the water supply at a point where the fluoride will not be removed by any following treatment processes and where it will be mixed with the water. It is undesirable to inject the fluoride compound or solution directly on-line unless there are provisions for adequate mixing.

### Metering

Metering of the total water to be fluoridated shall be provided, and the operation of the feeding equipment is to be controlled. Control of the feed rate shall be automatic/ proportional controlled, whereby the fluoride feed rate is automatically adjusted in accordance with the flow changes to provide a constant pre-established dosage for all rates of flow, or (2) automatic/ residual controlled, whereby a continuous automatic fluoride analyzer determines the residual fluoride level and adjusts the rate of feed accordingly, or compound loop controlled, whereby the feed rate is controlled by a flow proportional signal and residual analyzer signal to maintain a constant residual.

### Alternate Compounds

Any one of the following fluoride compounds may be used:

1. ***Hydrofluosilicic acid,***
2. ***Sodium fluoride or,***

**3. Sodium silicofluoride.** Other fluoride compounds may be used, if approved by the EPA.

#### **Chemical Storage and Ventilation**

The fluoride chemicals shall be stored separately from other chemicals, and the storage area shall be marked "**FLUORIDE CHEMICALS ONLY**". The storage area should be in close proximity to the feeder, kept relatively dry, and provided with pallets (if using bagged chemical) to allow circulation of air and to keep the containers off the floor.

#### **Record of Performance**

***Accurate daily records shall be kept. These records shall include:***

1. The daily reading of the water meter which controls the fluoridation equipment or that which determines the amount of water to which the fluoride is added.
2. The daily volume of water fluoridated.
3. The daily weight of fluoride compound in the feeder.
4. The daily weight of fluoride compound in stock.
5. The daily weight of the fluoride compound fed to the water; and,
6. The fluoride content of the raw and fluoridated water determined by laboratory analysis, with the frequency of measurement as follows:
  - (i) treated water being analyzed continuously or once daily, and
  - (ii) raw water being analyzed at least once a week.

#### **Sampling**

***In keeping the fluoride records, the following sampling procedures are required:***

1. A sample of raw water and a sample of treated water shall be forwarded to an approved independent laboratory for fluoride analysis once a month.
2. On new installations or during start-ups of existing installations, weekly samples of raw and treated water for a period of not less than four consecutive weeks.
3. In addition to the reports required, the EPA may require other information that is deemed necessary.

#### **Fluoride Safety**

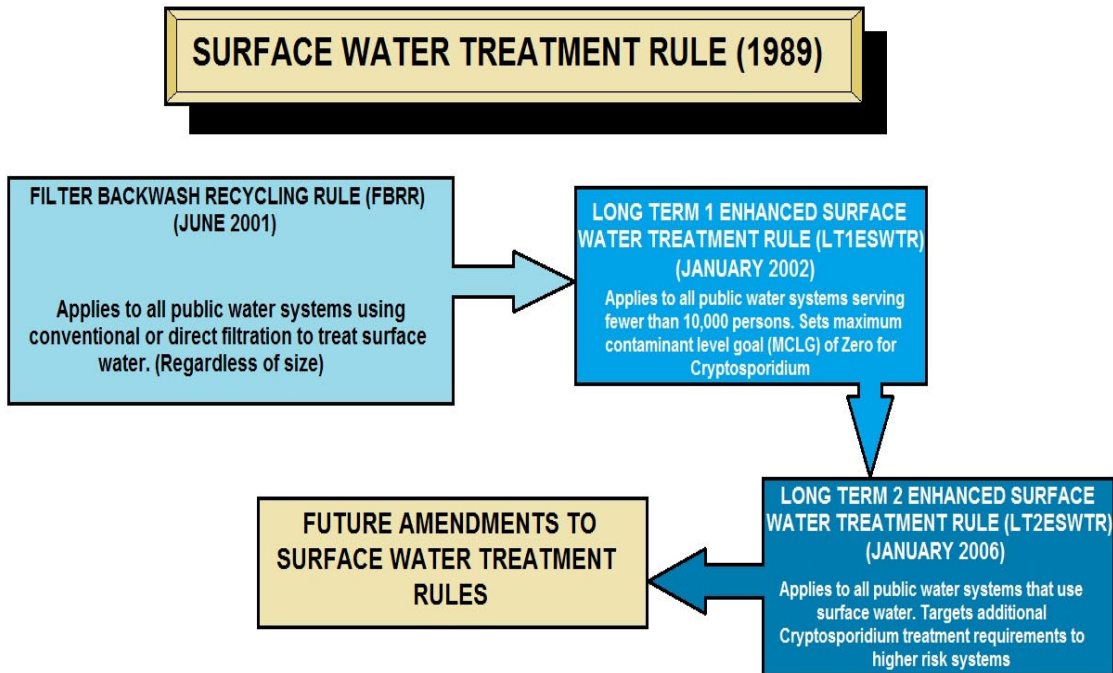
***The following safety procedures shall be maintained:***

1. All equipment shall be maintained at a high standard of efficiency, and all areas and appliances shall be kept clean and free of dust. Wet or damp cleaning methods shall be employed wherever practicable.
2. Personal protective equipment shall be used during the clean-up, and appropriate covers shall be maintained over all fluoride solutions.
3. At all installations, safety features are to be considered and the necessary controls built into the installation to prevent an overdose of fluoride in the water. This shall be done either by use of day tanks or containers, anti-siphon devices, over-riding flow switches, sizing of pump and feeders, determining the length and duration of impulses, or other similar safety devices.
4. Safety features shall also be provided to prevent spills and overflows.
5. Individual dust respirators, chemical safety face shields, rubber gloves, and protective clothing shall be worn by all personnel when handling or being exposed to the fluoride dust.
6. Chemical respirators, rubber gloves, boots, chemical safety goggles and acid proof aprons shall be worn where acids are handled.
7. After use, all equipment shall be thoroughly cleaned and stored in an area free of fluoride dusts. Rubber articles shall be washed in water, and hands shall be washed after the equipment is stored; and, all protective devices, whether for routine or emergency use, shall be inspected periodically and maintained in good operating condition.

# Safe Drinking Water Act (SDWA) Introduction

On August 6, 1996, President Clinton signed the Reauthorization of the Safe Drinking Water Act, bringing a successful conclusion to years of work on the part of water professionals and a broad range of public interest groups throughout the nation.

This law strikes a balance among federal, state, local, urban, rural, large and small water systems in a manner that improves the protection of public health and brings reason and good science to the regulatory process.



## SURFACE WATER TREATMENT RULES

### The major elements of this law include:

- The law updates the standard-setting process by focusing regulations on contaminants known to pose greater public health risks.
- It replaces the current law's demand for 25 new standards every three years with a new process based on occurrence, relative risk and cost-benefit considerations.
- It also requires the EPA to select at least five new candidate contaminants to consider for regulation every five years.
- The EPA is directed to require public water systems to provide customers with annual "**Consumer Confidence Reports**" in newspapers and by direct mail.
- The reports must list levels of regulated contaminants along with Maximum Contaminant Levels (**MCLs**) and Maximum Contaminant Level Goals (**MCLGs**), along with plainly worded definitions of both.
- The reports must also include a plainly worded statement of the health concerns for any contaminants for which there has been a violation, describe the utility's sources of

drinking water and provide data on unregulated contaminants for which monitoring is required, including *Cryptosporidium* and radon.

- The EPA must establish a toll-free hot line customers can call to get additional information.
- The EPA is required to publish guidelines for states to develop water source assessment programs that delineate protection areas and assess contamination risks.
- The EPA is required to identify technologies that are affordable for small systems to comply with drinking water regulations.
- Technical assistance funds and Small System Technical Assistance Centers are authorized to meet the training and technical needs of small systems.
- States are authorized to grant variances for compliance with drinking water regulations for systems serving 3,300 or fewer persons.
- The EPA is required to publish certification guidelines for operators of community and nontransient noncommunity public water systems.
- States that do not have operator certification programs that meet the requirements of the guidelines will lose 20 percent of their SRLF grant.
- A source water petition program for voluntary, incentive-based partnerships among public water systems and others to reduce contamination in source water is authorized.
- The law establishes a new State Revolving Loan Fund (**SRLF**) of \$1 billion per year to provide loans to public water systems to comply with the new SDWA.
- It also requires states to allocate 15 percent of the SRLF to systems serving 10,000 or fewer people unless no eligible projects are available for loans.
- It also allows states to jointly administer SDWA and Clean Water Act loan programs and transfer up to 33 percent between the two accounts.
- States must ensure that all new systems have compliance capacity and that all current systems maintain capacity, or lose 20 percent of their SRLF grant.

Although the EPA will continue to provide policy, regulations and guidance, state governments will now have more regulatory flexibility allowing for improved communication between water providers and their local regulators. Increased collaboration will result in solutions that work better and are more fully supported by the regulated community. States that have a source water assessment program may adopt alternative monitoring requirements to provide permanent monitoring relief for public water systems in accordance with EPA guidance.

### **Risk Assessment**

P.L. 104-182 adds risk assessment and communication provisions to SDWA. When developing regulations, the EPA is now required to: (1) use the best available, peer-reviewed science and supporting studies and data; and (2) make publicly available a risk assessment document that discusses estimated risks, uncertainties, and studies used in the assessment. When proposing drinking water regulations, the EPA must publish a health risk reduction and cost analysis. The law permits the EPA to promulgate an interim standard without first preparing a benefit-cost analysis or making a determination as to whether the benefits of a regulation would justify the costs if the EPA determines that a contaminant presents an urgent threat to public health.

New regulations generally become effective 3 years after promulgation. Up to 2 additional years may be allowed if the EPA (or a state in the case of an individual system) determines the time is needed for capital improvements. Section 1412 includes specific provisions for arsenic, sulfate, and radon. The law authorizes states to grant Systems variances from a regulation if raw water quality prevents meeting the standards despite application of the best technology (Section 1415). A new provision authorizes small system variances based on best affordable technology.



States may grant these variances to systems serving 3,300 or fewer persons if the system cannot afford to comply (through treatment, an alternative water source, or restructuring) and the variance ensures adequate protection of public health; states may grant variances to systems serving between 3,300 and 10,000 persons with EPA approval. To receive a small system variance, the system must install a variance technology identified by the EPA. The variance technology need not meet the MCL, but must protect public health. The EPA must identify variance technologies for existing regulations. Variances are not available for microbial contaminants. The Act also provides for exemptions if a regulation cannot be met for other compelling reasons (including costs) and if the system was in operation before the effective date of a standard or treatment requirement (Section 1416). An exemption is intended to give a public water system more time to comply with a regulation and can be issued only if it will not result in an unreasonable health risk. Small systems may receive exemptions for up to 9 years.

### **State Primacy**

The primary enforcement responsibility for public water systems lies with the states, provided they adopt regulations as stringent as the national requirements, adopt authority for administrative penalties, develop adequate procedures for enforcement, maintain records, and create a plan for providing emergency water supplies (Section 1413). Currently, 55 of 57 states and territories have primacy authority. P.L. 104-182 authorizes \$100 million annually for EPA to make grants to states to carry out the public water system supervision program. States may also use a portion of their SRF grant for this purpose (Section 1443).

Whenever the EPA finds that a public water system in a state with primary enforcement authority does not comply with regulations, the Agency must notify the state and the system and provide assistance to bring the system into compliance. If the state fails to commence enforcement action within 30 days after the notification, the EPA is authorized to issue an administrative order or commence a civil action.

### **Nonprimacy State**

In a non-primacy state, the EPA must notify an elected local official (if any has jurisdiction over the water system) before commencing an enforcement action against the system (Section 1414). Primacy states may establish alternative monitoring requirements to provide interim monitoring relief for systems serving 10,000 or fewer persons for most contaminants, if a contaminant is not detected in the first quarterly sample. States with approved source water protection programs may adopt alternative monitoring requirements to provide permanent monitoring relief to qualified systems for chemical contaminants (Section 1418).

P.L. 104-182 requires states to adopt programs for training and certifying operators of community and nontransient noncommunity systems. The EPA must publish guidelines specifying minimum standards for operator certification by February 1999. Two years thereafter, the EPA must withhold 20% of a state's SRF grant unless the state has an operator certification program (Section 1419). States are also required to establish capacity development programs based on EPA guidance.

State programs must include: 1) legal authority to ensure that new systems have the technical, financial, and managerial capacity to meet SDWA requirements; and 2) a strategy to assist existing systems that are experiencing difficulties to come into compliance. Beginning in 2001, the EPA is required to withhold a portion of SRF grants from states that do not have compliance development strategies (Section 1420).

### **Underground Injection Control**

Another provision of the Act requires the EPA to promulgate regulations for state underground injection control (**UIC**) programs to protect underground sources of drinking water. These regulations contain minimum requirements for the underground injection of wastes in five well classes to protect underground sources of drinking water and to require that a state prohibit, by December 1977, any underground injection that was not authorized by state permit (Section 1421).

### **Ground Water Protection Grant Programs**

The Act contains three additional ground water protection programs. Added in 1986, Section 1427 established procedures for demonstration programs to develop, implement, and assess critical aquifer protection areas already designated by the Administrator as sole source aquifers. Section 1428, also added in 1986, and established an elective state program for protecting wellhead areas around public water system wells.

If a state established a wellhead protection program by 1989, and the EPA approved the state's program, then the EPA may award grants covering between 50% and 90% of the costs of implementing the program. Section 1429, added by P.L. 104-182, authorizes the EPA to make 50% grants to states to develop programs to ensure coordinated and comprehensive protection of ground water within the states. Appropriations for these three programs and for LYIC state program grants are authorized starting back in FY2003.

### **Source Water Protection Programs**

P.L. 104-182 broadens the pollution prevention focus of the Act to embrace surface water as well as ground water protection. New Section 1453 directs the EPA to publish guidance for states to implement source water assessment programs that delineate boundaries of assessment areas from which systems receive their water, and identify the origins of contaminants in delineated areas to determine systems' susceptibility to contamination. States with approved assessment programs may adopt alternative monitoring requirements to provide systems with monitoring relief under Section 1418.

New Section 1454 authorizes a source water petition program based on voluntary partnerships between state and local governments. States may establish a program under which a community water system or local government may submit a source water quality partnership petition to the state requesting assistance in developing a voluntary partnership to: (1) reduce the presence of contaminants in drinking water; (2) receive financial or technical assistance; and (3) develop a long-term source water protection strategy. This section authorizes \$5 million each year for grants to states to support petition programs. Also, states may use up to 10% of their annual SRF capitalization grant for the source water assessment activities or for the petition program.

### **State Revolving Funds**

Section 1452, added by P.L. 104-182 authorizes a State Revolving Loan Fund (**SRF**) program to help systems finance improvements needed to comply with drinking water regulations. The law authorizes the EPA to make grants to states to capitalize SDWA SRFs, which states then use to make loans to public water systems. States must match 20% of the federal grant.

Grants will be allotted to states using the formula for distributing state PWSS grants through FY1997; then, grants will be allotted based on a needs survey. Each state will receive at least 1% of funds.

Drinking water SRFs may be used to provide loan and grant assistance for expenditures that the EPA has determined will facilitate compliance or significantly further the Act's health protection objectives. States must make available 15% of their annual allotment for loan assistance to systems that serve 10,000 or fewer persons. States may use up to 30% of their SRF grant to provide grants or forgive loan principle to help economically disadvantaged communities. Also, states may use a portion of funds for technical assistance, source water protection and capacity development programs, and for operator certification.



### Other Provisions

Public water systems must notify customers of violations with potential for serious health effects within 24 hours. Systems must also issue to customers' annual reports on contaminants detected in their drinking water (Section 1414). Section 1417 requires any pipe, solder, or flux used in the installation or repair of public water systems or of plumbing in residential or nonresidential facilities providing drinking water to be "**lead free**" (as defined in the Act).

As of August 1998, it will be unlawful to sell pipes, plumbing fittings or fixtures that are not "**lead free**" or to sell solder or flux that is not lead free (unless it is properly labeled); with the exception of pipes used in manufacturing or industrial processing. P.L. 104-182 sets limits on the amount of lead that may leach from new plumbing fixtures, and allows one year for a voluntary standard to be established before requiring EPA to take regulatory action.

The Administrator has emergency powers to issue orders and commence civil action if a contaminant likely to enter a public drinking water supply system poses a substantial threat to public health and state or local officials have not taken adequate action (Section 1431).

If a chemical necessary for water treatment is not reasonably available, the Administrator can issue a "**certification of need**," in which case the President can order an allocation of the chemical to those needing it (Section 1441).

EPA is provided authority to conduct research, studies, and demonstrations related to the causes, treatment, control, and prevention of diseases resulting from contaminants in water. The Agency is directed to provide technical assistance to the states and municipalities in administering their public water system regulatory responsibilities. The law authorizes annually, \$15 million for technical assistance to small systems and Indian Tribes, and \$25 million for health effects research (Section 1442). P.L. 104-182 authorizes additional appropriations for drinking water research, not to exceed \$26.6 million annually.

The Administrator may make grants to develop and demonstrate new technologies for providing safe drinking water and to investigate health implications involved in the reclamation/reuse of waste waters (Section 1444).

Also, suppliers of water who may be subject to regulation under the Act are required to establish and maintain records, monitor, and provide any information that the Administrator requires to carry out the requirements of the Act (Section 1445).

The Administrator may also enter and inspect the property of water suppliers to enable him/her to carry out the purposes of the Act. Failure to comply with these provisions may result in criminal penalties.

The Act established a National Drinking Water Advisory Council, composed of 15 members (with at least 2 representing rural systems), to advise, consult, and make recommendations to the Administrator on activities and policies derived from the Act (Section 1446).

### **National Security**

Any federal agency having jurisdiction over federally owned and maintained public water systems must comply with all federal, state, and local drinking water requirements, as well as any underground injection control programs (Section 1447). The Act provides for waivers in the interest of national security. Procedures for judicial review are outlined (Section 1448), and provision for citizens' civil actions is made (Section 1449).

## **Three Types of Public Water Systems**

### **Community Water Systems (CWSs)**

- Provide water to the same population year-round (for example: homes, apartment buildings)
- Approximately 52,000 systems serving the majority of the U.S. population

### **Non-Transient Non-Community Water Systems (NTNCWSs)**

- Provide water to the same people at least six months a year, but not all year (for example: schools, factories, churches, office buildings that have their own water system)
- Approximately 85,000 systems

### **Transient Non-Community Water System (TNCWS)**

- Provide water where people do not remain for long periods of time (for example: gas stations, campgrounds)
- Approximately 18,000 systems

## Water Quality Key Words

### Hyperlink to the Glossary and Appendix

<http://www.abctlc.com/downloads/PDF/WTGlossary.pdf>

**Activated alumina:** The compound is used as a desiccant (to keep things dry by absorbing water from the air) and as a filter of fluoride, arsenic and selenium in drinking water. It is made of aluminum oxide (alumina;  $\text{Al}_2\text{O}_3$ ), the same chemical substance as sapphire and rubies (but without the impurities that give those gems their color). It is manufactured from aluminum hydroxide by dehydroxylating it in a way that produces a highly porous material; this material can have a surface area significantly over 200 square meters/g.

**Activated carbon:** Also called activated charcoal or activated coal, it is a form of carbon that has been processed to make it extremely porous and thus to have a very large surface area available for adsorption or chemical reactions. The word activated in the name is sometimes substituted by active. Due to its high degree of microporosity, just one gram of activated carbon has a surface area of approximately 500  $\text{m}^2$ , as determined typically by nitrogen gas adsorption. Sufficient activation for useful applications may come solely from the high surface area, though further chemical treatment often enhances the adsorbing properties of the material. Activated carbon is usually derived from charcoal.

**De-ionized:** Water with the ions removed.

**Dissolved organic carbon:** Dissolved organic carbon (DOC) is a broad classification for organic molecules of varied origin and composition within aquatic systems. The "dissolved" fraction of organic carbon is an operational classification. Many researchers place the dissolved/colloidal cutoff at 0.45 micrometers, but 0.22 micrometers is also typical.

**Ethylenediaminetetraacetic acid (EDTA):** EDTA is a widely used abbreviation for the chemical compound ethylenediaminetetraacetic acid (and many other names). EDTA refers to the chelating agent with the formula  $(\text{HO}_2\text{CCH}_2)_2\text{NCH}_2\text{CH}_2\text{N}(\text{CH}_2\text{CO}_2\text{H})_2$ . This amino acid is widely used to sequester di- and trivalent metal ions ( $\text{Ca}^{2+}$  and  $\text{Mg}^{2+}$  for example). EDTA binds to metals via four carboxylate and two amine groups. EDTA forms especially strong complexes with Mn(II), Cu(II), Fe(III), Pb (II) and Co(III).

**High temperature metals recovery:** An improved method and apparatus for recovering metal values from Electric Arc Furnace (EAF) dust, particularly zinc and iron values, by mixing EAF dust and carbonaceous fines to form a particulate mixture; heating the mixture at a sufficient temperature and for a sufficient time to reduce and release volatile metals and alkali metals in a flue gas; collecting the released metals, and removing the metal values from the process as product.

**Microfiltration:** A low pressure membrane filtration process that removes suspended solids and colloids generally larger than 0.1 micron diameter.

**Nanofiltration:** It is a relatively recent membrane process used most often with low total dissolved solids water such as surface water and fresh groundwater, with the purpose of softening (polyvalent cation removal) and removal of disinfection by-product precursors such as natural organic matter and synthetic organic matter.

## PRIMARY DRINKING WATER REGULATIONS

PRIMARY DRINKING WATER REGULATIONS ARE LEGALLY ENFORCEABLE PRIMARY STANDARD AND TREATMENT TECHNIQUES THAT APPLY TO PUBLIC WATER SYSTEMS.

PRIMARY STANDARDS AND TREATMENT TECHNIQUES PROTECT PUBLIC HEALTH BY LIMITING THE LEVEL OF CONTAMINANTS IN DRINKING WATER.

**EXAMPLES OF CONTAMINANTS INCLUDE:**

- Microorganisms
- Disinfectants
- Disinfection Byproducts (DBPs)
- Inorganic Chemicals
- Organic Chemicals
- Radionuclides

The diagram shows a blue water pipe with six callout circles pointing to different contaminants: Radionuclides (red spheres), Disinfection Byproducts (red spheres), Microbes (blue spheres), VOCs (black and white spheres), Arsenic (red spheres), and Disinfectants (a bottle of chlorine tablets).

### PRIMARY DRINKING WATER REGULATIONS / STANDARDS



## SECONDARY DRINKING WATER REGULATIONS / STANDARDS (EPA)

**SECONDARY REGULATIONS / STANDARDS ARE MORE RECOMMENDATIONS THAN RULES. IT IS MEANT TO TAKE A BROADER LOOK AT WHAT MAKES PUBLIC WATER APPEALING AND ACCESSIBLE TO THE PUBLIC EXAMPLE EFFECTS INCLUDE:**

**AESTHETIC EFFECTS:**  
THESE AFFECT THE TASTE, ODOR AND SMELL OF DRINKING WATER, BUT POSE NO HEALTH-RISK PROPERTIES.  
EXAMPLES INCLUDE: Iron, Manganese, Copper, Zinc and certain Sulfates.

**COSMETIC EFFECTS:**  
THE MOST PREVALENT COSMETIC EFFECT IS TOOTH SPOTTING OR DISCOLORATION, OFTEN SEEN IN CHILDREN DUE TO FLUORIDE OVEREXPOSURE DURING FORMATIVE TOOTH DEVELOPMENT.

**TECHNICAL EFFECTS:**  
THIS EFFECT IS NOT NECESSARILY THE WATER ITSELF, BUT RATHER THE POSSIBILITY OF DAMAGED OR MALFUNCTIONING WATER EQUIPMENT AS WELL AS FORMS OF PURIFICATIONS METHODS NOT WORKING PROPERLY

SECONDARY DRINKING WATER STANDARDS (EPA)	
Contaminant	Secondary Standard
Aluminum	0.05 to 0.2 mg/L
Chloride	250 mg/L
Color	15 (color units)
Copper	1.0 mg/L
Corrosivity	noncorrosive
Fluoride	2.0 mg/L
Foaming Agents	0.5 mg/L
Iron	0.3 mg/L
Manganese	0.05 mg/L
Odor	3 threshold odor number
pH	6.5-8.5
Silver	0.10 mg/L
Sulfate	250 mg/L
Total Dissolved Solids	500 mg/L
Zinc	5 mg/L

### EPA SECONDARY DRINKING WATER REGULATIONS / STANDARDS



## SDWA MCLs Introduction

### Radionuclides

**Alpha Emitters** Certain minerals are radioactive and may emit a form of radiation known as alpha radiation. Some people who drink water containing alpha emitters in excess of EPA standards over many years may have an increased risk of getting cancer.

**Beta/photon Emitters** Certain minerals are radioactive and may emit forms of radiation known as photons and beta radiation. Some people who drink water containing beta and photon emitters in excess of EPA standards over many years may have an increased risk of getting cancer.

**Combined Radium 226/228** Some people who drink water containing radium 226 or 228 in excess of EPA standards over many years may have an increased risk of getting cancer.

**Radon** gas can dissolve and accumulate in underground water sources, such as wells, and concentrate in the air in your home. Breathing radon can cause lung cancer. Drinking water containing radon presents a risk of developing cancer. Radon in air is more dangerous than radon in water. Radon in water is typically released into the air while showering.



### Water Sampling Bottles

These are commonly found examples of various water sampling bottles. VOC and THM bottles are in the front.

You will have to make sure there is absolutely no air inside these tiny bottles. Any air bubble can ruin the sample. There are several ways to get the air out. The best one is slowly overfill the bottle to get a reverse meniscus. Second, is to fill the cap with water before screwing it onto the bottle. The third one is to use a thin copper tube and slowly fill the bottle.

## Inorganic Contaminants

Antimony	Cadmium	Cyanide	Nitrite
Asbestos	Chromium	Mercury	Selenium
Barium	Copper	Nitrate	Thallium
Beryllium			

## Inorganic Contaminants

**Arsenic.** Some people who drink water containing arsenic in excess of EPA standards over many years could experience skin damage or problems with their circulatory system, and may have an increased risk of getting cancer.

**Fluoride.** Many communities add fluoride to their drinking water to promote dental health. Each community makes its own decision about whether or not to add fluoride. The EPA has set an enforceable drinking water standard for fluoride of 4 mg/L. Some people who drink water-containing fluoride in excess of this MCL level over many years could get bone disease, including pain and tenderness of the bones. The EPA has also set a secondary fluoride standard of 2 mg/L to protect against dental fluorosis.

Dental fluorosis, in its moderate or severe forms, may result in a brown staining and/or pitting of the permanent teeth. This problem occurs only in developing teeth, before they erupt from the gums. Children under nine should not drink water that has more than 2 mg/L of fluoride.

**Lead.** Typically leaches into water from plumbing in older buildings. Lead pipes and plumbing fittings have been banned since August 1998. Children and pregnant women are most susceptible to lead health risks. For advice on avoiding lead, see the EPA's "*Lead in Your Drinking Water*" fact sheet.

## Synthetic Organic Contaminants, including Pesticides & Herbicides

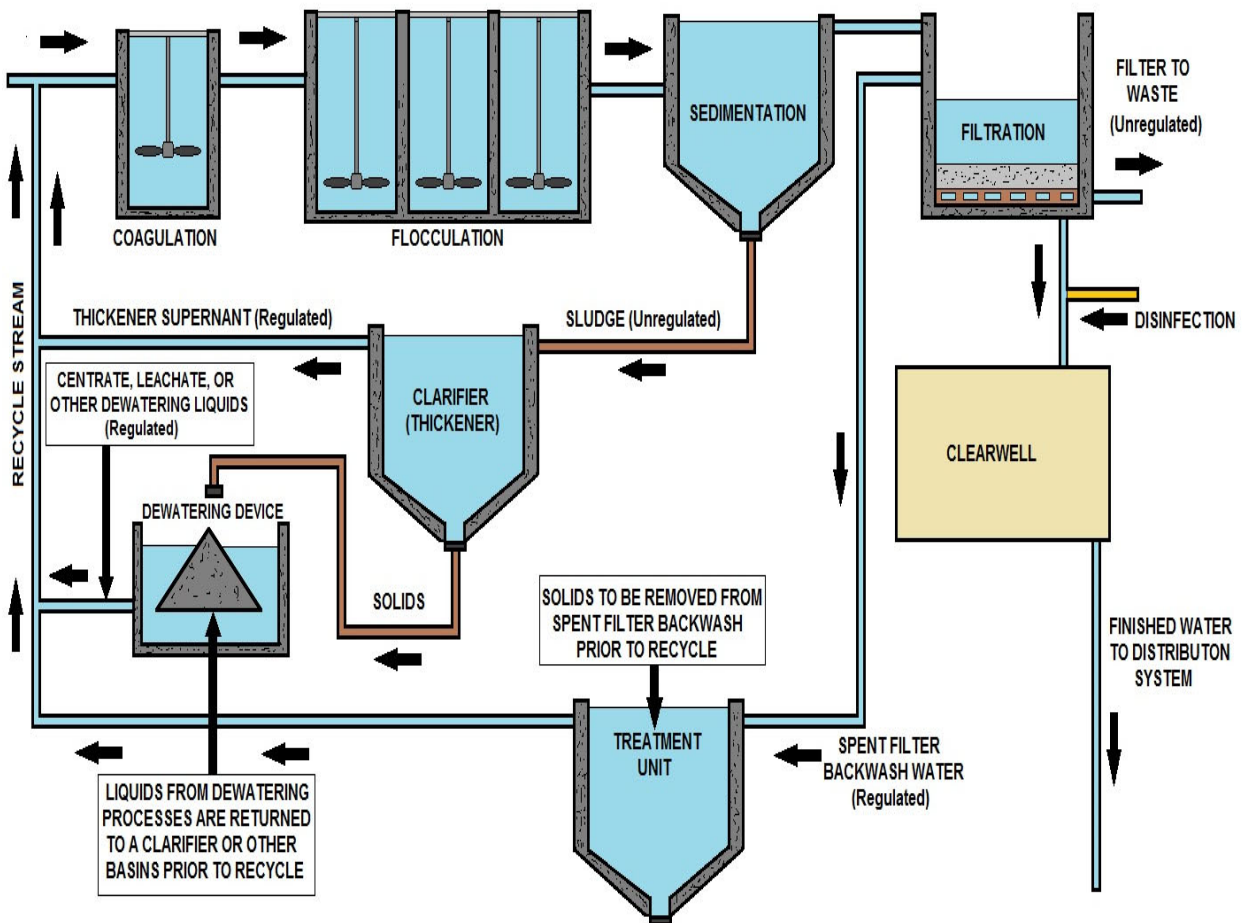
2,4-D	Dibromochloropropane	Hexachlorobenzene
2,4,5-TP (Silvex)	Dinoseb	Hexachlorocyclopentadiene
Acrylamide	Dioxin (2,3,7,8-TCDD)	Lindane
Alachlor	Diquat	Methoxychlor
Atrazine	Endothall	Oxamyl [Vydate]
Benzoapyrene	Endrin	PCBs [Polychlorinated biphenyls]
Carbofuran	Epichlorohydrin	Pentachlorophenol
Chlordane	Ethylene dibromide	Picloram
Dalapon	Glyphosate	Simazine
Di 2-ethylhexyl adipate	Heptachlor	Toxaphene
Di 2-ethylhexyl phthalate	Heptachlor epoxide	

## Volatile Organic Contaminants

Benzene	trans-1,2-Dichloroethylene	1,2,4-Trichlorobenzene
Carbon Tetrachloride	Dichloromethane	1,1,1,-Trichloroethane
Chlorobenzene	1,2-Dichloroethane	1,1,2-Trichloroethane
o-Dichlorobenzene	1,2-Dichloropropane	Trichloroethylene
p-Dichlorobenzene	Ethylbenzene	Toluene
1,1-Dichloroethylene	Styrene	Vinyl Chloride
cis-1,2-Dichloroethylene	Tetrachloroethylene	Xylenes



## Other Related EPA Water Treatment Rules



## FILTER BACKWASHED RECYCLING

### Filter Backwash Recycling Rule (FBRR)

The Filter Backwash Recycling Rule (FBRR) regulates the recycling of filter backwash water within the treatment process of public water systems. The FBRR requires surface water systems to review their recycle practices and to modify any recycle practices that may compromise microbial control or contribute to violations of the drinking water regulations. Recycle flows can be a source of concentrated microbial pathogens and chemical contaminants.

### IESWTR

The Interim Enhanced Surface Water Treatment Rule (IESWTR) builds on the requirements of the Surface Water Treatment Rule. IESWTR specifies treatment requirements to address *Cryptosporidium* and other microbial contaminants in public water systems serving 10,000 or more persons.

The rule balances the need for treatment with potential increases in disinfection by-products. The materials found on this page are intended to assist public water systems and states in the implementation of the IESWTR.

## **Arsenic**

Arsenic is an element that occurs naturally in the earth's crust. When certain rocks, minerals, and soil erode, they release arsenic into water supplies. When people either drink this water or eat animals and plants that drink it, they are exposed to arsenic. In the U.S., eating and drinking are the most common ways that people are exposed to arsenic, although it can also come from industrial sources. Studies have linked long-term exposure of arsenic in drinking water to a variety of cancers in humans.

To protect human health, an EPA standard limits the amount of arsenic in drinking water. Back in January 2001, the EPA revised the standard from 50 parts per billion (**ppb**), ordering that it fall to 10 ppb in 2006.

After adopting 10 ppb as the new standard for arsenic in drinking water, the EPA decided to review the decision to ensure that the final standard was based on sound science and accurate estimates of costs and benefits. In October 2001, the EPA decided to move forward with implementing the 10 ppb standard for arsenic in drinking water.

More information on the rulemaking process and the costs and benefits of setting the arsenic limit in drinking water at 10 ppb can be found at [www.epa.gov/safewater/arsenic.html](http://www.epa.gov/safewater/arsenic.html).

## **ICR Information Collection Rule**

The EPA has collected data required by the Information Collection Rule (**ICR**) to support future regulation of microbial contaminants, disinfectants, and disinfection byproducts. The rule is intended to provide the EPA with information on chemical byproducts that form when disinfectants used for microbial control react with chemicals already present in source water (disinfection byproducts (DBPs)); disease-causing microorganisms (pathogens), including *Cryptosporidium*; and engineering data to control these contaminants.

Drinking water microbial and disinfection byproduct information collected for the ICR is now available in the EPA's *Envirofacts Warehouse*.



Gas Chromatograph  
Used for micro-contaminant water analysis.

## Commonly Found Distribution System Water Quality Problems

### Turbidity

Turbidity is caused by particles suspended in water. These particles scatter or reflect light rays, making the water appear cloudy. Turbidity is expressed in nephelometric turbidity units (ntu) and a reading in excess of 5 ntu is generally noticeable to water system customers.

Besides the appearance being unpleasant to customers, turbidity in water is significant from a public health standpoint because suspended particles could shelter microorganisms from the disinfectant and allow them to still be viable when they reach the customer.

EPA regulations direct that, for most water systems, the turbidity of water entering the distribution system must be equal or less than 0.5 ntu in at least 95 percent of the measurements taken each month. At no time may the turbidity exceed 5 ntu.



Turbidity changes in the distribution system can indicate developing problems. Increases in turbidity may be caused by changes in velocity or inadequate flushing following main replacement or repairs.

### Hardness

Hardness is a measure of the concentration of calcium and magnesium in water. Water hardness usually comes from water contacting rock formations, such as water from wells in limestone formations. Soft ground water may occur where topsoil is thin and limestone formations are sparse or absent. Most surface water is of medium hardness.

Hard and soft water are both satisfactory for human consumption, but customers may object to very hard water because of the scale it forms in plumbing fixtures and on cooking utensils. Hardness is also a problem for some industrial and commercial users because of scale buildup in boilers and other equipment.

Water generally is considered most satisfactory for household use when the hardness is between 75 and 100 mg/L as calcium carbonate ( $\text{CaCO}_3$ ). Water with 300 mg/L of hardness usually is considered **hard**. Very soft water of 30 mg/L or less is found in some section of the United States. Soft water usually is quite corrosive, and may have to be treated to reduce the corrosivity.

### Iron

Iron occurs naturally in rocks and soils and is one of the most abundant elements. It occurs in two forms. Ferrous iron ( $\text{Fe}^{+2}$ ) is in a dissolved state, and water containing ferrous iron is colorless. Ferric iron ( $\text{Fe}^{+3}$ ) has been oxidized, and water containing it is rust-colored.

Water from some well sources contains significant levels of dissolved iron, which is colorless, but rapidly turns brown as air reaches the water and oxidizes the iron.

There are no known harmful effects to humans from drinking water containing iron, but NSDWR suggest a limit of 0.5 mg/L. At high levels, the staining of plumbing fixtures and clothing becomes objectionable. Iron also provides nutrient source for some bacteria that grow in distribution systems and wells. Iron bacteria, such as Gallionella, cause red water, tastes and odors, clogged pipes, and pump failure.

Whenever tests on water samples show increased iron concentrations between the point where water enters the distribution system and the consumer's tap, either corrosion, iron bacteria, or both are probably taking place. If the problem is caused by bacteria, flushing mains, shock chlorination, and carrying increased residual chlorine are alternatives to consider.

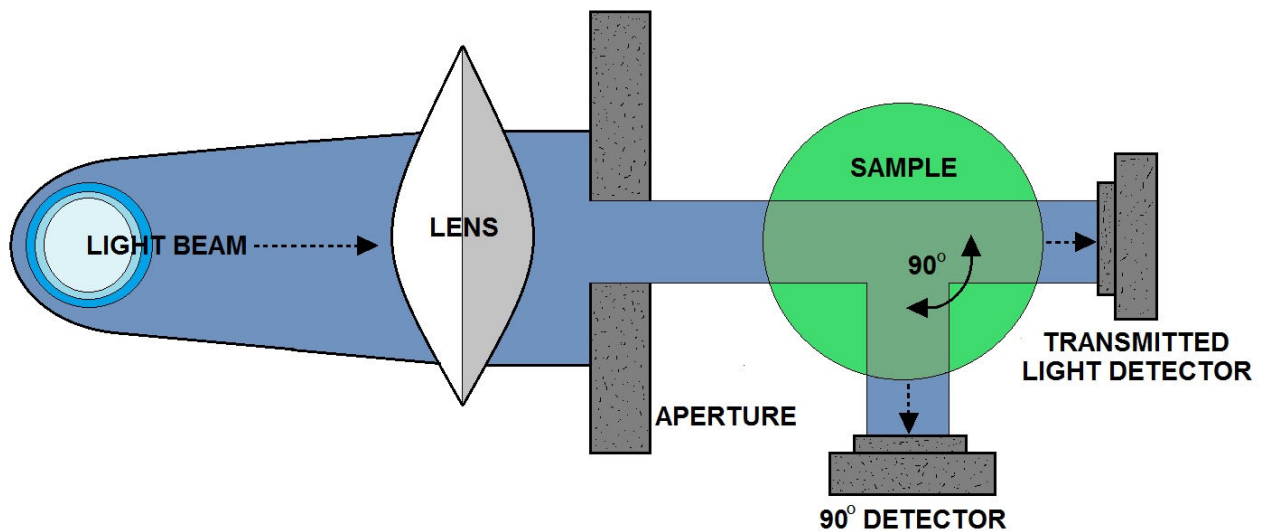
### Manganese

Manganese in ground water creates problems similar to iron. It does not usually discolor the water, but will stain washed clothes and plumbing fixtures black; this is very unpopular with customers. Consumption of manganese has no known harmful effects on humans, but the NSDWR recommend a concentration not to exceed 0.05 mg/L to avoid customer complaints.

### Water Quality Safeguards

The **critical** safeguard for water distribution system operations are

- continuous positive pressure in the mains; 20 pounds per square inch (psi) minimum residual pressure is recommended;
- maintenance of chlorine residual;
- cross-connection control; and
- frequent testing.

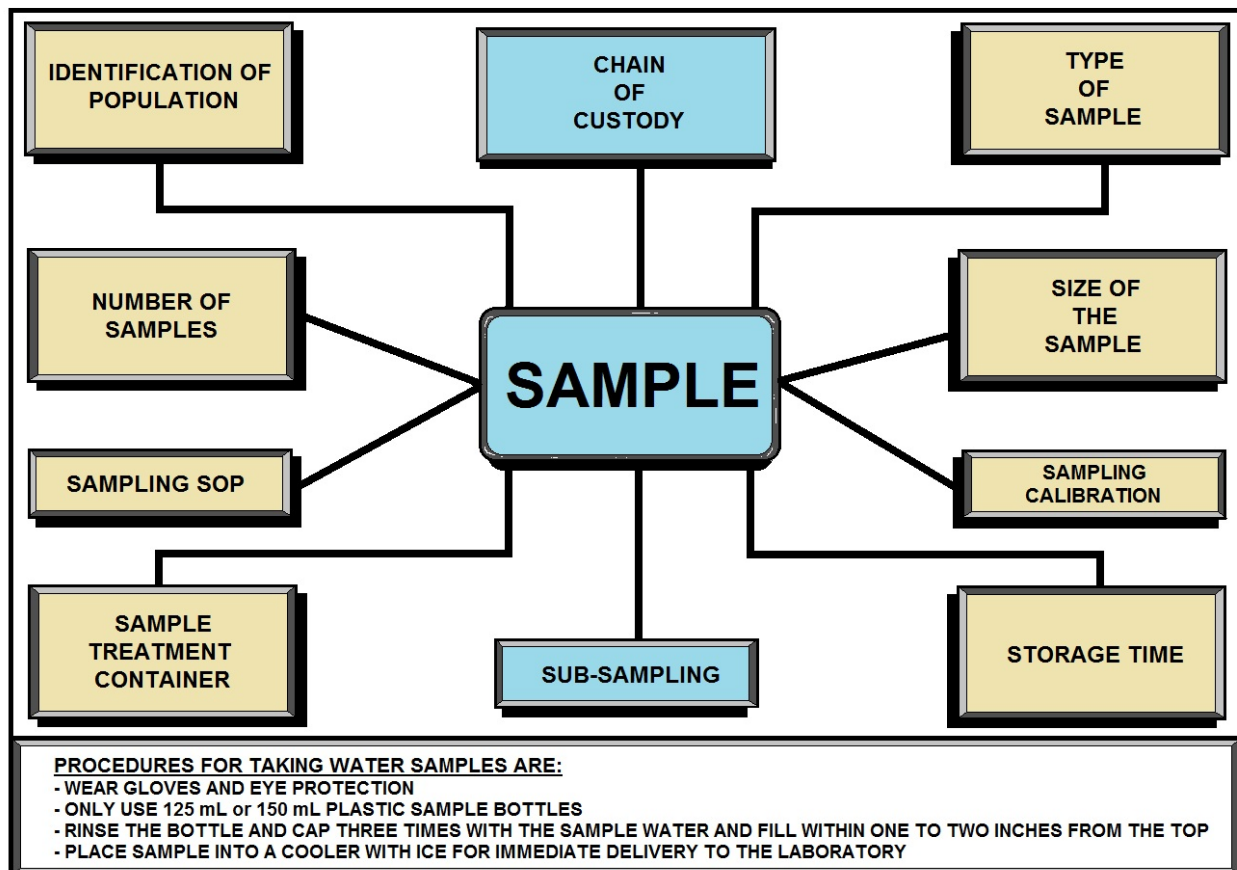


## Sampling Plan Introduction

A written sampling plan must be developed by the water system. These plans will be reviewed by the Health Department or State Drinking Water agency during routine field visits for sanitary surveys or technical assistance visits. This plan should include:

1. The location of routine sampling sites on a system distribution map. You will need to locate more routine sampling sites than the number of samples required per month or quarter. A minimum of three sites is advised and the sites should be rotated on a regular basis.
2. Map the location of repeat sampling sites for the routine sampling sites. Remember that repeat samples must be collected within five (5) connections upstream and downstream from the routine sample sites.
3. Establish a sampling frequency of the routine sites.
4. Sampling technique, establish a minimum flushing time and requirements for free chlorine residuals at the sites (if you chlorinate continuously).

The sampling sites should be representative of the distribution network and pressure zones. If someone else, e.g., the lab, collects samples for you, you should provide them with a copy of your sampling plan and make sure they have access to all sample sites.



### PROPER SAMPLING PROCEDURES (WATER)

WATER TESTING LAB  
456 SOMEWHERE ST.  
ANYWHERE, AZ 85002

**TEST REPORT:**

WATER COMPANY  
123 ANYWHERE ST.  
SOMEWHERE, AZ 85001

**DRINKING WATER  
ANALYSIS RESULTS  
FOR MODEL : RO103TDS**

**NOTE:**

ND - THIS CONTAMINANT WAS NOT DETECTED AT OR ABOVE OUR STATED DETECTION LEVEL

NBS - NO BACTERIA SUBMITTED    NBR - NO BACTERIA REQUIRED

\* THE MCL (Maximum Contaminant Level) OR AN ESTABLISHED GUIDELINE HAS BEEN EXCEEDED FOR THIS CONTAMINANT

\*\* BACTERIA RESULTS MAY BE INVALID DUE TO LACK OF COLLECTION INFORMATION OR BECAUSE SAMPLE HAS EXCEEDED THE 30-HOUR HOLDING TIMES

ANALYSIS PERFORMED: P-PRESENCE    A - ABSENCE    EP - E.COLI PRESENCE    EA - E.COLI ABSENCE    NA: NOT ANALYZED

ANALYSIS	MCL (mg/l)	Det. Level	Level Detected
TOTAL COLIFORM	P	P	A
<b>INORGANIC CHEMICALS - Metals</b>			
Aluminum	0.2	0.1	ND
Arsenic	0.05	0.020	ND
Barium	2	0.30	ND
Cadmium	0.005	0.002	ND
Chromium	0.1	0.010	ND
Copper	1.3	0.004	ND
Iron	0.3	0.020	ND
Lead	0.015	0.002	ND
Manganese	0.05	0.004	ND
Mercury	0.002	0.001	ND
Nickel	0.1	0.02	ND
Selenium	0.05	0.020	ND
Silver	0.1	0.02	ND
Sodium	-----	1.0	ND
Zinc	5	0.004	ND
<b>INORGANIC CHEMICALS - Other, and Physical Factors</b>			
Alkalinity (Total as CaCO )	-----	0.1	ND
Chloride	250	5.0	ND
Fluoride	4	0.5	ND
Nitrate as N	10	0.5	ND
Nitrite as N	1	0.5	ND
Sulfate	250	5.0	ND
Hardness (suggested limit - 100)		10	ND
pH (Standard Units)	6.5 - 8.5	-----	7.7
Total Dissolved Solids	500	20	ND
Turbidity (Turbidity Units)	1.0	0.1	ND
<b>ORGANIC CHEMICALS - Trihalomethanes:</b>			
T THMS	0.080	0.004	ND

**WATER ANALYSIS REPORT EXAMPLE**

## Older Stage 1 DBPR Information

### Disinfection Byproduct Regulations

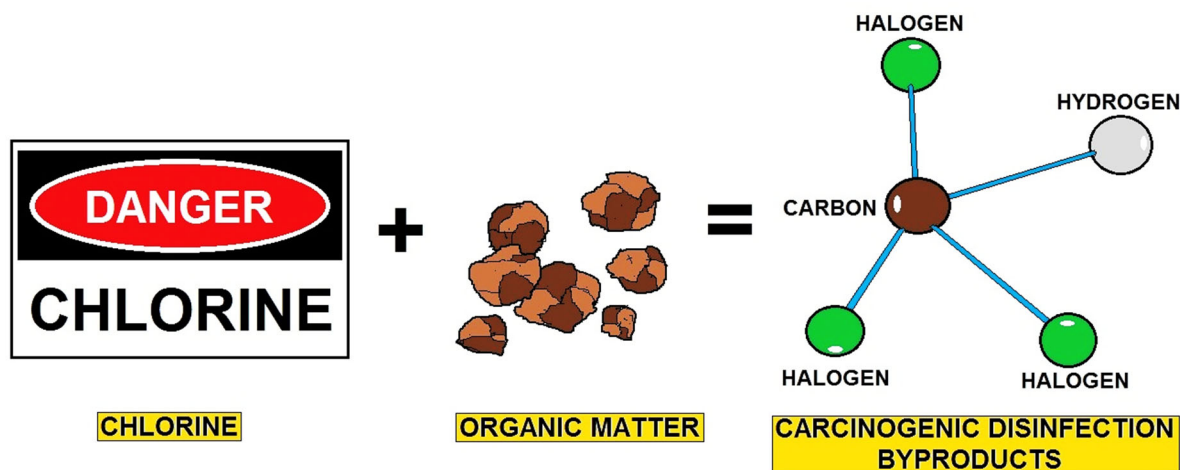
In December 1998, the EPA established the Stage 1 Disinfectants/Disinfection Byproducts Rule that requires public water systems to use treatment measures to reduce the formation of disinfection byproducts and to meet the following specific standards:

**Total Trihalomethanes (TTHM)** = 80 parts per billion (ppb)

**Haloacetic Acids (HAA5)** = 60 ppb

**Bromate** = 10 ppb

**Chlorite** = 1.0 parts per million (ppm)



### DISINFECTION BYPRODUCT PRODUCTION DIAGRAM

**Disinfection byproducts** are formed when disinfectants used in water treatment plants react with bromide and/or natural organic matter (i.e., decaying vegetation) present in the source water. Different disinfectants produce different types or amounts of disinfection byproducts.

Disinfection byproducts for which regulations have been established have been identified in drinking water, including trihalomethanes, haloacetic acids, bromate, and chlorite.

**Trihalomethanes** were regulated at a maximum allowable annual average level of 100 parts per billion for water systems serving over 10,000 people under the Total Trihalomethane Rule finalized by the EPA in 1979.

The Stage 1 Disinfectant/Disinfection Byproduct Rule standards became effective for trihalomethanes and other disinfection byproducts listed above in December 2001 for large surface water public water systems. Those standards became effective in December 2003 for small surface water and all ground water public water systems.

**Trihalomethanes (THM)** are a group of four chemicals that are formed along with other disinfection byproducts when chlorine or other disinfectants used to control microbial contaminants in drinking water react with naturally occurring organic and inorganic matter in water. The trihalomethanes are chloroform, bromodichloromethane, dibromochloromethane, and bromoform. The EPA has published the Stage 1 Disinfectants/Disinfection Byproducts Rule to regulate total trihalomethanes (TTHM) at a maximum allowable annual average level of 80 parts per billion.

This new standard replaced the old standard of a maximum allowable annual average level of 100 parts per billion back in December 2001 for large surface water public water systems. The standard became effective for the first time back in December 2003 for small surface water and all ground water systems.



DISINFECTION TREATMENT	DISINFECTION BYPRODUCTS	HEALTH EFFECTS
CHLORINATION	TRIHALOMETHANES (THM's) HALOACETIC ACIDS (HAA's) CHLORATE	INCREASED RISK OF CANCER; PROBLEMS IN THE KIDNEY, LIVER AND THE CENTRAL NERVOUS SYSTEM. REDUCED ABILITY FOR RED BLOOD CELLS TO CARRY OXYGEN.
CHLORINE DIOXIDE	CHLORATE CHLORITE	REDUCED ABILITY FOR RED BLOOD CELLS TO CARRY OXYGEN. ANEMIA AND NERVOUS SYSTEM EFFECTS ( for infants and young children)
CHLORAMINE	CHLORATE	REDUCED ABILITY FOR RED BLOOD CELLS TO CARRY OXYGEN
OZONATION	BROMATE	INCREASED RISK OF CANCER



### DISINFECTION BYPRODUCT FORMATION AND EFFECTS

**Haloacetic Acids (HAA5)** are a group of chemicals that are formed along with other disinfection byproducts when chlorine or other disinfectants used to control microbial contaminants in drinking water react with naturally occurring organic and inorganic matter in water.

The regulated haloacetic acids, known as HAA5, are: monochloroacetic acid, dichloroacetic acid, trichloroacetic acid, monobromoacetic acid, and dibromoacetic acid. EPA has published the Stage 1 Disinfectants/Disinfection Byproducts Rule to regulate HAA5 at 60 parts per billion annual average.

This standard became effective for large surface water public water systems back in December 2001 and for small surface water and all ground water public water systems back in December 2003.

*Drinking water standards may apply differently based on type and size of public water systems.*



## Disinfection Rules Stages 1 & 2 DBPR Introduction

*The following are EPA's federal rule requirements. Please be aware that each state implements drinking water regulations that may be more stringent than EPA's regulations. Check with your state environmental agency for more information.*

### Stage 2 DBPR

EPA finalized the Stage 2 Disinfectants and Disinfection Byproduct Rule (DBPR) to reduce potential health risks from DBPs. The Long Term 2 Enhanced Surface Water Treatment Rule (LT2ESWTR) implemented at the same time as the Stage 2 DBPR to ensure that drinking water is safe from both microbial pathogens and DBPs.

### General Requirements

To comply with the Stage 2 Disinfectants and Disinfection Byproducts Rule (Stage 2 DBPR), published on January 4, 2006 (71 FR 388) systems must do the following:

- **Conduct an Initial Distribution System Evaluation (IDSE)** to find locations in the distribution system that have high levels of TTHM and HAA5 and that can be used as compliance monitoring sites for the Stage 2 DBPR.
- **Use a locational running annual average (LRAA) calculation to determine compliance** with the Stage 2 DBPR maximum contaminant levels (MCLs) of:
  - 0.080 mg/L (80 ppb) for total trihalomethanes (TTHM), and
  - 0.060 mg/L ( 60 ppb) for five haloacetic acids (HAA5).

**Note:** The MCL values are the same as the Stage 1 MCLs; only the calculation method changes.

- **Monitor for Stage 2 compliance** at the required number of locations for each system's retail population
- **Identify when TTHM or HAA5 levels exceed the operational evaluation level** and, when this happens, look at source water, operational practices, and treatment to find ways to reduce TTHM and HAA5 concentrations in the distribution system. Each of these general requirements are covered in more detail in the rest of this guidance manual. The Stage 2 DBPR is an extension of the Stage 1 Disinfectants and Disinfection Byproducts Rule (Stage 1 DBPR). Systems must also continue to comply with the other requirements of the Stage 1 DBPR in addition to meeting the requirements of the Stage 2 DBPR. This includes compliance with the MCLs for bromate (for systems using ozone) and chlorite (for systems using chlorine dioxide), the MRDLs for chlorine or chloramine (depending on the residual disinfectant used), as well as TOC removal requirements.

### Compliance Timeline

Your compliance schedule for the Stage 2 DBPR are based on whether your system is part of a *combined distribution system*:

- If your system **is** part of a combined distribution system, you must comply with the revised MCLs by the same date as required for the largest system in your combined distribution system. Example: if your system serves 8,000 people, but you purchase water from a system that serves 250,000 people, you must comply by the dates shown in Schedule 1.
- If your system **is not** part of a combined distribution system, compliance dates are based on the population served by your system.

If you are using this guidance manual, you likely serve fewer than 10,000 people and you must comply by the dates shown in Schedule 4.

**Your State (or EPA) should have sent you a letter telling you what schedule you are on. If you did not receive this letter or you have questions about your schedule, contact your State (contact information is listed in Appendix C).**

**Note:** You are on the same schedule for Stage 2 DBPR compliance as you were on for the IDSE.

The timeline on the next page shows important dates for the Stage 2 DBPR as well as periods for *Cryptosporidium* and *E. coli* required under the LT2ESWTR.

**Note:** The figure shows the 2-year period after systems must begin compliance as a “possible extension.” States may give you up to an additional 2 years to comply if you need time to install capital improvements.

### **How Does this Rule Relate to Other Federal, State, and Local Requirements?**

As noted earlier, the Stage 2 DBPR is an extension of the Stage 1 Disinfectants and Disinfection Byproducts Rule (Stage 1 DBPR). The Stage 2 DBPR and the Long Term 2 Enhanced Surface Water Treatment Rule (LT2ESWTR) were published together to address the balance between protection from microbial pathogens and the potential health effects from disinfectants and their byproducts. You are still required to continue to meet all existing federal requirements. You may call the Safe Drinking Water Hotline at (800) 426-4791 (e-mail: [hotline-sdwa@epa.gov](mailto:hotline-sdwa@epa.gov)) for more information on other drinking water rules.

### **Where do DBPs come from?**

Chlorine and other chemical disinfectants have been widely used by public water systems (along with filtration) to protect the public from microbial pathogens in drinking water. DBPs are formed when certain disinfectants react with DBP precursors (organic and inorganic materials) in source waters. In most cases, natural organic matter (NOM) is an important factor that affects the levels of DBPs that form. NOM is usually measured as Total Organic Carbon (TOC).

The levels of DBPs in drinking water can vary significantly from one point in a distribution system to another, as many continue to form in the distribution system. DBP levels are generally higher in surface water systems because surface water usually contains higher DBP precursor levels and requires stronger disinfection.

### **Ensuring Safe Drinking Water**

All drinking water systems want to provide water that is safe. One aspect of providing safe drinking water is limiting the levels of DBPs in it. Long-term exposure to DBPs has been linked to bladder cancer, and possibly colon and rectal cancers. More recent studies have shown that shorter-term exposure to high levels of DBPs may be associated with adverse reproductive and developmental health effects.

Limiting the levels of DBPs in your drinking water may require you to make some adjustments to your current operations, such as:

- Making operational improvements at the plant or in the distribution system
- Modifying current treatment operations to remove more DBP precursors or form lower levels of DBPs
- Upgrading or installing a new treatment technology

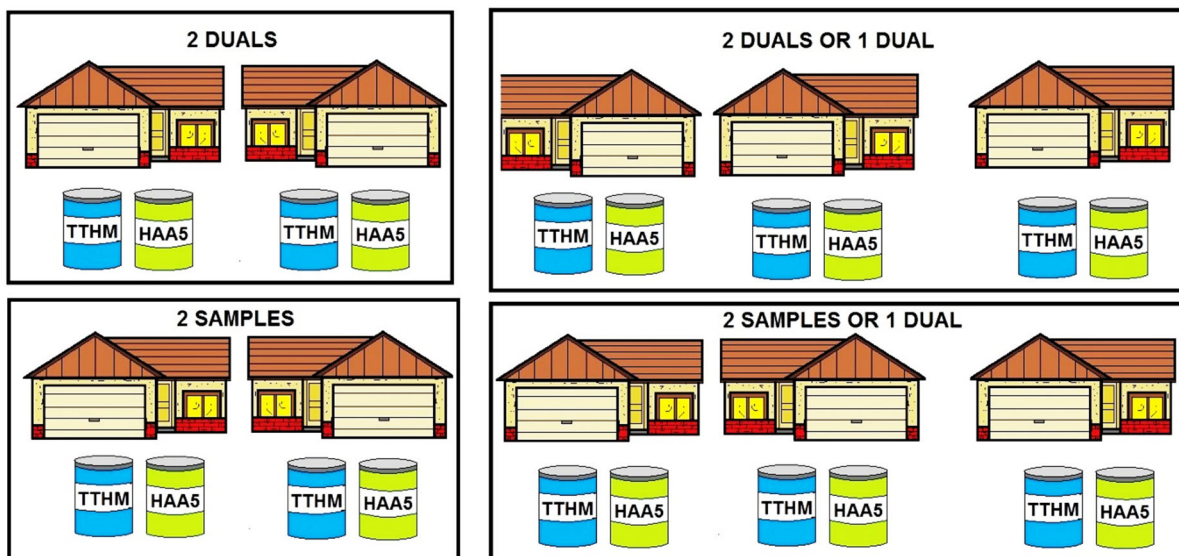
### What Does Compliance Monitoring Involve?

Monitoring requirements for TTHM and HAA5 are based on your source water type and the population your system serves. Note that this is different than the Stage 1 DBPR monitoring requirements that were based on the number of treatment plants in your system.

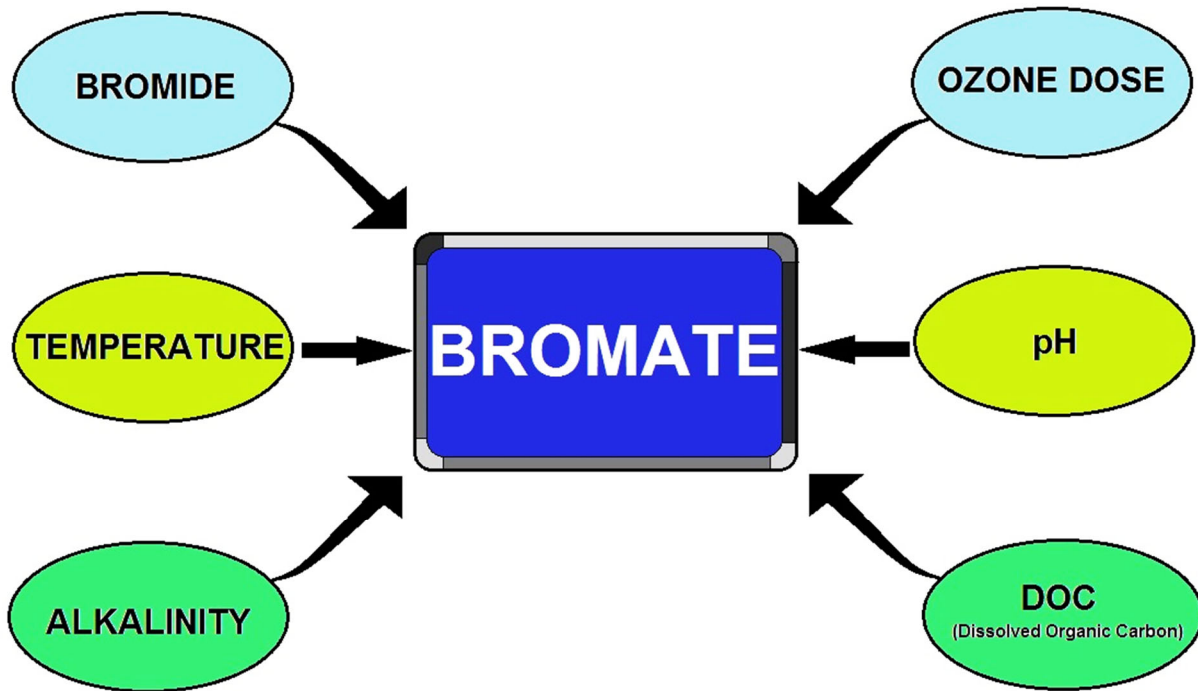
With population-based monitoring, there are five categories of small systems under the Stage 2 DBPR:

- Subpart H systems that serve fewer than 500 people.
- Subpart H systems that serve 500 to 3,300 people.
- Subpart H systems that serve 3,301 to 9,999 people.
- Ground water systems that serve fewer than 500 people.
- Ground water systems that serve 500 to 9,999 people.

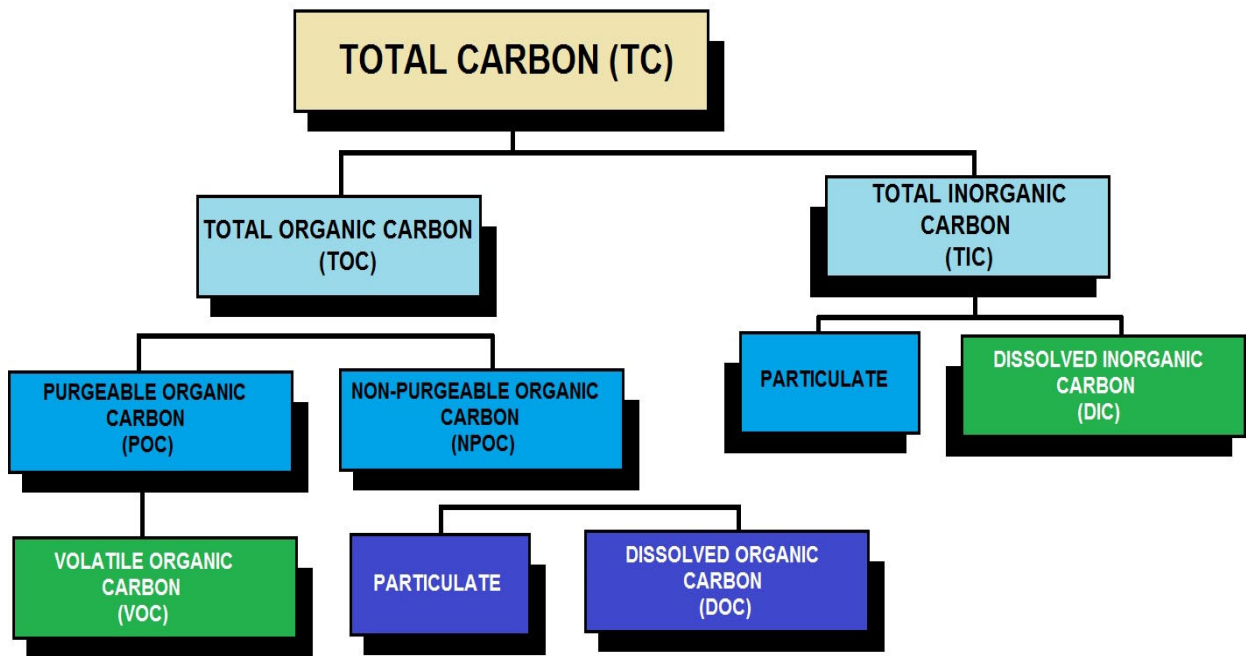
If you do not know what type of system you are, you should contact your State to confirm this information.



**STAGE 2 DISINFECTION BYPRODUCT RULE  
REPEAT (TRIGGERED) SAMPLING DIAGRAM**



**BROMATE FORMATION FACTORS**



**TOTAL CARBON BREAKDOWN**

## **More on the Stage 2 DBP Rule**

*The following are EPA's federal rule requirements. Please be aware that each state implements drinking water regulations that may be more stringent than EPA's regulations. Check with your state environmental agency for more information.*

The Stage 2 DBP rule is one part of the Microbial and Disinfection Byproducts Rules (MDBPs), which are a set of interrelated regulations that address risks from microbial pathogens and disinfectants/disinfection byproducts.

The Stage 2 DBP rule focuses on public health protection by limiting exposure to DBPs, specifically total trihalomethanes (TTHM) and five haloacetic acids (HAA5), which can form in water through disinfectants used to control microbial pathogens. This rule will apply to all community water systems and nontransient noncommunity water systems that add a primary or residual disinfectant other than ultraviolet (UV) light or deliver water that has been disinfected by a primary or residual disinfectant other than UV.

Amendments to the SDWA in 1996 require EPA to develop rules to balance the risks between microbial pathogens and disinfection byproducts (DBPs). The Stage 1 Disinfectants and Disinfection Byproducts Rule and Interim Enhanced Surface Water Treatment Rule, promulgated in December 1998, were the first phase in a rulemaking strategy required by Congress as part of the 1996 Amendments to the Safe Drinking Water Act.

The Stage 2 Disinfectants and Disinfection Byproducts Rule (Stage 2 DBPR) builds upon the Stage 1 DBPR to address higher risk public water systems for protection measures beyond those required for existing regulations. The Stage 2 DBPR and the Long Term 2 Enhanced Surface Water Treatment Rule are the second phase of rules required by Congress. These rules strengthen protection against microbial contaminants, especially *Cryptosporidium*, and at the same time, reduce potential health risks of DBPs.

### ***What is the Stage 2 DBPR?***

The Stage 2 Disinfection Byproducts Rule will reduce potential cancer and reproductive and developmental health risks from disinfection byproducts (DBPs) in drinking water, which form when disinfectants are used to control microbial pathogens. Over 260 million individuals are exposed to DBPs. This final rule strengthens public health protection for customers in the US by tightening compliance monitoring requirements for two groups of DBPs, trihalomethanes (TTHM) and haloacetic acids (HAA5). The rule targets systems with the greatest risk and builds incrementally on existing rules. This regulation will reduce DBP exposure and related potential health risks and provide more equitable public health protection. The Stage 2 DBPR is being promulgated simultaneously with the Long Term 2 Enhanced Surface Water Treatment Rule to address concerns about risk tradeoffs between pathogens and DBPs.

### ***What does the rule require?***

Under the Stage 2 DBPR, systems will conduct an evaluation of their distribution systems, known as an Initial Distribution System Evaluation (IDSE), to identify the locations with high disinfection byproduct concentrations. These locations will then be used by the systems as the sampling sites for Stage 2 DBPR compliance monitoring. Compliance with the maximum contaminant levels for two groups of disinfection byproducts (TTHM and HAA5) will be calculated for each monitoring location in the distribution system. This approach, referred to as the locational running annual average (LRAA), differs from previous requirements, which determine compliance by calculating the running annual average of samples from all monitoring locations across the system.

The Stage 2 DBPR also requires each system to determine if they have exceeded an operational evaluation level, which is identified using their compliance monitoring results. The operational evaluation level provides an early warning of possible future MCL violations, which allows the system to take proactive steps to remain in compliance.

A system that exceeds an operational evaluation level is required to review their operational practices and submit a report to their state that identifies actions that may be taken to mitigate future high DBP levels, particularly those that may jeopardize their compliance with the DBP MCLs.

***Who must comply with the rule?***

Entities potentially regulated by the Stage 2 DBPR are community and nontransient noncommunity water systems that produce and/or deliver water that is treated with a primary or residual disinfectant other than ultraviolet light.

A community water system (CWS) is a public water system that serves year-round residents of a community, subdivision, or mobile home park that has at least 15 service connections or an average of at least 25 residents.

A nontransient noncommunity water system (NTNCWS) is a water system that serves at least 25 of the same people more than six months of the year, but not as primary residence, such as schools, businesses, and day care facilities.

***What are disinfection byproducts (DBPs)?***

Disinfectants are an essential element of drinking water treatment because of the barrier they provide against waterborne disease-causing microorganisms. Disinfection byproducts (DBPs) form when disinfectants used to treat drinking water react with naturally occurring materials in the water (e.g., decomposing plant material).

Total trihalomethanes (TTHM - chloroform, bromoform, bromodichloromethane, and dibromochloromethane) and haloacetic acids (HAA5 - monochloro-, dichloro-, trichloro-, monobromo-, dibromo-) are widely occurring classes of DBPs formed during disinfection with chlorine and chloramine.

The amount of trihalomethanes and haloacetic acids in drinking water can change from day to day, depending on the season, water temperature, amount of disinfectant added, the amount of plant material in the water, and a variety of other factors.

## More on Evolving Disinfection Rules

In the past 40 years, the Safe Drinking Water Act (SDWA) has been highly effective in protecting public health and has also evolved to respond to new and emerging threats to safe drinking water. Disinfection of drinking water is one of the major public health advances in the 20th century. One hundred years ago, typhoid, dysentery and cholera epidemics were common through American cities; disinfection was a major factor in reducing these epidemics.

However, the disinfectants themselves can react with naturally-occurring materials in the water to form unintended byproducts which may pose health risks. In addition, in the past thirty years though, we have learned that there are specific microbial pathogens, such as *Cryptosporidium*, which can cause illness and is resistant to traditional disinfection practices.

Chlorine is the most widely used water disinfectant due to its effectiveness and cost. Most states require community water systems to use chlorination. However, research shows that chlorine has side effects. It reacts with organic matter present in water and forms a series of compounds that have been linked to cancer in animals.

### **These compounds are called disinfection by-products (DBPs). All disinfectants form DBPs in one of two reactions:**

- (1) chlorine and chlorine-based compounds (halogens) react with organics in water causing the chlorine atom to substitute other atoms, resulting in halogenated by-products and
- (2) oxidation reactions, where chlorine oxidizes compounds present in water. Secondary by-products are also formed when multiple disinfectants are used.

All living organisms have carbon as an essential element in their cells. When trees shed their leaves, the leaves start decomposing and are ultimately broken down by bacteria into carbon-containing compounds. Similarly, dead animals on land and fish and other aquatic life decompose and disintegrate into compounds that contain carbon as an essential element. Hence, all surface water and groundwater contain varying amounts of carbon-containing compounds called organic matter (primarily humic and fulvic acids).

The EPA Surface Water Treatment Rule (SWTR) requires systems using public water supplies from either surface water or groundwater under the direct influence of surface water to disinfect. In addition, since some disinfectants produce chemical by-products, the dual objective of disinfection is to provide the required level of organism destruction and remain within the maximum contaminant level (MCL) for the SWTR disinfection set by EPA. An MCL is set for Total Trihalomethanes and additional disinfection byproducts.

### **What are the microbial/disinfection byproducts (MDBP) rules and which ones apply to me?**

The MDBP requirements have been in place for close to 30 years and include the following federal rules:

- Total Trihalomethanes monitoring and MCL, promulgated Nov 1979
- Surface Water Treatment Rule, promulgated June 1989
- Interim Enhanced Surface Water Treatment Rule and Stage 1 Disinfectants / Disinfection Byproducts Rule, promulgated Dec 1998
- Filter Backwash Rule, promulgated June 2001
- Long Term 1 Enhanced Surface Water Treatment Rule, promulgated Jan 2002
- Long Term 2 Enhanced Surface Water Treatment Rule and Stage 2 Disinfectants / Disinfection Byproducts Rule, promulgated Jan 2006
- Groundwater Rule, promulgated Nov 2006

The Disinfectants and Disinfection Byproducts (DBP) rules apply to all community and non-community water systems using a disinfectant such as chlorine, chloramines, ozone and chlorine dioxide.

Compliance with the Stage 1 DBP requirements began in 2000. The Stage 2 DBP requirements began in 2006 with the Initial Distribution System Evaluation (IDSE). Compliance monitoring for the Stage 2 DBP begins in April 2012. See phased compliance schedule dependent on system population below.

The Long Term 2 Enhanced Surface Water Treatment Rule (LT2) rule applies to all water systems using surface water, groundwater under the influence of a surface water, as well as groundwater/surface water blends. The LT2 requirements began in 2006 with the characterization of raw water *Cryptosporidium* and *E.coli* levels. Systems serving <10,000 monitor for *E.coli* only every two weeks for one year. Compliance with the LT2 requirements begin in April 2013.

The Groundwater Rule (GWR) applies to all public water systems using groundwater. The GWR requirements begin in March 2009 with 6-months investigative monitoring (IM) for source water *E.coli*, for systems currently applying disinfection only. All other requirements for the GWR began back in Dec 2009.

Amendments to the SDWA in 1996 require EPA to develop rules to balance the risks between microbial pathogens and disinfection byproducts (DBPs). It is important to strengthen protection against microbial contaminants, especially *Cryptosporidium*, and at the same time, reduce potential health risks of DBPs.

The Stage 1 Disinfectants and Disinfection Byproducts Rule and Interim Enhanced Surface Water Treatment Rule, announced in December 1998, are the first of a set of rules under the 1996 SDWA Amendments. This fact sheet focuses on the Stage 1 Disinfectants and Disinfection Byproducts Rule. A separate fact sheet focuses on the Interim Enhanced Surface Water Treatment Rule (EPA 815-F-98-009).

### **Public Health Concerns**

While disinfectants are effective in controlling many microorganisms, they react with natural organic and inorganic matter in source water and distribution systems to form DBPs. Results from toxicology studies have shown several DBPs (e.g., bromodichloromethane, bromoform, chloroform, dichloroacetic acid, and bromate) to be carcinogenic in laboratory animals.

Other DBPs (e.g., chlorite, bromodichloromethane, and certain haloacetic acids) have also been shown to cause adverse reproductive or developmental effects in laboratory animals.

Several epidemiology studies have suggested a weak association between certain cancers (e.g., bladder) or reproductive and developmental effects, and exposure to chlorinated surface water. More than 200 million people consume water that has been disinfected. Because of the large population exposed, health risks associated with DBPs, even if small, need to be taken seriously.



## Disinfection Byproduct Research and Regulations Summary

Drinking water chlorination has contributed to a dramatic decline in waterborne disease rates and increased life expectancy in the United States. Largely because of this success, many Americans take it for granted that their tap water will be free of disease-causing organisms.

In recent years, regulators and the public have focused greater attention on potential health risks from chemical contaminants in drinking water. One such concern relates to disinfection byproducts (DBPs), chemical compounds formed unintentionally when chlorine and other disinfectants react with certain organic matter in water.

In the early 1970s, EPA scientists first determined that drinking water chlorination could form a group of byproducts known as trihalomethanes (THMs), including chloroform. Concerned that these chemicals may be carcinogenic to humans, EPA set the first regulatory limits for THMs in 1979. Since that time, a wealth of research has improved our understanding of how DBPs are formed, their potential health risks, and how they can be controlled. It is now recognized that all chemical disinfectants form some potentially harmful byproducts. The byproducts of chlorine disinfection are by far the most thoroughly studied.

While the available evidence does not prove that DBPs in drinking water cause adverse health effects in humans, high levels of these chemicals are certainly undesirable. Cost-effective methods to reduce DBP formations are available and should be adopted where possible.

The health risks from these byproducts at the levels at which they occur in drinking water are extremely small in comparison with the risks associated with inadequate disinfection. Thus, it is important that disinfection not be compromised in attempting to control such byproducts.

Recent EPA regulations have further limited THMs and other DBPs in drinking water. Most water systems are meeting these new standards by controlling the amount of natural organic matter prior to disinfection, while ensuring that microbial protection remains the top priority.

Based largely on these animal data, EPA considers individual THMs and HAAs to be either possible or probable human carcinogens, although any risk from the low levels found in drinking water would be slight. After reviewing the full body of toxicology studies, the IPCS concluded, "None of the chlorination byproducts studied to date is a potent carcinogen at concentrations normally found in drinking water" (IPCS 2000, p. 376).

Some epidemiology studies have reported an association between human exposure to DBPs and elevated cancer risks, while other studies have found no association. EPA evaluated the existing cancer epidemiology studies and found that only for bladder cancer were associations with chlorinated water somewhat consistent.

Even in these studies, cancer risks were not strongly correlated to measured THM levels, indicating that other factors cannot be ruled out (Craun et al., 2001). EPA has concluded, "The present epidemiologic data do not support a causal relationship between exposure to chlorinated drinking water and development of cancer at this time" (EPA 1998). The IPCS reached a similar conclusion in 2000, noting that a causal relationship between DBPs and increased cancer remains an open question (IPCS 2000).

### **Balancing DBP and Microbial Risks**

Continuing evidence of waterborne disease occurrence suggests that microbial risks should receive a much higher level of attention than disinfection byproducts. For this reason, The American Academy of Microbiology (Ford and Colwell, 1996) has recommended, the health risks posed by microbial pathogens should be placed as the highest priority in water treatment to protect public health. A report published by the International Society of Regulatory Toxicology and Pharmacology (Coulston and Kolbye, 1994) stated “The reduction in mortality due to waterborne infectious diseases, attributed largely to chlorination of potable water supplies, appears to outweigh any theoretical cancer risks (which may be as low as zero) posed by the minute quantities of chlorinated organic chemicals reported in drinking waters disinfected with chlorine.”

### **The IPCS (IPCS 2000, p. 375) reached similar conclusions:**

Disinfection is unquestionably the most important step in the treatment of water for drinking water supplies. The microbial quality of drinking water should not be compromised because of concern over the potential long-term effects of disinfectants and DBPs. The risk of illness and death resulting from exposure to pathogens in drinking water is very much greater than the risks from disinfectants and DBPs.

### **Controlling Disinfection Byproducts**

Treatment techniques are available that provide water suppliers the opportunity to maximize potable water safety and quality while minimizing the risk of DBP risks. Generally, the best approach to reduce DBP formation is to remove natural organic matter precursors prior to disinfection. EPA has published a guidance document for water system operators entitled, Controlling Disinfection byproducts and Microbial Contaminants in Drinking Water (EPA, 2001).

### **The EPA guidance discusses three processes to effectively remove natural organic matter prior to disinfection:**

#### **1. Coagulation and Clarification**

Most treatment plants optimize their coagulation process for turbidity (particle) removal. However, coagulation processes can also be optimized for natural organic matter removal with higher doses of inorganic coagulants (such as alum or iron salts), and optimization of pH.

#### **2. Absorption**

Activated carbon can be used to absorb soluble organics that react with disinfectants to form byproducts.

#### **3. Membrane Technology**

Membranes, used historically to desalinate brackish waters, have also demonstrated excellent removal of natural organic matter. Membrane processes use hydraulic pressure to force water through a semi-permeable membrane that rejects most contaminants. Variations of this technology include reverse osmosis (RO), nanofiltration (low pressure RO), and microfiltration (comparable to conventional sand filtration).

Other conventional methods of reducing DBP formation include changing the point of chlorination and using chloramines for residual disinfection. EPA predicted that most water systems will be able to achieve compliance with new DBP regulations through the use of one or more of these relatively low cost methods (EPA, 1998). Water system managers may also consider switching from chlorine to alternative disinfectants to reduce formation of THMs and HAAs.

## National Primary Drinking Water Regulations

Inorganic Chemicals	MCLG <sup>1</sup> (mg/L) <sub>4</sub>	MCL <sup>2</sup> or TT <sup>3</sup> (mg/L) <sub>4</sub>	Potential Health Effects from Ingestion of Water	Sources of Contaminant in Drinking Water
Antimony	0.006	0.006	Increase in blood cholesterol; decrease in blood glucose	Discharge from petroleum refineries; fire retardants; ceramics; electronics; solder
Arsenic	none <sup>5</sup>	0.010	Skin damage; circulatory system problems; increased risk of cancer	Discharge from semiconductor manufacturing; petroleum refining; wood preservatives; animal feed additives; herbicides; erosion of natural deposits
Asbestos (fiber >10 micrometers)	7 million fibers per Liter	7 MFL	Increased risk of developing benign intestinal polyps	Decay of asbestos cement in water mains; erosion of natural deposits
Barium	2	2	Increase in blood pressure	Discharge of drilling wastes; discharge from metal refineries; erosion of natural deposits
Beryllium	0.004	0.004	Intestinal lesions	Discharge from metal refineries and coal-burning factories; discharge from electrical, aerospace, and defense industries
Cadmium	0.005	0.005	Kidney damage	Corrosion of galvanized pipes; erosion of natural deposits; discharge from metal refineries; runoff from waste batteries and paints
Chromium (total)	0.1	0.1	Some people who use water containing chromium well in excess of the MCL over many years could experience allergic dermatitis	Discharge from steel and pulp mills; erosion of natural deposits
Copper	1.3	Action Level= 1.3; TT <sup>6</sup>	Short term exposure: Gastrointestinal distress. Long term exposure: Liver or kidney damage. Those with Wilson's Disease should consult their personal doctor if their water systems exceed the copper action level.	Corrosion of household plumbing systems; erosion of natural deposits; leaching from wood preservatives
Cyanide (as free cyanide)	0.2	0.2	Nerve damage or thyroid problems	Discharge from steel/metal factories; discharge from plastic and fertilizer factories
Fluoride	4.0	4.0	Bone disease (pain and tenderness of the bones); Children may get mottled teeth.	Water additive which promotes strong teeth; erosion of natural deposits; discharge from fertilizer and aluminum factories
Lead	zero	Action Level= 0.015; TT <sup>6</sup>	Infants and children: Delays in physical or mental development. Adults: Kidney problems; high blood pressure	Corrosion of household plumbing systems; erosion of natural deposits

Inorganic Mercury	0.002	0.002	Kidney damage	Erosion of natural deposits; discharge from refineries and factories; runoff from landfills and cropland
Nitrate (measured as Nitrogen)	10	10	"Blue baby syndrome" in infants under six months - life threatening without immediate medical attention. Symptoms: Infant looks blue and has shortness of breath.	Runoff from fertilizer use; leaching from septic tanks, sewage; erosion of natural deposits
Nitrite (measured as Nitrogen)	1	1	"Blue baby syndrome" in infants under six months - life threatening without immediate medical attention. Symptoms: Infant looks blue and has shortness of breath.	Runoff from fertilizer use; leaching from septic tanks, sewage; erosion of natural deposits
Selenium	0.05	0.05	Hair or fingernail loss; numbness in fingers or toes; circulatory problems	Discharge from petroleum refineries; erosion of natural deposits; discharge from mines
Thallium	0.0005	0.002	Hair loss; changes in blood; kidney, intestine, or liver problems	Leaching from ore-processing sites; discharge from electronics, glass, and pharmaceutical companies

PRIMARY DRINKING WATER REGULATIONS

**PRIMARY DRINKING WATER REGULATIONS ARE LEGALLY ENFORCEABLE PRIMARY STANDARD AND TREATMENT TECHNIQUES THAT APPLY TO PUBLIC WATER SYSTEMS.**

**PRIMARY STANDARDS AND TREATMENT TECHNIQUES PROTECT PUBLIC HEALTH BY LIMITING THE LEVEL OF CONTAMINANTS IN DRINKING WATER.**

**EXAMPLES OF CONTAMINANTS INCLUDE:**

- Microorganisms
- Disinfectants
- Disinfection Byproducts (DBPs)
- Inorganic Chemicals
- Organic Chemicals
- Radionuclides

The diagram shows a horizontal blue pipe representing a water supply. Six circular callouts with lines pointing to the pipe identify various contaminants: Radionuclides (top left), Disinfection Byproducts (top middle), Microbes (top right), VOCs (bottom left), Arsenic (bottom middle), and Disinfectants (bottom right, shown as a bottle of chlorine tablets).

## PRIMARY DRINKING WATER REGULATIONS / STANDARDS



<b>Organic Chemicals</b>	<b>MCLG<sub>1</sub> (mg/L) 4</b>	<b>MCL<sub>2</sub> or TT<sub>3</sub> (mg/L) 4</b>	<b>Potential Health Effects from Ingestion of Water</b>	<b>Sources of Contaminant in Drinking Water</b>
Acrylamide	zero	TT <sup>2</sup>	Nervous system or blood problems; increased risk of cancer	Added to water during sewage/wastewater treatment
Alachlor	zero	0.002	Eye, liver, kidney or spleen problems; anemia; increased risk of cancer	Runoff from herbicide used on row crops
Atrazine	0.003	0.003	Cardiovascular system problems; reproductive difficulties	Runoff from herbicide used on row crops
Benzene	zero	0.005	Anemia; decrease in blood platelets; increased risk of cancer	Discharge from factories; leaching from gas storage tanks and landfills
Benzo(a)pyrene	zero	0.0002	Reproductive difficulties; increased risk of cancer	Leaching from linings of water storage tanks and distribution lines
Carbofuran	0.04	0.04	Problems with blood or nervous system; reproductive difficulties.	Leaching of soil fumigant used on rice and alfalfa
Carbon tetrachloride	zero	.005	Liver problems; increased risk of cancer	Discharge from chemical plants and other industrial activities
Chlordane	zero	0.002	Liver or nervous system problems; increased risk of cancer	Residue of banned termiticide
Chlorobenzene	0.1	0.1	Liver or kidney problems	Discharge from chemical and agricultural chemical factories
2,4-D	0.07	0.07	Kidney, liver, or adrenal gland problems	Runoff from herbicide used on row crops
Dalapon	0.2	0.2	Minor kidney changes	Runoff from herbicide used on rights of way
1,2-Dibromo-3-chloropropane (DBCP)	zero	0.0002	Reproductive difficulties; increased risk of cancer	Runoff/leaching from soil fumigant used on soybeans, cotton, pineapples, and orchards
o-Dichlorobenzene	0.6	0.6	Liver, kidney, or circulatory system problems	Discharge from industrial chemical factories
p-Dichlorobenzene	0.075	0.075	Anemia; liver, kidney or spleen damage; changes in blood	Discharge from industrial chemical factories
1,2-Dichloroethane	zero	0.005	Increased risk of cancer	Discharge from industrial chemical factories
1-1-Dichloroethylene	0.007	0.007	Liver problems	Discharge from industrial chemical factories
cis-1, 2-Dichloroethylene	0.07	0.07	Liver problems	Discharge from industrial chemical factories
trans-1,2-Dichloroethylene	0.1	0.1	Liver problems	Discharge from industrial chemical factories
Dichloromethane	zero	0.005	Liver problems; increased risk of cancer	Discharge from pharmaceutical and chemical factories
1-2-Dichloropropane	zero	0.005	Increased risk of cancer	Discharge from industrial chemical factories
Di(2-ethylhexyl)adipate	0.4	0.4	General toxic effects or reproductive difficulties	Leaching from PVC plumbing systems; discharge from chemical factories
Di(2-ethylhexyl)phthalate	zero	0.006	Reproductive difficulties; liver problems; increased risk of cancer	Discharge from rubber and chemical factories
Dinoseb	0.007	0.007	Reproductive difficulties	Runoff from herbicide used on soybeans and vegetables

Dioxin (2,3,7,8-TCDD)	zero	0.0000003	Reproductive difficulties; increased risk of cancer	Emissions from waste incineration and other combustion; discharge from chemical factories
Diquat	0.02	0.02	Cataracts	Runoff from herbicide use
Endothall	0.1	0.1	Stomach and intestinal problems	Runoff from herbicide use
Endrin	0.002	0.002	Nervous system effects	Residue of banned insecticide
Epichlorohydrin	zero	TT <sup>2</sup>	Stomach problems; reproductive difficulties; increased risk of cancer	Discharge from industrial chemical factories; added to water during treatment process
Ethylbenzene	0.7	0.7	Liver or kidney problems	Discharge from petroleum refineries
Ethylene dibromide	zero	0.00005	Stomach problems; reproductive difficulties; increased risk of cancer	Discharge from petroleum refineries
Glyphosate	0.7	0.7	Kidney problems; reproductive difficulties	Runoff from herbicide use
Heptachlor	zero	0.0004	Liver damage; increased risk of cancer	Residue of banned termiticide
Heptachlor epoxide	zero	0.0002	Liver damage; increased risk of cancer	Breakdown of heptachlor
Hexachlorobenzene	zero	0.001	Liver or kidney problems; reproductive difficulties; increased risk of cancer	Discharge from metal refineries and agricultural chemical factories
Hexachlorocyclopentadiene	0.05	0.05	Kidney or stomach problems	Discharge from chemical factories
Lindane	0.0002	0.0002	Liver or kidney problems	Runoff/leaching from insecticide used on cattle, lumber, gardens
Methoxychlor	0.04	0.04	Reproductive difficulties	Runoff/leaching from insecticide used on fruits, vegetables, alfalfa, livestock
Oxamyl (Vydate)	0.2	0.2	Slight nervous system effects	Runoff/leaching from insecticide used on apples, potatoes, and tomatoes
Polychlorinated biphenyls (PCBs)	zero	0.0005	Skin changes; thymus gland problems; immune deficiencies; reproductive or nervous system difficulties; increased risk of cancer	Runoff from landfills; discharge of waste chemicals
Pentachlorophenol	zero	0.001	Liver or kidney problems; increased risk of cancer	Discharge from wood preserving factories
Picloram	0.5	0.5	Liver problems	Herbicide runoff
Simazine	0.004	0.004	Problems with blood	Herbicide runoff
Styrene	0.1	0.1	Liver, kidney, and circulatory problems	Discharge from rubber and plastic factories; leaching from landfills
Tetrachloroethylene	zero	0.005	Liver problems; increased risk of cancer	Discharge from factories and dry cleaners
Toluene	1	1	Nervous system, kidney, or liver problems	Discharge from petroleum factories
Total Trihalomethanes (TTHMs)	none <sup>2</sup>	0.10	Liver, kidney or central nervous system problems; increased risk of cancer	Byproduct of drinking water disinfection
Toxaphene	zero	0.003	Kidney, liver, or thyroid problems; increased risk of cancer	Runoff/leaching from insecticide used on cotton and cattle
2,4,5-TP (Silvex)	0.05	0.05	Liver problems	Residue of banned herbicide
1,2,4-Trichlorobenzene	0.07	0.07	Changes in adrenal glands	Discharge from textile finishing factories

1,1,1-Trichloroethane	0.20	0.2	Liver, nervous system, or circulatory problems	Discharge from metal degreasing sites and other factories
1,1,2-Trichloroethane	0.003	0.005	Liver, kidney, or immune system problems	Discharge from industrial chemical factories
Trichloroethylene	zero	0.005	Liver problems; increased risk of cancer	Discharge from petroleum refineries
Vinyl chloride	zero	0.002	Increased risk of cancer	Leaching from PVC pipes; discharge from plastic factories
Xylenes (total)	10	10	Nervous system damage	Discharge from petroleum factories; discharge from chemical factories
<b>Radionuclides</b>	<b>MCLG<sub>1</sub> (mg/L) <sub>4</sub></b>	<b>MCL<sub>2</sub> or TT<sub>3</sub> (mg/L) <sub>4</sub></b>	<b>Potential Health Effects from Ingestion of Water</b>	<b>Sources of Contaminant in Drinking Water</b>
Beta particles and photon emitters	none <sup>5</sup>	4 millirems per year	Increased risk of cancer	Decay of natural and man-made deposits
Gross alpha particle activity	none <sup>5</sup>	15 picocuries per Liter (pCi/L)	Increased risk of cancer	Erosion of natural deposits
Radium 226 and Radium 228 (combined)	none <sup>5</sup>	5 pCi/L	Increased risk of cancer	Erosion of natural deposits
<b>Microorganisms</b>	<b>MCLG<sub>1</sub> (mg/L) <sub>4</sub></b>	<b>MCL<sub>2</sub> or TT<sub>3</sub> (mg/L) <sub>4</sub></b>	<b>Potential Health Effects from Ingestion of Water</b>	<b>Sources of Contaminant in Drinking Water</b>
<i>Giardia lamblia</i>	zero	TT <sup>8</sup>	Giardiasis, a gastroenteric disease	Human and animal fecal waste
Heterotrophic plate count	N/A	TT <sup>8</sup>	HPC has no health effects, but can indicate how effective treatment is at controlling microorganisms.	n/a
<i>Legionella</i>	zero	TT <sup>8</sup>	Legionnaire's Disease, commonly known as pneumonia	Found naturally in water; multiplies in heating systems
Total Coliforms (including fecal coliform and <i>E. Coli</i> )	zero	5.0% <sup>9</sup>	Used as an indicator that other potentially harmful bacteria may be present <sup>10</sup>	Human and animal fecal waste
Turbidity	N/A	TT <sup>8</sup>	Turbidity has no health effects but can interfere with disinfection and provide a medium for microbial growth. It may indicate the presence of microbes.	Soil runoff
Viruses (enteric)	zero	TT <sup>8</sup>	Gastroenteric disease	Human and animal fecal waste



**Common water sample bottles for distribution systems.**

Radiochems, VOCs, (Volatile Organic Compounds), TTHMs, Total Trihalomethanes), Nitrate, Nitrite.

Most of these sample bottles will come with the preservative already inside the bottle.

Some bottles will come with a separate preservative (acid) for the field preservation.

Slowly add the acid or other preservative to the water sample; not water to the acid or preservative.

*Drinking water standards may apply differently based on type and size of public water systems.*



## National Secondary Drinking Water Regulations

National Secondary Drinking Water Regulations (NSDWRs or secondary standards are non-enforceable guidelines regulating contaminants that may cause cosmetic effects (such as skin or tooth discoloration) or aesthetic effects (such as taste, odor, or color) in drinking water.

The EPA recommends secondary standards to water systems but does not require systems to comply. However, states may choose to adopt them as enforceable standards.

Contaminant	Secondary Standard
Aluminum	0.05 to 0.2 mg/L
Chloride	250 mg/L
Color	15 (color units)
Copper	1.0 mg/L
Corrosivity	noncorrosive
Fluoride	2.0 mg/L
Foaming Agents	0.5 mg/L
Iron	0.3 mg/L
Manganese	0.05 mg/L
Odor	3 threshold odor number
pH	6.5-8.5
Silver	0.10 mg/L
Sulfate	250 mg/L
Total Dissolved Solids	500 mg/L
Zinc	5 mg/L

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## Notes

<sup>1</sup> Maximum Contaminant Level Goal (**MCLG**) - The maximum level of a contaminant in drinking water at which no known or anticipated adverse effect on the health effect of persons would occur, and which allows for an proper margin of safety. MCLGs are non-enforceable public health goals.

<sup>2</sup> Maximum Contaminant Level (**MCL**) - The maximum permissible level of a contaminant in water which is delivered to any user of a public water system. MCLs are enforceable standards. The margins of safety in MCLGs ensure that exceeding the MCL slightly does not pose significant risk to public health.

<sup>3</sup> Treatment Technique - An enforceable procedure or level of technical performance which public water systems must follow to ensure control of a contaminant.

<sup>4</sup> Units are in milligrams per Liter (mg/L) unless otherwise noted.

<sup>5</sup> MCLGs were not established before the 1986 Amendments to the Safe Drinking Water Act. Therefore, there is no MCLG for this contaminant.

<sup>6</sup> Lead and copper are regulated in a Treatment Technique which requires systems to take tap water samples at sites with lead pipes or copper pipes that have lead solder and/or are served by lead service lines. The action level, which triggers water systems into taking treatment steps, if exceeded in more than 10% of tap water samples, for copper is 1.3 mg/L, and for lead is 0.015mg/L.

<sup>7</sup> Each water system must certify, in writing, to the state (using third-party or manufacturer's certification) that when acrylamide and epichlorohydrin are used in drinking water systems, the combination (or product) of dose and monomer level does not exceed the levels specified, as follows:

- **Acrylamide** = 0.05% dosed at 1 mg/L (or equivalent)
- **Epichlorohydrin** = 0.01% dosed at 20 mg/L (or equivalent)

<sup>8</sup> The Surface Water Treatment Rule requires systems using surface water or ground water under the direct influence of surface water to (1) disinfect their water, and (2) filter their water or meet criteria for avoiding filtration so that the following contaminants are controlled at the following levels:

- **Giardia lamblia**: 99.9% killed/inactivated  
Viruses: 99.99% killed/inactivated
- **Legionella**: No limit, but EPA believes that if **Giardia** and viruses are inactivated, **Legionella** will also be controlled.
- **Turbidity**: At no time can turbidity (**cloudiness of water**) go above 5 nephelometric turbidity units (NTU); systems that filter must ensure that the turbidity go no higher than 1 NTU (0.5 NTU for conventional or direct filtration) in at least 95% of the daily samples in any month.
- **HPC**: NO more than 500 bacterial colonies per milliliter.

<sup>9</sup> No more than 5.0% samples total coliform-positive in a month. (For water systems that collect fewer than 40 routine samples per month, no more than one sample can be total coliform-positive). Every sample that has total coliforms must be analyzed for fecal coliforms. There cannot be any fecal coliforms.

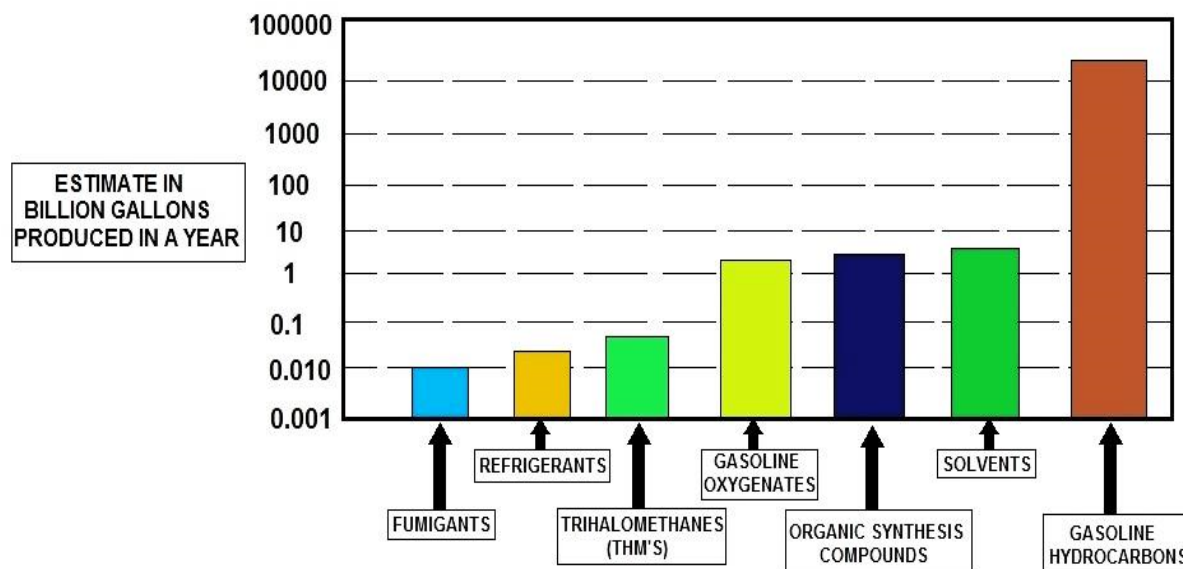
<sup>10</sup> Fecal coliform and *E. coli* are bacteria whose presence indicates that the water may be contaminated with human animal wastes. Microbes in these wastes can cause diarrhea, cramps, nausea, headaches, or other symptoms.

## Chemical Monitoring Sub-Section

The final federal rules regarding Phase II and V contaminants were promulgated by the U.S. EPA in 1992 and initial monitoring began in January 1993. This group of contaminants consists of Inorganic Chemicals (IOC), Volatile Organic Chemicals (VOC) and Synthetic Organic Chemicals (SOC) and the rule applies to all community and non-transient non-community public water systems.

The monitoring schedule for these contaminants is phased in by water system population size according to a “standardized monitoring framework” established by the U.S. EPA. This standardized monitoring framework establishes nine-year compliance cycles consisting of three 3-year compliance periods.

The first compliance cycle began back in January 1993 and ended December 31, 2001, with subsequent compliance cycles following the nine-year timeframe. The three-year compliance period of each cycle is the standard monitoring period for the water system.



**VOLATILE ORGANIC COMPOUNDS FOUND IN GROUNDWATER CHART**

### Turbidity Monitoring

Monitoring for turbidity is applicable to all public water systems using surface water sources or ground water sources under the direct influence of surface water in whole or part. Check with your state drinking water section or health department for further instructions.

#### **The maximum contaminant level for turbidity for systems that provide filtration treatment:**

1. Conventional or direct filtration: less than or equal to 0.5 NTU in at least 95% of the measurements taken each month. Conventional filtration treatment plants should be able to achieve a level of 0.1 NTU with proper chemical addition and operation.
2. Slow sand filtration, cartridge and alternative filtration: less than or equal to 1 NTU in at least 95% of the measurements taken each month. The turbidity levels must not exceed 5 NTU at any turbidity measurements must be performed on representative samples of the filtered water every four (4) hours that the system serves water to the public.

A water system may substitute continuous turbidity monitoring for grab sample monitoring if it validates the continuous measurement for accuracy on a regular basis using a protocol approved by the Health or Drinking Water Agency, such as confirmation by a bench top turbidimeter. For systems using slow sand filtration, cartridge, or alternative filtration treatment the Health or Drinking Water Agency may reduce the sampling frequency to once per day if it determines that less frequent monitoring is sufficient to indicate effective filtration performance.

### **Inorganic Chemical Monitoring**

All systems must monitor for inorganics. The monitoring for these contaminants is also complex with reductions, waivers and detections affecting the sampling frequency. Please refer to the monitoring schedules provided by your state health or drinking water sections for assistance in determining individual requirements. All transient non-community water systems are required to complete a one-time inorganic chemical analysis. The sample is to be collected at entry points (**POE**) to the distribution system representative of each source after any application of treatment.



### **Nitrates**

Nitrate is an inorganic chemical that occurs naturally in some groundwater but most often is introduced into ground and surface waters by man. The most common sources are from fertilizers and treated sewage or septic systems.

At high levels (over 10 mg/l) it can cause the “**blue baby**” syndrome in young infants, which can lead to serious illness and even death. It is regarded as an “**acute health risk**” because it can quickly cause illness.

Every water system must test for **Nitrate** at least yearly. Systems that use ground water only must test yearly. Systems that use surface water and those that mix surface and ground water must test every quarter. A surface water system may go to yearly testing if community and nontransient noncommunity water must do quarterly monitoring whenever they exceed 5 mg/l in a test. After 4 quarters of testing and if the results show that the nitrate level has not exceeded 5 mg/L, they may go back to yearly testing.

### **Radiological Contaminants**

All community water systems shall monitor for gross alpha activity every four years for each source. Depending on your state rules, compliance will be based on the annual composite of 4 consecutive quarters or the average of the analyses of 4 quarterly samples. If the average annual concentration is less than one half the MCL, an analysis of a single sample may be substituted for the quarterly sampling procedure.

### **Total Trihalomethanes (TTHM)**

All community water systems serving a population of 10,000 or more and which add a disinfectant in any part of the drinking water treatment process shall monitor for total trihalomethanes (**TTHM**). The MCL is 0.08 mg/l (80 ppb) and consists of a calculation of the running average of quarterly analyses of the sum of the concentrations of bromodichloromethane, di-bromochloromethane, bromoform and chloroform.

## Lead and Copper Rule

The Lead and Copper Rule was promulgated by the U.S. EPA on June 7, 1991, with monitoring to begin in January 1992 for larger water systems. This rule applies to all community and nontransient, noncommunity water systems and establishes action levels for these two contaminants at the consumer's tap. Action levels of 0.015 mg/l for lead and 1.3 mg/l for copper have been established.

This rule establishes maximum contaminant level goals (**MCLGs**) for lead and copper, treatment technique requirements for optimal corrosion control, source water treatment, public education and lead service line replacement. Whenever an action level is exceeded, the corrosion control treatment requirement is triggered. This is determined by the concentration measured in the 90th percentile highest sample from the samples collected at consumers' taps.

Sample results are assembled in ascending order (lowest to highest) with the result at the 90th percentile being the action level for the system. For example, if a water system collected 20 samples, the result of the 18th highest sample would be the action level for the system.

The rule also includes the best available technology (**BAT**) for complying with the treatment technique requirements, mandatory health effects language for public notification of violations and analytical methods and laboratory performance requirements.

Initial monitoring began in January 1992 for systems with a population of 50,000 or more, in July 1992 for medium-sized systems (3,300 to 50,000 population) and in July 1993 for small-sized systems (less than 3,300 population),

One-liter tap water samples are to be collected at high-risk locations by either water system personnel or residents.

Generally, high-risk locations are homes with lead-based solder installed after 1982 or with lead pipes or service lines. If not enough of these locations exist in the water system, the rule provides specific guidelines for selecting other sample sites.

The water must be allowed to stand motionless in the plumbing pipes for at least six (6) hours and collected from a cold water tap in the kitchen or bathroom. It is a first draw sample, which means the line is not to be flushed prior to sample collection.

The number of sampling sites is determined by the population of the system and sample collection consists of two, six-month monitoring periods; check with your state rule or drinking water section for more information.

### Sampling Sites by Population

*System size - No. of sites - No. of sites*

*(no. of persons served) (standard monitoring) (reduced monitoring)*

>100,000	100	50
10,001-100,000	60	30
3,301 to 10,000	40	20
501 to 3,300	20	10
101 to 500	10	5
< 100	5	5

If a system meets the lead and copper action levels or maintains optimal corrosion control treatment for two consecutive six-month monitoring periods, then reduced monitoring is allowed and sampling frequency drops to once per year.

After three consecutive years of reduced monitoring, sample frequency drops to once every three years. In addition to lead and copper testing, all large water systems and those medium- and small-sized systems that exceed the lead or copper action levels will be required to monitor for the following water quality parameters: pH, alkalinity, calcium, conductivity, orthophosphate, silica and water temperature.

These parameters are used to identify optimal corrosion control treatment and determine compliance with the rule once treatment is installed.

The sampling locations for monitoring water quality parameters are at entry points and representative taps throughout the distribution system.

Coliform sampling sites can be used for distribution system sampling. The number of sites required for monitoring water quality during each six-month period is shown below.

**Number of Water Quality Parameters per Population**

*System size # (no. of persons served) no. of sites for water quality parameters*

<u>&gt;100,000</u>	<u>25</u>
<u>10,001-100,000</u>	<u>10</u>
<u>3,301 to 10,000</u>	<u>3</u>
<u>501 to 3,300</u>	<u>2</u>
<u>101 to 500</u>	<u>1</u>
<u>&lt;100</u>	<u>1</u>

Water systems which maintain water quality parameters reflecting optimal corrosion control for two consecutive six-month monitoring periods qualify for reduced monitoring. After three consecutive years, the monitoring frequency can drop to once per year.

All large water systems must demonstrate that their water is minimally corrosive or install corrosion control treatment regardless of lead and copper sampling results.

## Quality Assurance /Quality Control Measures - Introduction

In addition to standard samples, the field technicians collect equipment blanks (**EB**), field cleaned equipment blanks (**FB**), split samples (**SS**), and field duplicate samples (**FD**).

Overall care must be taken in regards to equipment handling, container handling/storage, decontamination, and record keeping. Sample collection equipment and non-preserved sample containers must be rinsed three times with sample water before the actual sample is taken. Exceptions to this are any pre-preserved container or bac-t type samples.

If protective gloves are used, they shall be clean, new and disposable. These should be changed upon arrival at a new sampling point. Highly contaminated samples shall never be placed in the same ice chest as environmental samples. It is good practice to enclose highly contaminated samples in a plastic bag before placing them in ice chests. The same is true for wastewater and drinking water samples.

Ice chests or shipping containers with samples suspected of being highly contaminated shall be lined with new, clean, plastic bags. If possible, one member of the field team should take all the notes, fill out labels, etc., while the other member does all of the sampling.

### Preservation of Samples

Proper sample preservation is the responsibility of the sampling team, not the lab providing sample containers. The best reference for preservatives is Standard Methods or your local laboratory.

It is the responsibility of the field team to assure that all samples are appropriately preserved.

Follow the preservative solution preparation instructions.

Always use strong safety precautions when diluting any acid.

Slowly add the acid or other preservative to the water sample; not water to the acid or preservative.

Put a new label on the dispensing bottle with the current date.

Wait 3-4 hours for the preservative to cool most samples down to 4 degrees Celsius.

Most preservatives have a shelf life of one year from the preparation date.

When samples are analyzed for TKN, TP, NH<sub>4</sub> and NO<sub>x</sub> 1 mL of 50% Trace Metal grade sulfuric acid is added to each discrete auto sampler bottles/bags in the field lab before sampling collection. The preservative maintains the sample at 1.5<pH<2 after collection. To meet maximum holding time for these preserved samples (28 days), pull and ship samples every 14 days.

Narrow range pH paper (test strips) can be used to test an aliquot of the preserved sample.

Place the pH paper into the container and compare the color with the manufacturer's color chart.



<b>FINISHED WATER REPORT</b>	<b>UNITS OF MEASURE</b>
<b>FINISHED WATER TURBIDITY</b>	<b>NTU</b> Neophelometric Turbidity Unit
<b>FINISHED WATER TEMPERATURE</b>	<b>Deg. C</b> Degrees Celcius
<b>FINISHED WATER pH</b>	<b>SU</b> Standard Units
<b>FINISHED WATER ALKALINITY</b>	<b>mg/l</b> Milligrams per Liter
<b>FINISHED WATER HARDNESS</b>	<b>mS/cm</b> Millisiemens per Centimeter
<b>FINISHED WATER CONDUCTIVITY</b>	<b>mg/l</b> Milligrams per Liter
<b>FINISHED WATER TOTAL DISSOLVED SOLIDS</b>	<b>mg/l</b> Milligrams per Liter
<b>FINISHED WATER FLUORIDE</b>	<b>mg/l</b> Milligrams per Liter
<b>FINISHED WATER IRON</b>	<b>mg/l</b> Milligrams per Liter
<b>FINISHED WATER MANGANESE</b>	<b>mg/l</b> Milligrams per Liter
<b>FINISHED WATER PHOSPHATE</b>	<b>mg/l</b> Milligrams per Liter
<b>HARDNESS PER GALLON</b>	<b>GRAINS</b>

### **WATER QUALITY REPORT INCLUDING UNITS OF MEASUREMENT**

<b>FINISHED WATER REPORTING INFO</b>	<b>UNITS OF MEASUREMENT</b>
FINISHED WATER TURBIDITY	NTU – NEOPHELOMETRIC TURBIDITY UNIT
FINISHED WATER TEMPERATURE	DEGREES CELCIUS
FINISHED WATER pH	SU – STANDARD UNITS
FINISHED WATER ALKALINITY	PPM or GRAINS PER GALLON
FINISHED WATER HARDNESS	Degrees of general hardness (dGH or °GH) Milligrams of CaCO <sub>3</sub> per Liter
FINISHED WATER CONDUCTIVITY	Millimhos per Centimeter [mmho/cm]
FINISHED WATER TOTAL DISSOLVED SOLIDS	Mg/L - Milligrams per Liter
FINISHED WATER FLUORIDE	Mg/L - Milligrams per Liter
FINISHED WATER IRON	Mg/L - Milligrams per Liter
FINISHED WATER MANGANESE	Mg/L - Milligrams per Liter
FINISHED WATER PHOSPHATE	Mg/L - Milligrams per Liter
HARDNESS PER GALLON	GRAINS PER GALLON

Water quality reports are used not only to satisfy state and federal compliance. It is a great reference tool for evaluating changes to source water due to human influence and unforeseen weather changes.

Since the Lead and Copper rule was enacted by EPA water systems analyze the water to see if it will leach the metals from the pipe, causing corrosion, or chemicals will precipitate out causing scaling in pipes and industrial processes such as boilers.



## Drinking Water Sampling - Analysis Charts

<u>ANALYSIS</u>	<u>METHOD</u>	<u>HOLDING TIME</u>
<b>Inorganic Compounds (IOC)</b> Antimony, Arsenic, Barium, Beryllium, Cadmium, Chromium, Copper, Iron, Lead, Manganese, Mercury, Nickel, Selenium, Silver, Sodium, Thallium, Zinc, Hardness, Conductivity, Turbidity, Color, Chloride, Cyanide, Fluoride, Nitrate, Nitrite, Sulfate, and Total Dissolved Solids.	(various)	48 hours
<b>Primary Pollutants (Short IOC)</b> Antimony, Arsenic, Barium, Beryllium, Cadmium, Chromium, Lead, Mercury, Selenium, Silver, Sodium, Thallium, Turbidity, Fluoride, Cyanide, Nitrate, and Nitrite.	(various)	48 hours
<b>Municipal Testing</b>		
<b>Lead and Copper</b>	EPA 200.9 for Pb  EPA 200.7 for Cu	14 days
<b>Public or Individual Water Source Testing</b>		
<b>Nitrate</b>	SM-4500 NO3 D	48 hours
<b>Total Coliform &amp; E. Coli</b>	SM-9223 B	30 Hours
<b>Metals Analysis on Drinking Water (per element)</b>		
<b>GFAA</b>  (As, Pb, Sb, Se, Tl)	EPA 200.9	6 months
<b>ICP (Ag, Al, B, Ba, Be, Cd, Cr, Cu, Fe, Mn, Mo, Na, Ni, Zn)</b>	EPA 200.7	6 months
<b>CVAA (Hg)</b>	EPA 245.1	6 months
<b>Primary Pollutant Metals</b>	GFAA/ICP/CVAA	6 months
<b><u>Drinking Water Analysis</u></b>		
<b>PH</b>	EPA 150.1	
<b>Acidity</b>	SM-2310 B (4b)	14 days
<b>Alkalinity (Bicarbonate &amp; Carbonate)</b>	SM-2320 B (4a)	14 days
<b>BOD</b>	SM-5210 B	48 hours
<b>Calcium</b>	EPA 200.7	6 months
<b>Chloride</b>	SM-4500 Cl	8 days
<b>Chlorine, total</b>	SM-4500 Cl	5 hours
<b>Color</b>	SM-2120 B	8 hours
<b>COD</b>	EPA 410.4 (7.3)	28 days
<b>Cyanide</b>	EPA 335.2 (8.7)	28 days
<b>Dissolved Oxygen</b>	SM-4500 O C	8 hours
<b>Fluoride</b>	SM-4500 F C	28 days
<b>Hardness</b>	SM-2340 B	6 months
<b>Magnesium</b>	EPA 200.7	6 months

Nitrogen, ammonia	SM-4500 NH3 E	28 days
	SM-4500 NH3 H	
Nitrogen, nitrate	SM-4500 NO3 D	48 hours
Nitrogen, nitrite	SM-4500 NO2	48 hours
Nitrate + Nitrite	SM-4500 NO3 E	48 hours
Nitrogen, TKN	EPA 351.4	28 days
Odor	SM-2150	6 days
Phosphorous, ortho	EPA 200.7	48 hours
Phosphorous, total	SM-4500 P	28 days
Solids, settle able	SM-2540	7 days
Solids, suspended	SM-2540 D	7 days
<b><u>Drinking Water Analysis</u></b>		
Solids, total dissolved	SM-2540 B	7 days
Solids, volatile	SM-2540 E	7 days
Specific Conductance	SM-2510 B	28 days
Sulfate	SM-4500 SO-4 E	28 days
Sulfide	SM-4500 S-2 D	28 days
Sulfite	EPA 377.1	28 days
Silica	SM-4500 SI E	28 days
Total Organic Carbon	EPA 415.1	28 days
Turbidity	SM- 2130 B	48 hours

<b><u>ORGANICS</u></b>		
<b>Semi-volatile Organics in Water (SOC)*</b>	<b>(various)</b>	<b>7 days</b>
<b>Volatile Organics in Water*</b>	<b>(various)</b>	<b>7 days</b>
<b>Trihalomethanes*</b>	<b>EPA 501.1</b>	<b>7 days</b>
<b>Gross Alpha &amp; Beta (Radionuclides)*</b>	<b>(various)</b>	<b>7 days</b>
<b>BOD</b>	<b>SM-5210 B</b>	<b>48 hours</b>
<b>COD</b>	<b>EPA 410.4(7.3)</b>	<b>28 days</b>
<b>Oil and Grease</b>	<b>EPA 413.1(1.2)</b>	<b>28 days</b>
<b>Hardness W/digestion</b>	<b>SM-2340 B</b>	<b>6 months</b>
<b>Nitrogen, TKN</b>	<b>EPA 351.4</b>	<b>28 days</b>
<b>Nitrogen, ammonia</b>	<b>SM-4500 NH3 F</b>	<b>28 days</b>
<b>Nitrogen, Total Organic</b>	<b>SM-4500 NorgNH3</b>	<b>28 days</b>
<b>Nitrogen, nitrate</b>	<b>SM-4500 NO3 D</b>	<b>48 hours</b>
<b>Nitrogen, nitrite</b>	<b>SM-4500 NO2 B</b>	<b>48 hours</b>
<b>Phosphorous, ortho</b>	<b>SM-4500 P E</b>	<b>48 hours</b>
<b>Sulfate</b>	<b>SM-4500 SO4 E</b>	<b>28 days</b>
<b>Solids, dissolved</b>	<b>SM-2540</b>	<b>7 days</b>
<b>Solids, settle able</b>	<b>SM-2540 F</b>	<b>7 days</b>
<b>Solids, suspended</b>	<b>SM-2540 D</b>	<b>7 days</b>
<b>Solids, total</b>	<b>SM-2540 B</b>	<b>7 days</b>
<b>Solids, volatile</b>	<b>SM-2540 E</b>	<b>7 days</b>
<b>Total Organic Carbon</b>	<b>EPA 415.1</b>	<b>28 days</b>
<b>PH</b>	<b>EPA 150.1</b>	
<b>Metals (per element)</b>		
<b>ICP (Ag, Al, Ca, Cd, Co, Cr, Cu, Fe, K, Mg, Mn, Mo, Na, Ni, Sb, V, Zn)</b>	<b>EPA 200.7</b>	<b>6 months</b>

GFAA (As, Pb, Ba, Se, Tl)	EPA 200.9	6 months
CVAA (Hg)	EPA 245.1	6 months

### Definitions:

**Action level** - the concentration of a contaminant which, if exceeded, triggers treatment or other requirements which a water system must follow.

**Maximum Contaminant Level** - the “Maximum Allowed” (MCL) is the highest level of a contaminant that is allowed in drinking water. MCLs are set as close to the MCLGs as feasible using the best available treatment technology.

**Maximum Contaminant Level Goal** - the “Goal” (MCLG) is the level of a contaminant in drinking water below which there is no known or expected risk to health. MCLGs allow for a margin of safety.

**Non-Detects (ND)** - laboratory analysis indicates that the constituent is not present.

**Parts per million (ppm) or Milligrams per liter (mg/L)** - one part per million corresponds to one minute in two years or a single penny in \$10,000.

**Parts per billion (ppb) or Micrograms per liter (ug/L)** - one part per billion corresponds to one minute in 2,000 years, or a single penny in \$10,000,000.

**Picocuries per liter (pCi/L)** - picocuries per liter is a measure of the radioactivity in water.

*This course contains EPA’s federal rule requirements. Please be aware that each state implements drinking water regulations that may be more stringent than EPA’s regulations. Check with your state environmental agency for more information.*

## **SAMPLE CONTAINERS and PRESERVATION**

Methods used by the laboratory usually specify what type of container and how much sample is required to run an analysis. The following table provides a summary of the sample handling and preservation requirements for some of the most common tests.

<b>Parameter</b>	<b>Bottle Type</b>	<b>Minimum Sample Size</b>	<b>Maximum Holding Time</b>	<b>Storage &amp; Preservation</b>
<b>Acidity</b>	P or G <sup>B</sup>	100ml	24 hrs/14 days	refrigerate
<b>Alkalinity</b>	P or G	200ml	24 hrs/14 days	refrigerate
<b>BOD (5 day)</b>	P or G	1L	6 hrs/48 hrs	refrigerate
<b>Boron</b>	P	100ml	28 days/6 months	
<b>Chloride</b>	P or G	250ml	28 days	
<b>Chlorine, residual</b>	P or G	500ml	0.5 hr/stat	analyze on site ASAP
<b>COD</b>	P or G	500ml	28 days/28 days	analyze on site ASAP
<b>Color</b>	P or G	500ml	48 hrs/48 hrs	refrigerate
<b>Coliform, Total</b>	P or G	125ml	30 hrs	refrigerate
<b>Conductivity</b>	P or G	500ml	48 hrs/48 hrs	refrigerate
<b>Cyanide, Total</b>	P or G	500ml	28 days/28 days	add NaOH to pH>12
				refrigerate in dark
<b>Fluoride</b>	P	300ml	28days/ 28 days	
<b>Hardness</b>	P or G	100ml	6 months/6 months	add HNO <sub>3</sub> to pH<2
<b>Metals, general</b>	P <sup>A</sup> or G <sup>A</sup>	250ml	6 months/6 months	add HNO <sub>3</sub> to pH<2
<i>Furnace</i>	P <sup>A</sup> or G <sup>A</sup>	250ml	6 months/6 months	
<i>Flame</i>	P <sup>A</sup> or G <sup>A</sup>	250ml	6 months/6 months	
<b>Mercury</b>	P <sup>A</sup> or G <sup>A</sup>	500ml	28 days/28 days	add HNO <sub>3</sub> to pH<2
<b>Nitrogen</b>	P or G	500ml	7 days/ 28 days	ASAP or add H <sub>2</sub> SO <sub>4</sub> to pH<2 & refrigerate
<i>Ammonia</i>				
<i>Nitrate</i>	P or G	100ml	48 hrs/48 hrs	ASAP & refrigerate
<i>Nitrate + Nitrite</i>	P or G	200ml	48 hrs/28 days	ASAP & refrigerate
<i>Nitrite</i>	P or G	100ml	none/48 hrs	ASAP & refrigerate

<i>TKN</i>	P or G	500ml	7 days/28 days	add H <sub>2</sub> SO <sub>4</sub> to pH<2
<b>Oxygen, dissolved</b>	G (BOD)	300ml		
<i>Electrode</i>			0.5 hrs/stat	ASAP on site
<i>Winkler</i>			8hrs/8 hrs	ASAP on site
<b>pH</b>	P or G	50ml	2 hrs/stat	ASAP on site
<b>Phosphate,</b>	G <sup>A</sup>			
<i>Ortho</i>		100ml	48hrs	filter ASAP refrigerate
<i>Total</i>		100ml	28 days/28 days	refrigerate
<b>Solids,</b>	P or G			
<i>Dissolved</i>		250ml	7 days	refrigerate
<i>Settleable</i>		1L	48 hrs	refrigerate
<i>Suspended</i>		250ml	7 days	refrigerate
<i>Total</i>		250ml	7 days	refrigerate
<i>Volatile</i>		250ml	7 days	refrigerate
<b>Silica</b>	P	200ml	28 days/28 days	refrigerate
<b>Sulfate</b>	P or G	100ml	28 days/28 days	refrigerate
<b>Turbidity</b>	P or G	100ml	24 hrs/48 hrs	ASAP/refrigerate, store in dark up to 24 hrs

Refrigerate = storage at 4 degrees C, in the dark. P = plastic (polyethylene or equivalent); G = glass, G<sup>A</sup> or P<sup>A</sup> = rinsed with 1:1 HNO<sub>3</sub>; G<sup>B</sup> = glass, borosilicate, G<sup>S</sup> = glass rinsed with organic solvents; NS = not stated in cited reference; stat = no storage allowed; analyze immediately.



# Inorganic Compound (IOC) Section

## Periodic Table of the Elements

**Annotations for Iron (Fe):**

- atomic number → 26
- white metal - gas state at 0°C
- chemical symbol → Fe
- chemical name → Iron
- standard atomic weight → 55.85
- (lower upper bounds)
- (closest no stable isotopes)

**Element Categories:**

- alkali metals
- alkaline metals
- other metals
- transition metals
- lanthanoids
- actinoids
- metalloids
- nonmetals
- halogens
- noble gases
- unknown elements

**Electron Configuration Blocks:** s, p, d, f

**Natural Occurrence Legend:**

- primordial (solid box)
- from decay (dashed box)
- synthetic (dotted box)

57	58	59	60	61	62	63	64	65	66	67	68	69	70	71
La	Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb	Lu
lanthanum	cerium	praseodymium	neodymium	promethium	samarium	europtium	gadolinium	terbium	dysprosium	holmium	erbium	thulium	ytterbium	lutetium
138.9	140.1	140.9	144.2	(145)	150.4	152.0	157.3	158.9	162.5	164.9	167.3	168.9	173.1	175.0
89	90	91	92	93	94	95	96	97	98	99	100	101	102	103
Ac	Th	Pa	U	Np	Pu	Am	Cm	Bk	Cf	Es	Fm	Md	No	Lr
actinium	thorium	protactinium	uranium	neptunium	plutonium	americium	curium	berkelium	californium	einsteinium	fermium	mendelevium	nobelium	lawrencium
(227)	232.0	231.0	238.0	(237)	(244)	(243)	(247)	(247)	(247)	(252)	(257)	(258)	(259)	(262)

## Inorganic Compound

Inorganic Contaminants (IOCs) are elements or compounds found in water supplies and may be natural in the geology or caused by activities of man through mining, industry or agriculture. An inorganic compound is typically a chemical compound that lacks Carbon-Hydrogen bonds, that is, a compound that is not an organic compound, but the distinction is not defined or even of particular interest. Some simple compounds that contain carbon are often considered inorganic.

### Examples include many toxic or poisonous compounds like:

carbon monoxide, carbon dioxide, carbonates, cyanides, cyanates, carbides, and thiocyanates. Many of these are normal parts of mostly organic systems, including organisms, which means that describing a chemical as inorganic does not obligately mean that it does not occur within living things.

It is common to have trace amounts of many Inorganic Contaminants in water supplies. Amounts above the Maximum Contaminant Levels may cause a variety of damaging effects to the liver, kidney, nervous system circulatory system, blood, gastrointestinal system, bones, or skin depending upon the inorganic contaminant and level of exposure.

## IOC Sample Collection – Things to Remember

Sample instructions should be supplied with the sample containers from the laboratory. If the laboratory fails to include sample instructions, contact the laboratory and request sample instructions.

### Some general practices to remember:

- Samples should be collected at the entry point to the distribution system after all treatment (finished water)
- Select a sampling faucet that does NOT have an aerator (sampling must be done with minimum aeration)
- Run the water until the temperature is as cold as it gets (except for Pb and Cu samples.)
- Just before sample collection, adjust to a very low flow. Do not change the flow while collecting the sample
- Routine nitrate and nitrite samples should be collected on a Monday or a Tuesday
- When filling sample bottle, tip bottle slightly so that water flows down the side wall of the container. Bring bottle to an upright position as it fills
- Call the laboratory if bottles are received broken (or break while collecting samples)
- The owner or operator of a water supply must maintain chemical analysis reports (results) or a summary of those reports for at least 10 years





## Inorganic Chemicals

Contaminant	MCLG <sup>1</sup> (mg/L) <sup>2</sup>	MCL or TT <sup>1</sup> (mg/L) <sup>2</sup>	Potential Health Effects from Long-Term Exposure Above the MCL (unless specified as short-term)	Sources of Contaminant in Drinking Water
<b>Antimony</b>	0.006	0.006	Increase in blood cholesterol; decrease in blood sugar	Discharge from petroleum refineries; fire retardants; ceramics; electronics; solder
<b>Arsenic</b>	0 <sup>2</sup>	0.010 as of 01/23/06	Skin damage or problems with circulatory systems, and may have increased risk of getting cancer	Erosion of natural deposits; runoff from orchards, runoff from glass & electronics production wastes
<b>Asbestos (fiber &gt;10 micrometers)</b>	7 million fibers per liter	7 MFL	Increased risk of developing benign intestinal polyps	Decay of asbestos cement in water mains; erosion of natural deposits
<b>Barium</b>	2	2	Increase in blood pressure	Discharge of drilling wastes; discharge from metal refineries; erosion of natural deposits
<b>Beryllium</b>	0.004	0.004	Intestinal lesions	Discharge from metal refineries and coal-burning factories; discharge from electrical, aerospace, and defense industries
<b>Cadmium</b>	0.005	0.005	Kidney damage	Corrosion of galvanized pipes; erosion of natural deposits; discharge from metal refineries; runoff from waste batteries and paints
<b>Chromium (total)</b>	0.1	0.1	Allergic dermatitis	Discharge from steel and pulp mills; erosion of natural deposits
<b>Copper</b>	1.3	TT <sup>2</sup> ; Action Level=1.3	Short term exposure: Gastrointestinal distress  Long term exposure: Liver or kidney damage  People with Wilson's Disease should consult their personal doctor if the amount of copper in their water exceeds the action level	Corrosion of household plumbing systems; erosion of natural deposits

## Inorganic Chemicals

Contaminant	MCLG <sup>1</sup> (mg/L) <sup>2</sup>	MCL or TT <sup>1</sup> (mg/L) <sup>2</sup>	Potential Health Effects from Long-Term Exposure Above the MCL (unless specified as short-term)	Sources of Contaminant in Drinking Water
<b>Cyanide (as free cyanide)</b>	0.2	0.2	Nerve damage or thyroid problems	Discharge from steel/metal factories; discharge from plastic and fertilizer factories
<b>Fluoride</b>	4.0	4.0	Bone disease (pain and tenderness of the bones); Children may get mottled teeth	Water additive which promotes strong teeth; erosion of natural deposits; discharge from fertilizer and aluminum factories
<b>Lead</b>	zero	TT <sup>2</sup> ; Action Level=0.015	Infants and children: Delays in physical or mental development; children could show slight deficits in attention span and learning abilities  Adults: Kidney problems; high blood pressure	Corrosion of household plumbing systems; erosion of natural deposits
<b>Mercury (inorganic)</b>	0.002	0.002	Kidney damage	Erosion of natural deposits; discharge from refineries and factories; runoff from landfills and croplands
<b>Nitrate (measured as Nitrogen)</b>	10	10	Infants below the age of six months who drink water containing nitrate in excess of the MCL could become seriously ill and, if untreated, may die. Symptoms include shortness of breath and blue-baby syndrome.	Runoff from fertilizer use; leaking from septic tanks, sewage; erosion of natural deposits
<b>Nitrite (measured as Nitrogen)</b>	1	1	Infants below the age of six months who drink water containing nitrite in excess of the MCL could become seriously ill and, if untreated, may die. Symptoms include shortness of breath and blue-baby syndrome.	Runoff from fertilizer use; leaking from septic tanks, sewage; erosion of natural deposits
<b>Selenium</b>	0.05	0.05	Hair or fingernail loss; numbness in fingers or toes; circulatory problems	Discharge from petroleum refineries; erosion of natural deposits; discharge from mines

## Synthetic Organic Chemicals (SOCs) Sub-Section

SOC/VOC bottles are the smaller, thin bottles with the septum tops. Be careful not to get any air bubbles in the SOC/VOC bottles. It may take a few weeks to learn to collect a proper sample.

### SOC

Synthetic Organic Chemicals (SOCs) are organic (carbon based) chemicals that are less volatile than Volatile Organic Compounds (VOCs). SOCs are used as pesticides, defoliants, fuel additives and as ingredients for other organic compounds. They are all man made and do not naturally occur in the environment. Some of the more well-known SOCs are Atrazine, 2,4-D, Dioxin and Polychlorinated Biphenyls (PCBs).

SOCs most often enter the natural environment through application of pesticide (including runoff from areas where they are applied), as part of a legally discharged waste stream, improper or illegal waste disposal, accidental releases or as a byproduct of incineration. Some SOCs are very persistent in the environment, whether in soil or water.

SOCs are generally toxic and can have substantial health impacts from both acute (short-term) and chronic (long-term) exposure. Many are known carcinogens (cancer causing). EPA has set Maximum Contaminant Levels (MCL) for 30 SOCs under the Safe Drinking Water Act.

The Safe Drinking Water Act requires that all water sources of all public water systems be periodically monitored for regulated SOCs. The monitoring frequency can be adjusted through a waiver if SOCs are not detected.

EPA established Maximum Contaminant Levels (MCL), Maximum Contaminant Level Goals (MCLG), monitoring requirements and best available technologies for removal for 65 chemical contaminants over a five-year period as EPA gathered and analyzed occurrence and health effects data. This series of rules are known as the Chemical Phase Rules and they define regulations for three contaminant groups:

- ✓ Inorganic Chemicals (IOC),
- ✓ Synthetic Organic Chemicals (SOC), and
- ✓ Volatile Organic Chemicals (VOC).

The Chemical Phase rules provide public health protection through the reduction of chronic risks from:

- ✓ cancer;
- ✓ organ damage; and
- ✓ circulatory,
- ✓ nervous, and
- ✓ reproductive system disorders.

They also help to reduce the occurrence of Methemoglobinemia or "blue baby syndrome" from ingestion of elevated levels of nitrate or nitrite. All public water systems must monitor for Nitrate and Nitrite.

Community water systems and Non-transient non-community water systems must also monitor for IOCs, SOCs, and VOCs.

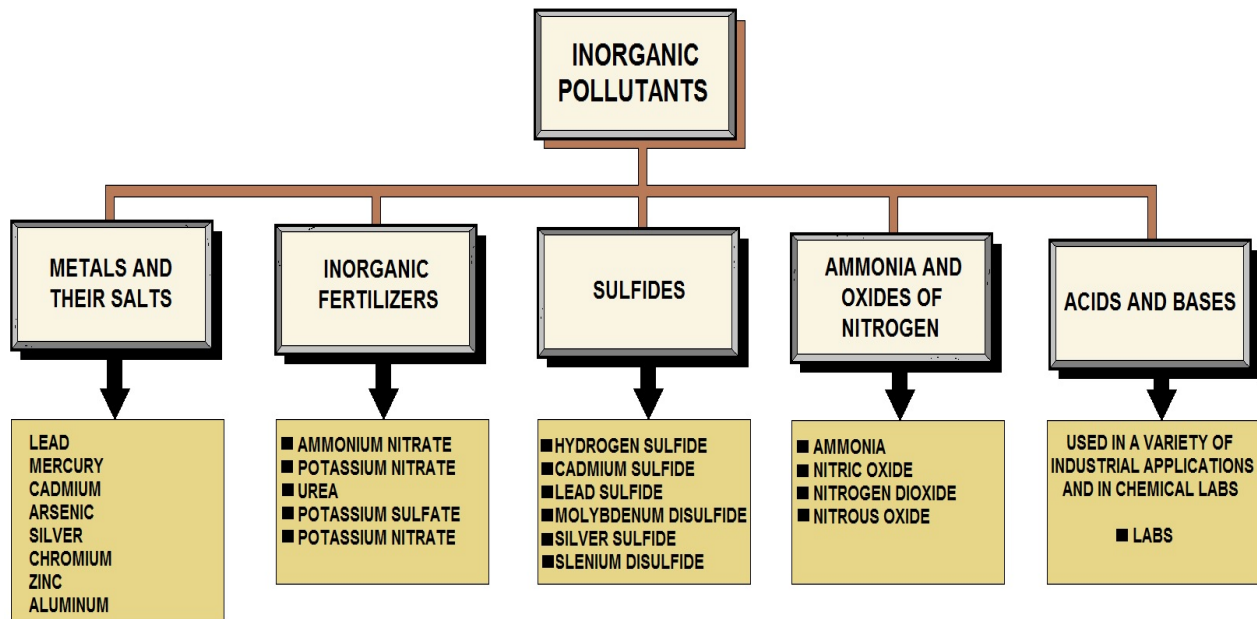
These lists of the organic chemicals—which include pesticides, industrial chemicals, and disinfection by-products—that are tested for in public water systems (those that provide water to the public), along with the maximum standard for the contaminant, and a brief description of the potential health effects associated with long-term consumption of elevated levels of the contaminants.

The federal standard for most contaminants is listed as a Maximum Contaminant Level (MCL), the lowest concentration at which that particular contaminant is believed to represent a potential health concern. Unless otherwise noted, the MCL is expressed as parts per billion (ppb).

Also, because of technological limitations or other factors, it is not possible to test for some contaminants in a reliable fashion. Instead, public water systems are required to use specific Treatment Techniques (TT) that are designed to remove these particular contaminants from the water.

### Unregulated Chemicals

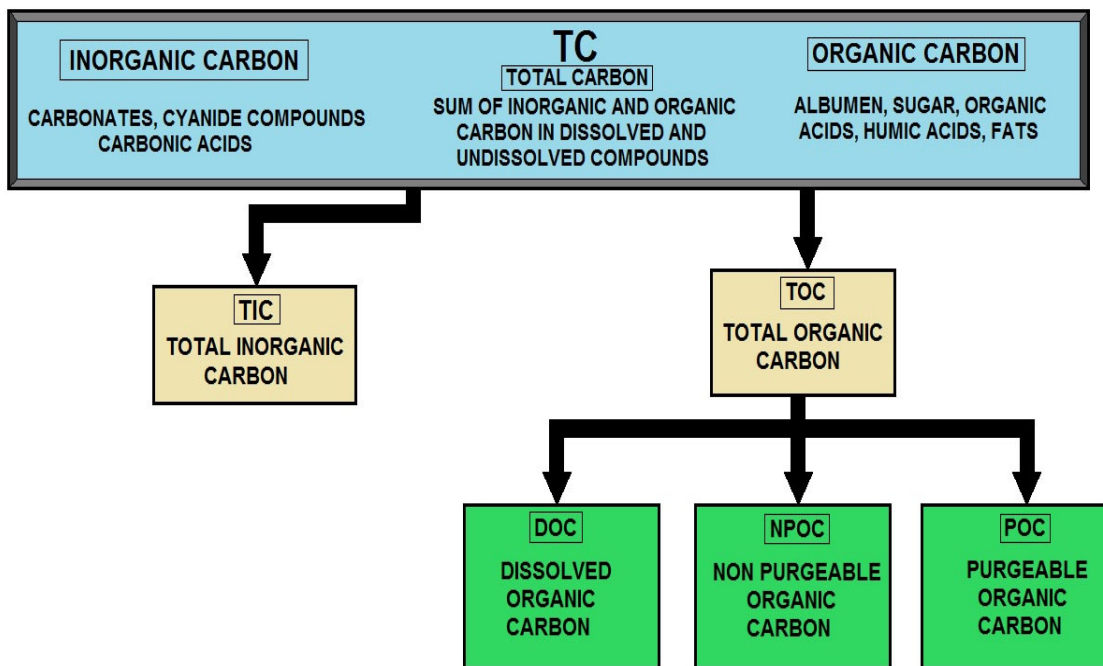
In addition to the chemicals listed, monitoring is done for approximately 60 organic chemicals for which MCLs have not been established. If unacceptable levels are found of these “unregulated” contaminants—based on established state health standards and an assessment of the risks they pose—the response is the same as if an MCL has been exceeded: the public water system must notify those served by the system.



### INORGANIC CONTAMINANTS OF WATER EXAMPLES

Synthetic Organic Chemicals	MCL (ppb)	Potential Health Effects
Acrylamide	TT	Cancer, nervous system effects
Alachlor	2	Cancer
Aldicarb	3	Nervous system effects
Aldicarb sulfoxide	4	Nervous system effects
Aldicarb sulfone	2	Nervous system effects
Atrazine	3	Liver, kidney, lung, cardiovascular effects; possible carcinogen
Benzo(a)pyrene (PAHs)	0.2	Liver, kidney effects, possible carcinogen
Carbofuran	40	Nervous system, reproductive system effects
Chlordane	2	Cancer
2,4-D	70	Liver, kidney effects
Di(2-ethylhexyl) adipate	400	Reproductive effects
Di(2-ethylhexyl) phthalate	6	Cancer
Dibromochloro-propane (DBCP)	0.2	Cancer
Dinoseb	7	Thyroid, reproductive effects
Diquat	20	Ocular, liver, kidney effects
Endothall	100	Liver, kidney, gastrointestinal effects
Endrin	2	Liver, kidney effects
Epichlorohydrin	TT	Cancer
Ethylene dibromide (EDB)	0.05	Cancer
Glyphosate	700	Liver, kidney effects
Heptachlor	0.4	Cancer
Heptachlor epoxide	0.2	Cancer
Hexachlorobenzene	1	Cancer
Hexachlorocyclopentadiene (HEX)	50	Kidney, stomach effects

Lindane	0.2	Liver, kidney, nervous system, immune system, circulatory system effects
Methoxychlor	40	Developmental, liver, kidney, nervous system effects
Oxamyl (Vydate)	200	Kidney effects
Pentachlorophenol	1	Cancer
Picloram	500	Kidney, liver effects
Polychlorinated biphenyls (PCBs)	0.5	Cancer
Simazine	4	Body weight and blood effects, possible carcinogen
2,3,7,8-TCDD (Dioxin)	0.00003	Cancer
Toxaphene	3	Cancer
2,4,5-TP (Silvex)	50	Liver, kidney effects



### TOTAL ORGANIC COMPOUNDS (CARBON)

# Volatile Organic Compounds (VOCs)

## Definitions


**Volatile Organic Compounds (VOCs)** – “VOCs are ground-water contaminants of concern because of very large environmental releases, human toxicity, and a tendency for some compounds to persist in and migrate with ground-water to drinking-water supply well ... In general, VOCs have high vapor pressures, low-to-medium water solubilities, and low molecular weights. Some VOCs may occur naturally in the environment, other compounds occur only as a result of manmade activities, and some compounds have both origins.” - Zogorski and others, 2006

**Volatile Organic Compounds (VOCs)** – “Volatile organic compounds released into the atmosphere by anthropogenic and natural emissions which are important because of their involvement in photochemical pollution.” - Lincoln and others, 1998

**Volatile Organic Compounds (VOCs)** – “Hydrocarbon compounds that have low boiling points, usually less than 100°C, and therefore evaporate readily. Some are gases at room temperature. Propane, benzene, and other components of gasoline are all volatile organic compounds.” - Art, 1993

**Volatile Organic Compounds (VOCs)** – “VOCs are organic compounds that can be isolated from the water phase of a sample by purging the water sample with inert gas, such as helium, and, subsequently, analyzed by gas chromatography.

Many VOCs are human-made chemicals that are used and produced in the manufacture of paints, adhesives, petroleum products, pharmaceuticals, and refrigerants. They often are compounds of fuels, solvents, hydraulic fluids, paint thinners, and dry-cleaning agents commonly used in urban settings. VOC contamination of drinking water supplies is a human-health concern because many are toxic and are known or suspected human carcinogens.” - U.S. Geological Survey, 2005

WHAT ARE VOLATILE ORGANIC COMPOUNDS ?		
VOLATILE ORGANIC COMPOUNDS (VOC's) ARE SUBSTANCES THAT EVAPORATE AT ROOM TEMPERATURE AND ARE COMMONLY FOUND IN HOUSEHOLD PRODUCTS AND BUILDING MATERIALS		
HEALTH EFFECTS:		
<ul style="list-style-type: none"> <li>• Irritate the Eyes, Nose and throat</li> <li>• Cause Headaches and Dizziness</li> <li>• Potentially Lead to Visual Impairment or Memory Loss</li> </ul>		
<b>ACID RAIN</b> ACID RAIN pH LEVEL: 4.2 - 4.4 NORMAL RAIN pH LEVEL: 5.6 Acid Rain Can Kill Aquatic Wildlife, Wash Away Vital Nutrients From Soil	<b>ENVIRONMENTAL EFFECTS</b>	<b>OZONE</b> VOCs & Nitrogen Oxides Combine & React with Sunlight, Ozone Forms at the Ground-Level, Leading to Smog. Ground-Level Ozone Formation Can Cause Plants to Develop Diseases, Reduces Growth.
AN EPA STUDY FINDS POLLUTANTS ARE		
<b>SOURCES OF VOCs</b>		
<b>HOUSEHOLD PRODUCTS CONTAINING VOCs</b> PAINTS & STRIPPERS WOOD PRESERVATIVES AEROSOL SPRAYS DISINFECTANTS & AIR FRESHENERS FUEL & AUTOMOTIVE PRODUCTS DRY-CLEANED CLOTHING PESTICIDES		<b>OUTDOOR SOURCES CONTAINING VOCs</b> GASOLINE DIESEL EMISSIONS WOOD BURNING

## VOLATILE ORGANIC COMPOUNDS (VOCs)



## **VOCs Explained**

**Volatile organic compounds** (VOCs) are organic chemicals that have a high vapor pressure at ordinary, room-temperature conditions. Their high vapor pressure results from a low boiling point, which causes large numbers of molecules to evaporate or sublime from the liquid or solid form of the compound and enter the surrounding air. An example is formaldehyde, with a boiling point of  $-19\text{ }^{\circ}\text{C}$  ( $-2\text{ }^{\circ}\text{F}$ ), slowly exiting paint and getting into the air.

VOCs are numerous, varied, and ubiquitous. They include both human-made and naturally occurring chemical compounds. Most scents or odors are of VOCs. VOCs play an important role in communication between plants. Some VOCs are dangerous to human health or cause harm to the environment.

Anthropogenic VOCs are regulated by law, especially indoors, where concentrations are the highest. Harmful VOCs are typically not acutely toxic, but instead have compounding long-term health effects. Because the concentrations are usually low and the symptoms slow to develop, research into VOCs and their effects is difficult.

### **Specific Components Paints and Coatings**

A major source of man-made VOCs are coatings, especially paints and protective coatings. Solvents are required to spread a protective or decorative film. Approximately 12 billion liters of paints are produced annually. Typical solvents are aliphatic hydrocarbons, ethyl acetate, glycol ethers, and acetone. Motivated by cost, environmental concerns, and regulation, the paint and coating industries are increasingly shifting toward aqueous (water-based) solvents.

### **Chlorofluorocarbons and Chlorocarbons**

Chlorofluorocarbons, which are banned or highly regulated, were widely used cleaning products and refrigerants. Tetrachloroethene is used widely in dry cleaning and by industry. Industrial use of fossil fuels produces VOCs either directly as products (e.g., gasoline) or indirectly as byproducts (e.g., automobile exhaust).

### **Benzene**

One VOC that is a known human carcinogen is benzene, which is a chemical found in environmental tobacco smoke, stored fuels, and exhaust from cars in an attached garage. Benzene also has natural sources such as volcanoes and forest fires. It is frequently used to make other chemicals in the production of plastics, resins, and synthetic fibers. Benzene evaporates into the air quickly and the vapor of benzene is heavier than air allowing the compound to sink into low-lying areas. Benzene has also been known to contaminate food and water and if digested can lead to vomiting, dizziness, sleepiness, rapid heartbeat, and at high levels, even death may occur.

### **Methylene Chloride**

Methylene chloride is another VOC that is highly dangerous to human health. It can be found in adhesive removers and aerosol spray paints and the chemical has been proven to cause cancer in animals. In the human body, methylene chloride is converted to carbon monoxide and a person will suffer the same symptoms as exposure to carbon monoxide. If a product that contains methylene chloride needs to be used the best way to protect



human health is to use the product outdoors. If it must be used indoors, proper ventilation is essential to keeping exposure levels down.

### **Perchloroethylene**

Perchloroethylene is a volatile organic compound that has been linked to causing cancer in animals. It is also suspected to cause many of the breathing related symptoms of exposure to VOC's. Perchloroethylene is used mostly in dry cleaning.

Studies show that people breathe in low levels of this VOC in homes where dry-cleaned clothes are stored and while wearing dry-cleaned clothing. While dry cleaners attempt to recapture perchloroethylene in the dry cleaning process to reuse it in an effort to save money, they can't recapture it all. To avoid exposure to perchloroethylene, if a strong chemical odor is coming from clothing when picked up from the dry cleaner, do not accept them and request that less of the chemical be used as well as a complete drying of the garments

### **MTBE**

MTBE was banned in the US around 2004 in order to limit further contamination of drinking water aquifers primarily from leaking underground gasoline storage tanks where MTBE was used as an octane booster and oxygenated-additive.

### **Formaldehyde**

Many building materials such as paints, adhesives, wall boards, and ceiling tiles slowly emit formaldehyde, which irritates the mucous membranes and can make a person irritated and uncomfortable. Formaldehyde emissions from treated wood are in the range of 0.02 – 0.04 ppm. Relative humidity within an indoor environment can also affect the emissions of formaldehyde. High relative humidity and high temperatures allow more vaporization of formaldehyde from wood materials.

### **Health Risks**

Respiratory, allergic, or immune effects in infants or children are associated with man-made VOCs and other indoor or outdoor air pollutants. Some VOCs, such as styrene and limonene, can react with nitrogen oxides or with ozone to produce new oxidation products and secondary aerosols, which can cause sensory irritation symptoms. Unspecified VOCs are important in the creation of smog.

### **Health Effects Include:**

Eye, nose, and throat irritation; headaches, loss of coordination, nausea; damage to liver, kidney, and central nervous system. Some organics can cause cancer in animals; some are suspected or known to cause cancer in humans. Key signs or symptoms associated with exposure to VOCs include conjunctival irritation, nose and throat discomfort, headache, allergic skin reaction, dyspnea, declines in serum cholinesterase levels, nausea, emesis, epistaxis, fatigue, dizziness.

The ability of organic chemicals to cause health effects varies greatly from those that are highly toxic, to those with no known health effects. As with other pollutants, the extent and nature of the health effect will depend on many factors including level of exposure and length of time exposed.

### **Routes of Entry**

Eye and respiratory tract irritation, headaches, dizziness, visual disorders, and memory impairment are among the immediate symptoms that some people have experienced soon

after exposure to some organics. At present, not much is known about what health effects occur from the levels of organics usually found in homes. Many organic compounds are known to cause cancer in animals; some are suspected of causing, or are known to cause, cancer in humans.

### **Reducing Exposure**

To reduce exposure to these toxins, one should buy products that contain Low-VOC's or No VOC's. Only the quantity which will soon be needed should be purchased, eliminating stockpiling of these chemicals. Use products with VOC's in well ventilated areas. When designing homes and buildings, design teams can implement the best possible ventilation plans, call for the best mechanical systems available, and design assemblies to reduce the amount of infiltration into the building.

These methods will help improve indoor air quality, but by themselves they cannot keep a building from becoming an unhealthy place to breathe. While proper building ventilation is a key component to improving indoor air quality, it cannot do the job on its own. As stated earlier, awareness is the key component to improving air quality, when choosing building materials, furnishings, and decorations. When architects and engineers implement best practices in ventilation and mechanical systems, the owner must maintain good air quality levels thereafter.

### **Chemical Fingerprinting**

The exhaled human breath contains a few hundred volatile organic compounds and is used in breath analysis to serve as a VOC biomarker to test for diseases such as lung cancer. One study has shown that "volatile organic compounds ... are mainly blood borne and therefore enable monitoring of different processes in the body." And it appears that VOC compounds in the body "may be either produced by metabolic processes or inhaled/absorbed from exogenous sources" such as environmental tobacco smoke. Research is still in the process to determine whether VOCs in the body are contributed by cellular processes or by the cancerous tumors in the lung or other organs.

Volatile Organic Chemicals	MCL (ppb)	Potential Health Effects
Benzene	5	Cancer
Carbon tetrachloride	5	Liver effects, cancer
Chlorobenzene	100	Liver, kidney, nervous system effects
o-Dichlorobenzene	600	Liver, kidney, blood cell effects
para-Dichlorobenzene	175	Kidney effects, possible carcinogen
1,2-Dichloroethane	5	Cancer
1,1-Dichloroethylene	7	Liver, kidney effects, possible carcinogen
cis-1,2-Dichloroethylene	70	Liver, kidney, nervous system, circulatory system effects
trans-1,2-Dichloroethylene	100	Liver, kidney, nervous system, circulatory system effects
1,2-Dichloropropane	5	Cancer
Ethylbenzene	700	Liver, kidney, nervous system effects
Methylene chloride	5	Cancer
Styrene	100	Liver, nervous systems effects, possible carcinogen
Tetrachloroethylene (PCE)	5	Cancer
Toluene	1,000	Liver, kidney, nervous system, circulatory system effects
Total trihalomethanes		
Chloroform		
Bromoform	100	Cancer
Bromodichloromethane		
Chlorodibromomethane		
1,2,4-Trichlorobenzene	70	Liver, kidney effects
1,1,1-Trichloroethane	200	Liver, nervous system effects
1,1,2-Trichloroethane	5	Kidney, liver effects, possible carcinogen
Trichloroethylene (TCE)	5	Cancer

Vinyl chloride	2	Nervous system, liver effects, cancer
Xylenes (total)	10,000	Liver, kidney, nervous system effects

Disinfection By-products	MCL (ppb)	Potential Health Effects
Bromate	10	Cancer
Chlorate	1,000	Anemia, nervous system effects
Haloacetic Acids (HAA5)*	60	Cancer
Total trihalomethanes (TTHMs)**	80	Cancer

\*Haloacetic acids consist of monochloroacetic acid, dichloroacetic acid, trichloroacetic acid, monobromoacetic acid, and dibromoacetic acid.

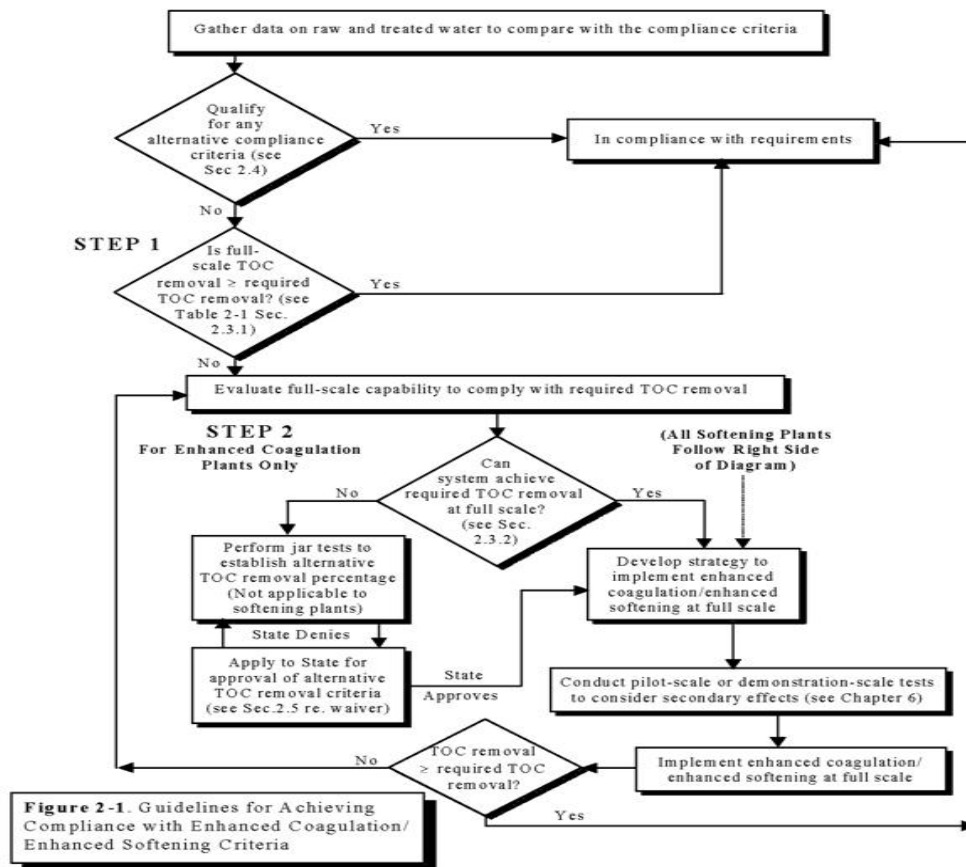
\*\*Total trihalomethanes consist of chloroform, bromoform, bromodichloromethane, and chlorodibromomethane.

# TOC Removal Performance Requirements

Individual treatment plants are required to achieve a specified percent removal (Step 1) of influent TOC between the raw water sampling point and the treated water TOC monitoring location (no later than the combined filter effluent turbidity monitoring location). Compliance with the TOC removal requirement is based on a running annual average, computed quarterly.

Plants, therefore, will make four compliance determinations each year, one per quarter, based on the most recent four quarters of data. If a plant practicing enhanced coagulation achieves a running annual average removal ratio of less than 1.0 (the ratio of actual percent TOC removal to required percent TOC removal) after the first year of TOC compliance monitoring, and it does not meet any alternative compliance criteria, it is required to perform jar or pilot-scale testing (Step 2 testing) to set an alternative TOC removal requirement, and report the results of testing to the State within three months of failing to achieve a running annual average removal ratio of greater than or equal to 1.0. The alternative removal percentage is subject to State approval. The compliance process is illustrated in Figure 2-1.

Figure 2-1. Guidelines for Achieving Compliance with Enhanced Coagulation/enhanced Softening Criteria



Enhanced softening plants unable to meet the Step 1 TOC removal percentage on a running annual average basis can also establish compliance by achieving either of two softening specific alternative compliance criteria. Enhanced softening plants are not required to perform Step 2 testing to set an alternative TOC removal percentage.

### Step One TOC Removal Requirements

Table 2-1 summarizes the percent TOC removal requirements for enhanced coagulation. Enhanced softening plants are required to comply with the TOC removal percentages in the far right column of Table 2-1 (i.e., where alkalinity >120 mg/L as CaCO<sub>3</sub>). The percent TOC removals identified in this table are based upon a large database of bench-, pilot-, and full-scale studies at a large number of utilities across the nation (Chowdhury et al., 1997).

The TOC removal criteria presented in Table 2-1 were selected so that a large majority (e.g., 90 percent) of plants required to operate with enhanced coagulation or enhanced softening will be able to meet the TOC removal percentages. Setting the removal criteria this way is expected to result in: (1) smaller transactional costs to the State because fewer evaluations of Step 2 experimental data will be required; and (2) reasonable increases in coagulant doses at affected plants.

**TABLE 2-1  
Required Removal of TOC by Enhanced Coagulation for Plants Using Conventional Treatment**

SOURCE WATER TOC (mg/L)	SOURCE WATER ALKALINITY (mg/L as CaCO <sub>3</sub> )		
	0 to 60	>60 to 120	>120
>2.0-4.0	35.0%	25.0%	15.0%
>4.0-8.0	45.0%	35.0%	25.0%
>8.0	50.0%	40.0%	30.0%

The percent removal requirements specified in Table 2-1 were developed with recognition of the tendency for TOC removal to become more difficult as alkalinity increases and TOC decreases. In higher alkalinity waters, pH depression to a level at which TOC removal is optimal (e.g., pH between 5.5 and 6.5) is more difficult and cannot be achieved easily through the addition of coagulant alone. Compliance with the TOC removal requirements is calculated with a running annual average, computed quarterly.

Month to month changes in source water TOC and/or alkalinity levels will cause some plants to move from one box of Table 2-1 to another. The required TOC removal, therefore, may change month to month based on the TOC and alkalinity level of the monthly source water compliance sample.

Plants not currently in compliance with the values in Table 2-1 may wish to perform jar testing to evaluate modifications to coagulant dose and/or pH conditions to determine whether the required TOC removals can be achieved. If the TOC removal performance criteria identified in Table 2-1 cannot be met, enhanced coagulation systems must implement Step 2.

The Step 2 procedure described here is based on the incremental addition of coagulant to define an alternative TOC removal percentage. Only aluminum or iron-based coagulants may be used for the Step 2 procedure. Addition of acid, polymers, or other treatment chemicals is not permitted. Once the alternative TOC removal percentage is determined via Step 2 testing, a plant may achieve this removal at full-scale using any combination of coagulant, coagulant aid, filter aid, or pH adjustment by acid addition. The goal of the Step 2 procedure is to determine the amount of TOC that can be removed with reasonable amounts of coagulant, and to define an alternative TOC removal percentage. The procedure is not designed nor intended to be used to establish a full-scale coagulant dose requirement.

### **Monitoring and Reporting**

Plants requiring monitoring for TOC removal compliance must develop and implement a monitoring plan. The plant must maintain the plan and make it available for inspection by the State and the general public.

Subpart H systems serving more than 3,300 people must submit a copy of the monitoring plan to the State no later than the first time data are submitted to demonstrate compliance with any portion of the DBPR. Following its review, the State may require changes to the monitoring plan. The State also may require monitoring plans to be submitted by other systems. The monitoring plan must include at least the following elements:

- Locations for collecting samples used to demonstrate compliance (frequency and day(s) of sampling also should be included for source and finished water TOC sampling).
- An explanation of the enhanced coagulation/enhanced softening treatment technique to be used, and how the system will calculate compliance with MCLs and MRDLs.

The monitoring plan sampling locations for TTHM and HAA5 must be representative of the entire distribution system if water is provided to consecutive systems, or if the system is approved for monitoring as a consecutive system.

### **Sampling Location and Monitoring Frequency**

#### **TOC**

Plants required to implement enhanced coagulation or enhanced softening must monitor for TOC in the source water prior to any treatment, including oxidant addition. Treated water TOC also must be monitored no later than the combined filter effluent turbidity monitoring location. These samples (source water and treated water) are referred to as paired samples. Plants must take a minimum of one paired sample per month per plant at a time representative of normal operating conditions and influent water quality.

#### **Alkalinity**

At the time of paired sampling for TOC, the plant also must sample for source water alkalinity at the same location.

### **Reduced Monitoring for TOC and Alkalinity**

Plants may reduce TOC monitoring under the following conditions:

1. Plants with a treated water TOC running annual average of less than 2.0 mg/L for two consecutive years may reduce monitoring for both TOC and alkalinity to one paired sample per plant per quarter, or
2. Plants with a treated water TOC running annual average of less than 1.0 mg/L for one year may reduce monitoring for both TOC and alkalinity to one paired sample per plant per quarter.

Plants under reduced monitoring must revert to routine monitoring in the month following the quarter when the average treated water TOC is greater than or equal to 2.0 mg/L.

### **Monitoring for Alternative Compliance Criteria**

Running annual averages are used to demonstrate compliance with alternative compliance criteria. Four quarters of data are needed to demonstrate that the alternative compliance criteria are being met on a running annual average basis. However, alternative compliance criteria also may be used to demonstrate compliance for a single month.

For example, a plant might not achieve the required Step 1 TOC removal in a given month, but may determine that the finished water SUVA for that monthly sample is less than or equal to 2.0 L/mg-m.

The plant is therefore able to assign a value of 1.0 (a value of 1.0 or greater indicates compliance with the TOC removal requirements) for the monthly removal ratio to use in the running annual average compliance calculation.

For months in which alternative compliance criteria are used, a monthly removal ratio of 1.0 must be used for compliance calculations. A plant can demonstrate compliance with enhanced coagulation and enhanced precipitative softening if the criteria listed below are met:

1. Source water TOC < 2.0 mg/L.
2. Treated water TOC < 2.0 mg/L.
3. Source water SUVA  $\leq$  2.0 L/mg-m.
4. Treated water SUVA  $\leq$  2.0 L/mg-m.
5. Source water TOC < 4.0 mg/L, source water alkalinity > 60 mg/L (as CaCO<sub>3</sub>), TTHM < 40 ug/L, HAA5 < 30 ug/L.
6. TTHM  $\leq$  40 ug/L and HAA5  $\leq$  30ug/L with only chlorine for primary disinfection and maintenance of a residual.

### **Monitoring for TTHM and HAA5**

Utilities monitoring to meet alternative compliance requirements must use the TTHM and HAA5 samples used to calculate compliance with the MCLs to determine compliance with alternative compliance criteria 5 and 6. Monitoring locations and sampling frequency for TTHM and HAA5 depend on the source water type and the population served, as shown in Table 4-1.



**TABLE 4-1  
Monitoring Locations and Sampling Frequency for TTHM and HAA5**

Type of System	Minimum Monitoring Frequency	Sample Location in the Distribution System
Subpart H system serving at least 10,000 persons	Four water samples per quarter per treatment plant	At least 25 percent of all samples collected each quarter at locations representing maximum residence time in the distribution system. Remaining samples taken at locations representative of at least average residence time in the distribution system and representing the entire distribution system, taking into account number of persons served, different sources of water, and different treatment methods.
Subpart H system serving from 500 to 9,999 persons	One sample per quarter per treatment plant <sup>1</sup>	Locations representing maximum residence time. <sup>1</sup>
Subpart H system serving fewer than 500 persons	One sample per year per treatment plant during month of warmest water temperature	Locations representing maximum residence time. <sup>1</sup> If the sample (or any of annual samples, if more than one sample is taken) exceeds MCL, system must increase monitoring to one sample per treatment plant per quarter, taking point reflecting the maximum residence time in the distribution system, until system meets reduced monitoring specified in the regulation.
System using only ground water not under direct influence of surface water using chemical disinfectant and serving at least 10,000 people	One sample per quarter per treatment plant <sup>2</sup>	Locations representing maximum residence time. <sup>1</sup>
System using only ground water not under direct influence of surface water using chemical disinfectant and serving fewer than 10,000 people	One sample per year per treatment plant during month of warmest water temperature	Locations representing maximum residence time. <sup>1</sup> If the sample (or any of annual samples, if more than one sample is taken) exceeds MCL, system must increase monitoring to one sample per treatment plant per quarter, taking point reflecting the maximum residence time in the distribution system, until system meets reduced monitoring specified in the regulation.

<sup>1</sup> If a system elects to sample more frequently than the minimum required, at least 25 percent of all samples collected each quarter (including those taken in excess of the required frequency) must be taken at locations that represent the maximum residence time of the water in the distribution system. The remaining samples must be taken at locations representative of at least average residence time in the distribution system.

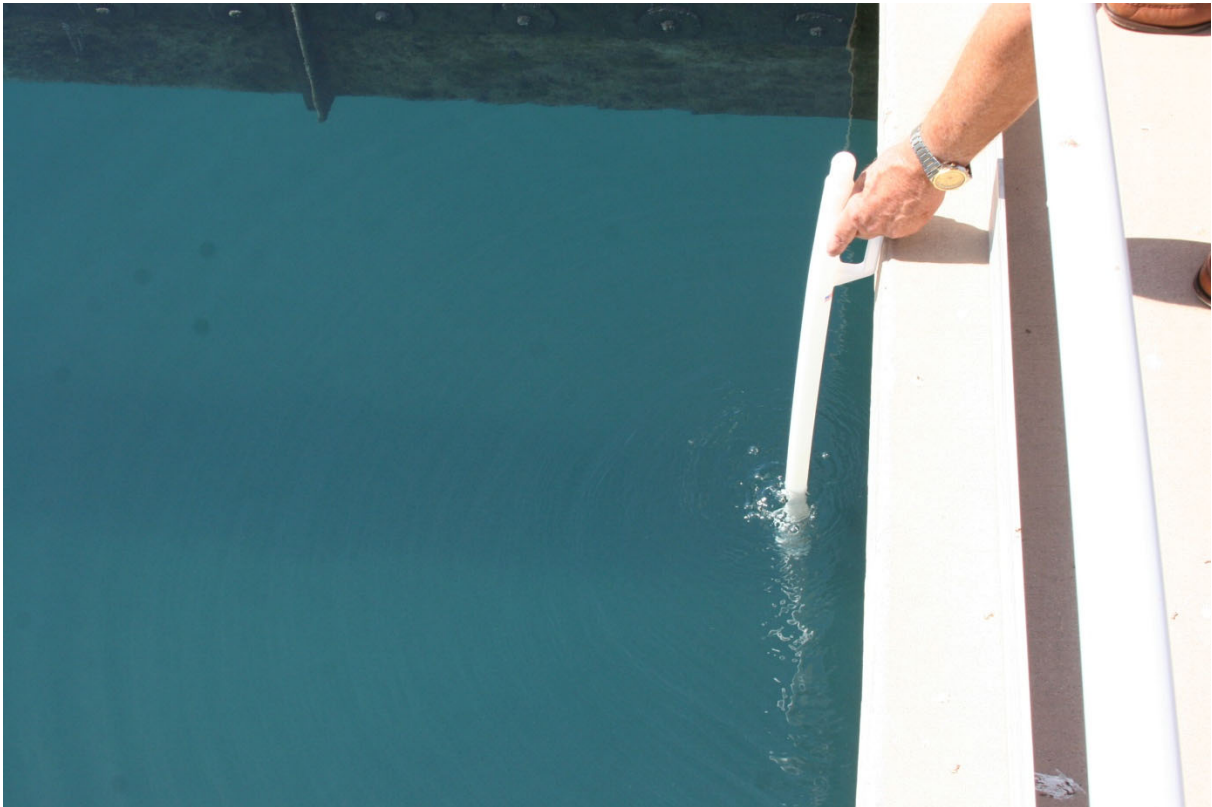


### **Maintain TOC < 4 mg/L for Reduced TTHM and HAA5 Monitoring**

In order to qualify for reduced routine compliance monitoring for TTHM and HAA5, subpart H systems (i.e., systems that use surface water supplies or ground water under direct influence of surface water) not monitoring to demonstrate compliance with TOC removal requirements of Stage 1 DBPR (i.e., plants that are not conventional filtration designs) must take monthly TOC samples every 30 days at a location prior to any treatment, beginning April 1, 2008 or earlier, if specified by the state.

The source water TOC running annual average must be <4.0 mg/L (based on the most recent four quarters of monitoring) on a continuing basis at each treatment plant to reduce or remain on reduced monitoring for TTHM and HAA5. After demonstration of TOC level, the system may reduce monitoring to every 90 days.

Systems on a reduced monitoring schedule may remain on that reduced schedule as long as the average of all samples taken in the year (for systems which must monitor quarterly) or the result of the sample (for systems which must monitor no more frequently than annually) is no more than 0.060 mg/L and 0.045 mg/L for TTHMs and HAA5, respectively.



A grab sample being taken from a sedimentation basin to check for TOC removal.

<b>DISINFECTANTS AND DISINFECTION BYPRODUCTS RULE</b>			
<b>DISINFECTION RESIDUAL</b>	<b>MRDLG (mg/L)</b>	<b>MRDL (mg/L)</b>	<b>COMPLIANCE BASED ON:</b>
<b>CHLORINE</b>	4 (as Cl <sub>2</sub> )	4.0 (as Cl <sub>2</sub> )	ANNUAL AVERAGE
<b>CHLORAMINE</b>	4 (as Cl <sub>2</sub> )	4.0 (as Cl <sub>2</sub> )	ANNUAL AVERAGE
<b>CHLORINE DIOXIDE</b>	0.8 (as ClO <sub>2</sub> )	0.8 (as ClO <sub>2</sub> )	ANNUAL AVERAGE
<b>DISINFECTION BYPRODUCTS</b>	<b>MCLG (mg/L)</b>	<b>MCL (mg/L)</b>	<b>COMPLIANCE BASED ON:</b>
<b>TOTAL TRIHALOMETHANES (TTHM)<sup>1</sup></b>	N/A	0.080	ANNUAL AVERAGE
- CHLOROFORM	***		
- BROMODICHLOROMETHANE	0		
- DIBROMOCHLOROMETHANE	0.06		
- BROMOFORM	0		
<b>HALOACETIC ACIDS (five) (HAA5)<sup>2</sup></b>	N/A	0.60	ANNUAL AVERAGE
- DICHLOROACETIC ACID	0		
- TRICHLOROACETIC ACID	0.3		
<b>CHLORITE</b>	0.8	1.0	MONTHLY AVERAGE
<b>BROMATE</b>	0	0.010	ANNUAL AVERAGE

**DISINFECTION BYPRODUCTS RULE PARAMETERS EXAMPLE**



## Safe Drinking Water Act (SDWA) Summary

In 1974, Congress passed the Safe Drinking Water Act (SDWA) setting up a regulatory program among local, state, and federal agencies to help ensure the provision of safe drinking water in the U.S. The states are expected to administer and enforce these regulations for public water systems (systems that either have 15 or more service connections or regularly serve an average of 25 or more people daily for at least 60 days each year). Public water systems must provide water treatment, ensure proper drinking water quality through monitoring, and provide public notification of contamination problems.

### Relating to prevention of waterborne disease, the SDWA required EPA to:

- 1) set numerical standards, referred to as Maximum Contaminant Levels (MCLs — the highest allowable contaminant concentrations in drinking water) or treatment technique requirements for contaminants in public water supplies;
- 2) issue regulations requiring monitoring of all regulated and certain unregulated contaminants, depending on the number of people served by the system, the source of the water supply, and the contaminants likely to be found;
- 3) set criteria under which systems are obligated to filter water from surface water sources; it must also develop procedures for states to determine which systems have to filter;
- 4) develop disinfection rules for all public water supplies; and
- 5) require all states to develop Wellhead Protection Programs designed to protect from sources of contamination areas around wells that supply public drinking water systems.

Through the Surface Water Treatment Rule (SWTR), EPA has set treatment requirements to control microbiological contaminants in public water systems using surface water sources (and ground-water sources under the direct influence of surface water). These requirements include the following:

- 1) treatment must remove or inactivate at least 99.9% of *Giardia lamblia* cysts and 99.99% of viruses;
- 2) all systems must disinfect, and are required to filter if certain source water quality criteria and site-specific criteria are not met;
- 3) the regulations set criteria for determining if treatment, including turbidity (suspended particulate matter) removal and disinfection requirements, is adequate for filtered systems; and
- 4) all systems must be operated by qualified operators as determined by the states.

### Current EPA Research –Barriers to Contamination

Although water treatment and disinfection techniques are quite effective at microbe reduction, finished drinking water is not sterile. Survival and regrowth of microorganisms in drinking water distribution systems can lead to the deterioration of water quality and even noncompliance of a supply.

Regrowth has largely been associated with heterotrophic bacteria (i.e., those bacteria – including pathogens – that require preformed organic compounds as carbon and energy sources).

Bacterial growth occurs on the walls of the distribution system (referred to as “biofilms”) and in the water either as free living cells or cells attached to suspended solids. A multi-faceted phenomenon, bacterial regrowth is influenced primarily by temperature, residence time in mains and storage units, the efficacy of disinfection, and nutrients.

### **Assimilable Organic Carbon (AOC)**

Assimilable organic carbon (AOC) is the portion of the total organic carbon (TOC) dissolved in water that is easily used by microorganisms as a carbon source (i.e., nutrients). Researchers are currently investigating treatment processes to control AOC.

One promising process is biologically active filtration wherein bacterial communities are intentionally established in the filters to use up, or biodegrade, the AOC as it passes through. This treatment process must be employed before final disinfection so that bacteria escaping from the filter can be properly controlled.

Most water utilities do not disinfect with chlorine until late in the treatment train. This limits the formation of disinfection by-products (i.e., those compounds like chloroform produced when chlorine reacts with naturally occurring organic carbon).

To accomplish disinfection earlier in treatment, some water utilities employ ozonation. While ozone is a very strong disinfectant, it also converts a portion of the TOC into AOC. Researchers are examining the advantages (e.g., disinfection of bacteria, viruses and protozoan cysts, control of color, control of taste and odor, enhancement of coagulation, and partial oxidation of the naturally occurring organic carbon that reacts with chlorine) and disadvantages of ozone (e.g., enhancement of AOC, conversion of bromide to bromate, and formation of its own disinfection byproducts like formaldehyde).

### **EPANET**

The project entitled “EPANET” involves the development and testing of a water quality model for drinking water distribution systems. The EPANET model is a computer program that performs extended period simulation of hydraulic and water quality behavior within water distribution networks. It tracks the flow of water in each pipe, the pressure at each pipe junction, the height of water in each tank, and the concentration of a contaminant throughout the network during a multiple time period simulation. Water age and source tracing can also be simulated.

EPANET can be useful for analyzing the loss of disinfectant residual, designing water quality sampling programs, performing drinking water exposure risk assessments, and calibrating network hydraulic models. It can provide insight into how changes in water source utilization, pumping water storage levels, use of satellite treatment and targeted pipe cleaning and replacement would affect drinking water quality. In support of small community and non-community (less than 3,300 people) drinking water treatment systems, researchers are designing, modifying and testing “Hybrid Drinking Water Treatment Package Plants.”

These package plants are factory-built, skid-mounted, and ready to be operated in the field with minimal site preparation. They exhibit lower capital cost than custom designed facilities built onsite and can incorporate any drinking water treatment process. Promising technologies being considered for incorporation include membranes, advanced oxidation, bag filters, and photocatalytic oxidation.

By merging, modifying, and adapting conventional treatment trains with innovative treatment technologies, a broader variety of contaminants (including pathogens) can be removed and SDWA compliance can be facilitated. Concern has recently mounted over the ability of certain pathogenic protozoan (*Cryptosporidium*) cysts to survive treatment processes and enter the distribution system.

## Topic 1- Water Quality Post Quiz

### Internet Link to Assignment...

<http://www.abctlc.com/downloads/PDF/Treatment303ASS.pdf>

***The answers for the post quiz are located in the rear before the References.***

1. What is the substance or compound manufactured from aluminum hydroxide by dehydroxylating it in a way that produces a highly porous material?
2. Define TDS?
3. What is the substance or compound forms especially strong complexes with Mn(II), Cu(II), Fe(III), Pb (II) and Cr(III)?
4. Which compound/element can dissolve and accumulate in underground water sources, such as wells, and in the air in your home?
5. The EPA set a standard limit or the amount of what element in drinking water to 10 ppb?
6. Which compound/element/substance is a chemical that occurs naturally in the earth's crust. When rocks, minerals, and soil erode, they release this compound/element/substance into water supplies?

### **ICR**

7. The EPA has collected data required by the Information Collection Rule (ICR) to support future regulation of *Microbial contaminants*, disinfectants, and disinfection byproducts. True or False
8. The rule is intended to provide EPA with information on chemical byproducts that form when disinfectants used for microbial control react with chemicals already present in source water (disinfection byproducts (DBPs)); *Disease-causing microorganisms (pathogens)*, including Cryptosporidium; and engineering data to control these contaminants. True or False

## Stage 2 DBP Rule Federal Register Notices

9. Which rule is one part of the Microbial and Disinfection Byproducts Rules, which are a set of interrelated regulations that address risks from microbial pathogens and disinfectants/disinfection byproducts?

10. Which rule focuses on public health protection by limiting exposure to DBPs, specifically total trihalomethanes and five haloacetic acids, which can form in water through disinfectants used to control microbial pathogens?

11. There are specific microbial pathogens, such as \_\_\_\_\_, which can cause illness, and are highly resistant to traditional disinfection practices.

12. Which rule and the Long Term 2 Enhanced Surface Water Treatment Rule are the second phase of rules required by Congress?

13. Which rule is being promulgated simultaneously with the Long Term 2 Enhanced Surface Water Treatment Rule to address concerns about risk tradeoffs between pathogens and DBPs?

14. Which term requires systems to conduct an evaluation of their distribution systems, known as an Initial Distribution System Evaluation?

## Filter Backwash Recycling Rule (FBRR)

15. The Filter Backwash Recycling Rule (FBRR) regulates the chlorination within the treatment process of public water systems. True or False

16. The FBRR requires surface water systems to review their recycle practices and to modify any recycle practices that may compromise microbial control or contribute to violations of the drinking water regulations. Recycle flows can be a source of concentrated microbial pathogens and chemical contaminants.

True or False

## IESWTR

17. The Interim Enhanced Surface Water Treatment Rule (IESWTR) builds on the requirements of the Surface Water Treatment Rule. True or False

18. IESWTR specifies treatment requirements to address *fluoride* in public water systems serving 10,000 or more persons. True or False



## Topic 2- Bacteriological Monitoring Section

**Section Focus:** You will learn the basics of the EPA's Total Coliform Rule and bacteriological sampling. At the end of this section, you will be able to describe the Total Coliform Rule. There is a post quiz at the end of this section to review your comprehension and a final examination in the Assignment for your contact hours.

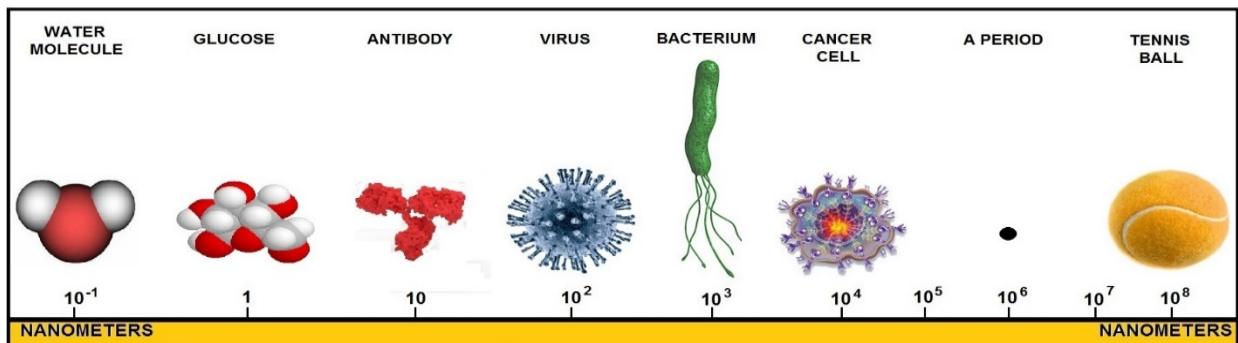
**Scope/Background:** The Environmental Protection Agency (EPA) published the Revised Total Coliform Rule (RTCR) in the Federal Register (FR) on February 13, 2013 (78 FR 10269) and minor corrections on February 26, 2014 (79 FR 10665). The RTCR is the revision to the 1989 Total Coliform Rule (TCR) and is intended to improve public health protection. The RTCR applies to all PWSs.

### Microbiology Introduction

Microorganisms of greatest significance to water professionals can be classified into four groups:

1. Bacteria - Prokaryotes
2. Protozoans
3. Metazoans
4. Viruses

Each of these groups plays a key role in the complex world of wastewater biology.



**SIZE COMPARISON**  
HOW SMALL IS SMALL ?

### Bacteria Introduction

Bacteria are highly designed creatures formed in a variety of shapes. The simplest shape is a round sphere or ball.

Bacteria formed like this are called cocci (singular coccus). The next simplest shape is cylindrical.

Cylindrical bacteria are called rods (singular rod). Some bacteria are basically rods but instead of being straight they are twisted, bent or curved, sometimes in a spiral. These bacteria are called spirilla (singular spirillum). Spirochaetes are tightly coiled up bacteria.

## Organisms Descriptors and Meanings Chart

Description	Meaning
Aerobic	With air
Anaerobic	Without air
Auto	Self (Inorganic carbon)
Facultative	With air or without air
Hetero	Other (Organic carbon)
Troph	Feed or nourish
Photo	Light
Chemo	Chemical
Organo	Organic
Litho	Rock



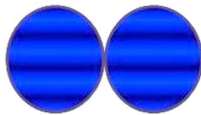
**Coccus**



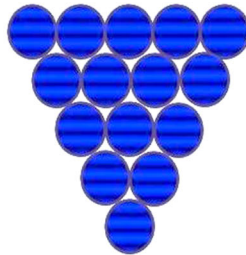
**Bacillus**



**Spirillum**



**Diplo-**



**Staphylo-**



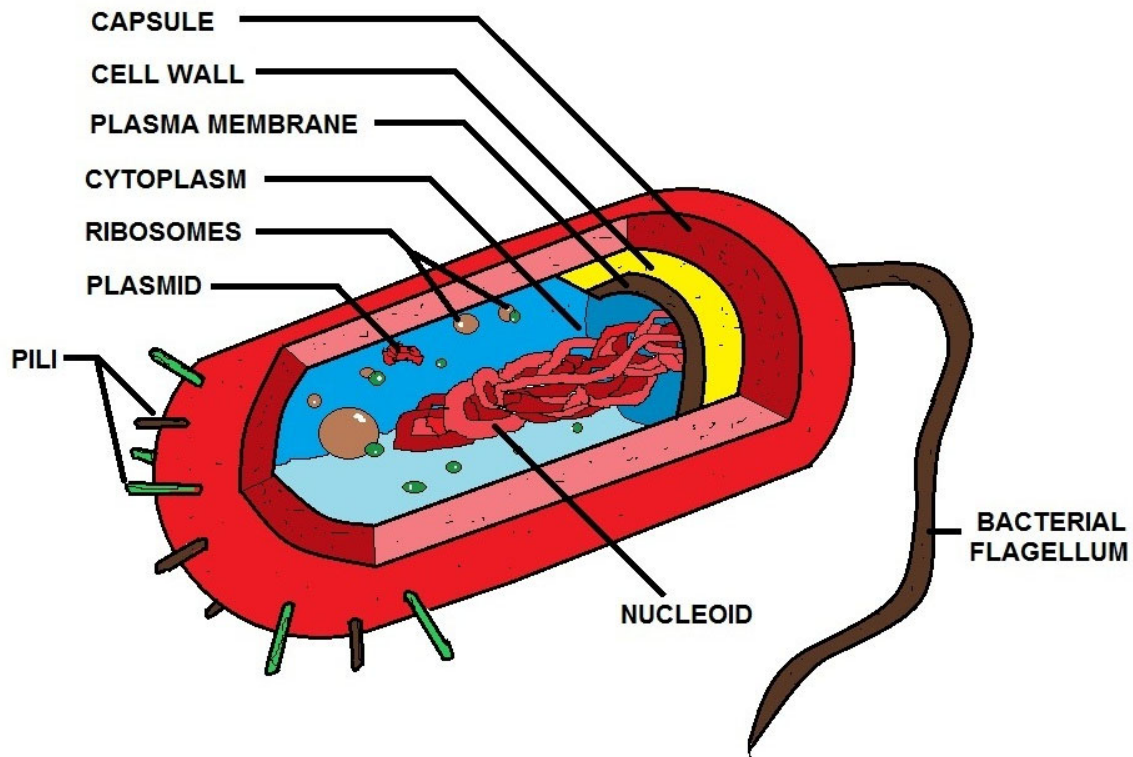
**Strepto-**

### BASIC BACTERIA SHAPES DIAGRAM

#### Bacteria Biofilm or Colonies

Bacteria tend to live together in clumps, chains or planes. When they live in chains, one after the other, they are called filamentous bacteria - these often have long thin cells. When they tend to collect in a plane or a thin layer over the surface of an object, they are called a biofilm. Many bacteria exist as a biofilm and the study of biofilms is very important. Biofilm bacteria secrete sticky substances that form a sort of gel in which they live. The plaque on your teeth that causes tooth decay is a biofilm.

## Bacteria Diagram



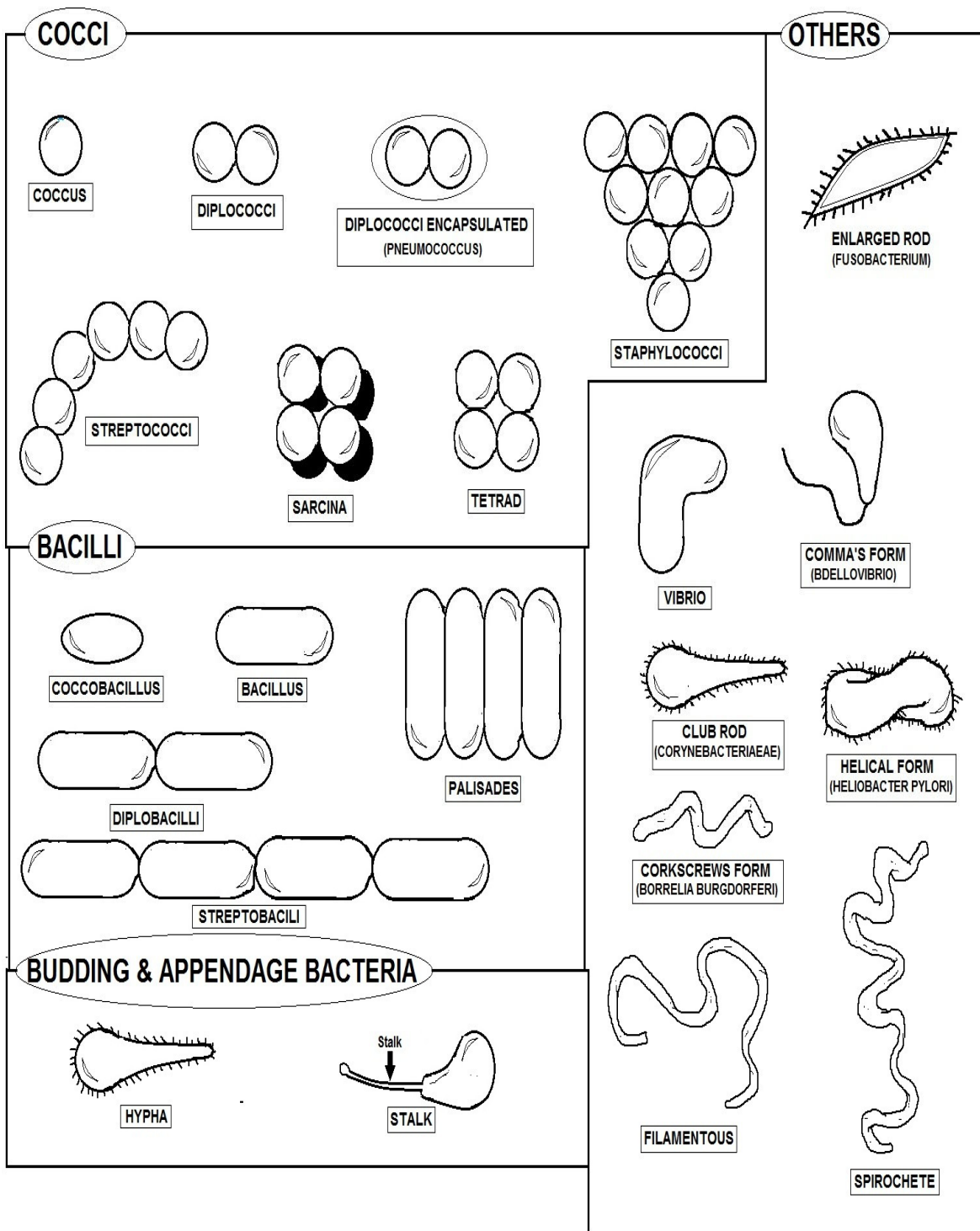
### PROKARYOTIC CELL

Above is a typical bacterial cell has a rigid outer coating that gives them structure and maintains their shape. This is the cell wall. Bacteria also have an inner, flexible membrane called the *periplasmic membrane* or *cell membrane*. This dual-layered covering has been compared to a balloon inside a box.

The cell membrane is very important because it controls the intake of food and other nutrients and discharge of waste products. It keeps "in" what needs to be inside (e.g., enzymes, nutrients, and food) and keeps "out" what should be outside (e.g., excess water). The box is the cell wall. The cell wall provides the structural support and maintains the shape of the cell.

Much of the cellular contents are large protein molecules, known as enzymes, which are manufactured by the cell. Other cellular contents may include granules of polyphosphate, sulfur, or stored organic material.

Bacteria are somewhat predictable and, at a basic level, can be compared to miniature combustion engines. For an engine to function, it requires both a fuel and oxygen source. The oxygen sources is used to chemically burn fuel to release energy. The technically correct term for this process is oxidation. The byproducts of combustion when burning organic fuel with oxygen are carbon dioxide (CO<sub>2</sub>) and water (H<sub>2</sub>O).



## BACTERIA SHAPES

## Microbiological Contaminant Information

The sources of drinking water include rivers, lakes, streams, ponds, reservoirs, springs, and wells. As water travels over the surface of the land or through the ground, it dissolves naturally occurring minerals and in some cases, radioactive material, and can pick up substances resulting from the presence of animals or human activity.

### **Contaminants that may be present in sources of drinking water include:**

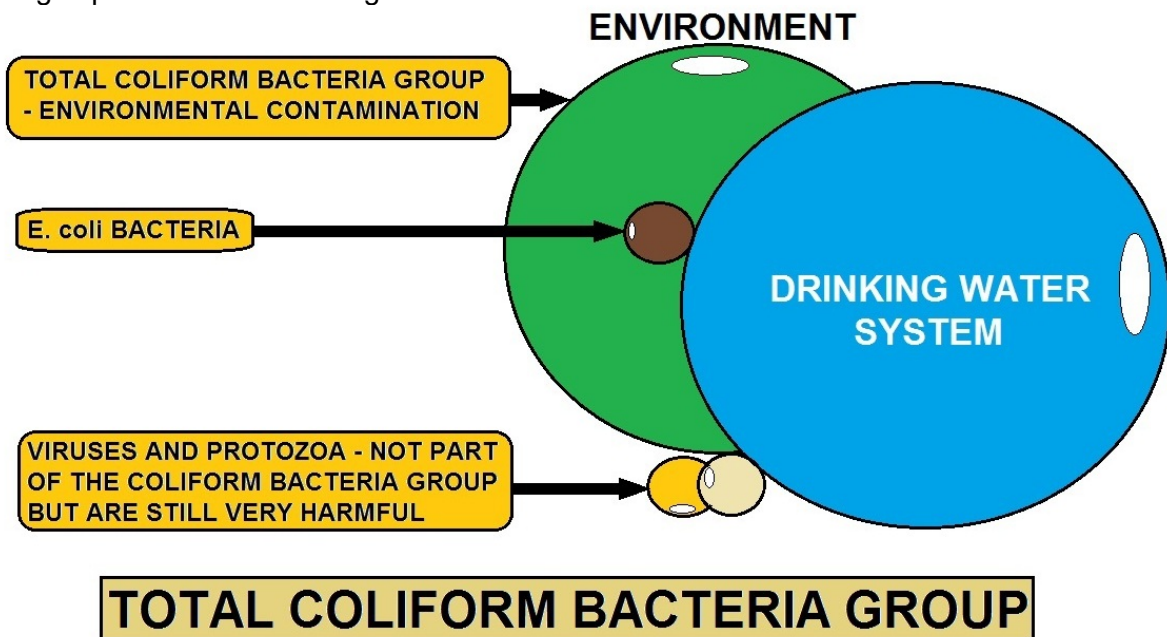
**Microbial contaminants**, such as viruses and bacteria, which may come from sewage treatment plants, septic systems, agricultural livestock operations and wildlife;

**Inorganic contaminants**, such as salts and metals, which can be naturally occurring or result from urban stormwater runoff, industrial or domestic wastewater discharges, oil and gas production, mining or farming;

**Pesticides and herbicides**, which may come from a variety of sources such as agriculture, urban stormwater run-off, and residential uses;

**Organic chemical contaminants**, including synthetic and volatile organic chemicals, which are by-products of industrial processes and petroleum production, and can also come from gas stations, urban stormwater run-off, and septic systems;

**Radioactive contaminants**, which can be naturally occurring or be the result of oil and gas production and mining activities.



### **Background**

Coliform bacteria and chlorine residual are the only routine sampling and monitoring requirements for small ground water systems with chlorination. The coliform bacteriological sampling is governed by the Total Coliform Rule (TCR) of the SDWA. Although there is presently no requirement for chlorination of groundwater systems under the SDWA, State regulations require chlorine residual monitoring of those systems that do chlorinate the water.

## **TCR**

The TCR requires all Public Water Systems (**PWS**) to monitor their distribution system for coliform bacteria according to the written sample sitting plan for that system. The sample sitting plan identifies sampling frequency and locations throughout the distribution system that are selected to be representative of conditions in the entire system.

Coliform contamination can occur anywhere in the system, possibly due to problems such as; low pressure conditions, line breaks, or well contamination, and therefore routine monitoring is required. A copy of the sample sitting plan for the system should be kept on file and accessible to all who are involved in the sampling for the water system.

### **Number of Monthly Samples**

The number of samples to be collected monthly depends on the size of the system. The TCR specifies the minimum number of coliform samples collected, but it may be necessary to take more than the minimum number in order to provide adequate monitoring.

This is especially true if the system consists of multiple sources, pressure zones, booster pumps, long transmission lines, or extensive distribution system piping. Since timely detection of coliform contamination is the purpose of the sample-sitting plan, sample sites should be selected to represent the varying conditions that exist in the distribution system. The sample sitting plan should be updated as changes are made in the water system, especially the distribution system.

### **Sampling Procedures**

The sample-sitting plan must be followed and all operating staff must be clear on how to follow the sampling plan. In order to properly implement the sample-sitting plan, staff must be aware of how often sampling must be done, the proper procedures and sampling containers to be used for collecting the samples, and the proper procedures for identification, storage and transport of the samples to an approved laboratory. In addition, proper procedures must be followed for repeat sampling whenever a routine sample result is positive for total coliform.

### **Routine Sampling Requirements**

Total coliform samples must be collected by PWSs at sites which are representative of water quality throughout the distribution system according to a written sample sitting plan subject to state review and revision.

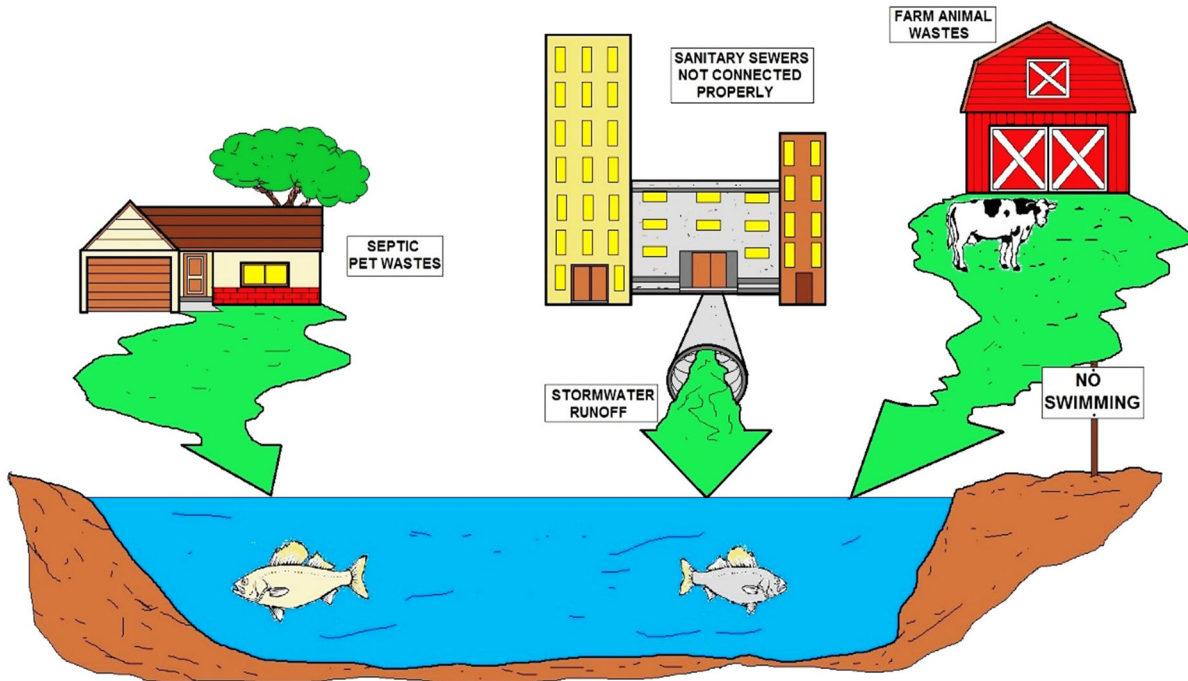
For PWSs collecting more than one sample per month, collect total coliform samples at regular intervals throughout the month, except that ground water systems serving 4,900 or fewer people may collect all required samples on a single day if the samples are taken from different sites.

Each total coliform-positive (TC+) routine sample must be tested for the presence of E. coli.

- ▶ If any TC+ sample is also E. coli-positive (EC+), then the EC+ sample result must be reported to the state by the end of the day that the PWS is notified.
- ▶ If any routine sample is TC+, repeat samples are required. – PWSs on quarterly or annual monitoring must take a minimum of three additional routine samples (known as additional routine monitoring) the month following a TC+ routine or repeat sample.
- ▶ Reduced monitoring may be available for PWSs using only ground water and serving 1,000 or fewer persons that meet certain additional PWS criteria.

## Coliform Bacteria Introduction

Total coliforms are a group of related bacteria that are (with few exceptions) not harmful to humans. A variety of bacteria, parasites, and viruses, known as pathogens, can potentially cause health problems if humans ingest them. EPA considers total coliforms a useful indicator of other pathogens for drinking water because they are easier to measure and associate with water contamination.



**SOURCES OF FECAL COLIFORM BACTERIA**

Total coliforms are used to determine the adequacy of water treatment and the integrity of the distribution system.

All bacteriological samples are analyzed for the coliform group; however, a positive reaction to these coliform analyses may be from sources other than fecal. In order to differentiate between these sources, all samples that are total coliform positive must be analyzed again to determine if fecal coliform or *E. coli* are present.

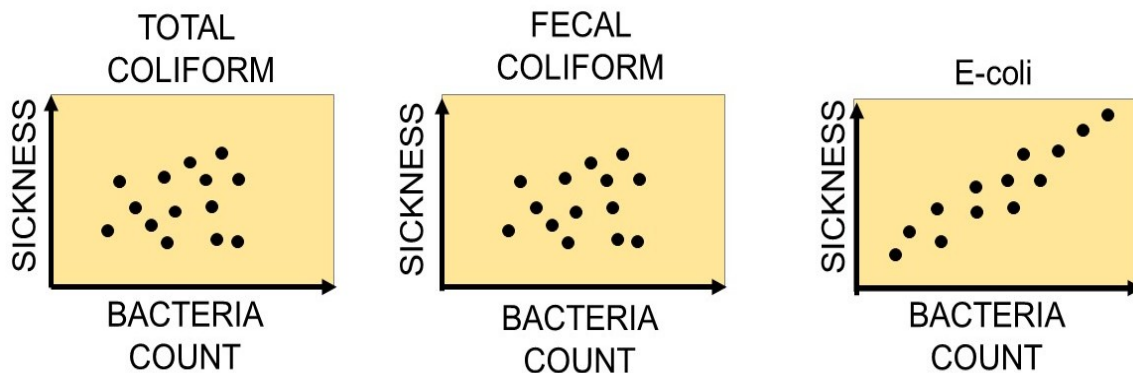
### Key provisions of the RTCR include:

- Setting a maximum contaminant level goal (MCLG) and maximum contaminant level (MCL) for *E. coli* for protection against potential fecal contamination.
- Setting a total coliform treatment technique (TT) requirement.
- Requirements for monitoring total coliforms and *E. coli* according to a sample siting plan and schedule specific to the PWS.
- Provisions allowing PWSs to transition to the RTCR using their existing Total Coliform Rule (TCR) monitoring frequency, including PWSs on reduced monitoring under the existing TCR.
- Requirements for seasonal systems (such as Non-Community Water Systems not operated on a year-round basis) to monitor and certify the completion of a state-approved start-up procedures.

- Requirements for assessments and corrective action when monitoring results show that PWSs may be vulnerable to contamination.
- Public notification (PN) requirements for violations.
- Specific language for CWSs to include in their Consumer Confidence Reports (CCRs) when they must conduct an assessment or if they incur an E. coli MCL violation.

### TCR Key Provisions

- To comply with the monthly MCL for total coliforms (TC), PWSs must not find coliforms in more than five percent of the samples they take each month to meet EPA’s standards. If more than five percent of the samples contain coliforms, PWS operators must report this violation to the state and the public.
- If a sample tests positive for TC, the system must collect a set of repeat samples located within 5 or fewer sampling sites adjacent to the location of the routine positive sample within 24 hours.
- When a routine or repeat sample tests positive for total coliforms, it must also be analyzed for fecal coliforms or E. coli, which are types of coliform bacteria that are directly associated with feces. A positive result for fecal coliforms or E. coli can signify an acute MCL violation, which necessitates rapid state and public notification because it represents a direct health risk.
- At times, an acute violation due to the presence of fecal coliform or E. coli may result in a “boil water” notice. The system must also take at least 5 routine samples the next month of operation if any sample tests positive for total coliforms.



## BACTERIA IN DRINKING WATER DIAGRAM

All public water systems (PWSs), except aircraft PWSs subject to the Aircraft Drinking Water Rule (ADWR) (40 CFR 141 Subpart X), must comply with the RTCR starting April 1, 2016, or an earlier state effective date. Until then, PWSs must continue complying with the 1989 TCR.



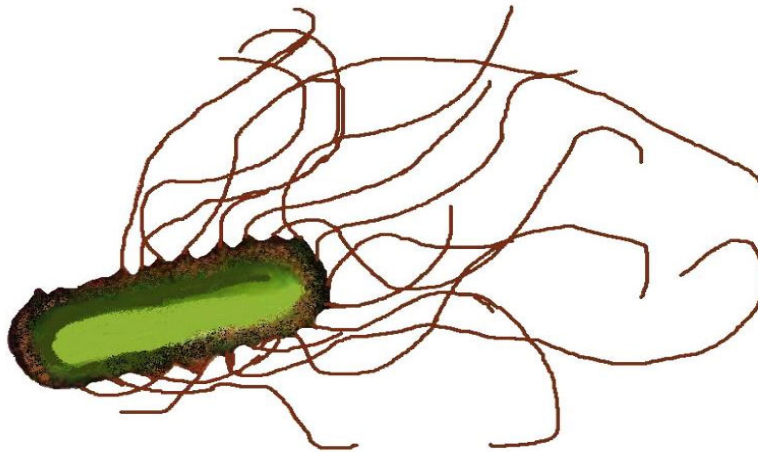
## Dangerous Waterborne Microbes

**Coliform Bacteria** are common in the environment and are generally not harmful. However, the presence of these bacteria in drinking water are usually a result of a problem with the treatment system or the pipes which distribute water, and indicates that the water may be contaminated with germs that can cause disease.

**Fecal Coliform and E. coli** are bacteria whose presence indicates that the water may be contaminated with human or animal wastes. Microbes in these wastes can cause short-term effects, such as diarrhea, cramps, nausea, headaches, or other symptoms.

**Cryptosporidium** is a parasite that enters lakes and rivers through sewage and animal waste. It causes cryptosporidiosis, a mild gastrointestinal disease. However, the disease can be severe or fatal for people with severely weakened immune systems. The EPA and CDC have prepared advice for those with severely compromised immune systems who are concerned about **Cryptosporidium**.

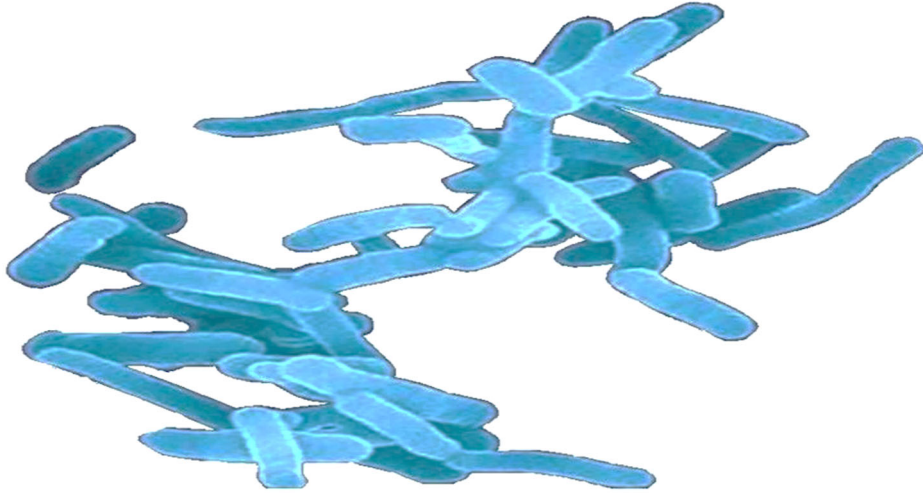
**Giardia lamblia** is a parasite that enters lakes and rivers through sewage and animal waste. It causes gastrointestinal illness (e.g. diarrhea, vomiting, and cramps).



### PERITRICHOUS SHAPED BACTERIA EXAMPLE

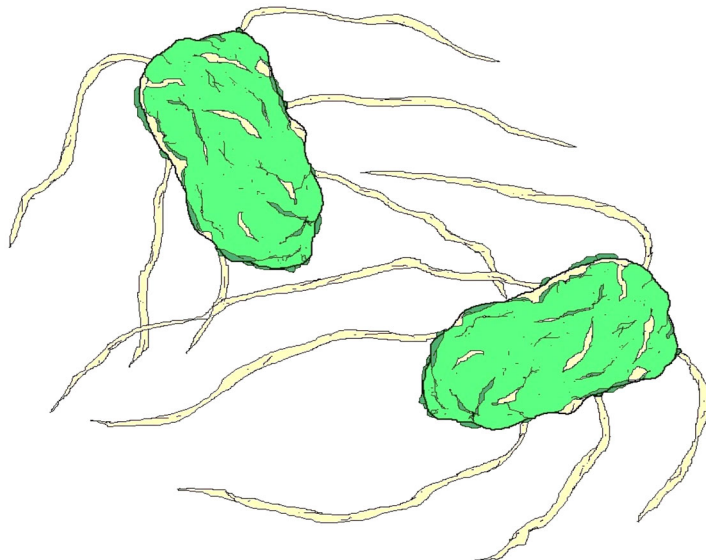
Microbiologists broadly classify bacteria according to their shape: spherical, rod-shaped, and spiral-shaped. Pleomorphic bacteria can assume a variety of shapes. Bacteria may be further classified according to whether they require oxygen (aerobic or anaerobic) and how they react to a test with Gram's stain.

Bacteria in which alcohol washes away Gram's stain are called gram-negative, while bacteria in which alcohol causes the bacteria's walls to absorb the stain are called gram-positive.



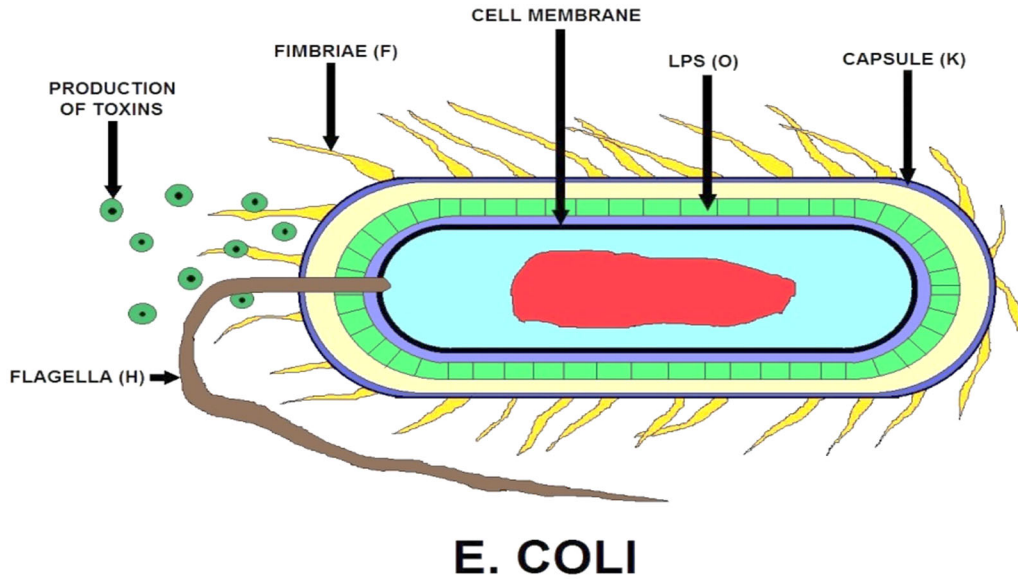
### **SHIGELLA DYSENTERIAE EXAMPLE**

Shigella dysenteriae is a species of the rod-shaped bacterial genus Shigella. Shigella can cause shigellosis (bacillary dysentery). Shigellae are Gram-negative, non-spore-forming, facultatively anaerobic, non-motile bacteria.



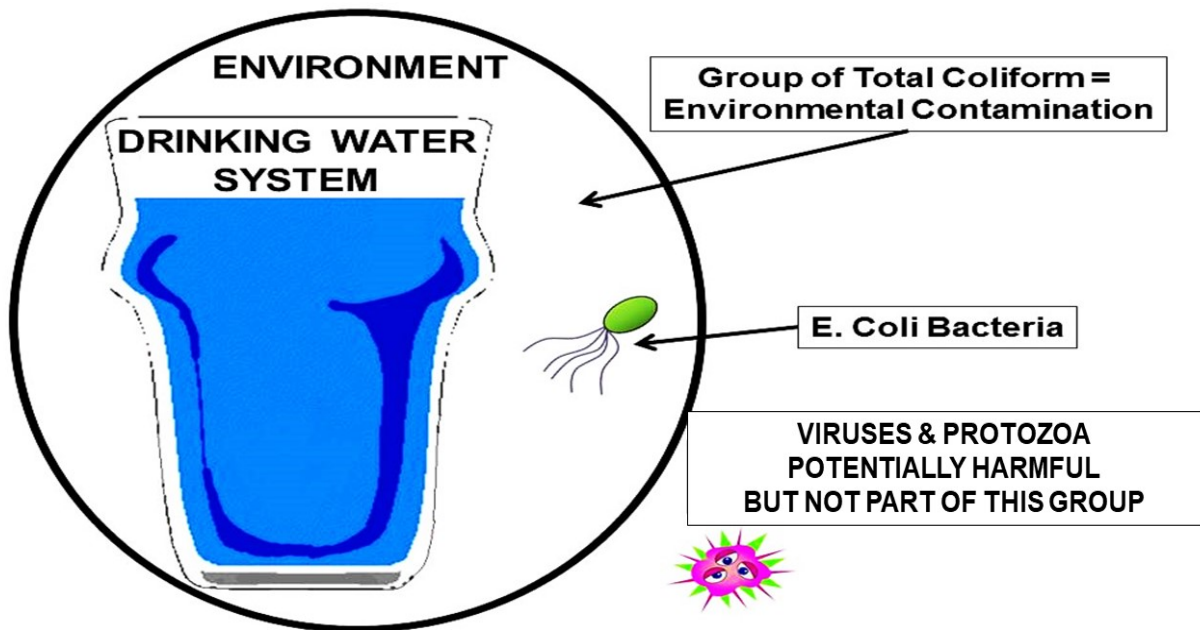
### **SALMONELLA EXAMPLE**

Salmonellae usually do not ferment lactose; most of them produce hydrogen sulfide that in media containing ferric ammonium citrate reacts to form a black spot in the center of the creamy colonies.

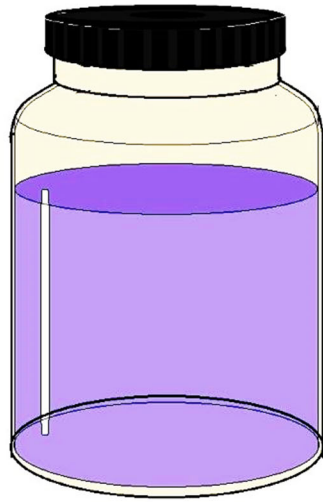


### Fecal Coliform Bacteria

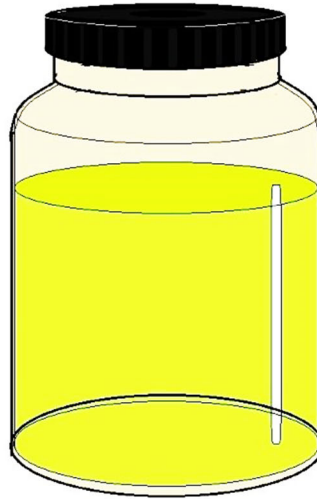
Fecal coliform bacteria are microscopic organisms that live in the intestines of warm-blooded animals. They also live in the waste material, or feces, excreted from the intestinal tract. When fecal coliform bacteria are present in high numbers in a water sample, it means that the water has received fecal matter from one source or another. Although not necessarily agents of disease, fecal coliform bacteria may indicate the presence of disease-carrying organisms, which live in the same environment as the fecal coliform bacteria.



### GROUP OF TOTAL COLIFORM BACTERIA

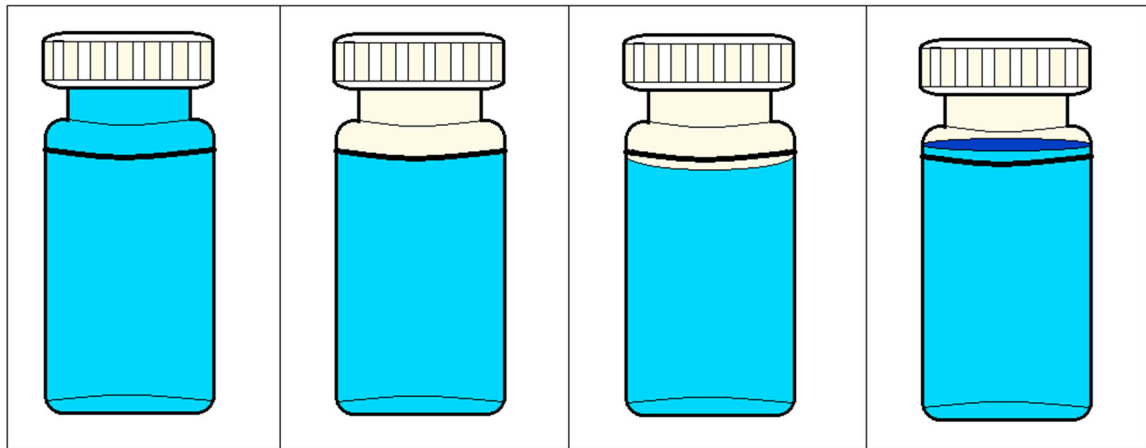


COLIFORM POSITIVE  
SAMPLE



COLIFORM NEGATIVE  
SAMPLE

### COLIFORM BACTERIA PRESENCE TEST EXAMPLE



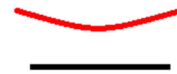
OVER FILLED



CORRECT (100mL)



INCORRECT (97mL)



CORRECT  
(Lab will pour off to 100mL)

### BAC-T SAMPLE BOTTLE DIAGRAM

## Bacteriological Monitoring Introduction

Most waterborne diseases and illnesses have been related to the microbiological quality of drinking water. The routine microbiological analysis of your water is for coliform bacteria. The coliform bacteria group is used as an indicator organism to determine the biological quality of your water. The presence of an indicator or pathogenic bacteria in your drinking water is an important health concern. Indicator bacteria signal possible fecal contamination, and therefore, the potential presence of pathogens. They are used to monitor for pathogens because of the difficulties in determining the presence of specific disease-causing microorganisms.

Indicator bacteria are usually harmless, occur in high densities in their natural environment, and are easily cultured in relatively simple bacteriological media. Indicators in common use today for routine monitoring of drinking water include total coliforms, fecal coliforms, and *Escherichia coli* (*E. coli*).



### Bacteria Sampling - 1 Example

Water samples for bacteria tests must always be collected in a sterile container. Take the sample from an outside faucet with the aerator removed. Sterilize by spraying a 5% Household bleach or alcohol solution or flaming the end of the tap with a propane torch. Run the water for five minutes to clear the water lines and bring in fresh water. Do not touch or contaminate the inside of the bottle or cap. Carefully open the sample container and hold the outside of the cap. Fill the container and replace the top. Refrigerate the sample and transport it to the testing laboratory within six hours (in an ice chest). Many labs will not accept bacteria samples on Friday so check the lab's schedule. Mailing bacteria samples is not recommended because laboratory analysis results are not as reliable. Iron bacteria forms an obvious slime on the inside of pipes and fixtures. A water test is not needed for identification. Check for a reddish-brown slime inside a toilet tank or where water stands for several days.

**Bac-T Sample Bottle** Often referred to as a Standard Sample, 100 mls, notice the white powder inside the bottle. That is Sodium Thiosulfate, a de-chlorination agent. Be careful not to wash-out this chemical while sampling. Notice the custody seal on the bottle.

**Coliform bacteria** are common in the environment and are generally not harmful. However, the presence of these bacteria in drinking water is usually a result of a problem with the treatment system or the pipes which distribute water, and indicates that the water may be contaminated with germs that can cause disease.

### Laboratory Procedures

The laboratory may perform the total coliform analysis in one of four methods approved by the U.S. EPA and your local environmental or health division:

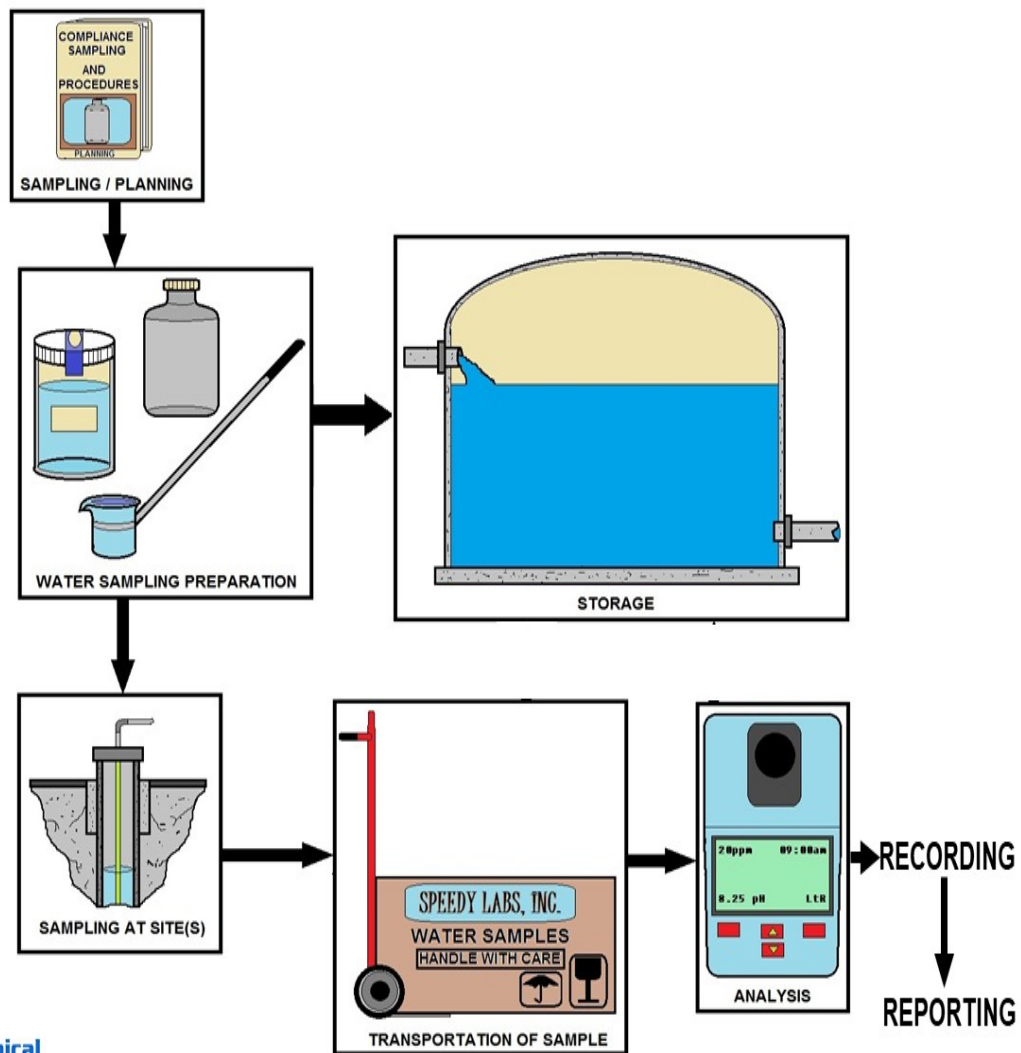
### Methods

The MMO-MUG test, a product marketed as Colilert, is the most common. The sample results will be reported by the laboratories as simply coliforms present or absent. If coliforms are present, the laboratory will analyze the sample further to determine if these are fecal coliforms or *E. coli* and report their presence or absence.

## Microbial Regulations

One of the key regulations developed and implemented by the United States Environmental Protection Agency (USEPA) to counter pathogens in drinking water is the Surface Water Treatment Rule.

Among its provisions, the rule requires that a public water system, using surface water (or ground water under the direct influence of surface water) as its source, have sufficient treatment to reduce the source water concentration of *Giardia* and viruses by at least 99.9% and 99.99%, respectively. The Surface Water Treatment Rule specifies treatment criteria to assure that these performance requirements are met; they include turbidity limits, disinfectant residual and disinfectant contact time conditions.



WATER SAMPLING FLOW CHART

## Basic Types of Water Samples

It is important to properly identify the type of sample you are collecting. Please indicate in the space provided on the laboratory form the type of sample.

### ***The three (3) types of samples are:***

1. **Routine:** Samples collected on a routine basis to monitor for contamination. Collection should be in accordance with an approved sampling plan.

2. **Repeat:** Samples collected following a '**coliform present**' routine sample. The number of repeat samples to be collected is based on the number of routine samples you normally collect.

3. **Special:** Samples collected for other reasons.

Examples would be a sample collected after repairs to the system and before it is placed back into operation or a sample collected at a wellhead prior to a disinfection injection point.

4. **Trigger: Level 1 Assessment** is triggered if any one of the following occurs:

- ▶ A PWS collecting fewer than 40 samples per month has 2 or more TC+ routine/ repeat samples in the same month.
- ▶ A PWS collecting at least 40 samples per month has greater than 5.0 percent of the routine/repeat samples in the same month that are TC+.
- ▶ A PWS fails to take every required repeat sample after any single TC+ sample

5. **Trigger: Level 2 Assessment** is triggered if any one of the following occurs:

- ▶ A PWS incurs an E. coli MCL violation.
- ▶ A PWS has a second Level 1 Assessment within a rolling 12-month period.
- ▶ A PWS on state-approved annual monitoring has a Level 1 Assessment trigger in 2 consecutive years.

### **Routine Coliform Sampling**

The number of routine samples and frequency of collection for community public water systems is shown in Table 3-1 below.

### **Noncommunity and nontransient noncommunity public water systems will sample at the same frequency as a like sized community public water system if:**

1. It has more than 1,000 daily population and has ground water as a source, or
2. It serves 25 or more daily population and utilizes surface water as a source or ground water under the direct influence of surface water as its source.

Noncommunity and nontransient, noncommunity water systems with less than 1,000 daily population and groundwater as a source will sample on a quarterly basis.

## No. of Samples per System Population

*Persons served - Samples per month*

<u>up to 1,000</u>	<u>1</u>
<u>1,001-2,500</u>	<u>2</u>
<u>2,501-3,300</u>	<u>3</u>
<u>3,301 to 4,100</u>	<u>4</u>
<u>4,101 to 4,900</u>	<u>5</u>
<u>4,901 to 5,800</u>	<u>6</u>
<u>5,801 to 6,700</u>	<u>7</u>
<u>6,701 to 7,600</u>	<u>8</u>
<u>7,601 to 8,500</u>	<u>9</u>
<u>8,501 to 12,900</u>	<u>10</u>
<u>12,901 to 17,200</u>	<u>15</u>
<u>17,201 to 21,500</u>	<u>20</u>
<u>21,501 to 25,000</u>	<u>25</u>
<u>25,001 to 33,000</u>	<u>30</u>
<u>33,001 to 41,000</u>	<u>40</u>
<u>41,001 to 50,000</u>	<u>50</u>
<u>50,001 to 59,000</u>	<u>60</u>
<u>59,001 to 70,000</u>	<u>70</u>
<u>70,001 to 83,000</u>	<u>80</u>
<u>83,001 to 96,000</u>	<u>90</u>
<u>96,001 to 130,000</u>	<u>100</u>
<u>130,001 to 220,000</u>	<u>120</u>
<u>220,001 to 320,000</u>	<u>150</u>
<u>320,001 to 450,000</u>	<u>180</u>
<u>450,001 to 600,000</u>	<u>210</u>
<u>600,001 to 780,000</u>	<u>240</u>



### Repeat Sampling Introduction

Repeat sampling replaces the old check sampling with a more comprehensive procedure to try to identify problem areas in the system. Whenever a routine sample has total coliform or fecal coliform present, a set of repeat samples must be collected within 24 hours after being notified by the laboratory. The follow-up for repeat sampling is:

1. If only one routine sample per month or quarter is required, four (4) repeat samples must be collected.
2. For systems collecting two (2) or more routine samples per month, three (3) repeat samples must be collected.
3. Repeat samples must be collected from:
  - a. The original sampling location of the coliform present sample.
  - b. Within five (5) service connections upstream from the original sampling location.
  - c. Within five (5) service connections downstream from the original sampling location.
  - d. Elsewhere in the distribution system or at the wellhead, if necessary.
4. If the system has only one service connection, the repeat samples must be collected from the same sampling location over a four-day period or on the same day.
5. All repeat samples are included in the MCL compliance calculation.
6. If a system which normally collects fewer than five (5) routine samples per month has a coliform present sample, it must collect five (5) routine samples the following month or quarter regardless of whether an MCL violation occurred or if repeat sampling was coliform absent.



## Positive or Coliform Present Results

*What do you do when your sample is positive or coliform present?*

When you are notified of a positive test result you need to contact either the Drinking Water Program or your local county health department within 24 hours, or by the next business day after the results are reported to you. The Drinking Water Program contracts with many of the local health departments to provide assistance to water systems.

After you have contacted an agency for assistance, you will be instructed as to the proper repeat sampling procedures and possible corrective measures for solving the problem. It is very important to initiate the repeat sampling immediately as the corrective measures will be based on those results.



### Some examples of typical corrective measures to coliform problems are:

1. Shock chlorination of a ground water well. The recommended dose of 5% household bleach is 2 cups per 100 gallons of water in the well. This should be done anytime the well is opened for repair (pump replacement, etc.). If you plan to shock the entire system, calculate the total gallonage of storage and distribution.
2. Conduct routine distribution line flushing. Install blowoffs on all dead end lines.
3. Conduct a cross connection program to identify all connections with non-potable water sources. Eliminate all of these connections or provide approved backflow prevention devices.
4. Upgrade the wellhead area to meet current construction standards as set by your state environmental or health agency.
5. If you continuously chlorinate, review your operation and be sure to maintain a detectable residual (0.2 mg/l free chlorine) at all times in the distribution system.
6. Perform routine cleaning of the storage system.

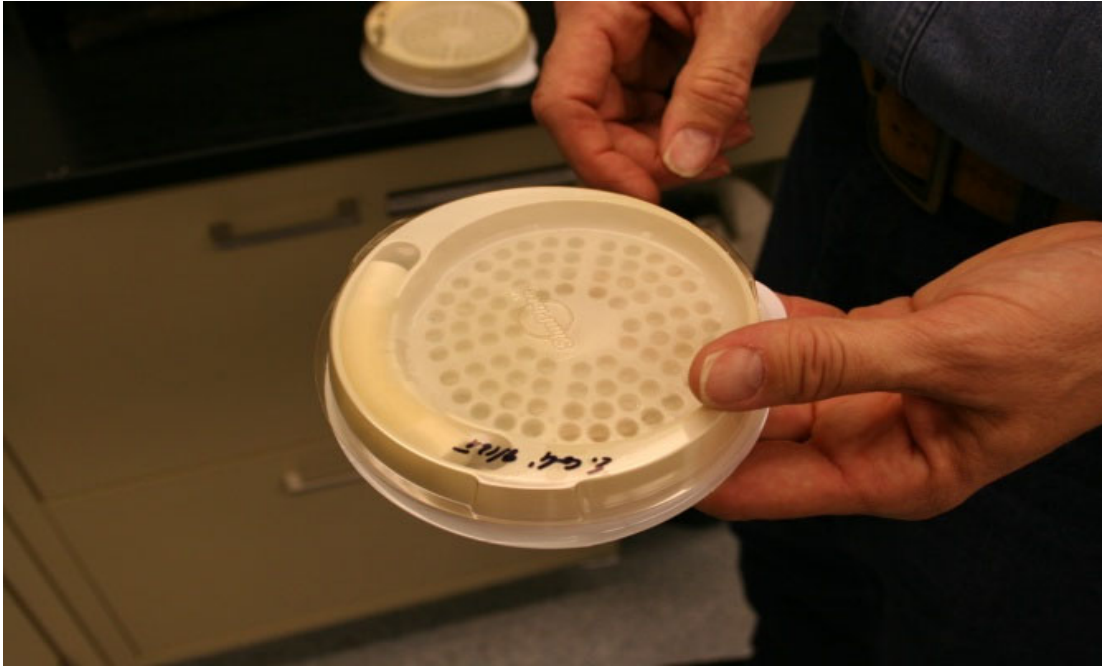
This list provides some basic operation and maintenance procedures that could help eliminate potential bacteriological problems, check with your state drinking water section or health department for further instructions.

## Maximum Contaminant Levels (MCLs)

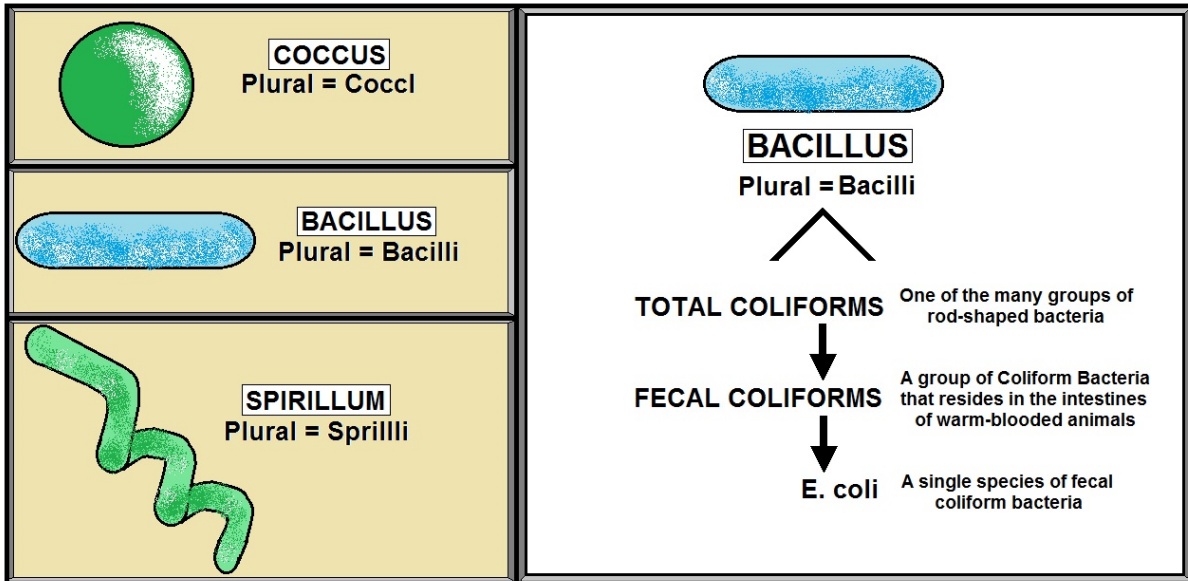
State and federal laws establish standards for drinking water quality. Under normal circumstances when these standards are being met, the water is safe to drink with no threat to human health. These standards are known as maximum contaminant levels (**MCL**). When a particular contaminant exceeds its MCL a potential health threat may occur.

The MCLs are based on extensive research on toxicological properties of the contaminants, risk assessments and factors, short term (**acute**) exposure, and long term (**chronic**) exposure. You conduct the monitoring to make sure your water is in compliance with the MCL.

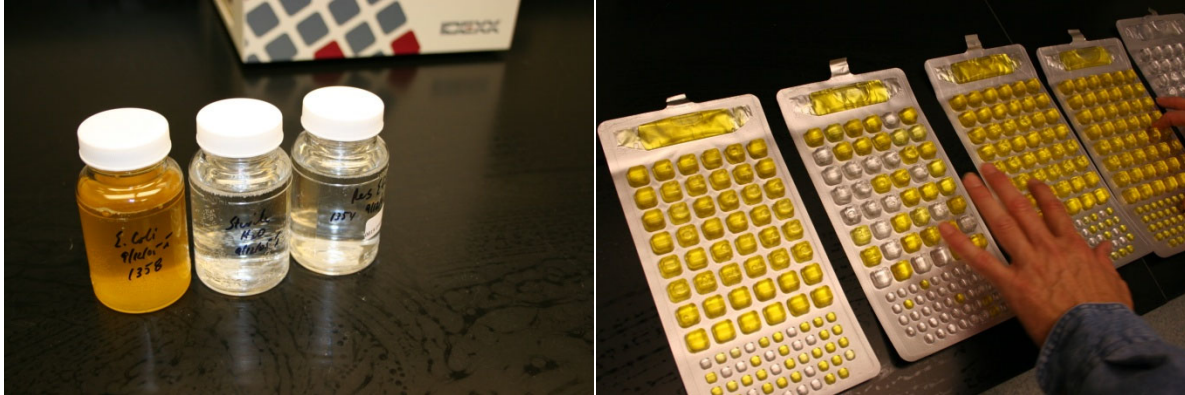
There are two types of MCL violations for coliform bacteria. The first is for total coliform; the second is an acute risk to health violation characterized by the confirmed presence of fecal coliform or *E. coli*.



## SIM PLATE METHOD



## COLIFORM BACTERIA EXAMPLE

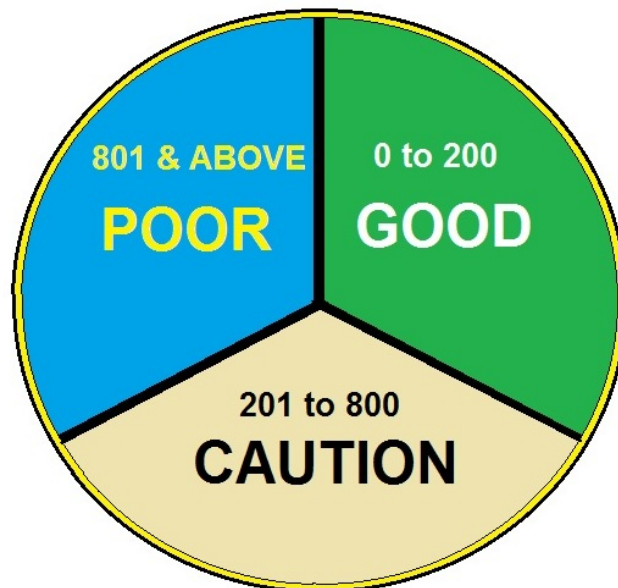
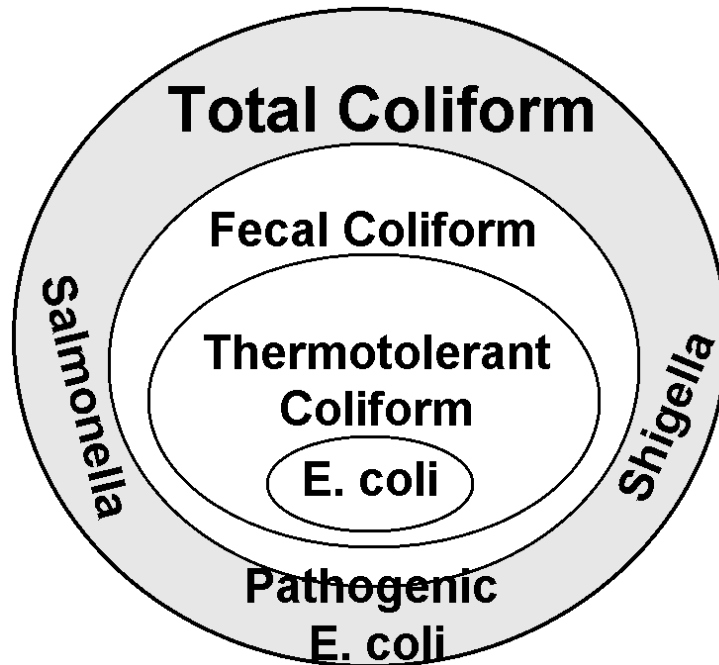


Looking under a black light to identify the presence of E. coli.

Colilert tests simultaneously detect and confirm coliform and E. coli in water samples in 24 hours or less.

Simply add the Colilert reagent to the sample, incubate for 24 hours, and read results.

Colilert is easy to read, as positive coliform samples turn yellow or blue, and when E. coli is present, samples fluoresce under UV light.



## FECAL COLIFORM BACTERIA COLONIES (Per 100 Milliliters)

## Heterotrophic Plate Count - Introduction

Heterotrophic organisms utilize organic compounds as their carbon source (food or substrate). In contrast, autotrophic organisms use inorganic carbon sources. The Heterotrophic Plate Count provides a technique to quantify the bacteriological activity of a sample. The R2A agar provides a medium that will support a large variety of heterotrophic bacteria. After an incubation period, a bacteriological colony count provides an estimate of the concentration of heterotrophs in the sample of interest.

**Heterotrophic Plate Count (HPC)** --- formerly known as the standard plate count, is a procedure for estimating the number of live heterotrophic bacteria and measuring changes during water treatment and distribution in water or in swimming pools. Colonies may arise from pairs, chains, clusters, or single cells, all of which are included in the term "*colony-forming units*" (CFU).

### Method:

*There are three methods for standard plate count:*

#### 1. Pour Plate Method

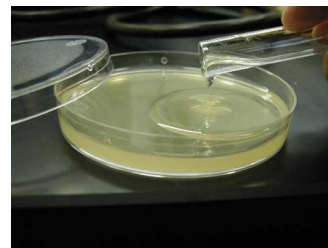
The colonies produced are relatively small and compact, showing less tendency to encroach on each other than those produced by surface growth. On the other hand, submerged colonies often are slower growing and are difficult to transfer.

#### 2. Spread Plate Method

All colonies are on the agar surface where they can be distinguished readily from particles and bubbles. Colonies can be transferred quickly, and colony morphology can be easily discerned and compared to published descriptions. See next page

#### 3. Membrane Filter Method

This method permits testing large volumes of low-turbidity water and is the method of choice for low-count waters.



### Material

- i ) Apparatus
  - Glass rod
  - Erlenmeyer flask
  - Graduated Cylinder
  - Pipette
  - Petri dish
  - Incubator
- ii ) Reagent and sample
  - Reagent-grade water
  - Nutrient agar
  - Sample

### Procedure\*

1. Boil mixture of nutrient agar and nutrient broth for 15 minutes, then cool for about 20 minutes.
2. Pour approximately 15 ml of medium in each Petri dish, let medium solidify.

3. Pipette 0.1 ml of each dilution onto surface of pre-dried plate, starting with the highest dilution.
4. Distribute inoculum over surface of the medium using a sterile bent glass rod.
5. Incubate plates at 35°C for 48h.
6. Count all colonies on selected plates promptly after incubation, consider only plates having 30 to 300 colonies in determining the plate count.

\*Duplicate samples

### Computing and Reporting

Compute bacterial count per milliliter by the following equation:

CFU/ml = colonies counted / actual volume of sample in dish a) If there is no plate with 30 to 300 colonies, and one or more plates have more than 300 colonies, use the plate(s) having a count nearest 300 colonies.

b) If plates from all dilutions of any sample have no colony, report the count as less than 1/actual volume of sample in dish estimated CFU/ml.

c) Avoid creating fictitious precision and accuracy when computing CFU by recording only the first two left-hand digits.

### Heterotrophic Plate Count (Spread Plate Method)

#### Laboratory Equipment Needed

100 x 15 Petri Dishes

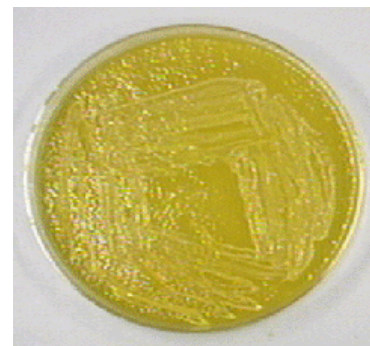
Turntable

**Glass Rods:** Bend fire polished glass rod 45 degrees about 40 mm from one end. Sterilize before using.

**Pipette:** Glass, 1.1 mL. Sterilize before using.

**Quebec Colony Counter**

**Hand Tally Counter**



#### Reagents

**1) R2A Agar:** Dissolve and dilute 0.5 g of yeast extract, 0.5 g of proteose peptone No. 3, 0.5 g of casamino acids, 0.5 g of glucose, 0.5 g of soluble starch, 0.3 g of dipotassium hydrogen phosphate, 0.05 g of magnesium sulfate heptahydrate, 0.3 g of sodium pyruvate, 15.0 g of agar to 1 L. Adjust pH to 7.2 with dipotassium hydrogen phosphate **before adding agar**. Heat to dissolve agar and sterilize at 121 C for 15 minutes.

**2) Ethanol:** As needed for flame sterilization.

#### Preparation of Spread Plates

Immediately after agar sterilization, pour 15 mL of R2A agar into sterile 100 x 15 Petri dishes; let agar solidify. Pre-dry plates inverted so that there is a 2 to 3 g water loss overnight with the lids on. Use pre-dried plates immediately or store up to two weeks in sealed plastic bags at 4°C.

#### Sample Preparation

Mark each plate with sample type, dilution, date, and any other information before sample application.

Prepare at least duplicate plates for each volume of sample or dilution examined.

Thoroughly mix all samples by rapidly making about 25 complete up-and-down movements.

### Sample Application

Uncover pre-dried agar plate. Minimize time plate remains uncovered. Pipette 0.1 or 0.5 mL sample onto surface of pre-dried agar plate.

### Record Volume of Sample Used.

Using a sterile bent glass rod, distribute the sample over surface of the medium by rotating the dish by hand on a turntable. Let the sample be absorbed completely into the medium before incubating. Put cover back on Petri dish and invert for duration of incubation time. Incubate at 28°C for 7 days. Remove Petri dishes from incubator for counting.



### Counting and Recording

After incubation period, promptly count all colonies on the plates. To count, uncover plate and place on Quebec colony counter. Use a hand tally counter to maintain count. Count all colonies on the plate, regardless of size. Compute bacterial count per milliliter by the following equation:

$$\text{CFU/mL} = \frac{\text{colonies counted}}{\text{actual volume of sample in dish, mL}}$$

To report counts on a plate with no colonies, report the count as less than one (<1) divided by the sample volume put on that plate (remember to account for any dilution of that sample).

If plates of all dilutions for a sample have no colonies, report the count as less than one (<1) divided by the largest sample volume used. Example: if 0.1 mL of a 100:1 and 10000:1 dilution of a sample both turned up with no colonies formed, the reported result would be <1 divided by the largest sample volume 0.001 mL (0.1 mL divided by 100). The final reported result for the sample is <1000 CFU per mL.

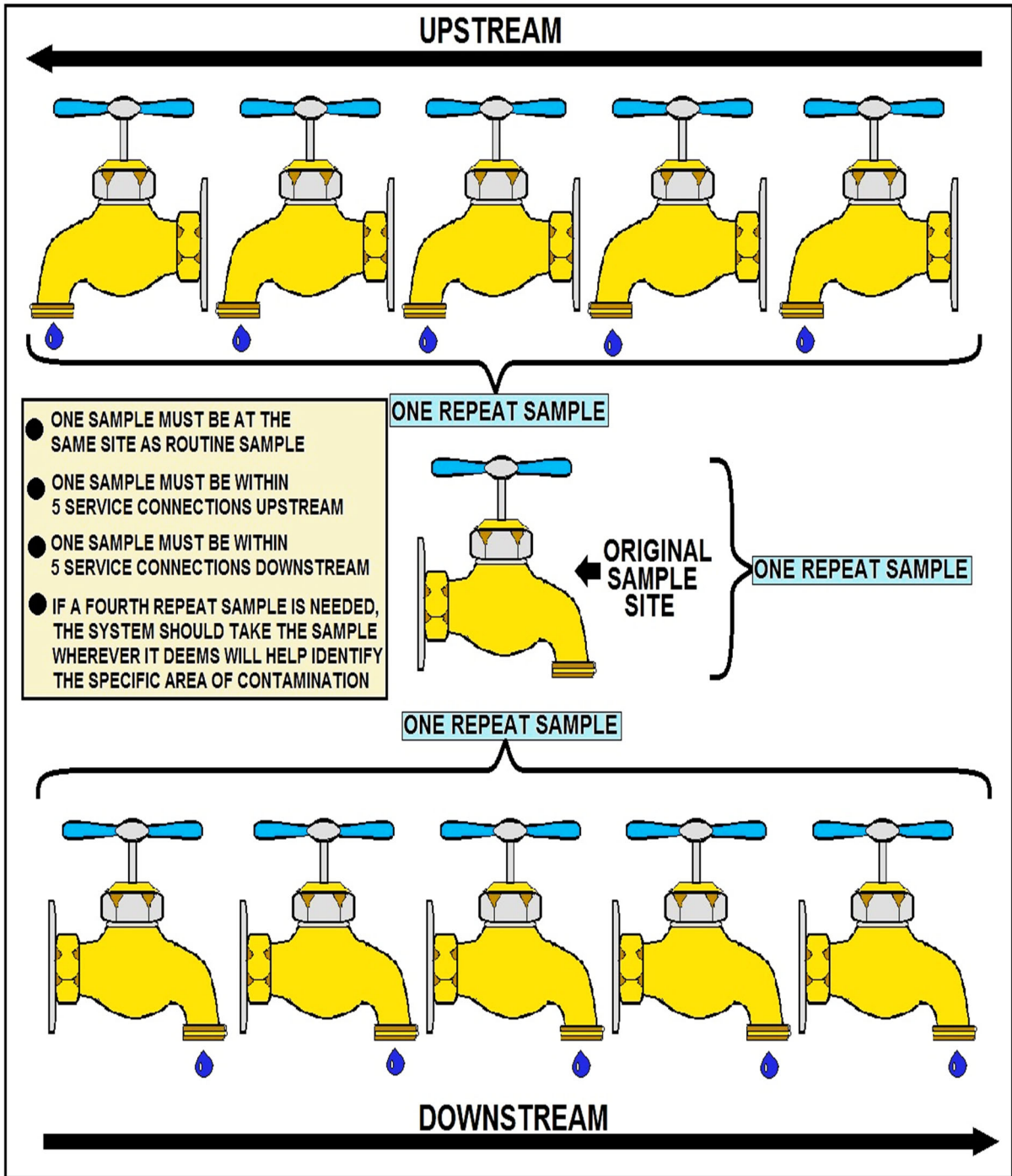
### Assignment

1. Report the number of colony forming units (**CFU**) found on each plate.
2. Calculate the **CFU** per mL for each plate.
3. The aim of diluting samples is to produce a plate having 30 to 300 colonies, which plates meet these criteria. If no sample produces a plate with a count in this range, use the plate(s) with a count closest to 300. Based on these criteria, use your calculated results to report the CFU per mL for each sample.

In the conclusion of your lab report, comment on your final results for each sample type as well as the quality of your application of this analysis technique. Feel free to justify your comments using statistical analysis. Also, comment on the general accuracy of this analytical technique and the factors that affect its accuracy and or applicability.

### Data Table for Samples

Sample ID	Volume of Sample, mL	Colonies Counted per plate



**EXAMPLE OF WHAT HAS TO BE DONE IF A PRESENCE OF COLIFORMS ARE DETECTED WHEN CONDUCTING ROUTINE SAMPLES AT DESIGNATED SAMPLE SITES**



## Total Coliforms

This MCL is based on the presence of total coliforms, and compliance is on a monthly or quarterly basis, depending on your water system type and state rule. For systems which collect *fewer* than 40 samples per month, no more than one sample per month may be positive. In other words, the second positive result (repeat or routine) in a month or quarter results in an MCL violation.

For systems which collect 40 or more samples per month, no more than five (5) percent may be positive. Check with your state drinking water section or health department for further instructions.

### Acute Risk to Health (Fecal Coliforms and E. coli)

***An acute risk to human health violation occurs if either one of the following happen:***

1. A routine analysis shows total coliform present and is followed by a repeat analysis which indicates fecal coliform or E. coli present.

2. A routine analysis shows total and fecal coliform or E. coli present and is followed by a repeat analysis which indicates total coliform present.

An acute health risk violation requires the water system to provide public notice via radio and television stations in the area. This type of contamination can pose an immediate threat to human health and notice must be given as soon as possible, but no later than 24 hours after notification from your laboratory of the test results.

Certain language may be mandatory for both these violations and is included in your state drinking water rule.

### Public Notice

A public notice is required to be issued by a water system whenever it fails to comply with an applicable MCL or treatment technique, or fails to comply with the requirements of any scheduled variance or permit. This will inform users when there is a problem with the system and give them information.

A public notice is also required whenever a water system fails to comply with its monitoring and/or reporting requirements or testing procedure.

Each public notice must contain certain information, be issued properly and in a timely manner and contain certain mandatory language. The timing and place of posting of the public notice depends on whether an acute risk is present to users. Check with your state drinking water section or health department for further instructions.

### The following are Acute Violations

1. Violation of the MCL for nitrate.
2. Any violation of the MCL for total coliforms, when fecal coliforms or E. coli are present in the distribution system.
3. Any outbreak of waterborne disease, as defined by the rules.

## Sim Plate Method



IDEXX's SimPlate for HPC method is used for the quantification of heterotrophic plate count (HPC) in water.

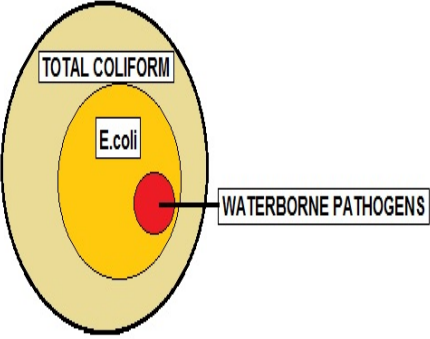
It is based on the Multiple Enzyme Technology which detects viable bacteria in water by testing for the presence of key enzymes known to be present in these little organisms.

This technique uses enzyme substrates that produce a blue fluorescence when metabolized by waterborne bacteria. The sample and media are added to a SimPlate Plate, incubated and then examined for fluorescing wells.

The number of wells corresponds to a Most Probable Number (MPN) of total bacteria in the original sample.

The MPN values generated by the SimPlate for HPC method correlate with the Pour Plate method using the Total Plate Count Agar, incubated at 35°C for 48 hours as described in *Standard Methods for the Examination of Water and Wastewater, 19<sup>th</sup> Edition*.

## Revised Total Coliform Rule (RTCR) Summary

REVISED RULE OVERVIEW		MAJOR RULE CHANGES	
<b>TITLE:</b>	REVISED TOTAL COLIFORM RULE (RTCR) 78 FR 10269, FEBRUARY 13th, 2013, Vol. 78, No. 30	<b>CURRENT TCR</b> Non-Accute MCL Violation	<b>REVISED TCR</b> Level 1 Assessment Trigger
<b>PURPOSE:</b>	INCREASE PUBLIC HEALTH PROTECTION THROUGH THE REDUCTION OF POTENTIAL PATHWAYS OF ENTRY FOR FECAL CONTAMINATION INTO DISTRIBUTION SYSTEM	FOR A SYSTEM COLLECTING AT LEAST 40 SAMPLES PER MONTH, MORE THAN 5.0% OF SAMPLES COLLECTED ARE TC POSITIVE	FOR A SYSTEM COLLECTING AT LEAST 40 SAMPLES PER MONTH, MORE THAN 5.0% OF SAMPLES COLLECTED ARE TC POSITIVE
<b>GENERAL DESCRIPTION:</b>	THE RTCR ESTABLISHES AN MCL FOR E.coli AND USES E.coli AND TOTAL COLIFORMS TO INITIATE AND "FIND A FIX" APPROACH TO ADDRESS FECAL CONTAMINATION THAT COULD ENTER DISTRIBUTION SYSTEM	FOR A SYSTEM COLLECTING FEWER THAN 40 SAMPLES PER MONTH, MORE THAN 1 SAMPLE TC POSITIVE	FOR A SYSTEM COLLECTING FEWER THAN 40 SAMPLES PER MONTH, MORE THAN 1 SAMPLE TC POSITIVE
<b>UTILITIES COVERED:</b>	THE REVISED TOTAL COLIFORM RULE APPLIES TO <u>ALL</u> PUBLIC WATER SYSTEMS	PUBLIC NOTICE IS REQUIRED	NO PUBLIC NOTICE MUST PERFORM LEVEL 1 ASSESSMENT
<b>PUBLIC HEALTH BENEFITS</b>			
IMPLEMENTATION OF THE REVISED TOTAL COLIFORM RULE <u>WILL</u> RESULT IN:			
▶ A DECREASE IN THE PATHWAY BY WHICH FECAL CONTAMINATION CAN ENTER THE DRINKING WATER DISTRIBUTION SYSTEM			
▶ REDUCTION IN FECAL CONTAMINATION <u>SHOULD</u> REDUCE THE POTENTIAL RISK FROM ALL WATERBORNE PATHOGENS INCLUDING BACTERIA, VIRUSES, PROTOZOA, AND ASSOCIATED ILLNESSES.			



## REVISED TOTAL COLIFORM RULE (RTCR)

*The following are EPA's federal rule requirements. Please be aware that each state implements drinking water regulations that may be more stringent than EPA's regulations. Check with your state environmental agency for more information.*

EPA published the Revised Total Coliform Rule (RTCR) in the Federal Register (FR) on February 13, 2013 (78 FR 10269). It is the revision to the 1989 Total Coliform Rule (TCR).

### Why revise the 1989 TCR?

The 1996 amendments to the Safe Drinking Water Act [Section 1412(b) (9)] require the Administrator to review and revise, as appropriate, each national primary drinking water regulation not less often than every six years. EPA published its decision to revise the TCR in July 2003 as part of its National Primary Drinking Water Regulation (NPDWR) review.

### The RTCR:

- Upholds the purpose of the 1989 TCR to protect public health by ensuring the integrity of the drinking water distribution system and monitoring for the presence of microbial contamination.
- Requires public water systems (PWSs) to meet a legal limit for E. coli, as demonstrated by required monitoring.

- Specifies the frequency and timing of required microbial testing based on population served, public water system type and source water type: ground water or surface water.

**When must PWSs comply with the RTCR requirements?**

Unless a State determines an earlier effective date, all PWSs must comply with the RTCR requirements starting April 1, 2016. All PWSs include:

- Community Water Systems (CWSs),
- Non-Transient Non-Community Water Systems (NTNCWSs), and
- Transient Non-Community Water Systems (TNCWSs).

**Minor Corrections to the Revised Total Coliform Rule (RTCRC)**

Minor corrections to the final RTCR became effective on April 28, 2014. No comments were received on the Direct Final Rule published on February 26, 2014 and the corrections therefore became effective without further notice. See the **Direct Final Rule** Federal Register Notice.

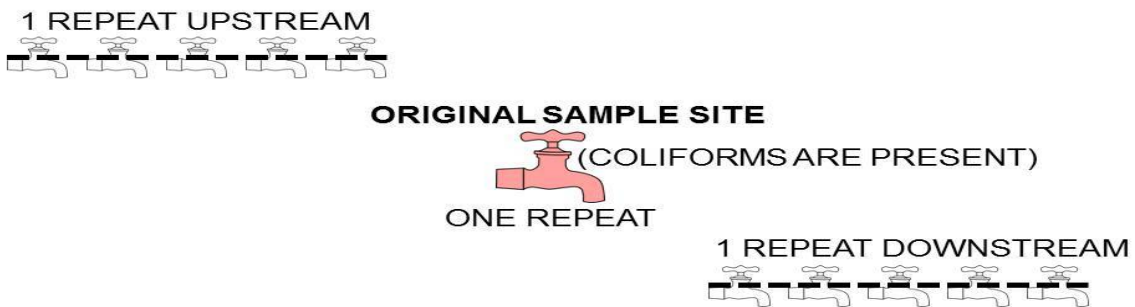
**Revised Total Coliform Rule (RTCRC) – Final Rule**

On February 13, 2013, EPA published in the Federal Register the revisions to the 1989 TCR. EPA anticipates greater public health protection under the Revised Total Coliform Rule (RTCRC) requirements.

**The RTCRC:**

- Requires public water systems that are vulnerable to microbial contamination to identify and fix problems; and
- Establishes criteria for systems to qualify for and stay on reduced monitoring, which could reduce water system burden and provide incentives for better system operation.

Public water systems (PWSs) and primacy agencies must comply with the revised requirements by April 2016. Until then, PWSs and primacy agencies must continue complying with the 1989 TCR.



ONE AT THE SAME SITE AS THE ROUTINE SAMPLE.  
 ONE WITHIN 5 SERVICE CONNECTIONS UPSTREAM.  
 ONE WITHIN 5 SERVICE CONNECTIONS DOWNSTREAM.

IF A FOURTH REPEAT SAMPLE IS REQUIRED THE SYSTEM SHOULD TAKE THE SAMPLE WHEREVER IT FEELS IT WILL HELP IDENTIFY THE AREA OF CONTAMINATION.

**REPEAT SAMPLING PROCEDURES**

**RTCR Key Provisions** *Most of this section comes from the USEPA.*

<b>Provision Category</b>	<b>Key Provisions</b>
<b>Contaminant Level</b>	<p>Addresses the presence of total coliforms and E. coli in drinking water.</p> <p>For E. coli (EC), the Maximum Contaminant Level Goal (MCLG) is set at zero. The Maximum Contaminant Level (MCL) is based on the occurrence of a condition that includes routine and repeat samples.</p> <p>For total coliforms (TC), PWSs must conduct a Level 1 or Level 2 assessment of their system when they exceed a specified frequency of total coliform occurrences.</p> <p>An MCL violation or failure to take repeat samples following a routine total coliform-positive sample will trigger a Level 1 or Level 2 assessment.</p> <p>Any sanitary defect identified during a Level 1 or Level 2 assessment must be corrected by the PWS. These are the treatment technique requirements of the RTCR.</p>
<b>Monitoring</b>	<p>Develop and follow a sample-siting plan that designates the PWS's collection schedule. This includes location of routine and repeat water samples.</p> <p>Collect routine water samples on a regular basis (monthly, quarterly, annually). Have samples tested for the presence of total coliforms by a state certified laboratory.</p> <p>Analyze all routine or repeat samples that are total coliform positive (TC+) for E. coli.</p> <p>Collect repeat samples (at least 3) for each TC+ positive routine sample.</p> <p>For PWSs on quarterly or annual routine sampling, collect additional routine samples (at least 3) in the month after a TC+ routine or repeat sample.</p>

<b>RTCR Key Provisions</b> <i>Most of this section comes from the USEPA.</i>	
	Seasonal systems must monitor and certify the completion of a state-approved start-up procedures.
<b>Level 1 and Level 2 Assessments and Corrective Actions</b>	PWSs are required to conduct a Level 1 or Level 2 assessment if conditions indicate they might be vulnerable to contamination. PWSs must fix any sanitary defects within a required timeframe.
<b>Reporting and Recordkeeping</b>	PWSs are required to report certain items to their states. These reporting and recordkeeping requirements are essentially the same as under TCR. The addition to the Requirements is the Level 1 and Level 2 requirements.
<b>Violations, Public Notification (PN) and Consumer Confidence Report (CCR)</b>	<p>PWSs incur violations if they do not comply with the requirements of the RTCR. The violation types are essentially the same as under the TCR with few changes. The biggest change is no acute or monthly MCL violation for total coliform positive samples only.</p> <p>PN is required for violations incurred. Within required timeframes, the PWS must use the required health effects language and notify the public if they did not comply with certain requirements of the RTCR. The type of PN depends on the severity of the violation.</p> <p>Community water systems (CWSs) must use specific language in their CCRs when they must conduct an assessment or if they incur an E. coli MCL violation.</p>

### Disinfection Key

- ▶ Contact time is required
  - ▶ 99% or 2 log inactivation of crypto
  - ▶ 99.9% or 3 log inactivation of giardia lamblia cysts
  - ▶ 99.99% or 4 log inactivation of enteric viruses
- ▶  $CT = \text{Concentration of disinfectant} \times \text{contact time}$

The chlorine residual leaving the plant must be = or > 0.2 mg/L and measurable throughout the system

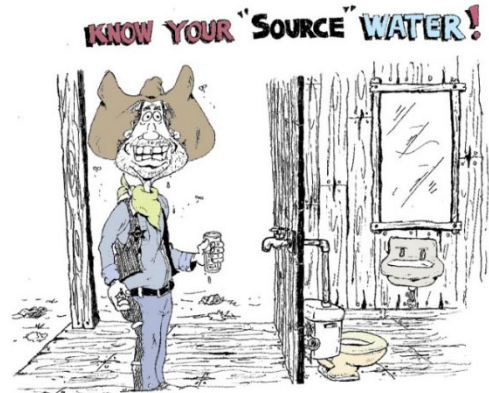
# Troubleshooting Table for Bacteriological Monitoring

## Problems

1. Positive Total Coliform.
2. Chlorine taste and odor.
3. Inability to maintain an adequately free chlorine residual at the furthest points of the distribution system or at dead end lines.

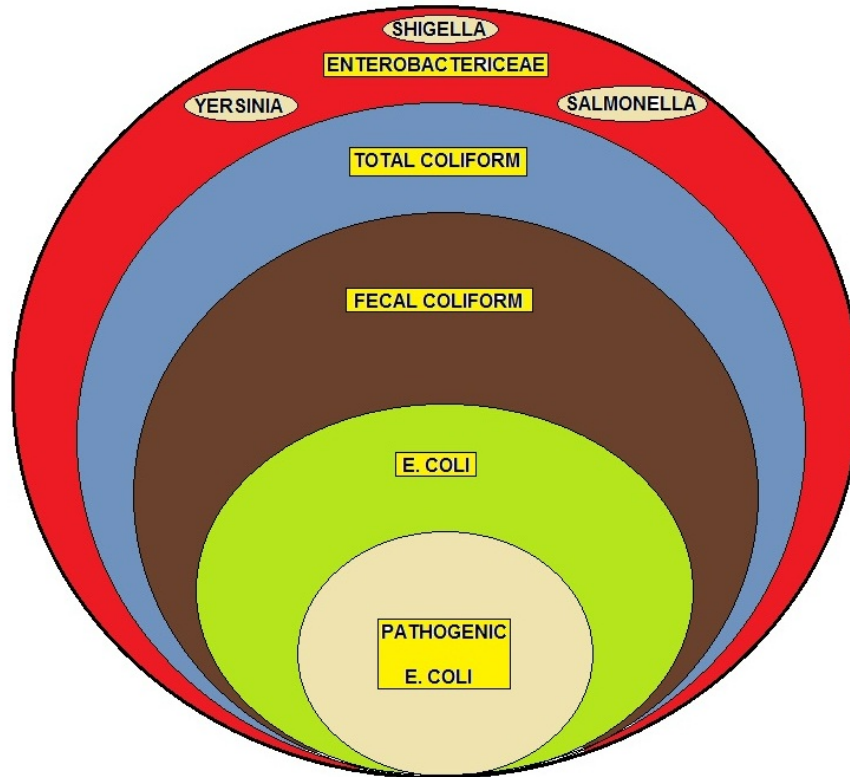
## Possible Causes

- 1A. Improper sampling technique.
- 1B. Contamination entering distribution system.
- 1C. Inadequate chlorine residual at the sampling site.
- 1D. Growth of biofilm in the distribution system.
- 2A. High total chlorine residual and low free residual.
- 3A. Inadequate chlorine dose at treatment plant.
- 3B. Problems with chlorine feed equipment.
- 3C. Ineffective distribution system flushing program.
- 3D. Growth of biofilm in the distribution system.



## Possible Solutions

- 1A/ Check distribution system for low-pressure conditions, possibly due to line breaks or excessive flows that may result in a backflow problem.
- 1B. Insure that all staff are properly trained in sampling and transport procedures as described in the TCR.
- 1C. Check the operation of the chlorination feed system. Refer to issues described in the sections on pumps and hypochlorination systems. Insure that residual test is being performed properly.
- 1D. Thoroughly flush effected areas of the distribution system. Superchlorination may be necessary in severe cases.
- 2A. The free residual should be at least 85% of the total residual. Increase the chlorine dose rate to get past the breakpoint in order to destroy some of the combined residual that causes taste and odor problems. Additional system flushing may also be required.
- 3A. Increase chlorine feed rate at point of application.
- 3B. Check operation of chlorination equipment.
- 3C. Review distribution system flushing program and implement improvements to address areas of inadequate chlorine residual.
- 3D. Increase flushing in area of biofilm problem.



**COLIFORM BACTERIA SUB-SET #2  
INDICATOR ORGANISMS**

<p><b>TOTAL COLIFORM RULE (TCR) REVISIONS</b></p>	<p><b>Coliforms Explained</b></p>
<p><b>REVISED TOTAL COLIFORM RULE (RTCR)</b> THIS REVISES THE 1989 TOTAL COLIFORM RULE (TCR) AND IS INTENDED TO IMPROVE PUBLIC HEALTH PROTECTION. THIS ESTABLISHED A "FIND-AND-FIX" APPROACH FOR INVESTIGATING AND CORRECTING CAUSES OF COLIFORM PROBLEMS WITHIN WATER DISTRIBUTION SYSTEMS.</p> <p>THE MAXIMUM CONTAMINANT LEVEL (MCL) FOR BACTERIA IN DRINKING WATER IS ZERO TOTAL COLIFORM COLONIES PER 100 MILLILITERS OF WATER.</p> <p>BEGINNING JULY 1st, 2021, ALL RESAMPLES SUBMITTED IN RESPONSE TO A PREVIOUS POSITIVE COLIFORM RESULT MUST BE ANALYZED TO DETERMINE COLIFORM AND E.coli DENSITY</p>	

**TOTAL COLIFORM RULE (TCR) REVISIONS**





## Waterborne Pathogen Section - Introduction

Bacteria, viruses, and protozoans that cause disease are known as pathogens. Most waterborne pathogens are generally associated with diseases that cause intestinal illness and affect people in a relatively short amount of time, generally a few days to two weeks. They can cause illness through exposure to small quantities of contaminated water or food or from direct contact with infected people or animals.

Pathogens that may cause waterborne outbreaks through drinking water have one thing in common: they are spread by the fecal-oral (or feces-to-mouth) route. Pathogens may get into water and spread when infected humans or animals pass the bacteria, viruses, and protozoa in their stool. For another person to become infected, he or she must take that pathogen in through the mouth.

Waterborne pathogens are different from other types of pathogens such as the viruses that cause influenza (the flu) or the bacteria that cause tuberculosis. Influenza virus and tuberculosis bacteria are spread by secretions that are coughed or sneezed into the air by an infected person.

Human or animal wastes in watersheds, failing septic systems, failing sewage treatment plants or cross-connections of water lines with sewage lines provide the potential for contaminating water with pathogens. The water may not appear to be contaminated because feces has been broken up, dispersed and diluted into microscopic particles. These particles, containing pathogens, may remain in the water and be passed to humans or animals unless adequately treated.

Only proper treatment and a safe distribution system can ensure eliminating the spread of waterborne disease. In addition to water, other methods exist for spreading pathogens by the fecal-oral route. The foodborne route is one of the more common methods. A frequent source is a food handler who does not wash his hands after a bowel movement and then handles food with “unclean” hands. The individual who eats feces-contaminated food may become infected and ill. It is interesting to note the majority of foodborne diseases occur in the home, not restaurants.

Day care centers are another common source for spreading pathogens by the fecal-oral route. Here, infected children in diapers may get feces on their fingers, then put their fingers in a friend’s mouth or handle toys that other children put into their mouths. You will usually be asked to sample for **Giardia** at these facilities.



**Giardia**

The general public and some of the medical community usually refer to diarrhea symptoms as “**stomach flu**.” Technically, influenza is an upper respiratory illness and rarely has diarrhea associated with it; therefore, stomach flu is a misleading description for foodborne or waterborne illnesses, yet is accepted by the general public. So the next time you get the stomach flu, you may want to think twice about what you’ve digested within the past few days.

### **Chain of Transmission**

This chain lists the events that must occur for the transmission of disease via drinking water. By breaking the chain at any point, the transmission of disease will be prevented. Water is contaminated with feces. This contamination may be of human or animal origin. The feces must contain pathogens (disease-causing bacteria, viruses or protozoa). If the human or animal source is not infected with a pathogen, no disease will result.

The pathogens must survive in the water. This depends on the temperature of the water and the length of time the pathogens are in the water. Some pathogens will survive for only a short time in water, others, such as *Giardia* or *Cryptosporidium*, may survive for months.

The pathogens in the water must enter the water system's intake in numbers sufficient to infect people. The water is either not treated or inadequately treated for the pathogens present. A susceptible person must drink the water that contains the pathogen; then illness (disease) will occur.

### **Emerging Waterborne Pathogens**

Emerging waterborne pathogens constitute a major health hazard in both developed and developing nations. A new dimension to the global epidemiology of cholera-an ancient scourge-was provided by the emergence of *Vibrio cholerae* O139. Also, waterborne enterohemorrhagic *Escherichia coli* (*E. coli* O157:H7), although regarded as a problem of the industrialized west, has recently caused outbreaks in Africa.

Outbreaks of chlorine-resistant *Cryptosporidium* in the US have motivated water authorities to reassess the adequacy of current water-quality regulations. Of late, a host of other organisms, such as hepatitis viruses (including hepatitis E virus), *Campylobacter jejuni*, microsporidia, cyclospora, *Yersinia enterocolitica*, calciviruses and environmental bacteria like *Mycobacterium* spp, aeromonads, *Legionella pneumophila* and multidrug-resistant *Pseudomonas aeruginosa* have been associated with water-borne illnesses.

The protection and enhancement of our nation's water quality remains a chief concern of the U.S. Environmental Protection Agency. The Office of Research and Development is committed, through the extensive waterborne disease research efforts earlier described, to ensure that the most effective and efficient methods are developed to identify, detect, and inactivate/remove pathogens that may be present in our drinking water supplies.

Life cycles, mechanisms of infection, protective or dormant states, emergence of disinfection resistant variants, optimal pathogen removal techniques, regrowth in distribution lines...all are areas that must be investigated and understood to afford the water quality safeguards that are so often taken for granted. The successes and failures of these research efforts, relayed to the public and appropriate federal, state, and local agencies, have helped to ensure safe drinking water.

***More on this subject in the Microorganism Appendix. Hyperlink to the Glossary and Appendix <http://www.abctlc.com/downloads/PDF/WTGlossary.pdf>***

## Primary Waterborne Diseases Section - Alphabetical Order

### Campylobacter

Campylobacter, the basics. It is a bacterium. It causes diarrheal illness. Campylobacter is primarily associated with poultry, animals, and humans.

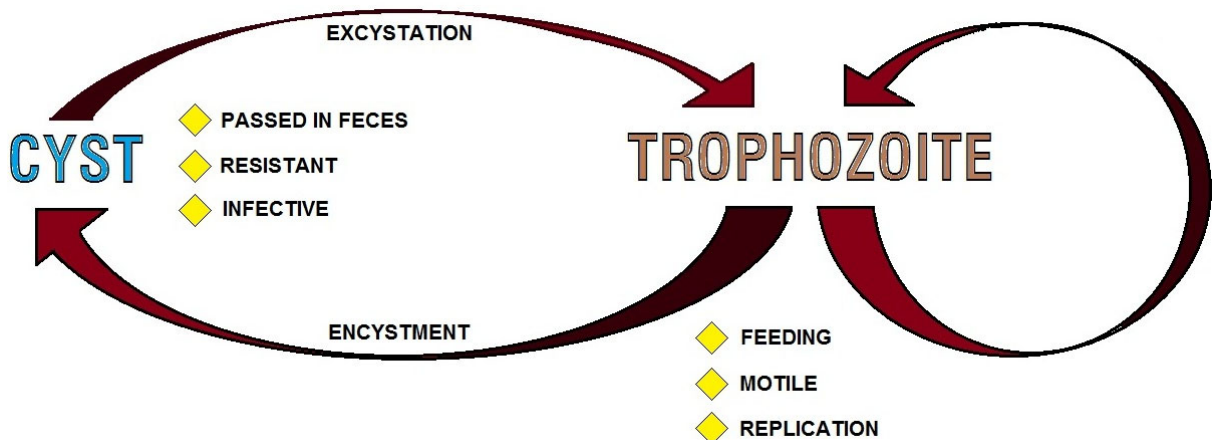
**Campylobacter prevention:** Prevention strategies for this pathogen include source protection, halogenation of water, and boiling water for one minute.

### Cryptosporidium

Cryptosporidium, the basics. It is a parasite. It causes diarrheal illness known as cryptosporidiosis. It is typically associated with animals and humans, and it can be acquired through consuming fecally contaminated food, contact with fecal contaminated soil and water.

**Cryptosporidium, prevention:** Prevention strategies for this pathogen include source protection. A CT value of 9,600 is required when dealing with fecal accidents. CT equals a concentration, in parts per million, while time equals a contact time in minutes. Cryptosporidium can also be prevented or eliminated by boiling water for one minute.

Filtration with an "*absolute*" pore size of one micron or smaller can eliminate Cryptosporidium, and reverse osmosis is known to be effective as well.



**TYPICAL FECAL-ORAL LIFE CYCLE DIAGRAM**

### E-Coli Section

Escherichia coli. Escherichia coli O157:H7, the basics. It's a bacteria. There are several pathogenic strains of Escherichia coli, which are classified under enterovirulent E. coli. They are enterohemorrhagic, enteroinvasive, enterotoxigenic, enteropathogenic, and enteroaggregative causes diarrheal illness, and it's classified as an enterohemorrhagic E. coli. In its most severe form, it can cause hemorrhagic colitis. The reservoir for this bacteria are cattle, deer, goats, and sheep. Humans can also be a reservoir. It is typically associated with contaminated food and water.

**E. coli O157:H7 prevention:** Prevention strategies for this pathogen include source protection, halogenation of water, or boiling water for one minute.

### **Giardia**

Giardia, the basics. It is a parasite. It causes diarrheal illness known as giardiasis. It is typically associated with water. It is the most common pathogen in waterborne outbreaks. It can also be found in soil and food, and humans and animals are the reservoir for this pathogen.

**Giardia prevention:** Prevention strategies for this pathogen include source protection; filtration, coagulation, and halogenation of drinking water.

### **Hepatitis A**

Hepatitis A, the basics. It is a virus. It causes inflammation of the liver, and the reservoir for Hepatitis A virus is humans.

**Hepatitis A, Prevention:** Prevention strategies for this pathogen include source protection and adequate disinfection. Fecal matter can protect Hepatitis A virus from chlorine. Additionally, Hepatitis A virus is resistant to combined chlorines, so it is important to have an adequate free chlorine residual.

### **Legionella**

Legionella, the basics. It is a bacterium. It causes a respiratory illness known as Legionellosis. There are two illnesses associated with Legionellosis: the first, Legionnaire's disease, which causes a severe pneumonia, and the second, Pontiac fever, which is a non-pneumonia illness; it is typically an influenza-like illness, and it's less severe. Legionella is naturally found in water, both natural and artificial water sources.

**Legionella, prevention:** Maintaining hot water systems at or above 50 degrees Centigrade and cold water below 20 degrees Centigrade can prevent or control the proliferation of Legionella in water systems. Hot water in tanks should be maintained between 71 and 77 degrees Centigrade.

Proper recreational water system maintenance and disinfection can prevent the proliferation of Legionella in recreational water systems. It is important to prevent water stagnation. This can be accomplished by eliminating dead ends in distribution systems and in recreational water systems. Additionally, preventing biofilm development is important to control this particular pathogen in water systems.

### **Norovirus**

Norovirus, the basics. It is a virus. It causes diarrheal illness, and humans are the reservoir for this virus.

**Norovirus, prevention:** Prevention strategies for this pathogen include source protection.

### **Pseudomonas**

Pseudomonas, the basics. It is a bacterium. It is caused by dermal contact with water. It can cause dermatitis, which is an inflammation of the skin, or it can cause otitis, which is an infection of the ear. Pseudomonas is typically associated with soil and water.

**Pseudomonas prevention:** Proper maintenance and disinfection of recreational water systems is important in preventing Pseudomonas.

### **Salmonella Typhi**

Salmonella typhi, the basics. It is a bacterium. It causes diarrheal illness, also known as typhoid fever. Humans are the reservoir for this pathogen. Salmonella species, the basics. It is a bacterium. It causes diarrheal illness known as salmonellosis.

Humans and animals are the reservoir, and it has typically associated with contaminated food and water. Salmonella species, prevention. Prevention strategies for this pathogen include source protection, halogenation of water, and boiling water for one minute.

**Salmonella typhi, prevention:** Prevention strategies for this pathogen include source protection, chlorination or halogenation of water, and boiling water for one minute.

### **Schistosomatidae**

Schistosomatidae, the basics. It is a parasite. It is acquired through dermal contact, cercarial dermatitis. It is commonly known as swimmer's itch. The reservoir for this pathogen are aquatic snails and birds.

**Schistosomatidae prevention:** Prevention strategies for this pathogen include eliminating snails with a molluscicide or interrupting the life cycle of the parasite by treating birds with an antihelminthic drug.

### **Shigella Species**

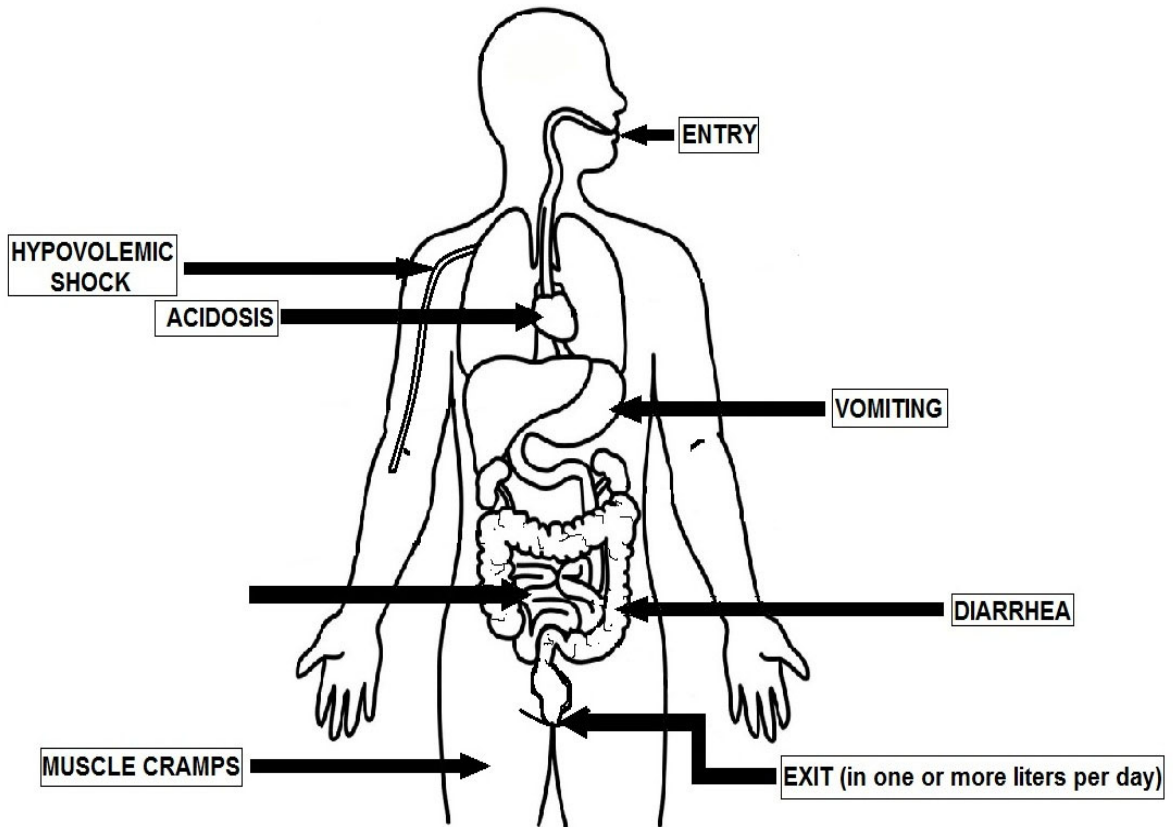
Shigella species, the basics. It is a bacterium. It causes diarrheal illness known as shigellosis. Humans and primates are the reservoir for this pathogen. Shigella species, in the United States two-thirds of the shigellosis in the U.S. is caused by Shigella sonnei, and the remaining one-third is caused by Shigella flexneri. In developing countries, Shigella dysenteriae is the primary cause of illness associated with this pathogen.

**Shigella species prevention:** Prevention strategies for this pathogen include source protection, halogenation of water, and boiling water for one minute.

### **Vibrio Cholerae**

Vibrio cholerae, the basics. It is a bacterium. It causes diarrheal illness, also known as cholera. It is typically associated with aquatic environments, shell stocks, and human. Vibrio cholerae has also been associated with ship ballast water, and there will be a discussion later on in this presentation of an outbreak associated with ship ballast water.

**Vibrio cholerae prevention:** Prevention strategies for this pathogen include source protection, halogenation of water, and boiling water for one minute.



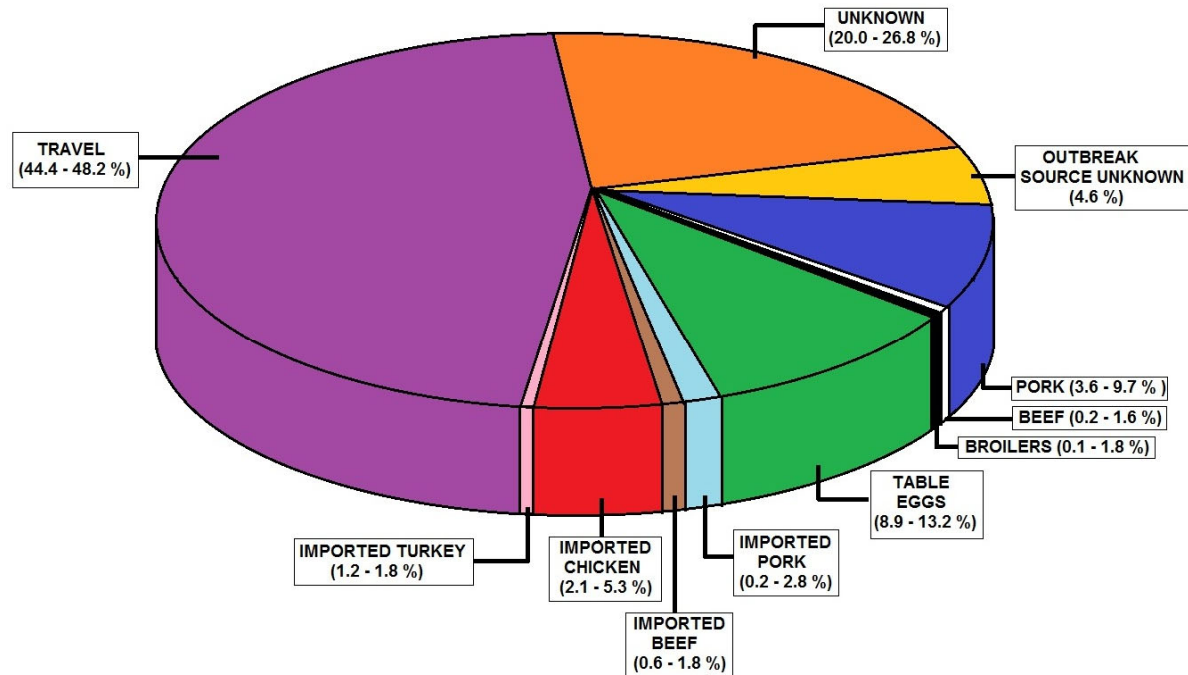
**CHOLERA DIAGRAM**

<p><b>PRESENCE OF PATHOGENS IN WATER</b></p>	<p><b>TYPES OF PATHOGENS FOUND IN WATER</b></p>
<p>THE PRESENCE OF COLIFORM BACTERIA CAN INDICATE THERE MAY BE HARMFUL BACTERIA PATHOGENS IN THE WATER</p>	
<p>THE PRINCIPAL REMOVAL PROCESSES ARE THOSE LIKELY USED TO REMOVE THE MAJORITY OF THE MICROBES IN WATER BEING TREATED</p>	
<p>THE REMOVAL PROCESSES BEING UTILIZED ARE SEDIMENTATION, FLOTATION AND THE USE OF HIGH RATE GRANULAR MEDIA FILTRATION</p>	
<p>DISINFECTION WITH IODINE OR CHLORINE IS THE MOST EFFECTIVE AT KILLING VIRUSES FOUND IN WATER.</p>	

**PATHOGENS FOUND IN WATER SUPPLIES**



## Waterborne Bacterial Diseases



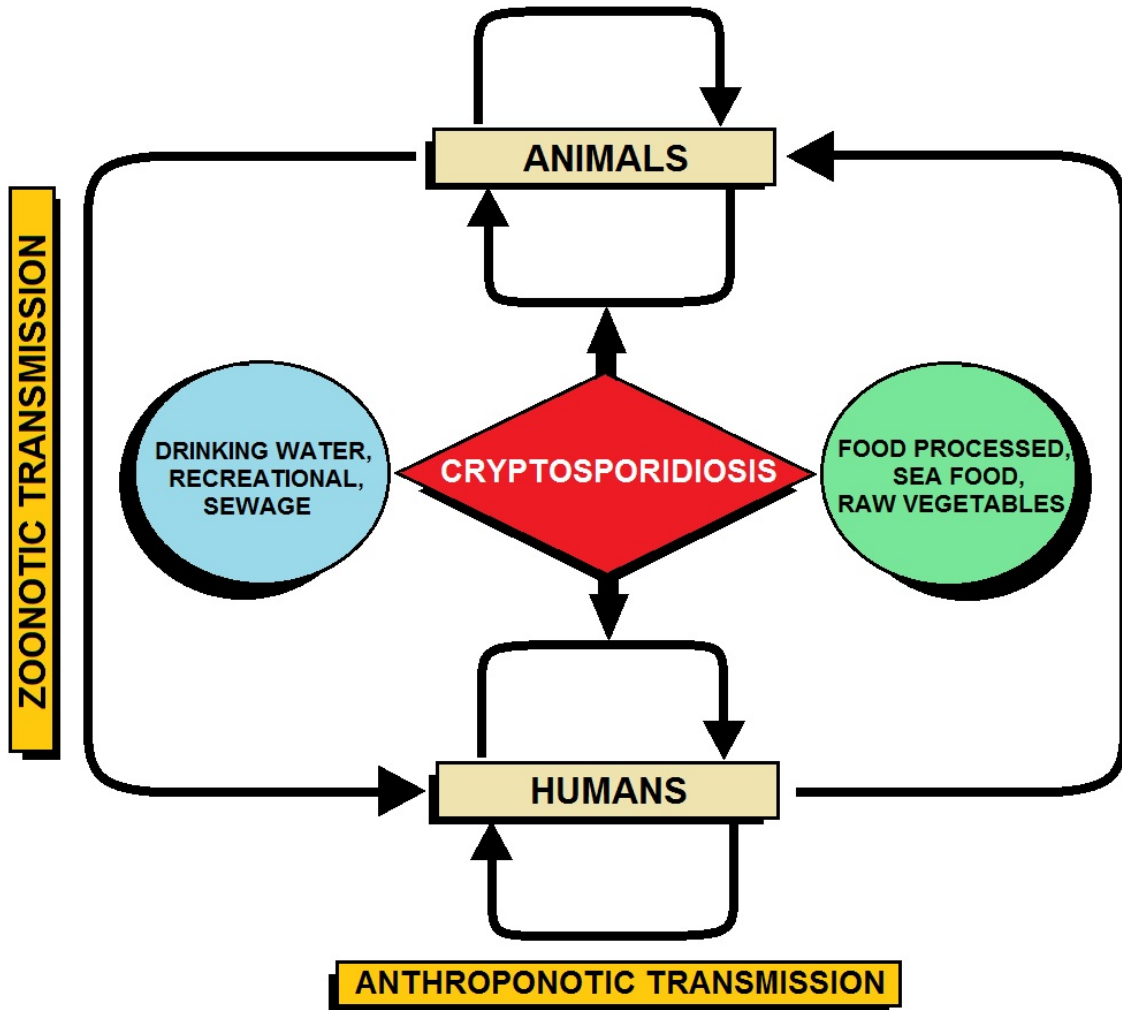
**COURSES OF SAMONELLA PIE CHART**

**Campylobacteriosis** is the most common diarrheal illness caused by bacteria. Other symptoms include abdominal pain, malaise, fever, nausea and vomiting; and begin three to five days after exposure. The illness is frequently over within two to five days and usually lasts no more than 10 days.

**Campylobacteriosis** outbreaks have most often been associated with food, especially chicken and un-pasteurized milk, as well as un-chlorinated water. These organisms are also an important cause of “**travelers’ diarrhea.**” Medical treatment generally is not prescribed for campylobacteriosis because recovery is usually rapid.

**Cholera, Legionellosis, salmonellosis, shigellosis, yersiniosis,** are other bacterial diseases that can be transmitted through water. All bacteria in water are readily killed or inactivated with chlorine or other disinfectants.

**Gastroenteritis** is an intestinal infection marked by watery diarrhea, abdominal cramps, nausea or vomiting, and sometimes fever. The most common way to develop viral gastroenteritis — often called stomach flu — is through contact with an infected person or by ingesting contaminated food or water. Because the symptoms are similar, it's easy to confuse viral diarrhea with diarrhea caused by bacteria, such as *Clostridium difficile*, salmonella and *E. coli*, or parasites, such as giardia.



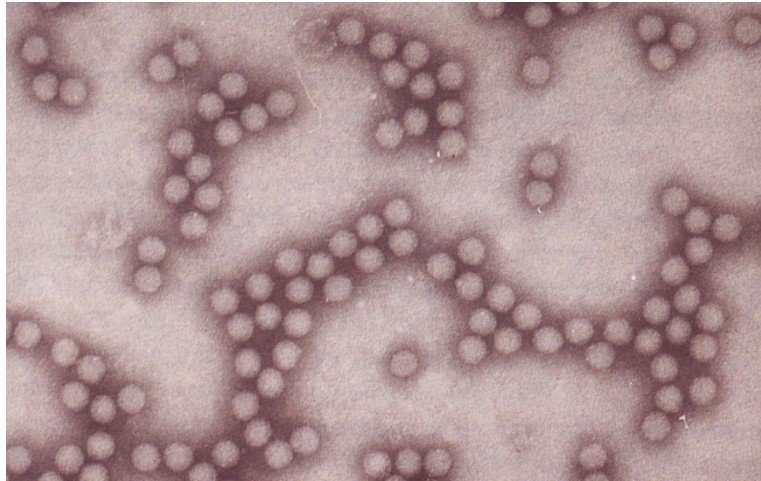
**TRANSMISSION OF CRYPTOSPORIDIOSIS**





## Waterborne Viral Diseases

- Drinking water must be free from viruses.
- Sometime viruses from intestinal tract of infected person get access to water along with feces.
- Some intestinal pathogenic viruses which are transmitted through contaminated water are- Rotavirus, Poliovirus, Hepatitis A and E, etc.



**Hepatitis A** is an example of a common viral disease that may be transmitted through water. The onset is usually abrupt with fever, malaise, loss of appetite, nausea and abdominal discomfort, followed within a few days by jaundice. The disease varies in severity from a mild illness lasting one to two weeks, to a severely disabling disease lasting several months (rare). The incubation period is 15-50 days and averages 28-30 days.

Hepatitis A outbreaks have been related to fecally contaminated water; food contaminated by infected food handlers, including sandwiches and salads that are not cooked or are handled after cooking, and raw or undercooked mollusks harvested from contaminated waters. Aseptic meningitis, polio and viral gastroenteritis (**Norwalk agent**) are other viral diseases that can be transmitted through water. Most viruses in drinking water can be inactivated by chlorine or other disinfectants.

### **Norovirus**

Norovirus, sometimes referred to as the winter vomiting bug, is the most common cause of gastroenteritis. Infection is characterized by non-bloody diarrhea, vomiting, and stomach pain. Fever or headaches may also occur. Symptoms usually develop 12 to 48 hours after being exposed, and recovery typically occurs within 1 to 3 days. Complications are uncommon, but may include dehydration, especially in the young, the old, and those with other health problems.

The virus is usually spread by the fecal–oral route. This may be through contaminated food or water or person-to-person contact. It may also spread via contaminated surfaces or through air from the vomit of an infected person. Risk factors include unsanitary food preparation and sharing close quarters.

Diagnosis is generally based on symptoms. Confirmatory testing is not usually available but may be performed during outbreaks by public health agencies.

Norovirus results in about 685 million cases of disease and 200,000 deaths globally a year. It is common both in the developed and developing world. Those under the age of five are most often affected, and in this group it results in about 50,000 deaths in the developing world. Norovirus infections occur more commonly during winter months. It often occurs in outbreaks, especially among those living in close quarters. In the United States, it is the cause of about half of all foodborne disease outbreaks. The virus is named after the city of Norwalk, Ohio, where an outbreak occurred in 1968.

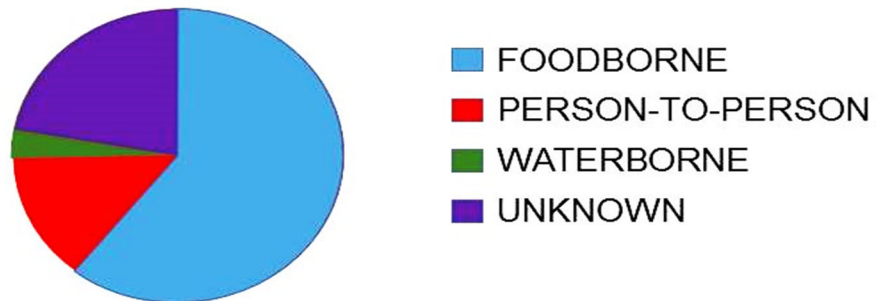
### Coronavirus

It looks like the COVID-19 coronavirus may be able to live in water for a few days, potentially even a few weeks. Consider what is known about the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) in water. Indeed studies have suggested that the SARS-CoV2 could actually hang out in the wet stuff for a little while.

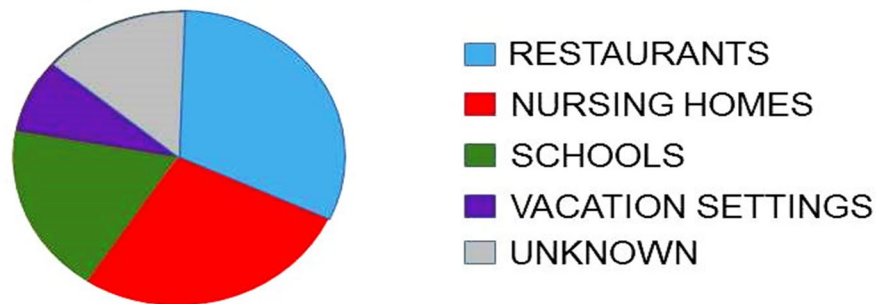
### SARS Virus

For example, a study published in the journal Water Research in 2009 found that two viruses that have similarities to the original SARS virus, the transmissible gastroenteritis (TGEV) and mouse hepatitis (MHV) viruses, could survive up to days and even weeks in water. The University of North Carolina team (Lisa Casanova, William A. Rutal, David J. Weber, and Mark D. Sobsey) that conducted the study concluded that “coronaviruses can remain infectious for long periods in water and pasteurized settled sewage, suggesting contaminated water is a potential vehicle for human exposure if aerosols are generated.”

## A. SOURCE OF NOROVIRUS



## B. SETTING FOR OUTBREAK



## Waterborne Protozoan Diseases

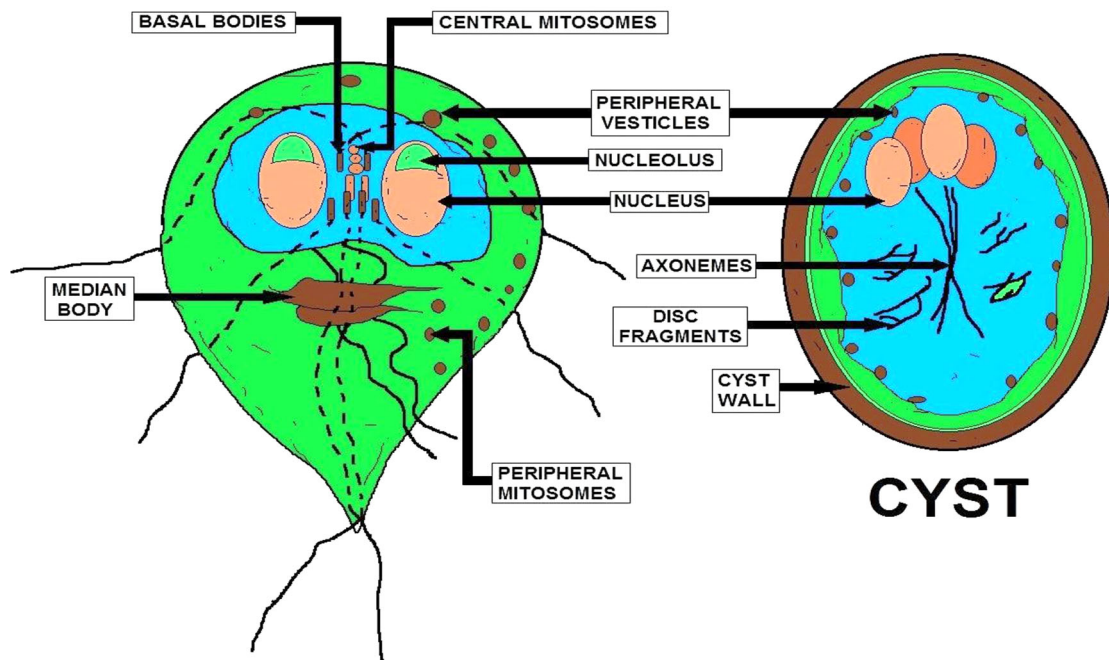
Protozoan pathogens are larger than bacteria and viruses, but still microscopic. They invade and inhabit the gastrointestinal tract. Some parasites enter the environment in a dormant form, with a protective cell wall called a “cyst.” The cyst can survive in the environment for long periods of time and be extremely resistant to conventional disinfectants such as chlorine. Effective filtration treatment is therefore critical to removing these organisms from water sources.

**Giardiasis** is a commonly reported protozoan-caused disease. It has also been referred to as “backpacker’s disease” and “beaver fever” because of the many cases reported among hikers and others who consume untreated surface water.

Symptoms include chronic diarrhea, abdominal cramps, bloating, frequent loose and pale greasy stools, fatigue and weight loss. The incubation period is 5-25 days or longer, with an average of 7-10 days.

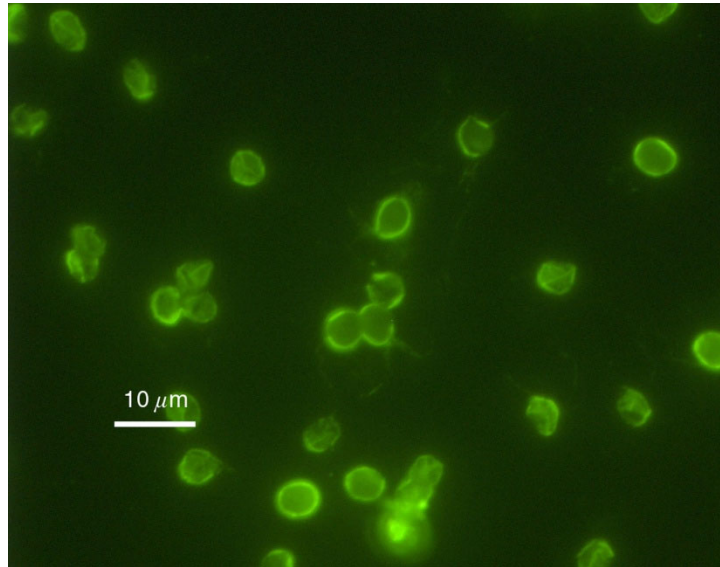
Many infections are asymptomatic (no symptoms). Giardiasis occurs worldwide. Waterborne outbreaks in the United States occur most often in communities receiving their drinking water from streams or rivers without adequate disinfection or a filtration system.

- Drinking water should be free from disease causing parasites.
- Many species of protozoa and helminthes that causes water borne disease contaminates water through feces of infected patients.



The organism, *Giardia lamblia*, has been responsible for more community-wide outbreaks of disease in the U.S. than any other pathogen. Drugs are available for treatment, but these are not 100% effective.

## Cryptosporidiosis



**Cryptosporidiosis** is an example of a protozoan disease that is common worldwide, but was only recently recognized as causing human disease. The major symptom in humans is diarrhea, which may be profuse and watery.

The diarrhea is associated with cramping abdominal pain. General malaise, fever, anorexia, nausea, and vomiting occur less often. Symptoms usually come and go, and end in fewer than 30 days in most cases. The incubation period is 1-12 days, with an average of about seven days. *Cryptosporidium* organisms have been identified in human fecal specimens from more than 50 countries on six continents.

The mode of transmission is fecal-oral, either by person-to-person or animal-to-person. There is no specific treatment for *Cryptosporidium* infections. All these diseases, with the exception of hepatitis A, have one symptom in common: diarrhea. They also have the same mode of transmission, fecal-oral, whether through person-to-person or animal-to-person contact, and the same routes of transmission, being either foodborne or waterborne.

Although most pathogens cause mild, self-limiting disease, on occasion, they can cause serious, even life threatening illness. Particularly vulnerable are persons with weak immune systems, such as those with HIV infections or cancer.

By understanding the nature of waterborne diseases, the importance of properly constructed, operated and maintained public water systems becomes obvious. While water treatment cannot achieve sterile water (no microorganisms), the goal of treatment must clearly be to produce drinking water that is as pathogen-free as possible at all times.

For those who operate water systems with inadequate source protection or treatment facilities, the potential risk of a waterborne disease outbreak is real. For those operating systems that currently provide adequate source protection and treatment, operating and maintaining the system at a high level on a continuing basis is critical to prevent disease.

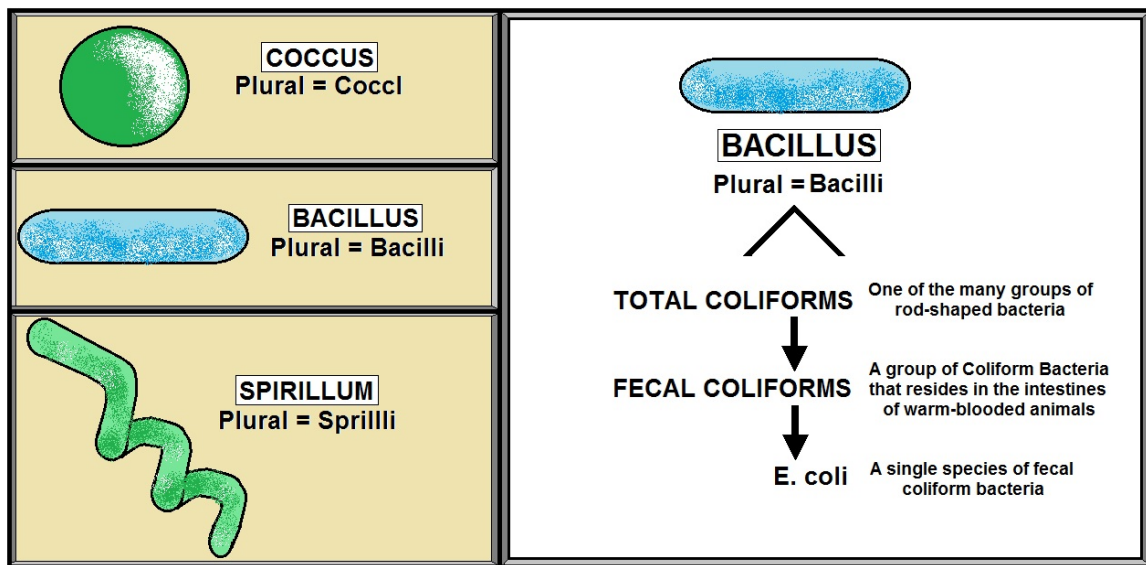
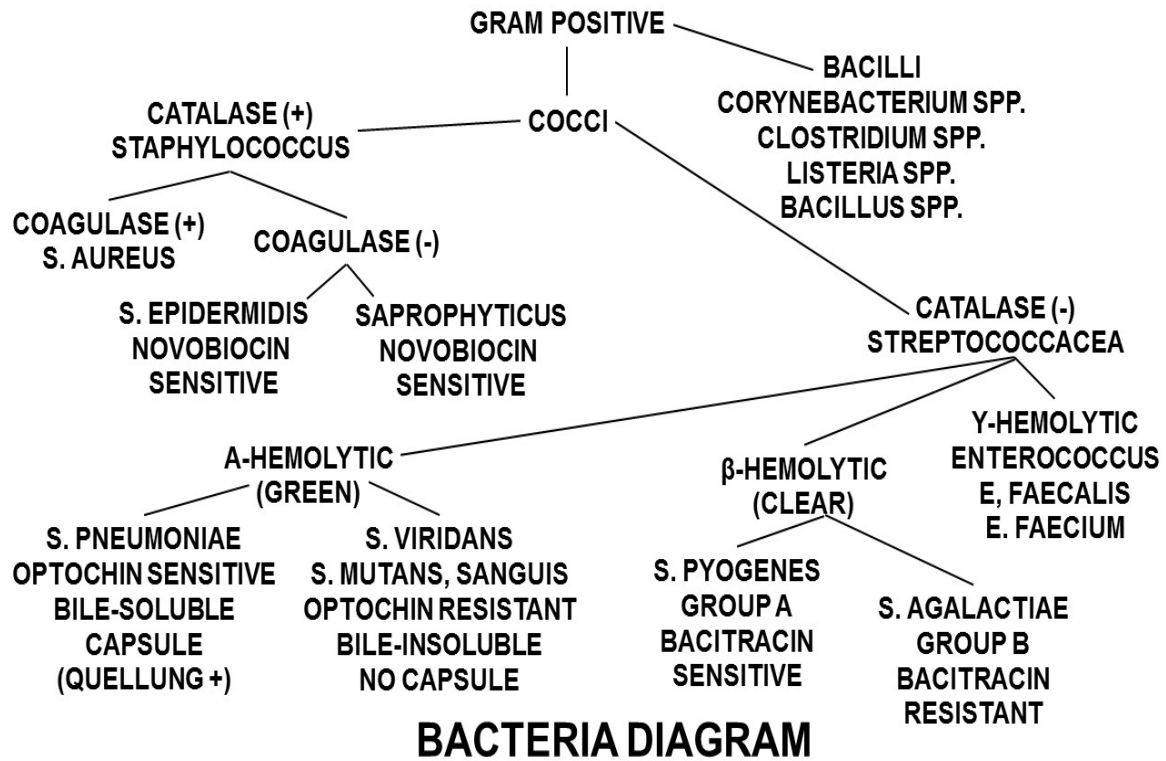
## Common Waterborne Diseases Chart

Name	Causative organism	Source of organism	Disease
Viral gastroenteritis	<b>Rotavirus</b> (mostly in young children)	Human feces	Diarrhea or vomiting
Norwalk Agent	<b>Noroviruses</b> (genus <i>Norovirus</i> , family <i>Caliciviridae</i> ) *1	Human feces; also, shellfish; lives in polluted waters	Diarrhea and vomiting
Salmonellosis	<b>Salmonella</b> (bacterium)	Animal or human feces	Diarrhea or vomiting
Gastroenteritis <i>Escherichia coli</i>	-- <b><i>E. coli</i> O157:H7</b> (bacterium): Other <i>E. coli</i> organisms:	Human feces	Symptoms vary with type caused
Typhoid	<b>Salmonella typhi</b> (bacterium)	Human feces, urine	Inflamed intestine, enlarged spleen, high temperature—sometimes fatal
Shigellosis	<b>Shigella</b> (bacterium)	Human feces	Diarrhea
Cholera	<b>Vibrio cholerae</b> (bacterium)	Human feces; also, shellfish; lives in many coastal waters	Vomiting, severe diarrhea, rapid dehydration, mineral loss—high mortality
Hepatitis A	<b>Hepatitis A virus</b>	Human feces; shellfish grown in polluted waters	Yellowed skin, enlarged liver, fever, vomiting, weight loss, abdominal pain—low mortality, lasts up to four months
Amebiasis	<b>Entamoeba histolytica</b> (protozoan)	Human feces	Mild diarrhea, dysentery, extra intestinal infection
Giardiasis	<b>Giardia lamblia</b> (protozoan)	Animal or human feces	Diarrhea, cramps, nausea, and general weakness — lasts one week to months
Cryptosporidiosis	<b>Cryptosporidium parvum</b>	Animal or human feces	Diarrhea, stomach pain — lasts (protozoan) days to weeks

Notes:

\*1 <http://www.cdc.gov/ncidod/dvrd/revb/gastro/norovirus.htm>

<http://www.cdc.gov/mmwr/preview/mmwrhtml/rr5009a1.htm>



**COLIFORM BACTERIA EXAMPLE**

## Sampling Procedures –Sub-Section

The sample siting plan must be followed and all operating staff must be clear on how to follow the sampling plan. In order to properly implement the sample-siting plan, staff must be aware of how often sampling must be done, the proper procedures and sampling containers to be used for collecting the samples, and the proper procedures for identification, storage and transport of the samples to an approved laboratory.

In addition, proper procedures must be followed for repeat sampling whenever a routine sample result is positive for total coliform.

### What is a Sample Siting Plan?

A written sample siting plan specifies the routine sampling schedule and the locations (i.e., routine and repeat) in the distribution system where TC samples are collected. The locations selected must be representative of the finished water supplied to consumers. The purpose of sampling is to identify any coliform contamination so it can be dealt with quickly. Sample siting plans are subject to primacy agency review and revision. A sample siting plan must include the:

- PWS's sample sites (i.e., the location) where routine and repeat samples are collected: if approved by the primacy agency, also include sample sites for dual purpose samples that are used to meet the requirements for the RTCR repeat sampling and the Ground Water Rule (GWR) triggered source water monitoring.
- PWS's schedule for collecting the routine samples: For example, "[PWS\_ID] will collect one routine TC sample every first Tuesday of the calendar month." The sample siting plan is a living document and should be updated to reflect changes to the PWS such as: major changes in population; new or additional water sources; infrastructure changes, such as a change in the distribution system (i.e., extended/ abandoned lines or pressure zones); or changes in disinfection or other treatment.



Most everyone can learn and master many of the basic lab procedures. Don't be intimidated, learn to take samples and analysis; it is an excellent career.

## Chain of Custody Procedures

Because a sample is physical evidence, chain of custody procedures are used to maintain and document sample possession from the time the sample is collected until it is introduced as evidence.

Chain of custody requirements will vary from agency to agency. However, these procedures are similar and the chain of custody procedure outlined in this course manual is only a guideline. Consult your project manager or state agency for specific requirements.

If you have physical possession of a sample, have it in view, or have physically secured it to prevent tampering then it is defined as being in **"custody."** A chain of custody record, therefore, begins when the sample containers are obtained from the laboratory. From this point on, a chain of custody record will accompany the sample containers.

Handle the samples as little as possible in the field. Each custody sample requires a chain of custody record and may require a seal. If you do not seal individual samples, then seal the containers in which the samples are shipped.

When the samples transfer possession, both parties involved in the transfer must sign, date and note the time on the chain of custody record. If a shipper refuses to sign the chain-of-custody you must seal the samples and chain of custody documents inside a box or cooler with bottle seals or evidence tape.

The recipient will then attach the shipping invoices showing the transfer dates and times to the custody sheets. If the samples are split and sent to more than one laboratory, prepare a separate chain of custody record for each sample. If the samples are delivered to after-hours night drop-off boxes, the custody record should note such a transfer and be locked with the sealed samples inside sealed boxes.



Using alcohol to disinfect a special sample tap before obtaining a sample.



<b>LAB I.D. NUMBER</b>																							
<b>Laboratory</b> 123 W. Main St Sun City, Arizona 85541																							
DATE: _____ PAGE 1 OF 1																							
Sampler: _____																							
Company: _____ Department: _____ Address: _____ Contact: _____ Telephone: _____																							
Sample Identification	Date	Time	Matrix	Lab ID	Metals* See Attached	TSS	Lead/Copper	BOD/COD	Nitrate	Nitrate + Nitrite	TKN / Amonia	VOC / THM's	Semi Volatil Organics (625)	Chloride	Cyanide	Floride	Surfactants (MBAS)	Tot. Coliform MPN	Fecal Coliform MPN-HPC	Organo-Phosphorus Pest. (8141)	Sulfate	EC Conductivity	Number/Containers
<b>Sample Receipt</b>																							
Project Name					No. Containers: _____ Custody Seals: _____ Received Intact: _____ Received Cold: _____ Temperature: _____ PRIORITY: _____																		
Project Number					Yes No Yes No																		
Field Measurements:					pH: _____ Temp: _____																		
RELINQUISHED BY:					Signature: _____ Time: _____ Printed Name: _____ Date: _____ Company: _____																		
SAMPLED RECEIVED BY:					Signature: _____ Time: _____ Printed Name: _____ Date: _____ Company: _____																		

Chain of Custody Example.



Various water sample bottles and chain-of-custody form.

## Collection of Surface Water Samples- 1 Example

*Most of this section comes from the USEPA.*

Representative samples may be collected from rivers, streams and lakes if certain rules are followed:

1. Watch out for flash floods! If a flooding event is likely and samples must be obtained, always go in two-person teams for safety. Look for an easy route of escape.
2. Select a sampling location at or near a gauging station, so that stream discharge can be related to water-quality loading. If no gauging station exists, then measure the flow rate at the time of sampling, using the streamflow method described below.
3. Locate a straight and uniform channel for sampling.
4. Unless specified in the sampling plan, avoid sampling locations next to confluences or point sources of contamination.
5. Use bridges or boats for deep rivers and lakes where wading is dangerous or impractical.
6. Do not collect samples along a bank, as they may not be representative of the surface water body as a whole.
7. Use appropriate gloves when collecting the sample.

### **Streamflow Measurement**

Before collecting water quality samples, record the stream's flow rate at the selected station. The flow rate measurement is important for estimating contaminant loading and other impacts.

The first step in streamflow measurement is selecting a cross-section. Select a straight reach where the stream bed is uniform and relatively free of boulders and aquatic growth. Be certain that the flow is uniform and free of eddies, slack water and excessive turbulence.

After the cross-section has been selected, determine the width of the stream by stringing a measuring tape from bank-to-bank at right angles to the direction of flow. Next, determine the spacing of the verticals. Space the verticals so that no partial section has more than 5 per cent of the total discharge within it.

At the first vertical, face upstream and lower the velocity meter to the channel bottom, record its depth, then raise the meter to 0.8 and 0.2 of the distance from the stream surface, measure the water velocities at each level, and average them. Move to the next vertical and repeat the procedure until you reach the opposite bank.

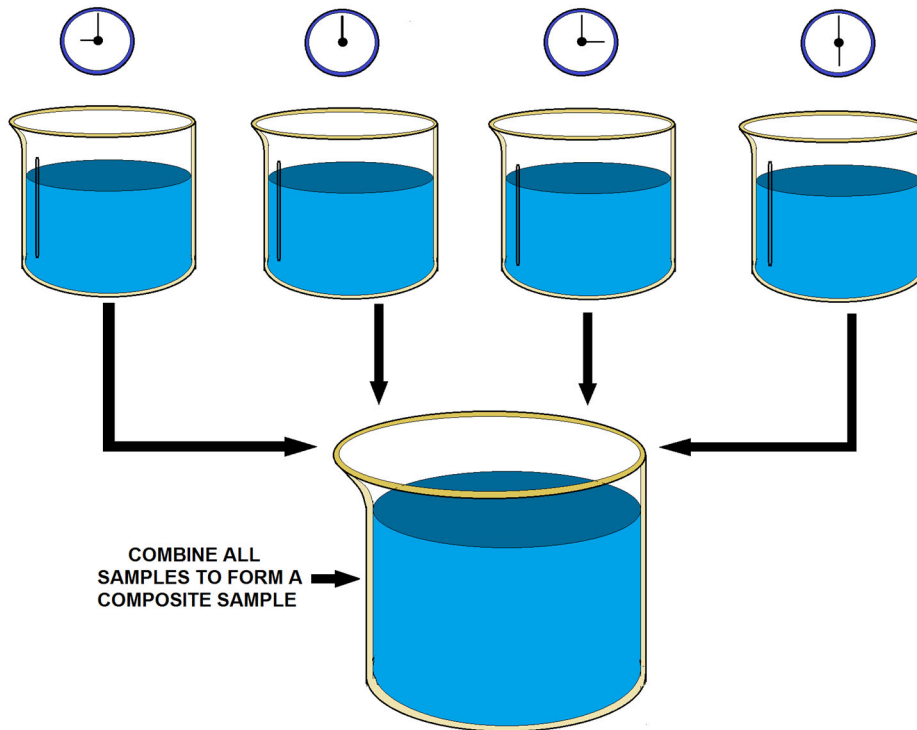
Once the velocity, depth and distance of the cross-section have been determined, the mid-section method can be used for determining the stream's discharge rate. Calculate the discharge in each increment by multiplying the averaged velocity in each increment by the increment width and averaged depth.

(Note that the first and last stations are located at the edge of the waterway and have a depth and velocity of zero.) Add up the discharges for each increment to calculate the total stream discharge rate. Record the flow in liters (or cubic feet) per second in your field book.

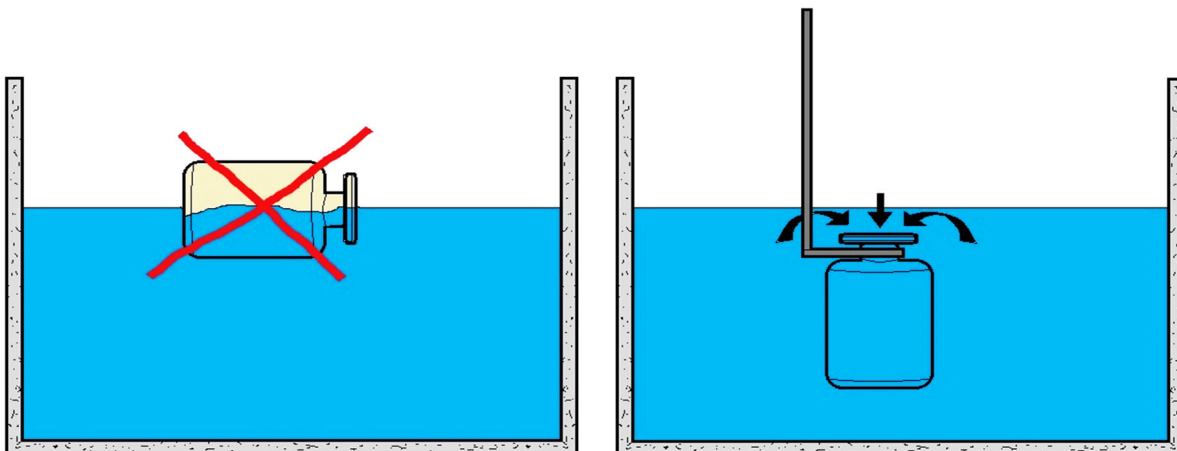
### **Composite Sampling**

Composite sampling is intended to produce a water quality sample representative of the total stream discharge at the sampling station. If your sampling plan calls for composite sampling, use an automatic type sampler, ideally located mid-stream.

SEVERAL GRAB SAMPLES TAKEN AT DIFFERENT TIMES  
FROM THE EXACT SAME LOCATION



### MAKING A COMPOSITE SAMPLE FROM GRAB SAMPLES DIAGRAM



### PROPER METHOD OF TAKING IMMERSE TYPE WATER SAMPLES.

*Note: Both of these sampling methods are not correct for taking Bac-T or disinfection byproduct sampling.*

## Summary

### Factors in Chlorine Disinfection: Concentration and Contact Time

In an attempt to establish more structured operating criteria for water treatment disinfection, the CXT concept came into use in 1980. Based on the work of several researchers, CXT values [final free chlorine concentration (mg/L) multiplied by minimum contact time (minutes)], offer water operators guidance in computing an effective combination of chlorine concentration and chlorine contact time required to achieve disinfection of water at a given temperature.

The CXT formula demonstrates that if an operator chooses to decrease the chlorine concentration, the required contact time must be lengthened. Similarly, as higher strength chlorine solutions are used, contact times may be reduced (Connell, 1996).

Detection and investigation of waterborne disease outbreaks is the primary responsibility of local, state and territorial public health departments, with voluntary reporting to the CDC. The CDC and the U.S. Environmental Protection Agency (EPA) collaborate to track waterborne disease outbreaks of both microbial and chemical origins. Data on drinking water and recreational water outbreaks and contamination events have been collected and summarized since 1971.

While useful, statistics derived from surveillance systems do not reflect the true incidence of waterborne disease outbreaks because many people who fall ill from such diseases do not consult medical professionals.

For those who do seek medical attention, attending physicians and laboratory and hospital personnel are required to report diagnosed cases of waterborne illness to state health departments. Further reporting of these illness cases by state health departments to the CDC is voluntary, and statistically more likely to occur for large outbreaks than small ones.

Despite these limitations, surveillance data may be used to evaluate the relative degrees of risk associated with different types of source water and systems, problems in current technologies and operating conditions, and the adequacy of current regulations. (Craun, Nwachuku, Calderon, and Craun, 2002).

### Understanding Cryptosporidiosis

Cryptosporidium is an emerging parasitic protozoan pathogen because its transmission has increased dramatically over the past two decades. Evidence suggests it is newly spread in increasingly popular day-care centers and possibly in widely distributed water supplies, public pools and institutions such as hospitals and extended-care facilities for the elderly.

Recognized in humans largely since 1982 and the start of the AIDS epidemic, Cryptosporidium is able to cause potentially life-threatening disease in the growing number of immunocompromised patients.

Cryptosporidium was the cause of the largest reported drinking water outbreak in U.S. history, affecting over 400,000 people in Milwaukee in April 1993. More than 100 deaths are attributed to this outbreak. Cryptosporidium remains a major threat to the U.S. water supply (Ibid.).

The EPA is developing new drinking water regulations to reduce Cryptosporidium and other resistant parasitic pathogens. Key provisions of the Long Term 2 Enhanced Surface Water Treatment Rule include source water monitoring for Cryptosporidium; inactivation by all unfiltered systems; and additional treatment for filtered systems based on source water

Cryptosporidium concentrations. EPA will provide a range of treatment options to achieve the inactivation requirements. Systems with high concentrations of Cryptosporidium in their source water may adopt alternative disinfection methods (e.g., ozone, UV, or chlorine dioxide).

However, most water systems are expected to meet EPA requirements while continuing to use chlorination. Regardless of the primary disinfection method used, water systems must continue to maintain residual levels of chlorine-based disinfectants in their distribution systems.

### **Understanding Giardia lamblia**

Giardia lamblia, discovered approximately 20 years ago, is another emerging waterborne pathogen. This parasitic microorganism can be transmitted to humans through drinking water that might otherwise be considered pristine. In the past, remote water sources that were not affected by human activity were thought to be pure, warranting minimal treatment. However, it is known now that all warm-blooded animals may carry Giardia and that beaver are prime vectors for its transmission to water supplies.

There is a distinct pattern to the emergence of new pathogens. First, there is a general recognition of the effects of the pathogen in highly susceptible populations such as children, cancer patients and the immunocompromised.

Next, practitioners begin to recognize the disease and its causative agent in their own patients, with varied accuracy. At this point, some may doubt the proposed agent is the causative agent, or insist that the disease is restricted to certain types of patients.

Finally, a single or series of large outbreaks result in improved attention to preventive efforts. From the 1960's to the 1980's this sequence of events culminated in the recognition of Giardia lamblia as a cause of gastroenteritis (Lindquist, 1999).

## Topic 2- Bacteriological Monitoring Section Post Quiz

### True or False

1. Total coliforms are a group of closely related viruses that are (with few exceptions) not harmful to humans. They are an indicator of other pathogens that can be present in water.
2. Fecal coliform bacteria are present in warm-blooded animals and they are shed from the body in the feces. Because these organisms are shed from the body in large numbers and are relatively easy to detect in the laboratory, they have been accepted as a guideline of water or food contamination.
3. All bacteriological samples are analyzed for the coliform group; however, a positive reaction to these coliform analyses may be from sources other than fecal. In order to differentiate between these sources, all samples that are total coliform positive must be analyzed again to determine if fecal coliform or *E. coli* are present.
4. To comply with the monthly MCL for total coliforms (TC), PWSs must not find coliforms in more than fifty percent of the samples they take each month to meet EPA's standards. If more than twenty percent of the samples contain coliforms, PWS operators must report this violation to the state and the public.
5. If a sample tests positive for TC, the system must collect a set of repeat samples located within 10 or fewer sampling sites adjacent to the location of the routine positive sample within 48 hours.
6. When a routine or repeat sample tests positive for total coliforms, it must also be analyzed for fecal coliforms or *E. coli*, which are types of coliform bacteria that are directly associated with feces.
7. A positive result for fecal coliforms or *E. coli* can signify an acute MCL violation, which necessitates rapid state and public notification because it represents a direct health risk.
8. At times, an acute violation due to the presence of fecal coliform or *E. coli* may result in a "boil water" notice. The system must also take at least 5 routine samples the next month of operation if any sample tests positive for total coliforms.

9. A coliform sample site plan is a list of sites by street address, lot number, or other permanent description, that identifies all the approved locations where your routine (monthly) coliform samples may be collected. The list of sites must be plotted on a map of your service area.

10. Small water systems shall divide their distribution system into specific sample areas.



## Topic 3- Water Treatment Introduction Section

**Section Focus:** You will learn the basics of conventional water treatment. At the end of this section, you will be able to describe the water treatment process. There is a post quiz at the end of this section to review your comprehension and a final examination in the Assignment for your contact hours.

**Scope/Background:** The Safe Drinking Water Act (SDWA) requires the EPA to set enforceable standards to protect public health from contaminants which may occur in drinking water, therefore we need drinking water treatment.

The treatment needs of a water system are likely to differ depending on whether the system uses a groundwater or surface water source. Common surface water contaminants include turbidity, microbiological contaminants (*Giardia*, viruses and bacteria) and low levels of a large number of organic chemicals. Groundwater contaminants include naturally occurring inorganic chemicals (such as arsenic, fluoride, radium, radon and nitrate) and a number of volatile organic chemicals (VOCs) that have recently been detected in localized areas.

When selecting among the different treatment options, the water supplier must consider a number of factors. These include regulatory requirements, characteristics of the raw water, configuration of the existing system, cost, operating requirements and future needs of the service area.



A surface water conventional treatment facility next to a river.

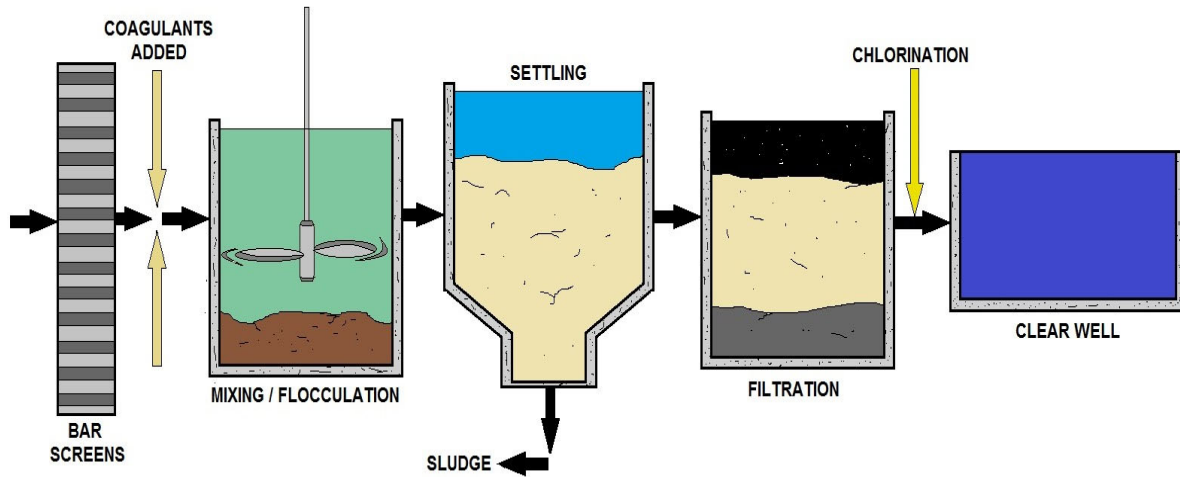


Top Photograph - Final Rectangular Sedimentation Basin Discharge Weirs



Top Photograph –Rectangular Clarifier

## Overview of Water Treatment



## BASIC WATER TREATMENT

### Conventional Plant Verses Direct Filtration

The train that is shown above illustrates a typical conventional treatment process. The difference between this plant and a direct filtration plant is that the sedimentation process is not needed in direct filtration. A direct filtration plant receives higher quality water so the concern of TOC is much less. We will focus on the conventional treatment process and how enhanced coagulation reduces TOC.

### Pre Sedimentation Plants

Not all Surface Plants have the luxury of post- or pre-treatment. When this process is included heavy inorganics and organic substances may be removed. The types of chemicals used in this process would be Copper sulfate, powdered activated carbon, Polymer aids and Potassium permanganate just to mention a few. Not only does it benefit in the reduction of NOM it also helps lower TOC.



A large raw water impoundment. You can see the concrete clear well and hard to see but the primary clarifiers are to the right of the photo.

## **Coagulation**

Suspended particles carry an electrical charge which causes them to repel one another. The conventional process uses *alum* (aluminum sulfate) and cationic polymer to neutralize the charge. That allows suspended particles to clump together to form more easily filtered particles.

Alum combines with alkalinity in the raw water to form a white precipitate that neutralizes suspended particles' electrical charge and forms a base for coagulating those particles. Conventional technology uses a 30 to 50 mg/L alum dosage to form a large *floc* that requires extensive retention time to permit settling. Traditional filter systems use graded silica sand filter media. Since the sand grains all have about the same density, larger grains lay toward the bottom of the filter bed and finer grains lay at the top of the filter bed. As a result, filtration occurs only within the first few inches of the finer grains at the top of the bed.

## **Flocculation**

The process of bringing together destabilized or coagulated particles to form larger masses which can be settled and/or filtered out of the water being treated. In this process, which follows the rapid mixing, the chemically treated water is sent into a basin where the suspended particles can collide, agglomerate (stick together), and form heavier particles called "floc". Gentle agitation of the water and appropriate detention times (the length of time water remains in the basin) help facilitate this process.

The water is slowly mixed in contact chambers, allowing the coagulated particles to become larger and stronger and is now called "floc." As these floc particles mix in the water, bacteria and other microorganisms are caught in the floc structure.

## **Sedimentation**

The process of suspended solid particles settling out (going to the bottom of the vessel) in water. Following flocculation, a sedimentation step may be used. During sedimentation, the velocity of the water is decreased so that the suspended material, including flocculated particles, can settle out by gravity. Once settled, the particles combine to form a sludge that is later removed from the bottom of the basin.

## **Filtration**

A water treatment step used to remove turbidity, dissolved organics, odor, taste and color. The water flows by gravity through large filters of anthracite coal, silica sand, garnet and gravel. The floc particles are removed in these filters. The rate of filtration can be adjusted to meet water consumption needs. Filters for suspended particle removal can also be made of graded sand, granular synthetic material, screens of various materials, and fabrics.

The most widely used are rapid-sand filters in tanks. In these units, gravity holds the material in place and the flow is downwards. The filter is periodically cleaned by a reversal of flow and the discharge of back flushed water into a drain. Cartridge filters made of fabric, paper, or plastic material are also common and are often much smaller and cheaper as well as disposable. Filters are available in several ratings depending on the size of particles to be removed.

Activated carbon filters, described earlier, will also remove turbidity, but would not be recommended for that purpose only. With most of the larger particles settled out, the water now goes to the filtration process. At a rate of between 2 and 10 gpm per square foot, the water is filtered through an approximate 36" depth of graded sand. Anthracite coal or activated carbon may also be included in the sand to improve the filtration process, especially for the removal of organic contaminants and taste and odor problems.

The filtration process removes the following types of particles;

- ❖ Silts and clay
- ❖ Colloids
- ❖ Biological forms
- ❖ Flocc

### Disinfection

Today, most of our drinking water supplies are free of the micro-organisms — viruses, bacteria and protozoa — that cause serious and life-threatening diseases, such as cholera and typhoid fever. This is largely due to the introduction of water treatment, particularly chlorination, at the turn of the century.

Living cells react with chlorine and reduce its concentration while they die. Their organic matter and other substances that are present convert to chlorinated derivatives, some of which are effective killing agents. Chlorine present as  $\text{Cl}_2$ ,  $\text{HOCl}$ , and  $\text{OCl}^-$  is called **free available chlorine** and that which is bound but still effective is **combined chlorine**. A particularly important group of compounds with combined chlorine is the chloramines formed by reactions with ammonia.

One especially important feature of disinfection using chlorine is the ease of overdosing to create a "residual" concentration. There is a constant danger that safe water leaving the treatment plant may become contaminated later. There may be breaks in water mains, loss of pressure that permits an inward leak, or plumbing errors. This residual concentration of chlorine provides some degree of protection right to the water faucet. With free available chlorine, a typical residual is from 0.1 to 0.5 ppm. Because chlorinated organic compounds are less effective, a typical residual is 2 ppm for combined chlorine. There will be no chlorine residual unless there is an excess over the amount that reacts with the organic matter present. However, reaction kinetics complicates interpretation of chlorination data. The correct excess is obtained in a method called "*Break Point Chlorination*".



150-pound chlorine gas cylinders on a scale and in service.



This operator is taking a grab sample to measure for turbidity.

*This course contains EPA's federal rule requirements. Please be aware that each state implements drinking water regulations that may be more stringent than EPA's regulations. Check with your state environmental agency for more information.*

## Water Treatment Section - Preliminary Treatment Process

Most lakes and reservoirs are not free of logs, tree limbs, sticks, gravel, sand and rocks, weeds, leaves, and trash. If not removed, these will cause problems to the treatment plant's pumps and equipment. The best way to protect the plant is screening.

Bar screens are made of straight steel bars at the intake of the plant. The spacing of the vertical bars will rank the size. Wire mesh screens are woven stainless steel material and the opening of the fabric is narrow. Both of these devices can be made for manual cleaning.

Mechanical bar screens vary in size and use some type of raking mechanism that travels vertically up the bars to scrap the debris off. The type of screening used depends on the material in the raw water and the size of the intake.



Mechanical bar screen, above photograph.  
Non-automated bar screen, below.

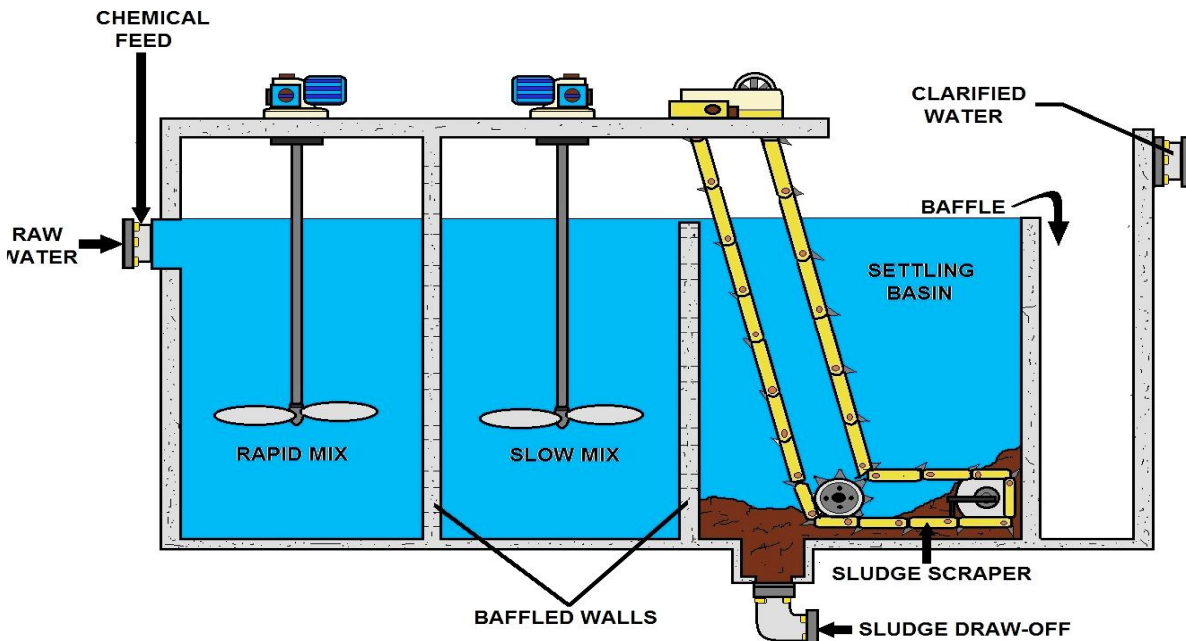


## Pre-Sedimentation

Once the water passes the bar screens, sand and grit are still present. This will damage plant equipment and pipes, so it must be removed. This is generally done with either rectangular- or round-shaped clarifiers. Depending on the raw water quality, initial sedimentation may also be used after the flocculation process.

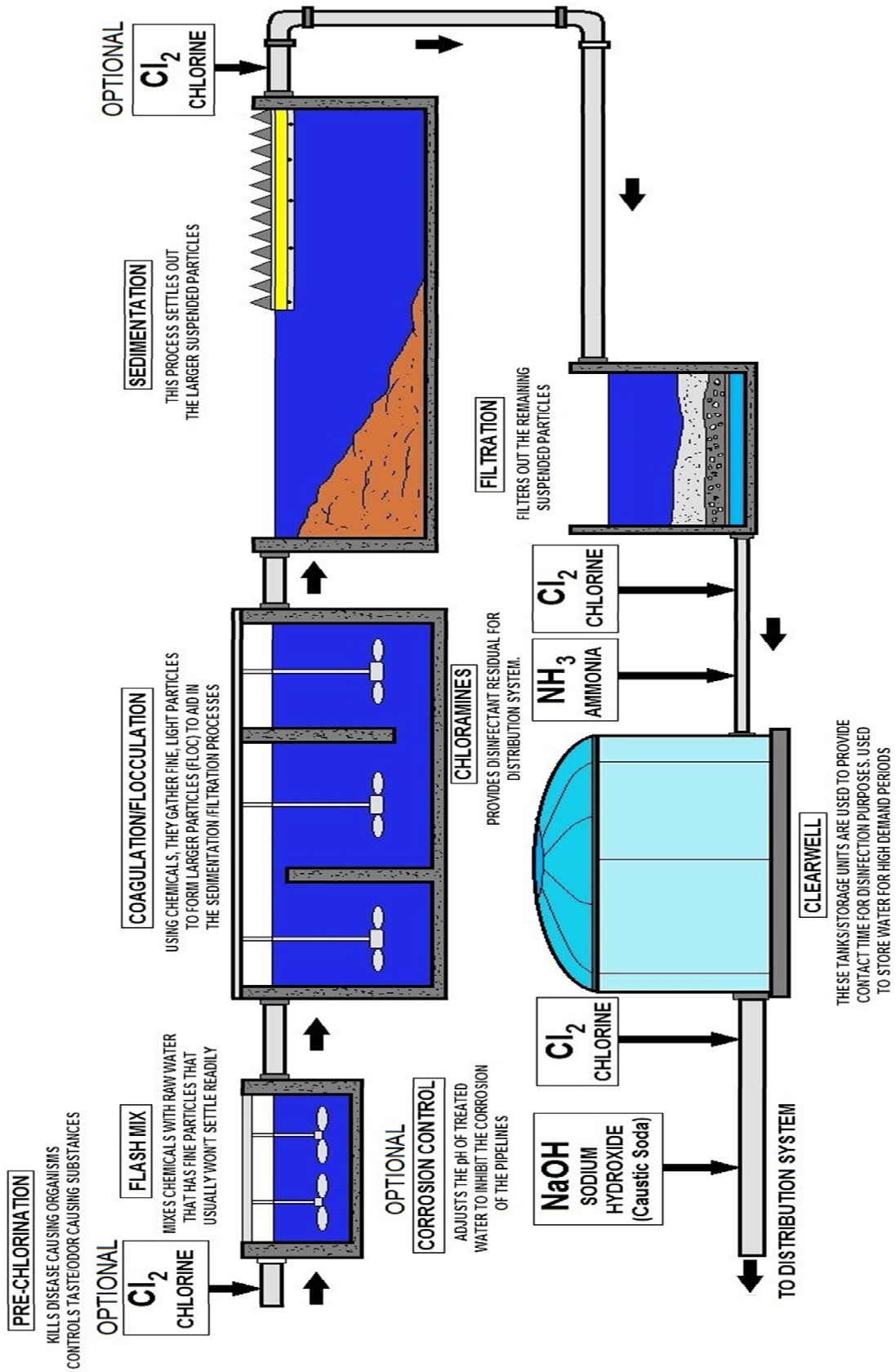


Let's first look at the components of a rectangular clarifier. Most are designed with scrapers on the bottom to move the settled sludge to one or more hoppers at the end of the tank. It could have a screw conveyor or traveling bridge used to collect the sludge. The most common is a chain and flight collector. Most designs will have baffles to prevent short circuiting and scum from entering the effluent.

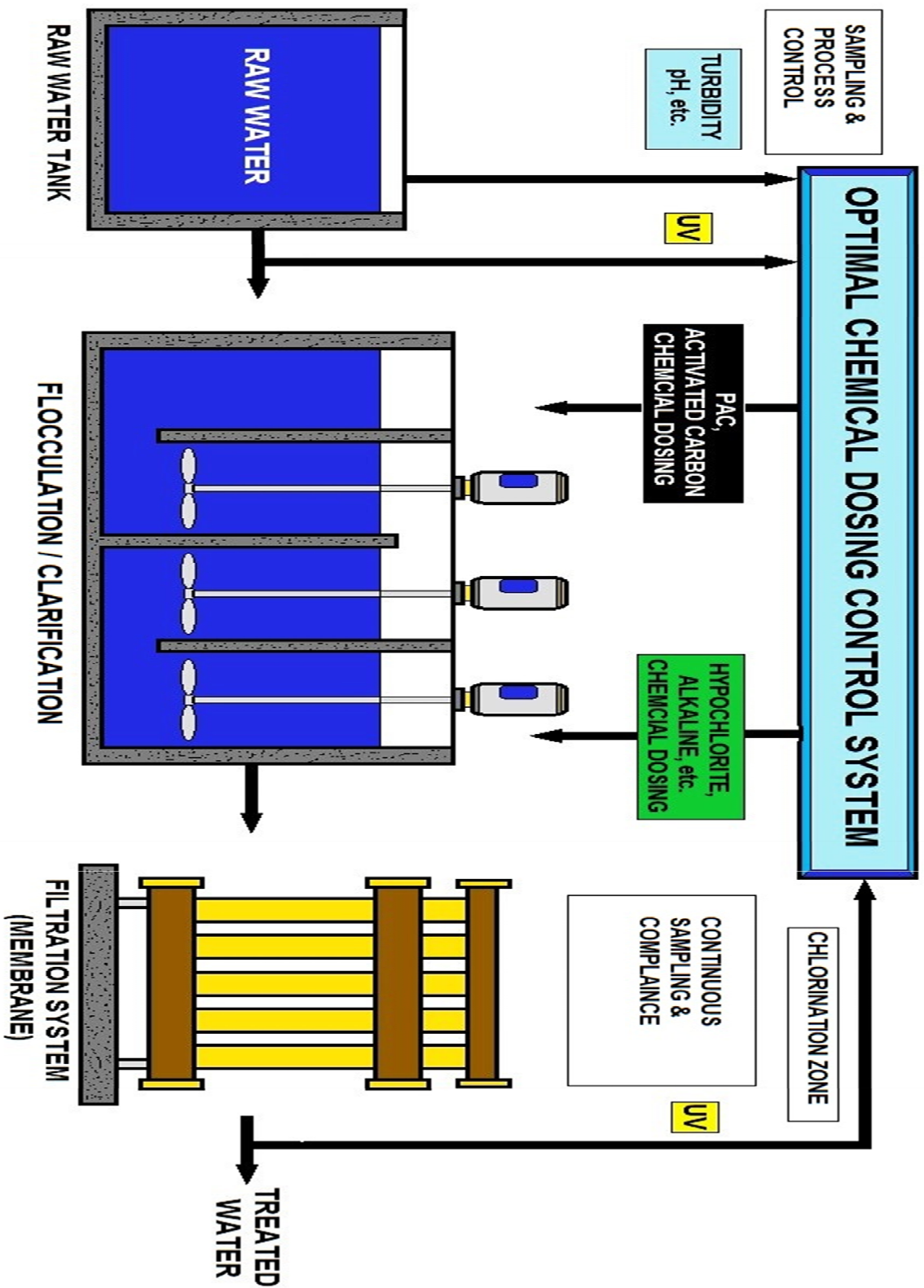


**RECTANGULAR FLOCCULATION AND CLARIFIER EXAMPLE**





## BASICS OF A TYPICAL WATER TREATMENT PLANT



## WATER TREATMENT PLANT CHEMICAL DOSING

## Flights and Chains

An important thing to understand is the sludge and scum collection mechanism known as the “*flights and chains*”. They move the settled sludge to the hopper in the clarifier for removal and they also remove the scum from the surface of the clarifier.

The flights are usually wood or nonmetallic flights mounted on parallel chains.

The motor shaft is connected through a gear reducer to a shaft that turns the drive chain.

The drive chain turns the drive sprockets and the head shafts. The shafts can be located overhead or below.

Some clarifiers may not have scum removal equipment, so the configuration of the shaft may vary. As the flights travel across the bottom of the clarifier, wearing shoes are used to protect the flights. The shoes are usually metal or nylon and travel along a metal track.

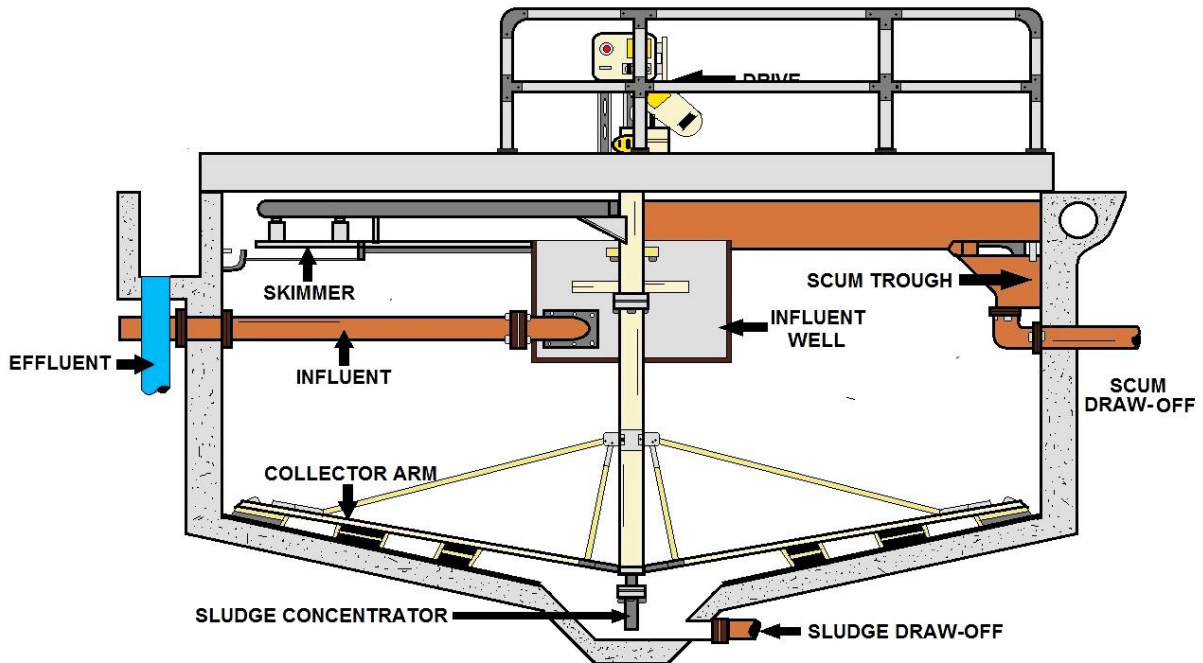
To prevent damage due to overloads, a shear pin is used. The shear pin holds the gear solidly on the shaft so that no slippage occurs. The gear moves the drive chain. If a heavy load is put on the sludge collector system then the shear pin should break. This means that the gear would simply slide around the shaft and movement of the drive chain would stop.



Rectangular basin flights and chains.

## Circular Clarifiers

In some circular or square tanks, rotating scrapers are used. The diagram below shows a typical circular clarifier. The most common type has a center pier or column. The major mechanic parts of the clarifier are the drive unit, the sludge collector mechanism, and the scum removal system.



**CIRCULAR CLARIFIER AND COLLECTOR MECHANISM**

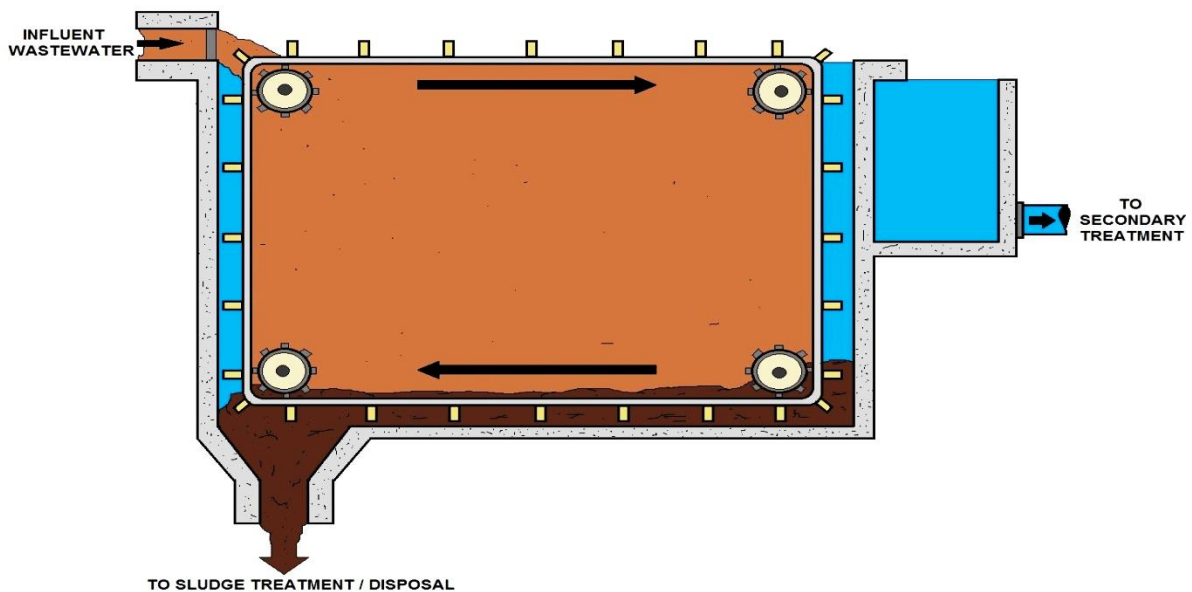
## Pre-Treatment

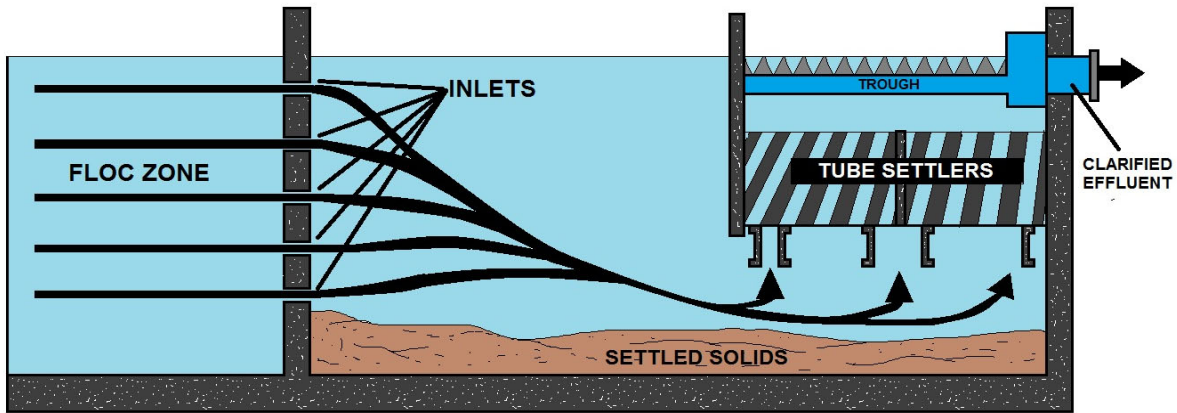
Once the water passes the bar screens, sand and grit are still present. This will damage plant equipment and pipes, so it must be removed. This is generally done with either rectangular or round shaped clarifiers. Sedimentation basins are also used after the flocculation process.



**Clarifiers**

Let's first look at the components of a rectangular clarifier. Most are designed with scrapers on the bottom to move the settled sludge to one or more hoppers at the influent end of the tank. It could have a screw conveyor or traveling bridge used to collect the sludge. The most common is a chain and flight collector. Most designs will have baffles to prevent short-circuiting and scum from entering the effluent.

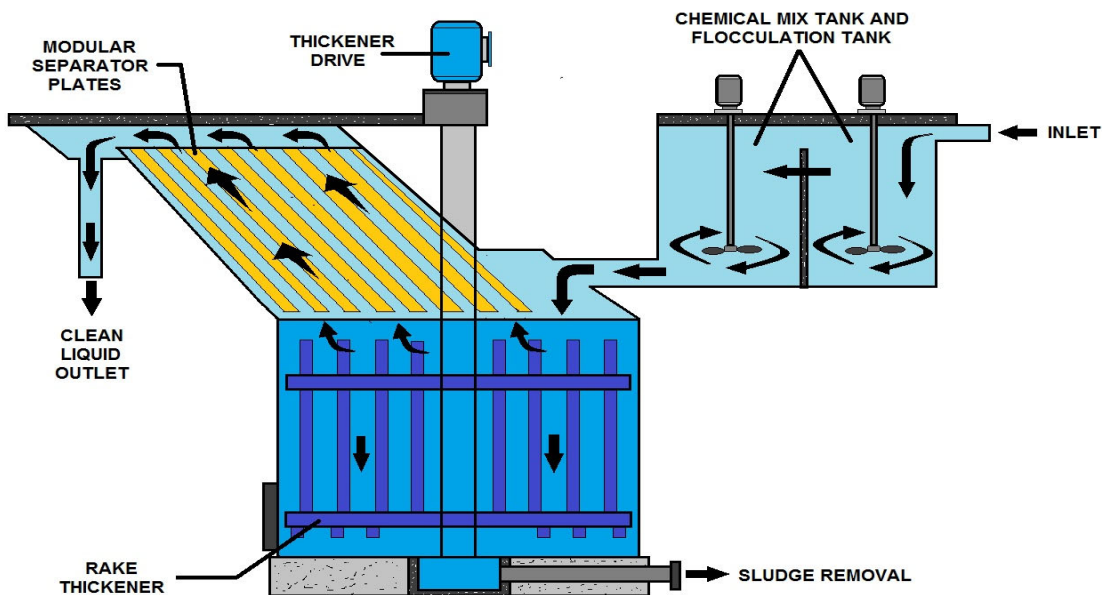




## TUBE SETTLER (Water Treatment)

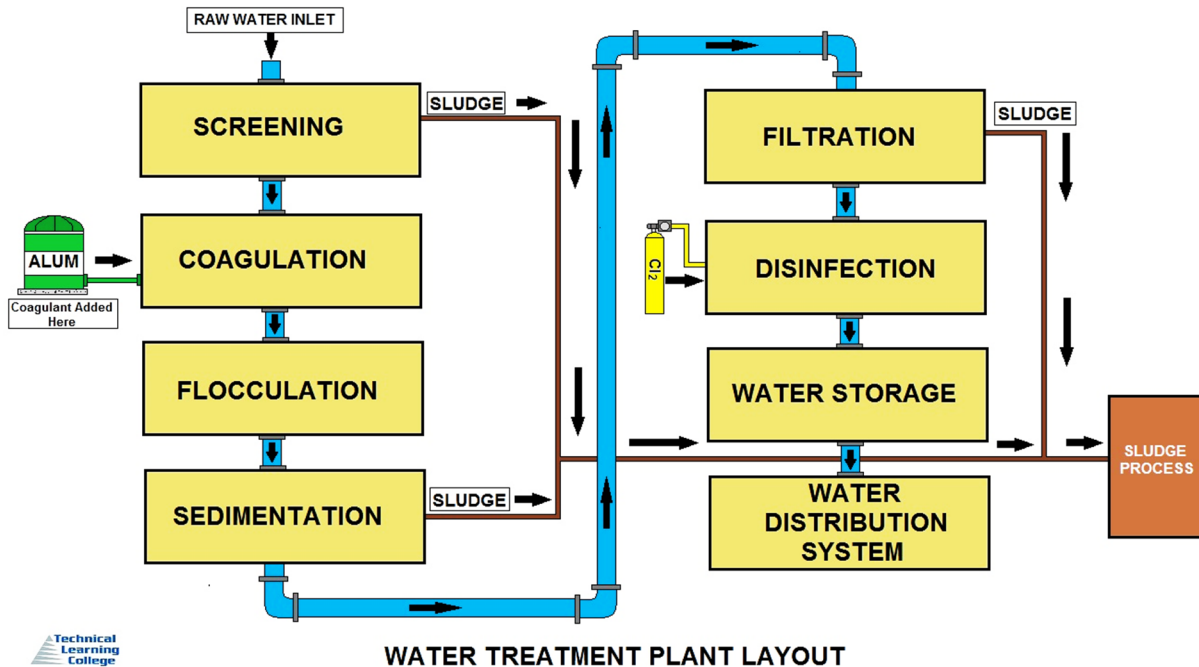
Water treatment systems use settling tanks unit to allow for sedimentation and settling. The main aim of tube settlers is to minimize the vertical distance that a small floc particle must settle before agglomerating into larger particles. Water treatment is a major requirement both for raw water for drinking and wastewater management. Both have particles which need to sediment in order to obtain clear water.

Tube settler design involves the use of multiple tubular channels sloping at an angle of 60 degrees and adjacent to each other. This helps in increasing the settling area effectively. Tube settler design reduces the depth significantly compared to the conventional clarifier. This helps in reduction of settling time. Tube settler collects solids into a compact mass and slides it down the tube channel. When using tube settlers water flow is upward through the tubes and the solids slide down against the current using gravity.



## PLATE SETTLER

## Review of Conventional Water Treatment Process



The design of a water treatment plant is determined by the water quality characteristics. Conventional water treatment plants include the steps as shown above if the quality of the source water is variable or poor. Direct filtration plants do not require sedimentation because the water quality characteristics are better.

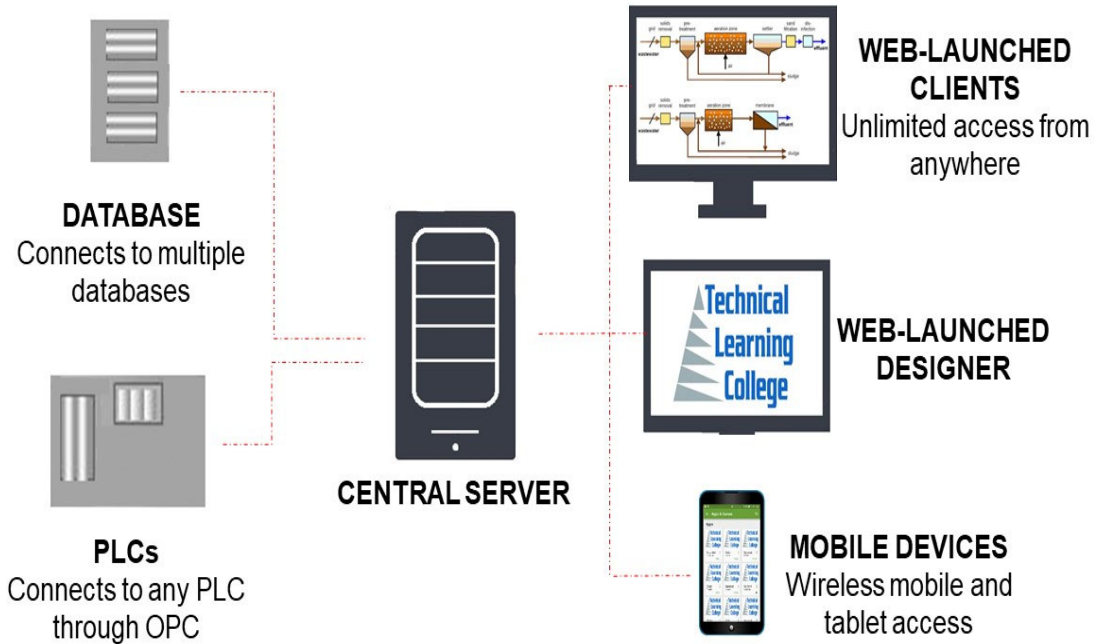
The following are phases of conventional water treatment processes:

- Pre-treatment for algae control and arresting biological growth
- Aeration along with pre-chlorination for removal of dissolved iron when present with small amounts relative of manganese
- Coagulation or flocculation or slow-sand filtration
- Coagulant aids, also known as polyelectrolytes – to improve coagulation and for more robust floc formation
- Sedimentation for settling and the removal of suspended solids trapped in the floc
- Filtration to remove particles from water either by passage through a sand bed that can be washed and reused or by passage through a purpose- designed filter that is washable.
- Disinfection for killing bacteria viruses and other pathogens.

Constituent	Unit Processes
Turbidity and particles	Coagulation/ flocculation, sedimentation, granular filtration
Major dissolved inorganics	Softening, aeration, membranes
Minor dissolved inorganics	Membranes
Pathogens	Sedimentation, filtration, disinfection, membranes
Major dissolved organics	Membranes, adsorption

### Treatment Design and Plant Operation

Treatment technologies for potable water and other uses are well developed, and generalized designs are available from which treatment processes can be selected for pilot testing (process control) on the specific source water. In addition, a number of private contractors provide patented technological solutions for the treatment of specific contaminants, e.g. Arsenic.



## MODERN SCADA DIAGRAM

SCADA (Supervisory Control and Data Acquisition) automation of water treatment is common in the US. Source water quality through the seasons, scale, and environmental impact can dictate capital costs and operating costs. End use of the treated water dictates the necessary quality monitoring technologies.



## Flocculation and Coagulation Sub-Section

### Coagulation Introduction

Coagulation is a technique that's commonly used in conventional water treatment. It involves applying chemicals called coagulants to wastewater, causing pollutants to stick together so they can be more easily removed. With a sedimentation system, the goal is to get solids to settle to the bottom of the tank for removal.

Coagulation and flocculation are important processes in water treatment with coagulation to destabilize particles through chemical reaction between coagulant and colloids, and flocculation to transport the destabilized particles that will cause collisions with floc.

Coagulation and flocculation processes have become more and more popular due to the increasingly stringent filtration requirements for industrial and municipal water treatment and wastewater treatment facilities levied by the U.S. Environmental Protection Agency (EPA.)

### SWTR Rule

The U.S. EPA surface water treatment rule requires 99.9 percent (3-log) Giardia removal or inactivation, and at least 99 percent (2-log) removal of Cryptosporidium. The combination of coagulation and flocculation is particularly useful at exceeding these guidelines. Using these two treatment methods with sedimentation and filtration, assigned a 2.5-log removal credit for Giardia, will leave only 0.5-log inactivation. That remaining inactivation is met with disinfection.

Turbidity is caused by particles suspended in water. These particles scatter or reflect light rays, making the water appear cloudy. Turbidity is expressed in nephelometric turbidity units (ntu) and a reading in excess of 5 ntu is generally noticeable to water system customers. Besides the appearance being unpleasant to customers, turbidity in water is significant from a public health standpoint because suspended particles could shelter microorganisms from the disinfectant and allow them to still be viable when they reach the customer.

PARTICLE DIAMETER (mm)	TYPE	SETTLING VELOCITY
10	PEBBLE	0.73 m/s
1	COURSE SAND	0.23 m/s
0.1	FINE SAND	0.6 m/min.
0.01	SILT	8.6 m/d
0.0001 (10 micron) *	LARGE COLLOIDS *	0.3 m/y *
0.000001 (1 nano) *	SMALL COLLOIDS *	3 m/million y *

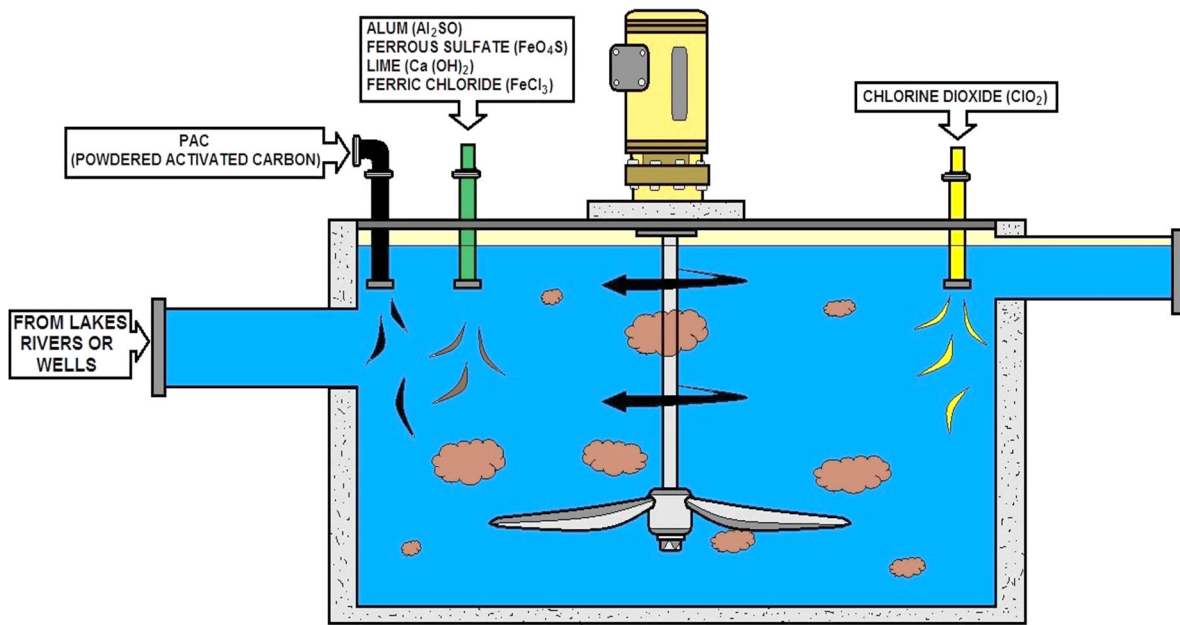
SETTLING GRAVITY



### COAGULATION AND FLOCCULATION (Examples of various sizes of particles in raw water)

\* Colloids so small: gravity settling isn't possible

EPA regulations direct that, for most water systems, the turbidity of water entering the distribution system must be equal or less than 0.5 ntu in at least 95 percent of the measurements taken each month. At no time may the turbidity exceed 5 ntu. Turbidity changes in the distribution system can indicate developing problems. Increases in turbidity may also be caused by changes in velocity or inadequate flushing following main replacement.

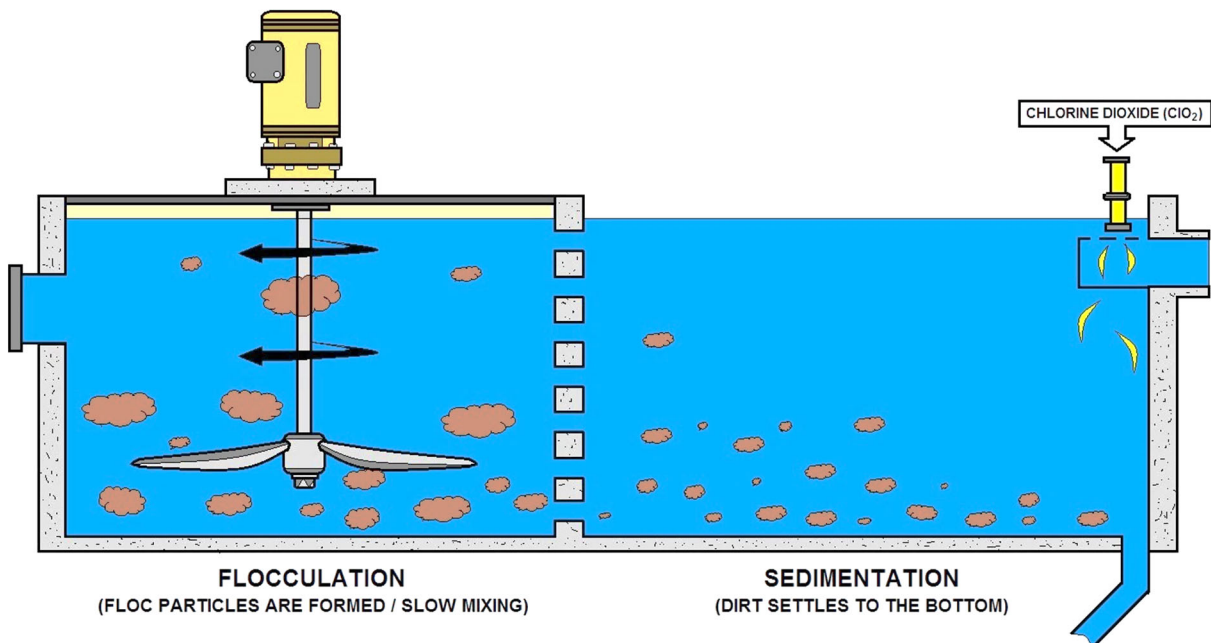


## COAGULATION

(FLASH MIXING / WATER IS AGITATED TO EVENLY BLEND COAGULANTS AS FAST AS POSSIBLE)

### First Step

The coagulation process in water treatment is often the first step in using chemicals (alum) in to create floc. Instead of passing over particles that would otherwise slip through the filter and fall too slowly to be trapped as sediment, coagulation clumps them together so they are more easily removed by sedimentation or filtration.



## FLOCCULATION / SEDIMENTATION

## Flocculation

Usually, flocculation follows the coagulation process and helps in getting rid of colloidal particles or flocs through rapid settlement in the solution. In certain cases, flocs also rise to the surface of the treated liquid, which can then be skimmed off or filtered out from the solution through the process of filtration.

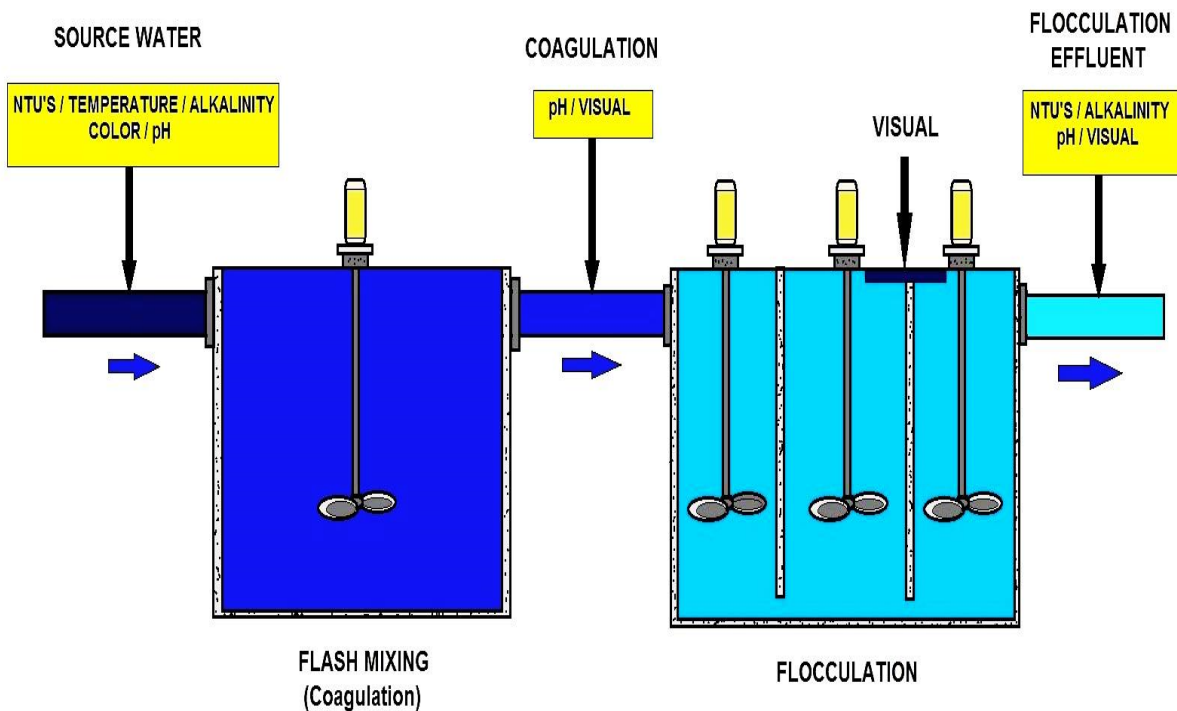
### Flocculation and Sedimentation

**Flocculation** and sedimentation are widely employed in the purification of potable **water** as well as in wastewater treatment. Typical treatment processes consist of grates, coagulation, **flocculation**, sedimentation, granular filtration and disinfection procedures.

### Flocculants, or Flocculating Agents

Flocculants, or flocculating agents (also known as flocking agents), are chemicals that promote flocculation by causing colloids and other suspended particles in liquids to aggregate, forming a floc.

Flocculants are used in **water treatment processes to improve the sedimentation or filterability of small particles.**



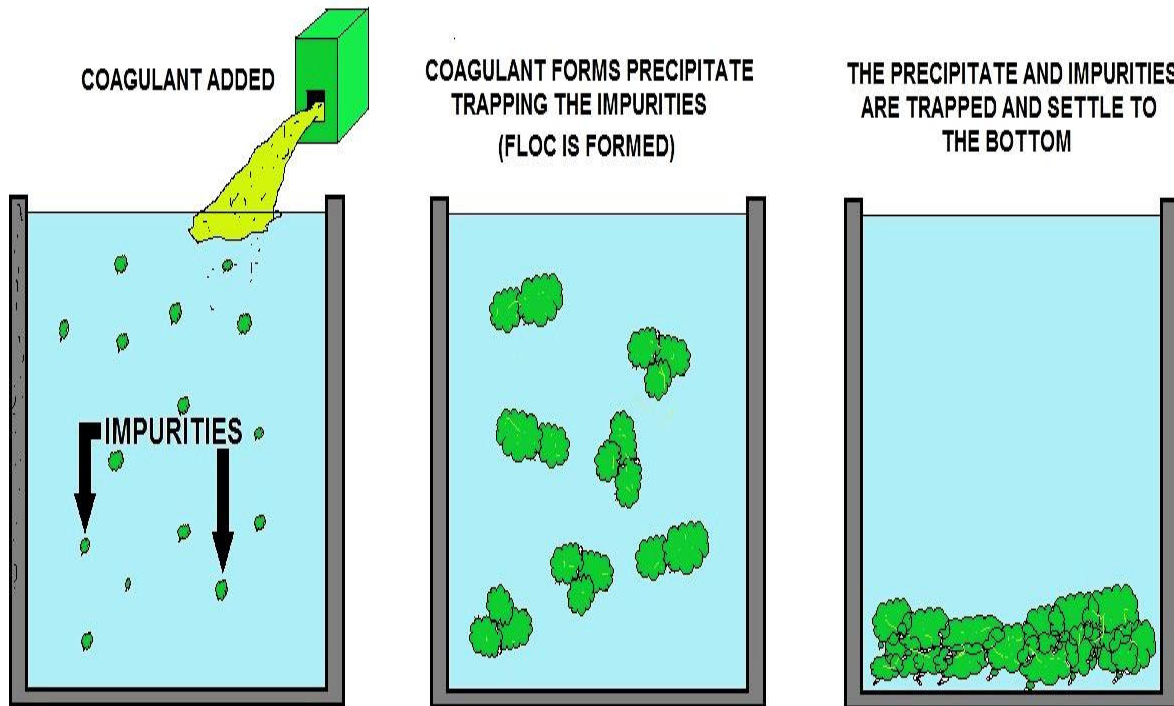
## FACTORS THAT AFFECT COAGULATION / FLOCCULATION

## Coagulation and Flocculation Processes

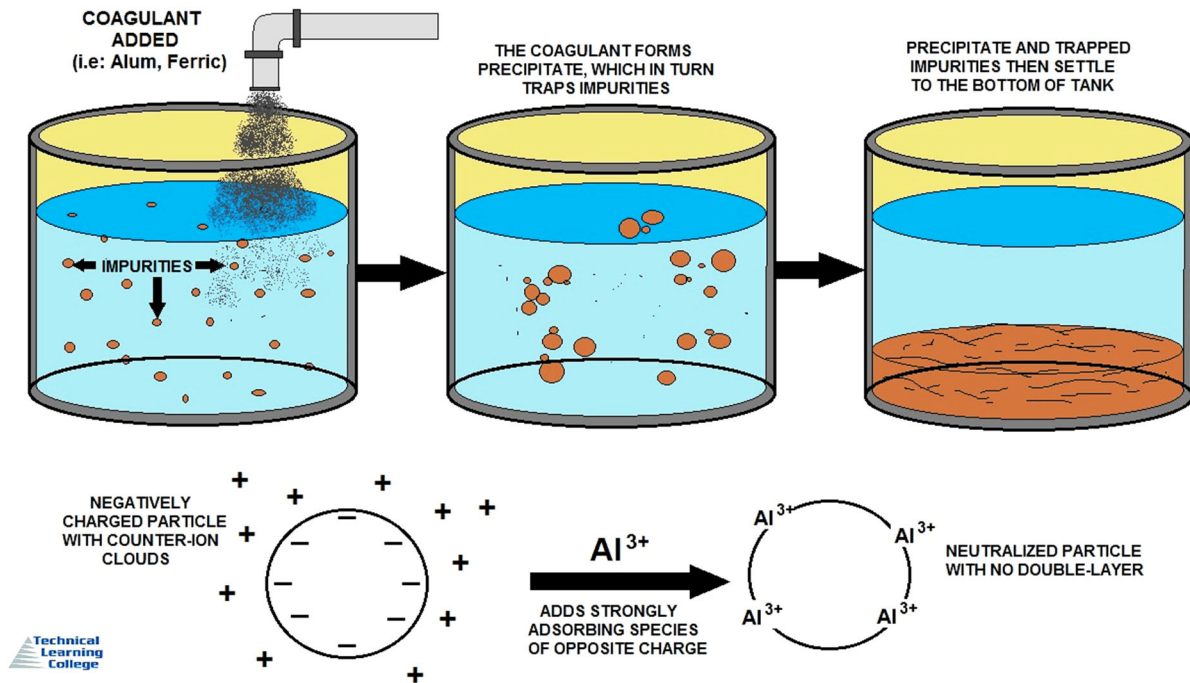
Coagulation and flocculation processes are used to remove iron, suspended solids, organic color, and hardness from influent water. In wastewater treatment these clarification aids help with the removal of other contaminants such as oil, phosphate and heavy metals. The commonly used metal coagulants fall into two general categories: those based on aluminum and those based on iron. The aluminum coagulants include aluminum sulfate, aluminum chloride and sodium aluminate. The iron coagulants include ferric sulfate, ferrous sulfate, ferric chloride and ferric chloride sulfate. Other chemicals used as coagulants include hydrated lime, magnesium carbonate and various polymers.

The effectiveness of aluminum and iron coagulants arises principally from their ability to form multi-charged polynuclear complexes with enhanced adsorption characteristics. The nature of the complexes formed may be controlled by the pH of the system. Polymers are often used to further bind the floc.

There are various chemical and natural substances that are used in removing unwanted particles through flocculation. Flocculants are like a high-tech webs that tie all of the coagulated clumps together.



**COAGULATION / FLOCCULATION DIAGRAM #1**



## THE AIM OF COAGULATION

The raw water source's characteristics and the types of particulates in this raw water determines the best coagulant and flocculant chemical options. Metal salts are effective in removing inorganics while polymers remove numerous contaminants.

### Common Chemicals/Flocculants Used for Initiating Flocculation Process are:

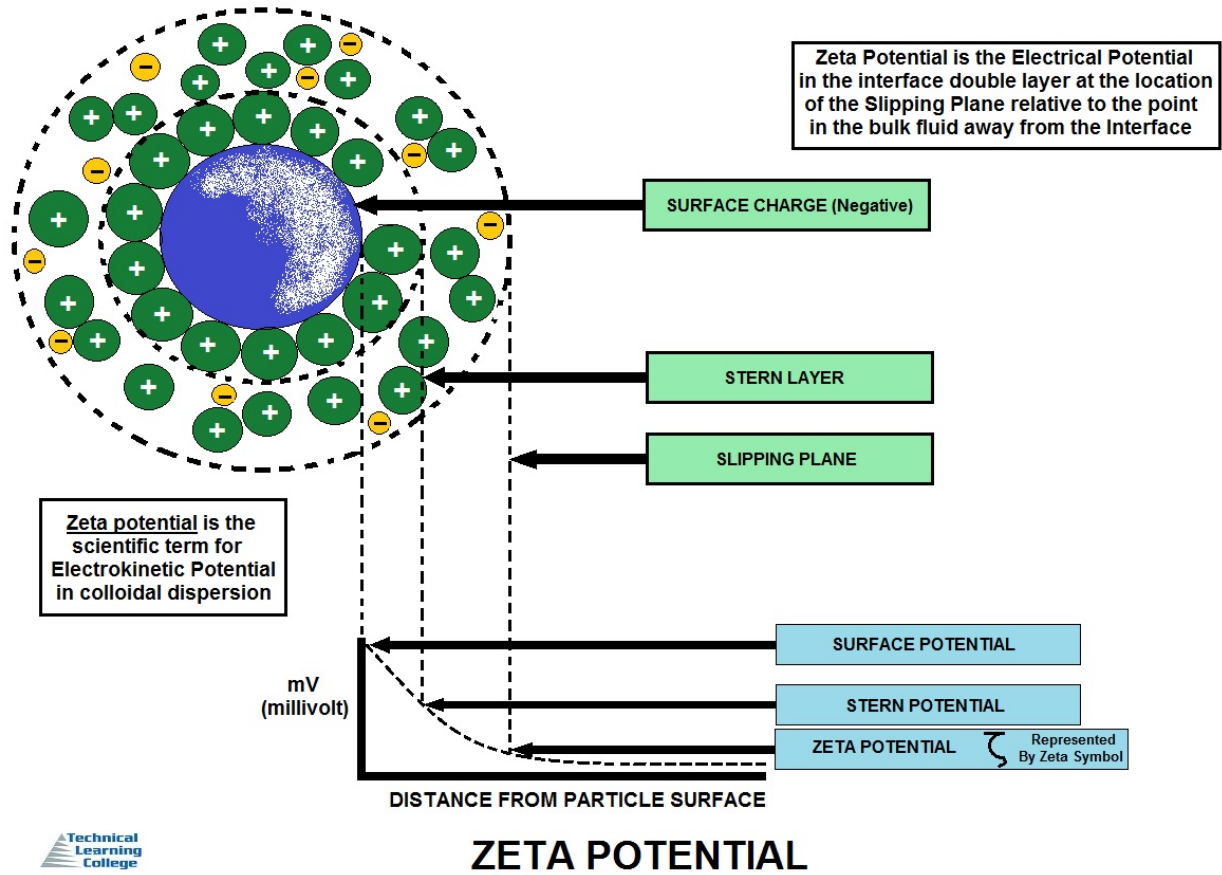
- Alum (Aluminum sulfate)
- Aluminum chlorohydrate
- Calcium oxide
- Calcium hydroxide
- Iron(II) sulfate
- Iron(III) chloride
- Polyacrylamide
- PolyDADMAC
- Sodium aluminate
- Sodium silicate

### Factors Affecting Flocculation

Formation of flocs or flakes during flocculation is a complex process that depends on several factors. A few important factors are as follows:

- Physical (e.g., Turbulence)
- Chemical (e.g., Ionic concentration)
- Biological (Bacterial populations and extracellular polymeric material).

# Zeta Potential Introduction



Zeta potential is a physical property exhibited by all solid-liquid and liquid-liquid colloidal systems. Surrounding the surface of all dispersed particles is a thin layer of ions that have the opposite charge of the particle's surface called the Stern layer. Further from the surface is an additional layer of more loosely-associated ions of opposite charge to the surface that move with the particle as it travels through a medium due to Brownian motion or sedimentation; this is called the double layer.

The zeta potential is defined as the voltage at the edge of the slipping (shear) plane with respect to the bulk-dispersing medium, where ions, molecules and other agents are no longer associated with a particle's surface. If two adjacent particles have sufficiently high zeta potentials of the same sign, they will not agglomerate due to repulsive electrostatic forces between particles with like charges.

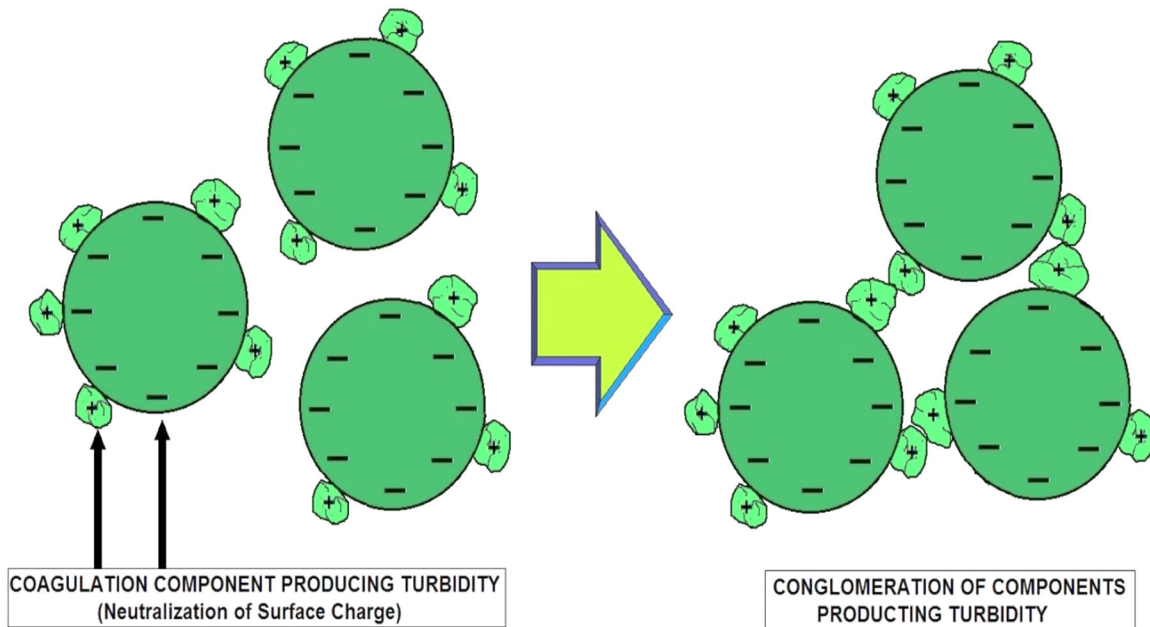
## Solubility of Substances in Water

Water is an excellent solvent for many compounds. Some dissolve in it as molecules while others, called electrolytes, dissociate and dissolve not as neutral molecules but as charged species called ions. Compounds which exist as solid ionic crystals dissolve in water as ions, and most of them are highly soluble in water. "Highly soluble" is a somewhat elastic description, but generally means soluble to at least the extent of forming 0.1 to 1.0 molar aqueous solutions. Salts which are less soluble in water than this at room temperature are called slightly soluble salts.

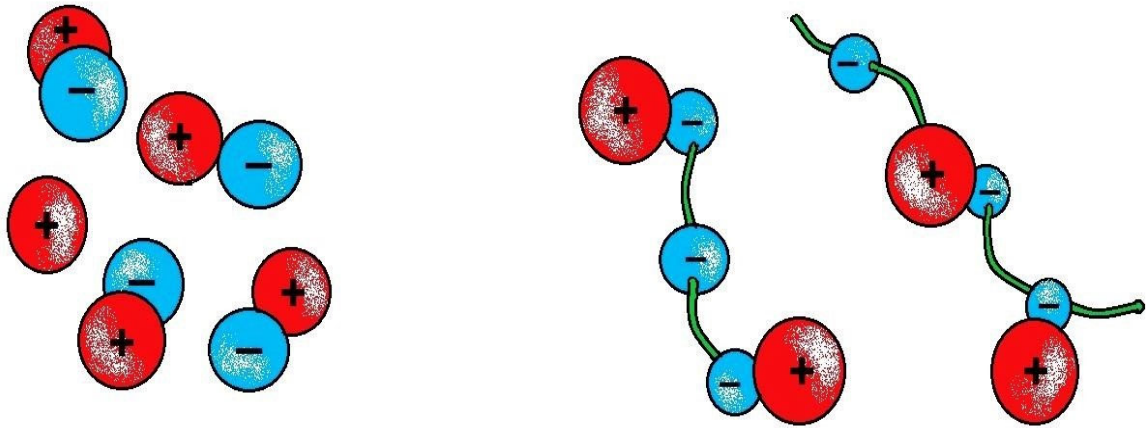
The solubility of an ionic salt depends both upon its cations and its anions, but for simple salts in aqueous solution at room temperature the following general observations are useful. Almost all sodium, potassium, and ammonium salts are highly soluble; the only significant exception is Potassium perchlorate, which is moderately soluble almost without exception. Potassium perchlorate is an inorganic salt with the chemical formula  $KClO_4$ . It is a strong oxidizer with the lowest solubility of the alkali metal perchlorates.

Metal carbonates and phosphates are generally insoluble or slightly soluble, with the exception of those of sodium, potassium, and ammonium which are highly soluble; magnesium ammonium phosphate is used for the precipitation of magnesium ion.

Metal halides are generally highly soluble, with the exception of those of silver, lead, and mercury (I). Lead chloride is slightly soluble while silver and mercury (I) chlorides are much less soluble. Sulfate salts are generally highly soluble as well, with more exceptions; calcium, barium, strontium, lead, and mercury (I) sulfates are almost insoluble while silver sulfate is slightly soluble. Metal sulfides are generally insoluble in water.



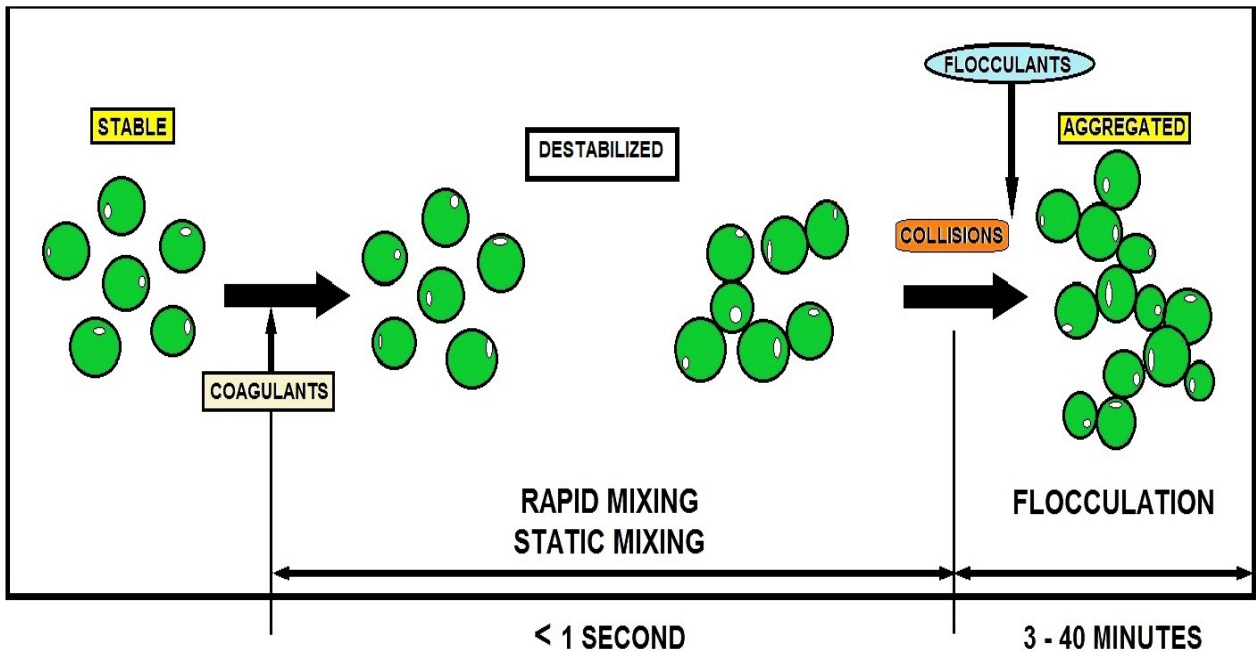
### COAGULATION AND FLOCCULATION DIAGRAM #2



COAGULATION VS FLOCCULATION  
MOLECULAR LEVEL DIAGRAM

**Neutral Charges**

Once particles have a neutral charge, the particles will stick together. The water flows into a tank with paddles that provide slow mixing and bring the small particles together to form larger particles called flocs. Mixing is done quite slowly and gently in the flocculation step. If the mixing is too fast, the flocs will break apart into small particles making them more difficult to remove by sedimentation or filtration.

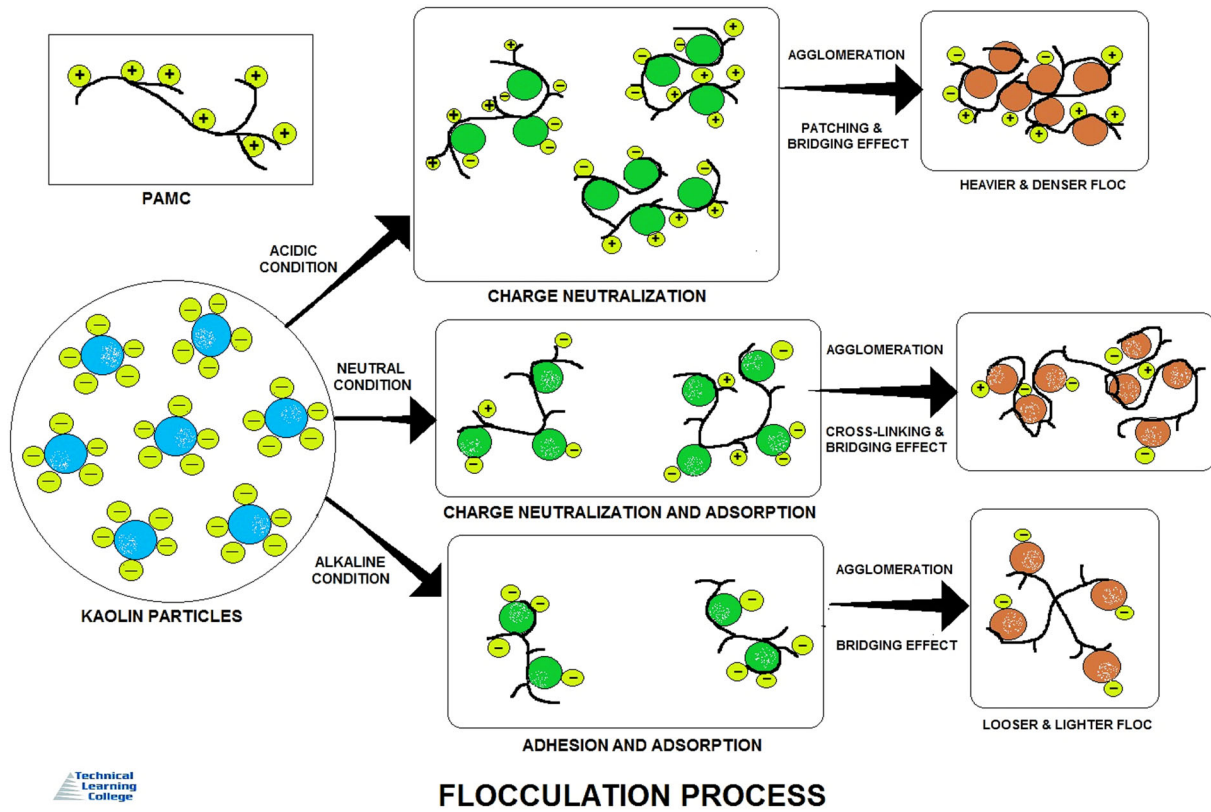


BASIC COAGULATION AND FLOCCULATION DIAGRAM #2



## Purpose of Coagulation

Untreated surface waters often contains clay, minerals, bacteria, inert solids, microbiological organisms, oxidized metals, organic color producing particles, and other suspended materials. Some of the microbiological organisms can include Giardia cysts, pathogenic bacteria, and viruses. Oxidized metals include iron and manganese. All of these materials can inhibit disinfection, cause problems in the distribution system, and leave the water cloudy rather than clear. The purpose of coagulation is to remove these particles.



**Chemical Coagulation** in the water/wastewater treatment is the process of bringing suspended matter in untreated water together for the purpose of settling and for the preparation of the water for filtration.

**Coagulation in a Conventional Plant involves three specific steps, which are:**

- ◆ Coagulation
- ◆ Flocculation
- ◆ Sedimentation

### Turbidity Particles

The ability of particles to remain suspended in water is a function of both the particle size and specific gravity. Turbidity particles can range in size from molecular to 50 microns (a tremendous range). Particles that are greater than one micron in diameter are considered silt, and settle out due to their relatively large size and density in a matter of seconds or minutes without the need to coagulate.

Colloidal material ranges in size from 0.001 to one micron in diameter. These materials require days to months for complete settling. Since detention times in the water treatment process are generally less than twelve hours, the rate of settling of these colloidal particles must be increased in the water treatment process. This is accomplished in the coagulation process when tiny particles agglomerate into larger, denser particles which will settle more quickly.

These tiny colloidal particles have a very large surface area to mass ratio, and this factor is important in keeping the particles suspended for long periods of time. In fact, the surface area to mass ratio is so high that electric charges and ionic groups become important in keeping the particles suspended. Two types of colloids exist. These are hydrophobic or water hating colloids, and hydrophilic or water loving colloids.

### **Hydrophilic Colloids**

Hydrophilic colloids form suspensions easily, and can be difficult to remove. These colloids can, however, react chemically with the coagulants commonly added to water under proper conditions. Examples of hydrophilic colloids would be organic color forming compounds. Hydrophobic colloids do not easily form suspensions. The reactions between hydrophobic colloids and the coagulants commonly added to water are largely physical rather than chemical. Examples of hydrophobic colloids would be clays and metal oxides.

### **The Coagulation Process**

Coagulation is accomplished by the addition of ions having the opposite charge to that of the colloidal particles. Since the colloidal particles are almost always negatively charged, the ions which are added are usually cations or positively charged.

The coagulating power of an ion is dependent on its valency or magnitude of charge. A bivalent ion (+2 charge) is 30 to 60 times more effective than a monovalent ion (+1 charge). A trivalent ion (+3 charge) is 700 to 1000 times more effective than a monovalent ion.

Typically, two major types of coagulants are added to water. These are aluminum salts and iron salts. The most common aluminum salt is aluminum sulfate, or alum.

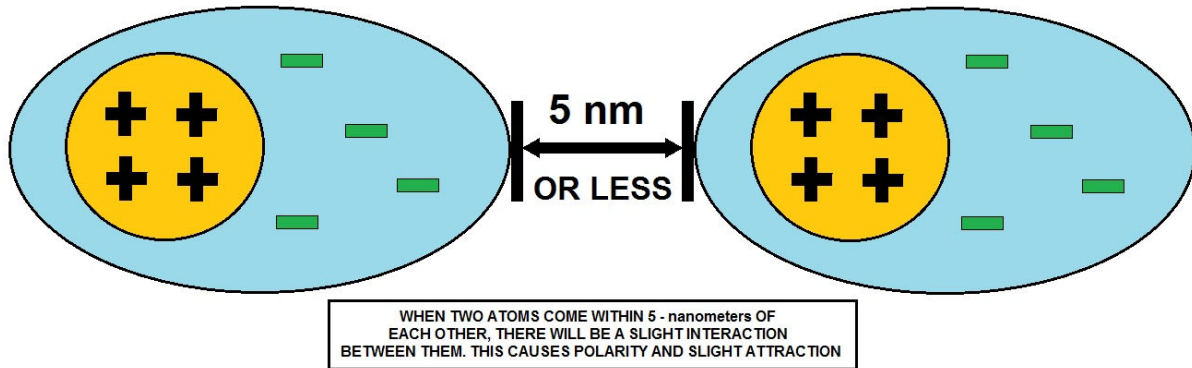
When aluminum sulfate is added to water, the aluminum ions enter into a series of complicated reactions. The aluminum ions become hydrated, meaning that water molecules attach themselves to the aluminum ions. In addition, anions present in the water, such as hydroxide and sulfate ions can attach to the aluminum ions. These reactions result in large, positively charged molecules having aluminum ions at their center. These particles may have charges as high as +4.

### **Olation**

Following these reactions, a second type of reaction occurs, called Olation. This reaction involves the bridging of two or more of these large molecules to form even larger, positively charged ions. A typical molecule can contain eight aluminum ions, twenty hydroxide ions, and will have a +4 charge. Iron salts behave in a similar manner when added to water.

Once these large polymeric aluminum or iron compounds are formed, the magnitude of their high positive charge allows these species to rapidly move toward the colloid, where they are adsorbed onto the negatively charged surface of the turbidity particle. The coagulant compounds can penetrate the bound water layer because of their high positive charge.

This rapid adsorption results in the compression of the electrical double layer, and results in the colloid becoming coated with the coagulant compounds. The net result of this process is that the electrical charges on the particle are reduced. The suspension is now considered to be destabilized, and the particles can be brought together through, among other forces, Brownian Movement, and will be held together by the Van der Waals forces.



### VAN DER WAALS FORCES

An additional process occurs which assists this process. As the coagulant continues to undergo the hydrolyzation and olation reactions, progressively larger masses of flocculent material are formed. These compounds can become large enough to settle on their own, and tend to trap turbidity particles as they settle. This is commonly referred to as sweep floc.

### Zeta Potential

As the coagulation reactions and destabilization are occurring, the Zeta Potential at the surface of the colloid is also found to be reducing. Typically, the Zeta Potential for a naturally occurring water may be in the range of -10 to -25 millivolts. As the reactions occur, this Zeta Potential will be reduced to approximately -5 millivolts. These figures are only examples of what might be considered typical waters.

Since all waters exhibit a specific set of characteristics, these numbers will vary. It is interesting to note that the Zeta Potential does not have to be reduced to zero in order for coagulation to occur, because the forces of attraction can become predominant before complete destabilization occurs.

Hydrophilic colloids participate in the coagulation process in a slightly different way. These colloids tend to attract water molecules and attach these water molecules to their surfaces. This is also a hydration process, and the water molecules act as a barrier to contact between particles. Also attached to the surfaces are hydroxyl, carboxyl, and phosphate groups, all to which are negatively charged.

Coagulant products react chemically with the negatively charged groups attached to the hydrophilic colloids, forming an insoluble product which is electrically neutral and destabilized.

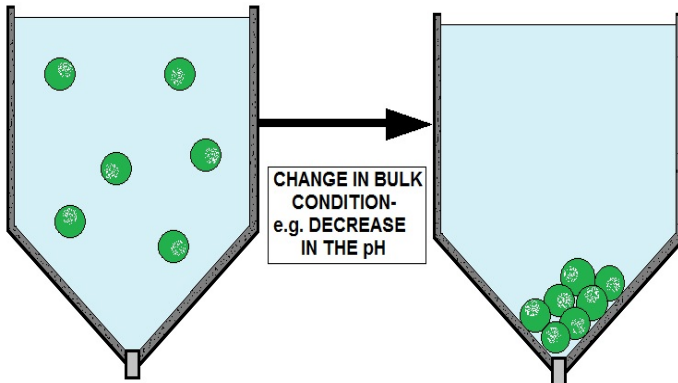
# PURPOSE OF COAGULATION

COAGULATION AIDS IN THE REMOVAL OF A NUMBER OF DIFFERENT POLLUTANTS THAT CAUSE WATER TO BECOME "DIRTY" OR "TOXIC", THESE INCLUDE ORGANIC COMPOUNDS AND CERTAIN DISSOLVED ORGANIC MATERIALS, COMMONLY REFERRED TO AS:

- NATURAL ORGANIC MATTER (NOM)
- DISSOLVED ORGANIC CARBON (DOC)

THROUGH COAGULATION, INDUSTRIAL WATER SUPPLIES ARE PUT INTO CHEMICAL STATE FOR EASY MECHANICAL FILTRATION

ONCE THE FLOCS SETTLE AT THE BOTTOM OF CLARIFIER, EQUIPMENT LIKE A FILTER PRESS CAN TAKE THOSE LARGER CLUMPS OF AGGREGATE PARTICLES AND REMOVE THEM



## COAGULATION PURPOSE EXAMPLE

# FACTORS THAT AFFECT COAGULATION

FACTORS THAT INFLUENCE COAGULATION ARE, AMONG OTHERS, INCLUDE TEMPERATURE, pH, THE QUALITY OF THE EFFLUENT, DOSAGE AND TYPE OF COAGULANT USED.

OPTIMUM TEMPERATURE FOR COAGULATION: 34 - 61 F

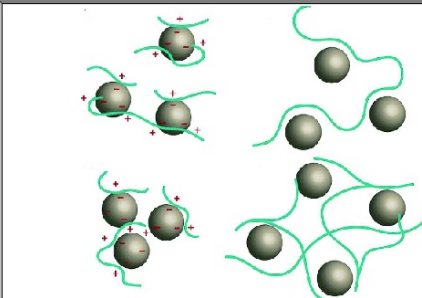


OPTIMUM pH FOR COAGULATION IS: Between 6-8 pH

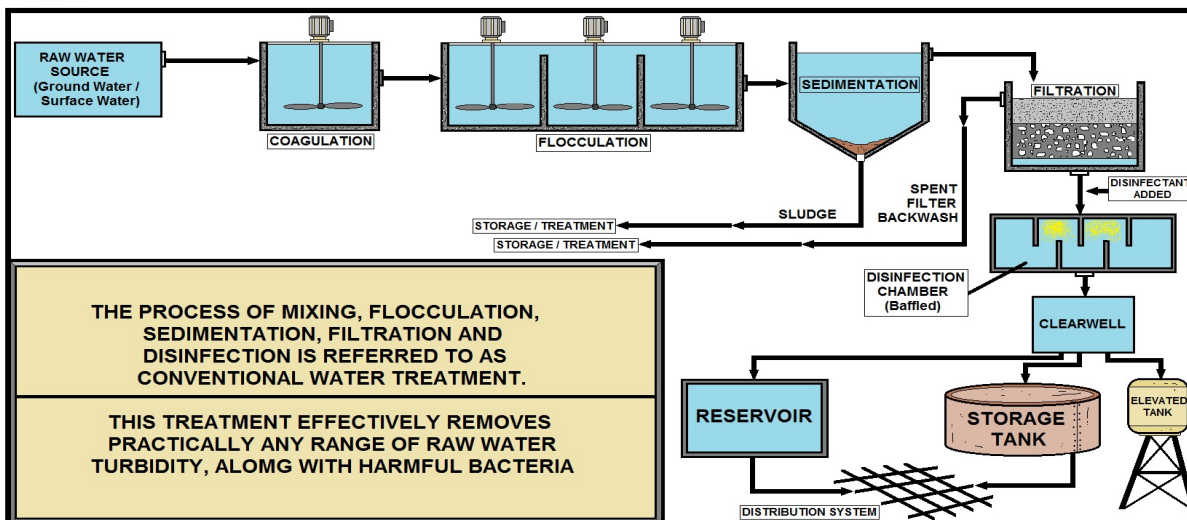


### COMMON TYPES OF COAGULANTS USED:

Aluminum Sulphate	$Al_2(SO_4)_3$
Polyaluminum Chlorine (PAC or Liquid Aluminum)	$Al_2Cl(OH)_6$
Ferric Sulphate	$Fe_2(SO_4)_3$
Ferric Chloride	$FeCl_3$



## FACTORS AFFECTING COAGULATION



THE PROCESS OF MIXING, FLOCCULATION, SEDIMENTATION, FILTRATION AND DISINFECTION IS REFERRED TO AS CONVENTIONAL WATER TREATMENT.

THIS TREATMENT EFFECTIVELY REMOVES PRACTICALLY ANY RANGE OF RAW WATER TURBIDITY, ALONG WITH HARMFUL BACTERIA



## CONVENTIONAL WATER TREATMENT OVERVIEW

## Coagulants – Alum and Ferric

**Aluminum Sulfate (Alum):** Aluminum Sulfate is also known as alum, filter alum, and alumina sulfate. Alum is the most widely used coagulant. Alum is available in dry form as a powder or in lump form. It can also be purchased and fed as a liquid.

Alum has no exact formula due to the varying water molecules of hydration which may be attached to the aluminum sulfate molecule. Once in water, alum can react with hydroxides, carbonates, bicarbonates, and other anions as discussed previously to form large, positively charged molecules. Carbon dioxide and sulfate are generally byproducts of these reactions. During the reactions, alum acts as an acid to reduce the pH and alkalinity of the water supply. It is important that sufficient alkalinity be present in the water supply for the various reactions to occur.

**On a theoretical basis, 1.0 mg/l of dry alum will react with:**

- 0.50 mg/l of natural alkalinity as calcium carbonate
- 0.33 mg/l of 85% quicklime as calcium oxide
- 0.39 mg/l of 95% hydrated lime as calcium hydroxide
- 0.54 mg/l of soda ash as sodium carbonate

Alum can be effective in the pH range of 5.5 to 7.8, but seems to work best in most water supplies in a pH range of 6.8 to 7.5. Below a pH range of 5.5, alkalinity in the water supply is generally insufficient. The aluminum ions become soluble rather than insoluble and do not participate in the hydration and olation reactions necessary to make the alum effective as a coagulant. In these instances the plant may experience higher than normal filtered water turbidities, and much of the aluminum will pass through the filters.

When the pH level of the water is above 7.8 after the addition of the alum, the aluminum ions again become soluble, and the efficiency of coagulation is decreased. Under these conditions, aluminum ions again penetrate the filters, and post filtration alum coagulation can occur in the clear well and in the distribution system in some cases.

**Ferric Chloride (Ferric):** Traditionally, ferric chloride has not been used widely as a coagulant, but this trend is not continuing. Ferric chloride is becoming more extensively used as a coagulant due partially to the fact that the material can be purchased as a liquid.

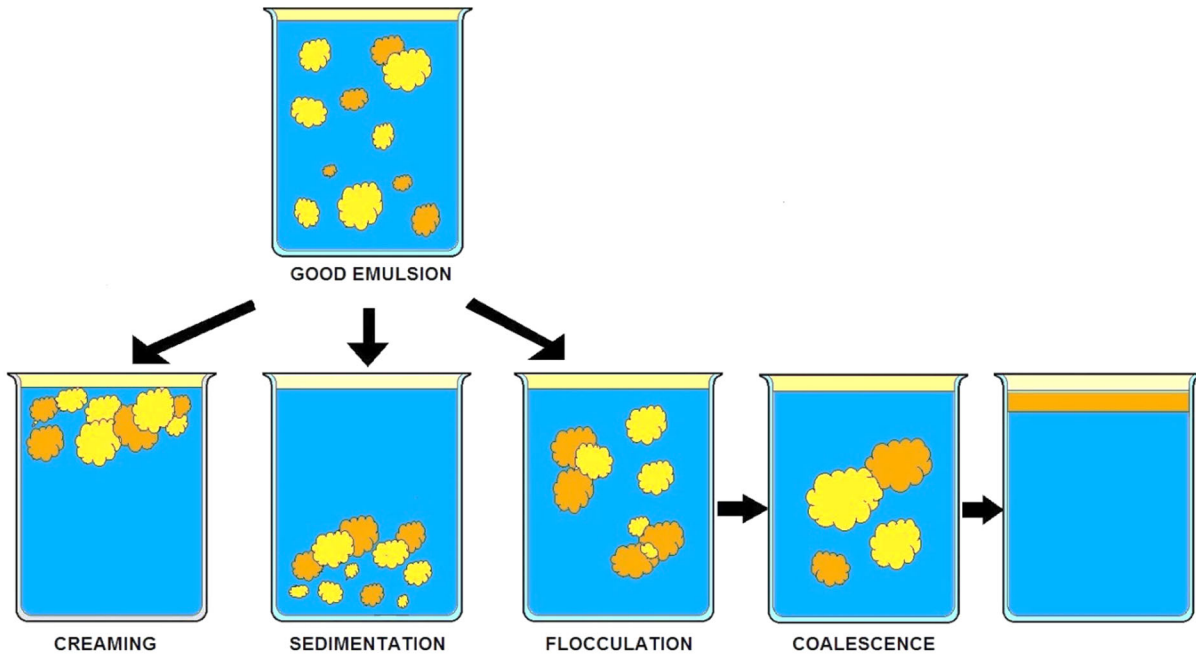
Ferric chloride may also be purchased as an anhydrous solid. Liquid ferric chloride is highly corrosive, and must be isolated from all corrodible metals.

Like ferric sulfate, ferric chloride exhibits a wide pH range for coagulation, and the ferric ion does not easily become soluble. As a result, many plants are replacing alum with ferric chloride to eliminate the penetration of aluminum ions through the plant filters. Ferric chloride also reacts as an acid in water to reduce alkalinity.

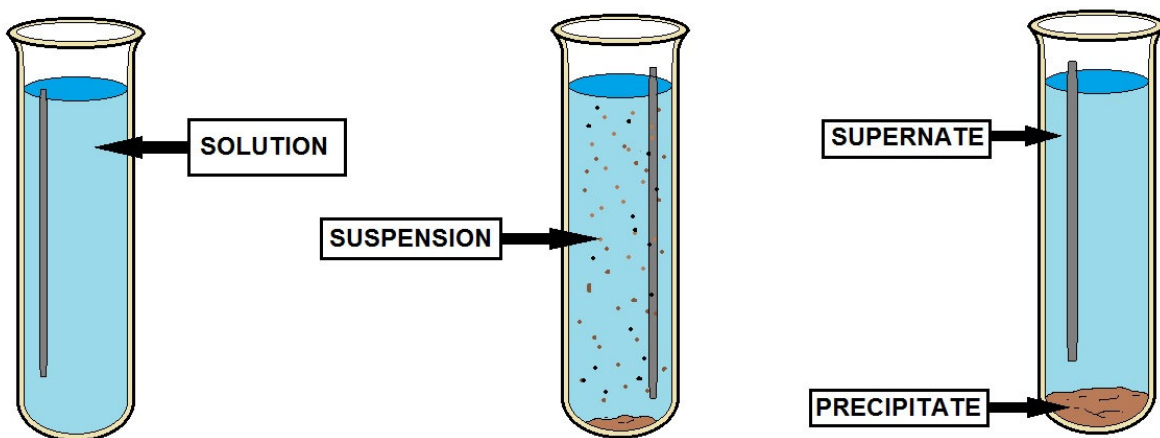
Other inorganic coagulants are available, such as potash alum, ammonia alum, ferrous sulfate (copperas), and chlorinated copperas. None of these materials are widely used. Typical dosages of the inorganic coagulants range from 50 pounds per million gallons of water treated under ideal conditions to as high as 800 to 1000 pounds per million gallons of water treated under worst case conditions.

## Destabilizing Particles through Chemical Reaction

Coagulation and flocculation are important processes in water treatment with coagulation to destabilize particles through chemical reaction between coagulant and colloids, and flocculation to transport the destabilized particles that will cause collisions with floc.



**EMULSION INSTABILITY MECHANISM DIAGRAM**



## Factors Influencing Coagulation

**Effects of pH:** The pH range in which a coagulation process occurs may be the single most important factor in proper coagulation. The vast majority of coagulation problems are related to improper pH levels. Whenever possible, coagulation should be conducted in the optimum pH zone. When this is not done, lower coagulation efficiency results, generally resulting in a waste of chemicals and a lowered water quality.

Each of the inorganic salt coagulants has its own characteristic optimum pH range. In many plants, it is necessary to adjust the pH level in the coagulation process. In most cases, this involves the addition of lime, caustic soda, or soda ash to maintain a minimum pH level. In some cases, however, acids may be necessary to raise or lower the pH level to an optimum range. In some water plants, the acidic reactions of the inorganic salts are taken advantage of when the raw water pH levels are higher than desired. In these instances, overfeed of the coagulant is intentionally induced in order for the coagulation process to occur in the optimum range.

**Effects of Salts:** Since no natural waters are completely pure, each will have various levels of cations and anions such as calcium, sodium, magnesium, iron, manganese, sulfate, chloride, phosphate, and others. Some of these ions may affect the efficiency of the coagulation process. Generally, mono and divalent cations such as sodium, calcium, and magnesium have little or no effect on the coagulation process. Trivalent cations do not have an adverse effect on the process in most instances. In fact, significant concentrations of naturally occurring iron in a water supply has resulted in the ability to feed lower than normal dosages of inorganic salt coagulants.

Some anions can have a more pronounced effect. Generally, monovalent anions such as chloride have little effect on the coagulation process. As the concentration of the divalent anion sulfate in a water supply increases, the optimum pH range of the inorganic salt coagulants tends to broaden, generally toward the lower pH levels. As the concentration of phosphate ions increase, the optimum range of pH tends to shift to lower pH levels, without broadening. These effects could cause a disruption of the coagulation process if abrupt changes in the concentrations of these anions occur in the water supply.

**Nature of Turbidity:** The turbidity in natural surface waters is composed of a large number of sizes of particles. The sizes of particles can be changing constantly, depending on precipitation and manmade factors. When heavy rains occur, runoff into streams, rivers, and reservoirs occurs, causing turbidity levels to increase. In most cases, the particle sizes are relatively large and settle relatively quickly in both the water treatment plant and the source of supply. However, in some instances, fine, colloidal material may be present in the supply, which may cause some difficulty in the coagulation process.

Generally, higher turbidity levels require higher coagulant dosages. However, seldom is the relationship between turbidity level and coagulant dosage linear. Usually, the additional coagulant required is relatively small when turbidities are much higher than normal due to higher collision probabilities of the colloids during high turbidities.

Conversely, low turbidity waters can be very difficult to coagulate due to the difficulty in inducing collision between the colloids. In this instance, floc formation is poor, and much of the turbidity is carried directly to the filters.

Organic colloids may be present in a water supply due to pollution, and these colloids can be difficult to remove in the coagulation process. In this situation, higher coagulant dosages are generally required.

**Water Temperature:** Cold water temperatures can cause two factors which add to the difficulty of the coagulation process. As water temperatures approach freezing, almost all chemical reactions occur more slowly. It can be more difficult therefore to evenly disperse the coagulants into the water. In addition, floc settling characteristics become poor due to the higher density of the water during near freezing temperatures. As a result, the coagulant process becomes less efficient, and higher coagulant dosages are generally used to compensate for these effects.

**Mixing Effects:** Poor or inadequate mixing results in an uneven dispersion of the coagulant. Unfortunately, many older plants were designed with mixing facilities which generally do not accomplish mixing in the most efficient manner. As a result, it becomes necessary to use higher than necessary dosages of coagulant to achieve an optimum level of efficiency in the process. The effects of low turbidity and cold water temperatures can tend to aggravate the lack of adequate mixing facilities in some plants.

**Effect of the Coagulant:** The choice of the proper coagulant for the given conditions is of critical importance in maintaining an efficient coagulation scheme under widely varying conditions. The chemicals most commonly used in the coagulation process are Aluminum Sulfate, Ferric Chloride, Ferric Sulfate, and Cationic Polymers.



Jar Testing –Coagulation and Flocculation at different doses and conditions



## Jar Testing –Water Chemistry Principles Sub-Section

The jar test is a common laboratory procedure used to determine the optimum operating conditions for water or wastewater treatment. This method allows adjustments in pH, variations in coagulant or polymer dose, alternating mixing speeds, or testing of different coagulant or polymer types, on a small scale in order to predict the functioning of a large scale treatment operation. A jar test simulates the coagulation and flocculation processes that encourage the removal of suspended colloids and organic matter that can lead to turbidity, odor and taste problems.



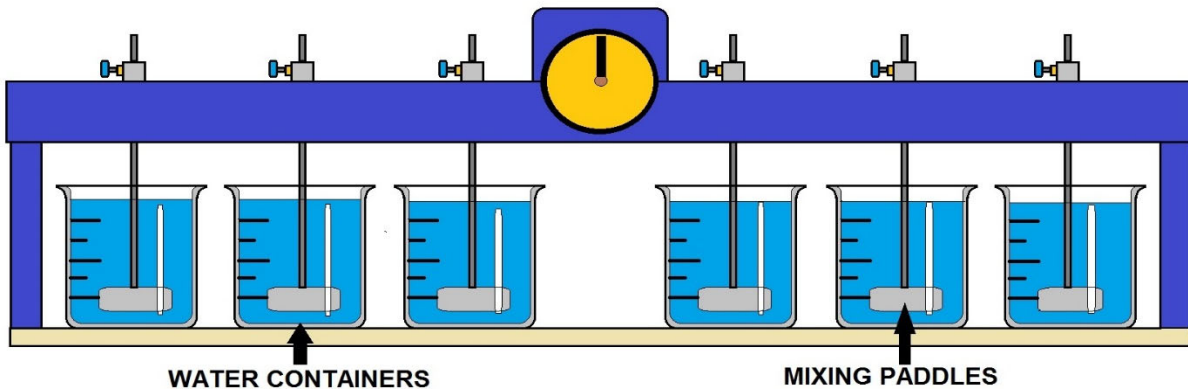
### Key Terms

**Flocculation** - Agglomeration of particles into groups, thereby increasing the effective diameter.

**Coagulation** - A chemical technique directed toward destabilization of colloidal particles.

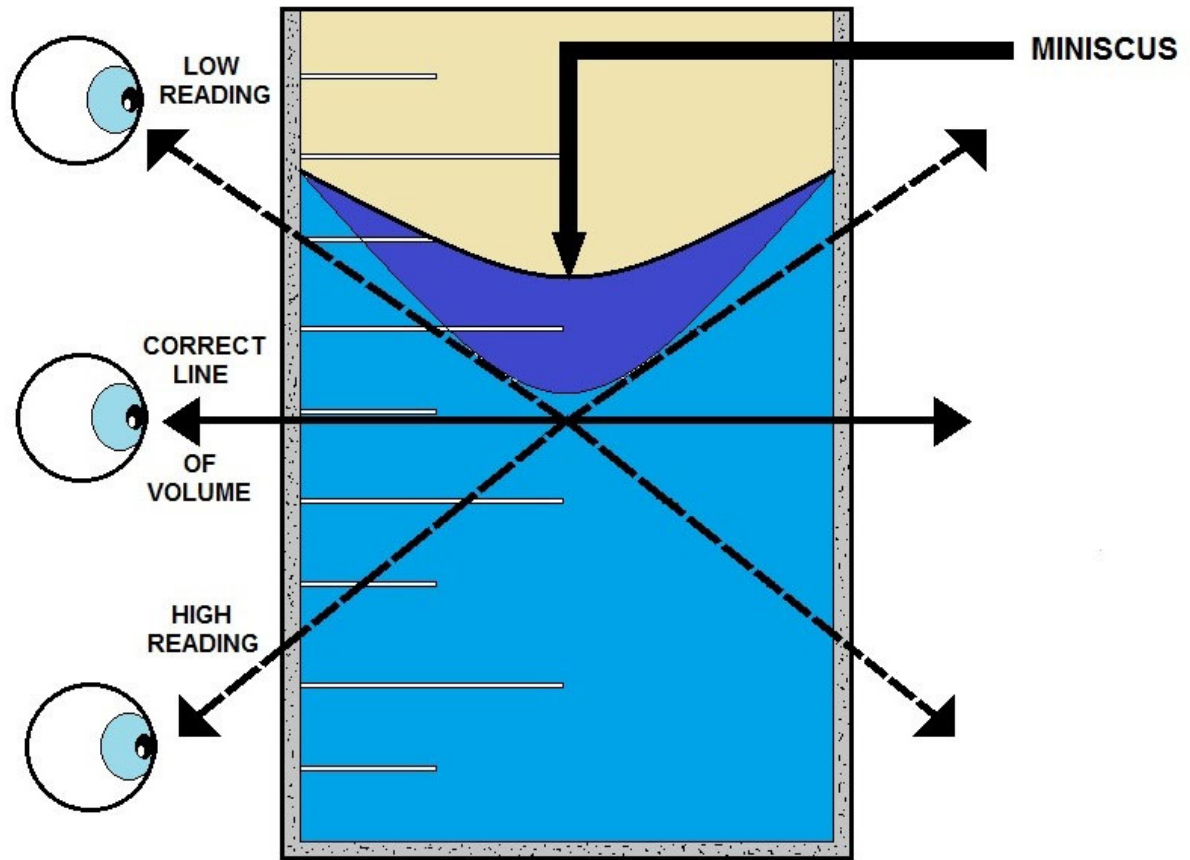
**Turbidity** - A measure of the presence of suspended solid material.

**Colloidal** – A suspension of small particles; a suspension of small particles dispersed in another substance.



FLOCCULATION / COAGULATION JAR TEST UNIT

## Proper Meniscus Reading Procedure



### HOW TO READ A MINISCUS

The **meniscus** (plural: *menisci*, from the Greek for "crescent") is the curve in the upper surface of a liquid close to the surface of the container or another object, caused by surface tension. It can be either convex or concave.

A convex (upwards curving) meniscus occurs when the molecules have a stronger attraction to each other (cohesion) than to the material of the container (adhesion). This may be seen between mercury and glass in barometers and thermometers.

Conversely, a concave (downwards curving) meniscus occurs when the molecules of the liquid attract those of the container's, causing the surface of the liquid to cave downwards. This effect can be easily seen in a glass of water.

## Corrosion Control Sub-Section

### Introduction

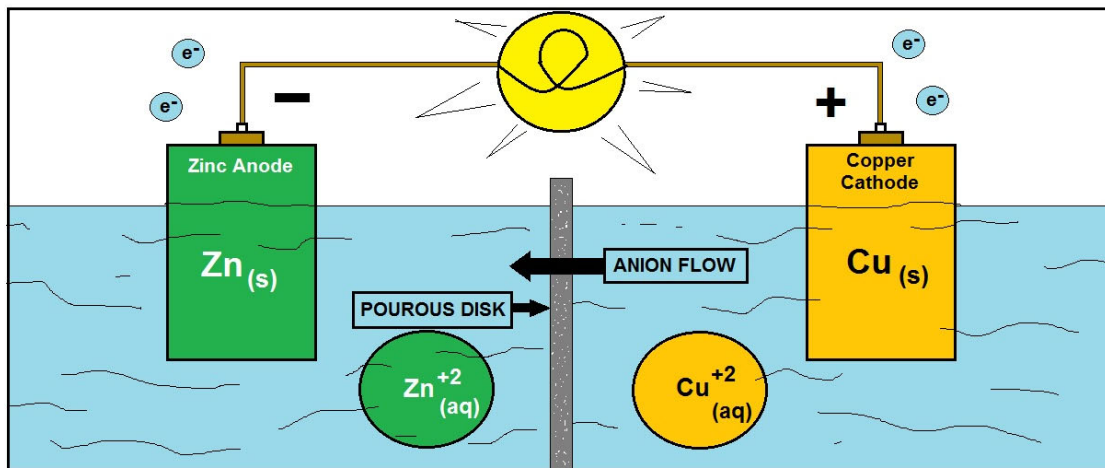
Corrosion is the deterioration of a substance by chemical action. Lead, cadmium, zinc, copper and iron might be found in water when metals in water distribution systems corrode. Drinking water contaminated with certain metals (such as lead and cadmium) can harm human health.

Corrosion also reduces the useful life of water distribution systems and can promote the growth of microorganisms, resulting in disagreeable tastes, odors, slimes and further corrosion. Because it is widespread and highly toxic, lead is the corrosion product of greatest concern.

The EPA has banned the use of lead solders, fluxes and pipes in the installation or repair of any public water system. In the past, solder used in plumbing has been 50% tin and 50% lead. Using lead-free solders, such as silver-tin and antimony-tin is a key factor in lead corrosion control.

The highest level of lead in consumers' tap water will be found in water that has been standing in the pipes after periods of nonuse (overnight or longer). This is because standing water tends to leach lead or copper out of the metals in the distribution system more readily than does moving water. Therefore, the simplest short-term or immediate measure that can be taken to reduce exposure to lead in drinking water is to let the water run for two to three minutes before each use for cooking or drinking. Also, drinking water should not be taken from the hot water tap, as hot water tends to leach lead more readily than cold.

Long-term measures for addressing lead and other corrosion by-products include pH and alkalinity adjustment; corrosion inhibitors; coatings and linings; and cathodic protection, all discussed below.



**CATHODE & ANODE IN GALVANIC ELECTROLYSIS CELLS**

### Cathodic Protection

Cathodic protection protects steel from corrosion that is the natural electrochemical process that results in the deterioration of a material because of its reaction with its environment. Metallic structures, components, and equipment exposed to aqueous environments, soil, or seawater can be subject to corrosive attack and accelerated deterioration.

Therefore, it is often necessary to utilize either impressed current or sacrificial anode cathodic protection (CP) in combination with coatings as a means of suppressing the natural degradation phenomenon to provide a long and useful service life. However, if proper considerations are not given, problems can arise which can produce unexpected, premature failure.

**There are two types of Cathodic protection:**

Ø Sacrificial Anodes (Galvanic Systems)

Ù Impressed (Induced) Current Systems

**Sacrificial Anode Systems**

Sacrificial anodes are pieces of metal more electrically active than the steel piping system. Because these anodes are more active, the corrosive current will exit from them rather than the piping system. Thus, the system is protected while the attached anode is “*sacrificed*” or selectively corroded. These anodes are made of materials such as magnesium, aluminum or zinc, which are anodic with respect to the protected structure. The sacrificial anodes are connected directly to the structure.

Sacrificial anodes can be attached to the existing piping system or coated steel for a pre-engineered cathodic protection system. An asphalt coating is not considered a suitable dielectric coating. Depleted anodes must be replaced for continued Cathodic protection of the system.

**Advantages**

1. Needs no external power source.
2. Does not involve maintenance work
3. If carefully designed, it can render protection for anticipated period.
4. Installation is simple.
5. Does not involve expensive accessories like rectifier unit, etc.
6. Economical for small structures

**Disadvantages**

1. The driving voltage is small and therefore the anodes have to be fitted close to the structure or on the structure, thereby increasing the weight or load on the structure.
2. The anodes have to be distributed all over the structure (as throwing power is lower) and therefore have design limitations in certain applications.
3. Once designed and installed, protection current cannot be altered or increased as may be needed in case of cathode area extension (unprotected) or foreign structure interference (physical contact).

As a result of the electrochemical properties of the impressed current cathodic protection system, corrosion takes place only at the anodes and not at the piping system. Depleted anodes must be replaced for continued cathodic protection of the piping system.

**Impressed Current System**

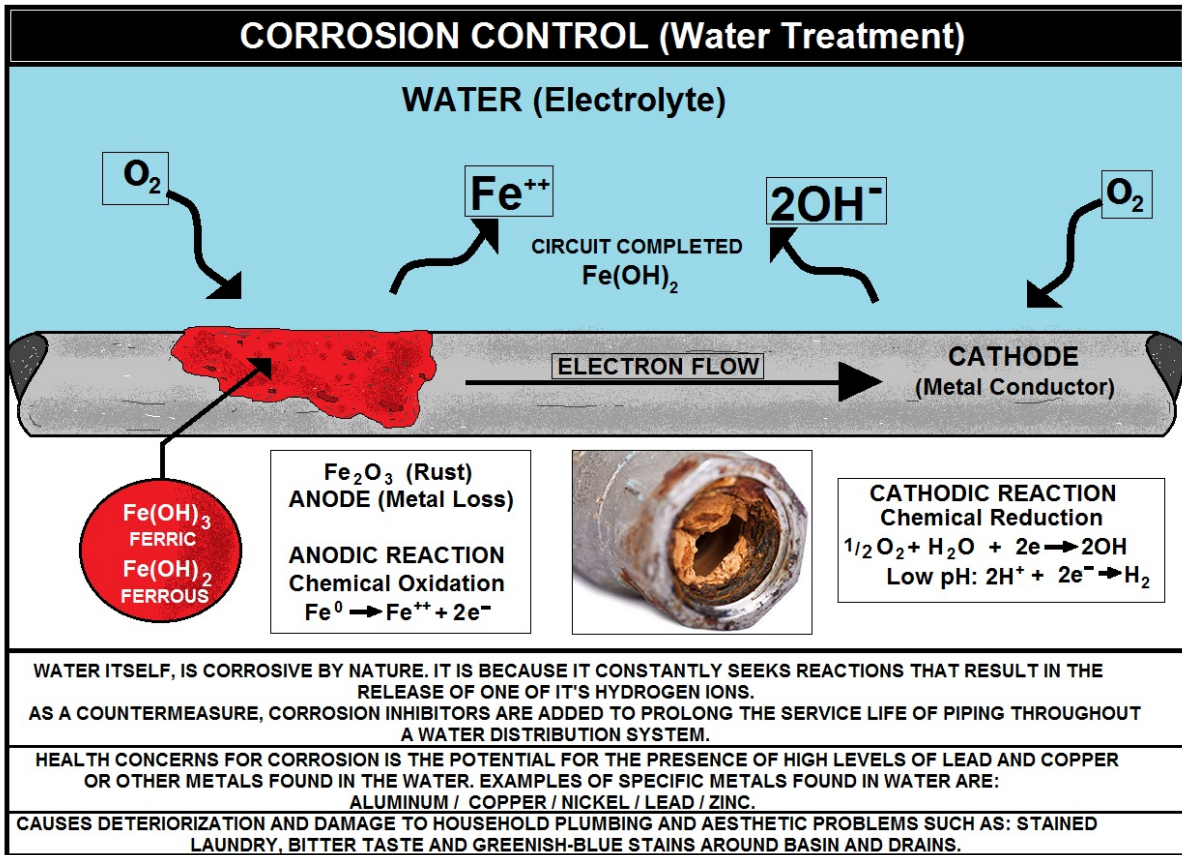
The impressed current anode system, on the other hand, has several advantages over the sacrificial anode systems. In this system the protection current is “*Forced*” through the environment to the structure (cathode) by means of an external D.C. source. Obviously we need some material to function as anodes. It can be high silicon chromium cast iron anodes, graphite anodes, or lead-silver alloy anodes.

**Advantages**

1. Since the driving voltage is large, this system offers freedom of installation design and location
2. Fewer anodes can protect a large structure
3. Variations in protection current requirements can be adjusted to some extent (to be incorporated at design stage)

**Disadvantages**

1. Shut down of D.C. supply for a long time allows structure to corrode again.
2. Reversal of anode - cathode connection at D.C. source will be harmful as structure will dissolve become anodic
3. Needs trained staff for maintenance of units and for monitoring
4. Initial investments are higher and can pay off only in long run and economic only for large structures
5. Power cost must be incorporated in all economic considerations.
6. Possibility of overprotection should be avoided as it will affect the life of the paint.
7. Any foreign structure coming within this field will cause an interference problem.



**CORROSION CONTROL**

PARTICLE DIAMETER (mm)	TYPE	SETTLING VELOCITY
10	PEBBLE	0.73 m/s
1	COURSE SAND	0.23 m/s
0.1	FINE SAND	0.6 m/min.
0.01	SILT	8.6 m/d
0.0001 (10 micron) *	LARGE COLLOIDS *	0.3 m/y *
0.000001 (1 nano) *	SMALL COLLOIDS *	3 m/million y *

SETTLING GRAVITY



### COAGULATION AND FLOCCULATION

(Examples of various sizes of particles in raw water)

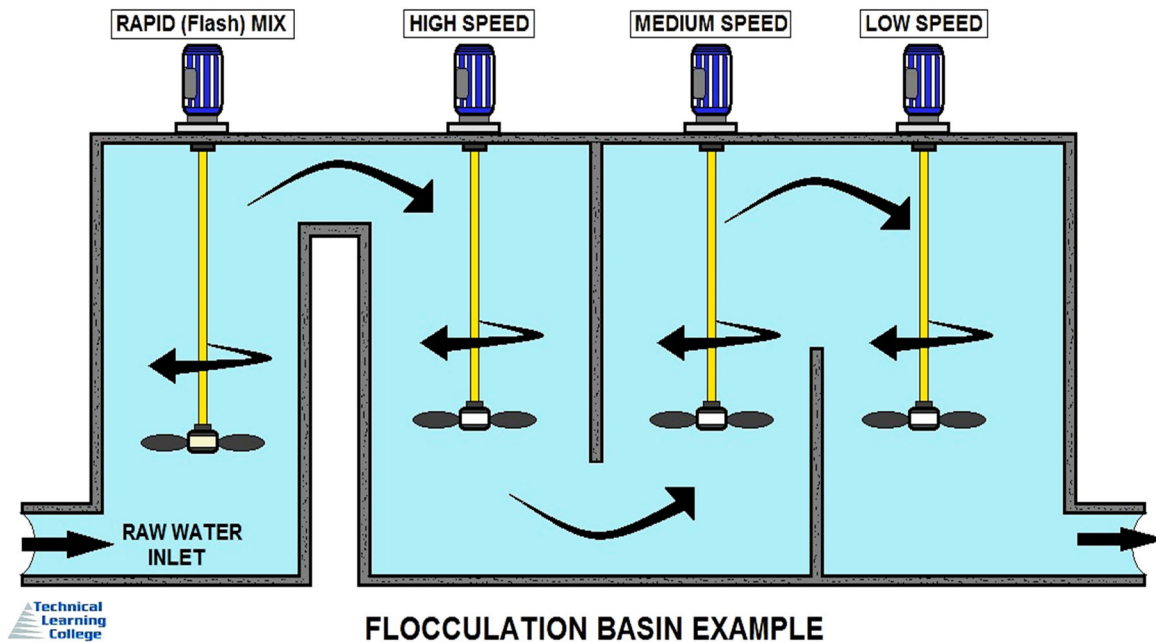
\* Colloids so small: gravity settling isn't possible

CHEMICAL NAME	ADVANTAGES	DISADVANTAGES
ALUMINUM SULFATE (Alum) $Al_2(SO_4)_3 \cdot 18 H_2O$	EASY TO HANDLE AND APPLY; MOST COMMON USED; PRODUCES LESS SLUDGE THAN LIME; MOST EFFECTIVE IN 6.5 - 7.5 pH RANGE	ADDS DISSOLVED SOLIDS (SALTS) TO THE WATER; EFFECTIVE OVER A LIMITED pH RANGE
SODIUM ALUMINATE $Na_2Al_2O_4$	EFFECTIVE IN HARD WATERS; SMALLER DOSES ARE USUALLY NEEDED	OFTEN USED WITH ALUM; HIGH COSTS ASSOCIATED WITH; INEFFECTIVE IN SOFT WATERS
POLYALUMINUM CHLORIDE (PAC) $Al_{13}(OH)_{20}(SO_4)_2Cl_{15}$	IN SOME APPLICATIONS, FLOC THAT IS FORMED IS MORE DENSE AND FASTER SETTLING THAN ALUM	NOT COMMONLY USED; LITTLE FULL SCALE DATA COMPARED TO OTHER ALUM DERIVATIVES
FERRIC SULFATE $Fe_2(SO_4)_3$	MOST EFFECTIVE IN THE 4 - 6 AND 8.8 - 9.2 pH RANGE	ADDS DISSOLVED SOLIDS (SALTS) TO WATER; USUALLY ADDED FOR ALKALINITY
FERRIC CHLORIDE $FeCl_3 \cdot 6H_2O$	EFFECTIVE BETWEEN THE 4 - 11 pH RANGE	ADDS DISSOLVED SOLIDS (SALTS); CONSUMES TWICE AS MUCH ALKALINITY AS ALUM
FERROUS SULFATE (COPPERAS) $FeSO_4 \cdot 7H_2O$	NOT AS pH SENSITIVE AS LIME	ADDS DISSOLVED SOLIDS (SALTS) TO WATER; USUALLY NEEDED TO ADD ALKALINITY
LIME $Ca(OH)_2$	COMMONLY USED; VERY EFFECTIVE; MAY NOT ADD SALTS TO THE EFFLUENT	VERY pH DEPENDENT; PRODUCES LARGE QUANTITIES OF SLUDGE; CAN CAUSE POOR EFFLUENT QUALITY IF OVERFED

## WATER TREATMENT COAGULANTS

## Coagulation and Flocculation Summary

Rapid sand filtration is the most prevalent form of water treatment technology in use today. This filtration process employs a combination of physical and chemical processes in order to achieve maximum effectiveness, as follows:



### Coagulation Review

At the Water Treatment Plant, aluminum sulfate, commonly called alum, is usually added to the water in the "flash mix" to cause microscopic impurities in the water to clump together. The alum and the water are mixed rapidly by the flash mixer. The resulting larger particles will be removed by filtration.

Coagulation is the process of joining together particles in water to help remove organic matter. When solid matter is too small to be removed by a depth filter, the fine particles must be coagulated, or "stuck together" to form larger particles which can be filtered. This is achieved through the use of coagulant chemicals such as Alum.

Coagulant chemicals are required since colloidal particles by themselves have the tendency to stay suspended in water and not settle out. This is primarily due to a negative charge on the surface of the particles. All matter has a residual surface charge to a certain degree. But since colloidal particles are so small, their charge per volume is significant. Therefore, the like charges on the particles repel each other, and they stay suspended in water.

Coagulant chemicals such as *alum* (aluminum Sulfate) work by neutralizing the negative charge, which allows the particles to come together. Other coagulants include "cationic polymers", which can be thought of as positively charged strings that attract the particles to them, and in the process, form a larger particle. Also, new chemicals have been developed which combine the properties of alum-type coagulants and cationic polymers. Which chemical is used depends on the application, and will usually be chosen by the engineer designing the water treatment system by jar testing.

Aluminum Sulfate is the most widely used coagulant in water treatment. Coagulation is necessary to meet the current regulations for almost all potable water plants using surface water. Aluminum Sulfate is also excellent for removing nutrients such as phosphorous in wastewater treatment. Liquid Aluminum Sulfate is a 48.86% solution.

Large microorganisms, including algae and amoebic cysts, are readily removed by coagulation and filtration. Bacterial removals of 99% are also achievable. More than 98% of poliovirus type 1 is removed by conventional coagulation and filtration. Several recent studies have shown that bacterial and viral agents are attached to organic and inorganic particulates. Hence, removal of these particulates by conventional coagulation and filtration is a major component of effective treatment for the removal of most pathogens.

### **Flocculation**

The process of bringing together destabilized or coagulated particles to form larger masses which can be settled and/or filtered out of the water being treated. In this process, which follows the rapid mixing, the chemically treated water is sent into a basin where the suspended particles can collide, agglomerate (stick together), and form heavier particles called "floc". Gentle agitation of the water and appropriate detention times (the length of time water remains in the basin) help facilitate this process.

The water is slowly mixed in contact chambers allowing the coagulated particles, now called "floc," to become larger and stronger. As these floc particles mix in the water, bacteria and other microorganisms are caught in the floc structure.

### **Pre-Sedimentation**

Depending on the quality of the source water, some plants have pre-sedimentation.

- A. To allow larger particles time to settle in a reservoir or lake (sand, heavy silt) reducing solid removal loads.
- B. Provides an equalization basin which evens out flow fluctuations.

### **Sedimentation Basin Zones**

- A. Inlet Zone
- B. Settling Zone
- C. Sludge Zone
- D. Outlet Zone

### **Shapes for a Sedimentation Basin**

- A. Rectangular Basins
- B. Circular Basins
- C. Square Basins
- D. Double deck Basins



### **Sedimentation**

The process of suspended solid particles settling out (going to the bottom of the vessel) in water.

Following flocculation, a sedimentation step may be used. During sedimentation, the velocity of the water is decreased so that the suspended material, including flocculated particles, can settle out by gravity. Once settled, the particles combine to form a sludge that is later removed from the bottom of the basin.



## Topic 3- Water Treatment Section Post Quiz

1. What measure is an expression of a basic or acid condition of a liquid?
2. To prevent damage due to overloads to flights, \_\_\_\_\_ is used. This also holds the gear solidly on the shaft so that no slippage occurs during normal operation.
3. What is the term for the time required for a small amount of water to pass through a sedimentation basin at a given rate of flow, or the calculated time required for a small amount of liquid to pass through a tank at a given rate of flow?
4. Name a strong chemical used in the treatment process to neutralize acidity, increase alkalinity, or raise the pH value.
5. What type of chemical, when combined with other types of coagulants, aids in binding small suspended particles to larger particles to help in the settling and filtering processes?
6. Which is the process of joining together particles in water to help remove organic matter?
7. When solid matter is too small to be removed by settling, the fine particles must be coagulated, or " \_\_\_\_\_ " to form larger particles which can be filtered or settled.
8. \_\_\_\_\_ are the processes required since colloidal particles by themselves have the tendency to stay suspended in water and not settle out.
9. Coagulant chemicals such as "*alum*" (aluminum sulfate) work by neutralizing the particles' positive charge, which allows the particles to come together. True or False
10. Cationic polymers can be thought of as positively charged strings that attract the particles to them, and in the process, form a larger particle. True or False

11. Iron Sulfate is the most widely used coagulant in water treatment. True or False

12. Aluminum Sulfate is excellent for removing nutrients such as phosphorous in treatment. True or False

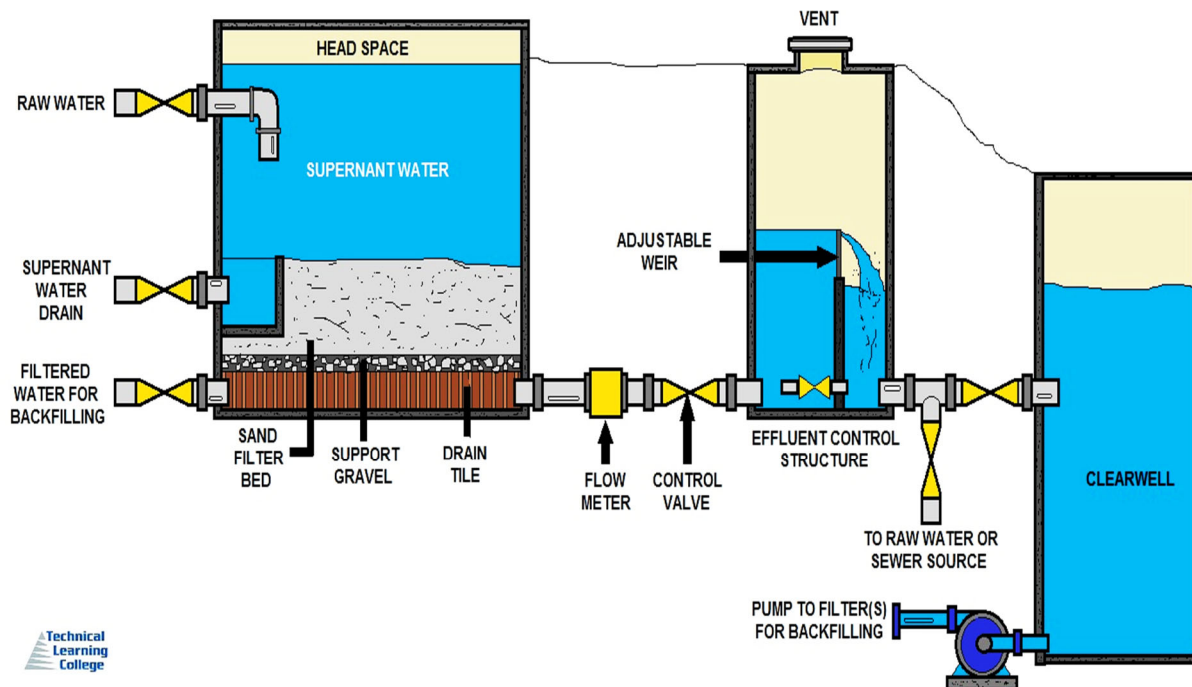
13. In an impressed current cathodic protection system, voltage polarity is important. True or False

14. In a sacrificial anode system, the cathode is the protected equipment. True or False

## Topic 4- Water Treatment Filtration Section

**Section Focus:** You will learn the basics of conventional water treatment filtration. At the end of this section, you will be able to describe the water treatment filtration process. There is a post quiz at the end of this section to review your comprehension and a final examination in the Assignment for your contact hours.

**Scope/Background:** The Safe Drinking Water Act (SDWA) requires the EPA to set enforceable standards to protect public health from contaminants which may occur in drinking water, therefore it is necessary to understand proper water filtration.



**SLOW SAND FILTER SYSTEM DIAGRAM**

Drinking water sources are subject to contamination and require appropriate treatment to remove disease-causing agents. Public drinking water systems use various methods of water treatment to provide safe drinking water for their communities. Today, the most common steps in water treatment used by community water systems (mainly surface water treatment) include:

### **Slow Sand Filter Description (Primary Water Treatment Filtration Method)**

The water filter is a concrete or steel box that contains sand (which does the filtering), gravel (which keeps the sand from getting out) and an underdrain (where the filtered water exits).

After the filter is operated for a while, the sand becomes clogged with particles and must be backwashed. Flow through the filter is reversed and the sand and particles are suspended. The particles are lighter than the sand, so they rise up and are flushed from the system. When backwashing is complete, the sand settles down onto the gravel, flow is reversed, and the treatment process begins again.

## Quick Review of the Water Treatment Process

### 1. Coagulation and Flocculation

Coagulation and flocculation are often the first steps in water treatment. Chemicals with a positive charge are added to the water. The positive charge of these chemicals neutralizes the negative charge of dirt and other dissolved particles in the water. When this occurs, the particles bind with the chemicals and form larger particles, called floc.

### 2. Sedimentation

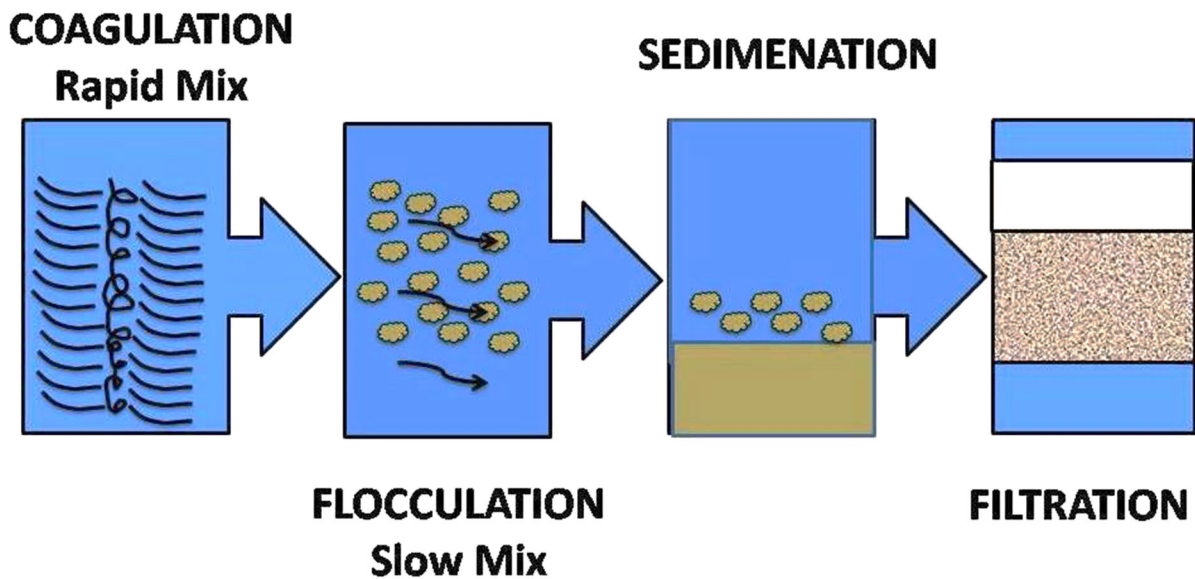
During sedimentation, floc settles to the bottom of the water, due to its weight. This settling process is called sedimentation.

### 3. Filtration

Once the floc has settled to the bottom of the water, the clear water on top will pass through filters of varying compositions (sand, gravel, and charcoal) and pore sizes, in order to remove dissolved particles, such as dust, parasites, bacteria, viruses, and chemicals.

### 4. Disinfection

After the water has been filtered, a disinfectant (for example, chlorine, chloramine) may be added in order to kill any remaining parasites, bacteria, and viruses, and to protect the water from germs when it is piped to homes and businesses.



## **Water Filtration Key Terms**

### **Adsorption Clarifiers**

The concept of the adsorption clarifier package plant was developed in the early 1980's. This technology uses an up-flow clarifier with low-density plastic bead media, usually held in place by a screen. This adsorption media is designed to enhance the sedimentation/clarification process by combining flocculation and sedimentation into one step. In this step, turbidity is reduced by adsorption of the coagulated and flocculated solids onto the adsorption media and onto the solids already adsorbed onto the media.

Air scouring cleans adsorption clarifiers followed by water flushing. Cleaning of this type of clarifier is initiated more often than filter backwashing because the clarifier removes more solids. As with the tube-settler type of package plant, the sedimentation/clarification process is followed by mixed-media filtration and disinfection to complete the water treatment.

### **Caustic**

NaOH (also called Sodium Hydroxide) is a strong chemical used in the treatment process to neutralize acidity, increase alkalinity, or raise the pH value.

### **Chemical Feed and Rapid Mix**

Chemicals are added to the water in order to improve the subsequent treatment processes. These may include pH adjusters and coagulants. Coagulants are chemicals, such as alum, that neutralize positive or negative charges on small particles, allowing them to stick together and form larger particles that are more easily removed by sedimentation (settling) or filtration.

A variety of devices, such as baffles, static mixers, impellers, and in-line sprays can be used to mix the water and distribute the chemicals evenly.

### **Clearwell**

The final step in the conventional filtration process, the clearwell provides temporary storage for the treated water. The two main purposes for this storage are to have filtered water available for backwashing the filter, and to provide detention time (or contact time) for the chlorine (or other disinfectant) to kill any microorganisms that may remain in the water.

### **Corrosion Control**

The pH of the water is adjusted with sodium carbonate, commonly called soda ash. Soda ash is fed into the water after filtration to increase pH and reduce the potential for corrosion by the finished water.

### **Declining Rate Filters**

The flow rate will vary with head loss. Each filter operates at the same rate or head, but can have a variable flow rate depending on head loss. This system requires an effluent control structure (weir) to provide adequate media submergence.

### **Detention Time**

The actual time required for a small amount of water to pass through a sedimentation basin at a given rate of flow, or the calculated time required for a small amount of liquid to pass through a tank at a given rate of flow.

Detention Time =  $\frac{\text{Basin Volume, Gallons} (24 \text{ Hours/day})}{\text{Flow, Gallons/day}}$   
in hours

**Disinfection (*More information later in manual. See the Disinfection Section*)**

Chlorine may be added to the water at the flash mix for pre-disinfection. The chlorine kills or inactivates harmful microorganisms. Chlorine is added again after filtration for post-disinfection.

**Hydrofluosilicic Acid**

(H<sub>2</sub>SiF<sub>6</sub>) a clear, fuming, corrosive liquid with a pH ranging from 1 to 1.5. Used in water treatment to fluoridate drinking water.

**Jar Testing (*More information later in manual. See the Water Quality Section*)**

Jar testing traditionally has been done on a routine basis in most water treatment plants to control the coagulant dose. Much more information, however, can be obtained with only a small modification in the conventional method of jar testing. It is the quickest and most economical way to obtain good reliable data on the many variables which affect the treatment process.

These include:

- Determination of most effective coagulant.
- Determination of optimum coagulation pH for the various coagulants.
- Evaluation of the most effective polymers.
- Optimum point of application of polymers in the treatment train.
- Optimum sequence of application of coagulants, polymers, and pH adjustment chemicals.
- Best flocculation time.

**pH**

Expression of a basic or acid condition of a liquid. The range is from 0-14, zero being the most acid and 14 being the most alkaline. A pH of 7 is considered to be neutral. Most natural water has a pH between 6.0 and 8.5.

**Polymer**

A type of chemical, when combined with other types of coagulants, which aids in binding small suspended particles to larger particles to help in the settling and filtering processes.

**Post-Chlorine**

Where the water is chlorinated to make sure it holds a residual in the distribution system.

**Pre-Chlorine**

Where the raw water is dosed with a larger concentration of chlorine for disinfection.

**Pre-Chlorination**

The addition of chlorine before the filtration process will help:

- Control algae and slime growth
- Control mud ball formation
- Improve coagulation
- Precipitate iron

**Raw Turbidity**

The turbidity of the water coming to the treatment plant from the raw water source.

**Sampling**

Collect the water sample at least 6 inches under the surface by plunging the container mouth down into the water and turning the mouth towards the current by dragging the container slowly horizontal. Care should be taken not to disturb the bottom of the water source or along the sides. So as not to stir up any settled solids. This would create erroneous results. There are different techniques for both bacteriological and disinfection byproduct samplings.

**Settled Solids**

Solids that have been removed from the raw water by the coagulation and settling processes.

**Short-Circuiting**

Short-Circuiting is a condition that occurs in tanks or basins when some of the water travels faster than the rest of the flowing water. This is usually undesirable, since it may result in shorter contact, reaction, or settling times in comparison with the presumed detention times.

**Taste and Odor Control**

Powdered activated carbon (PAC) is occasionally added for taste and odor control. PAC is added to the flash mix.

**Tube Settlers**

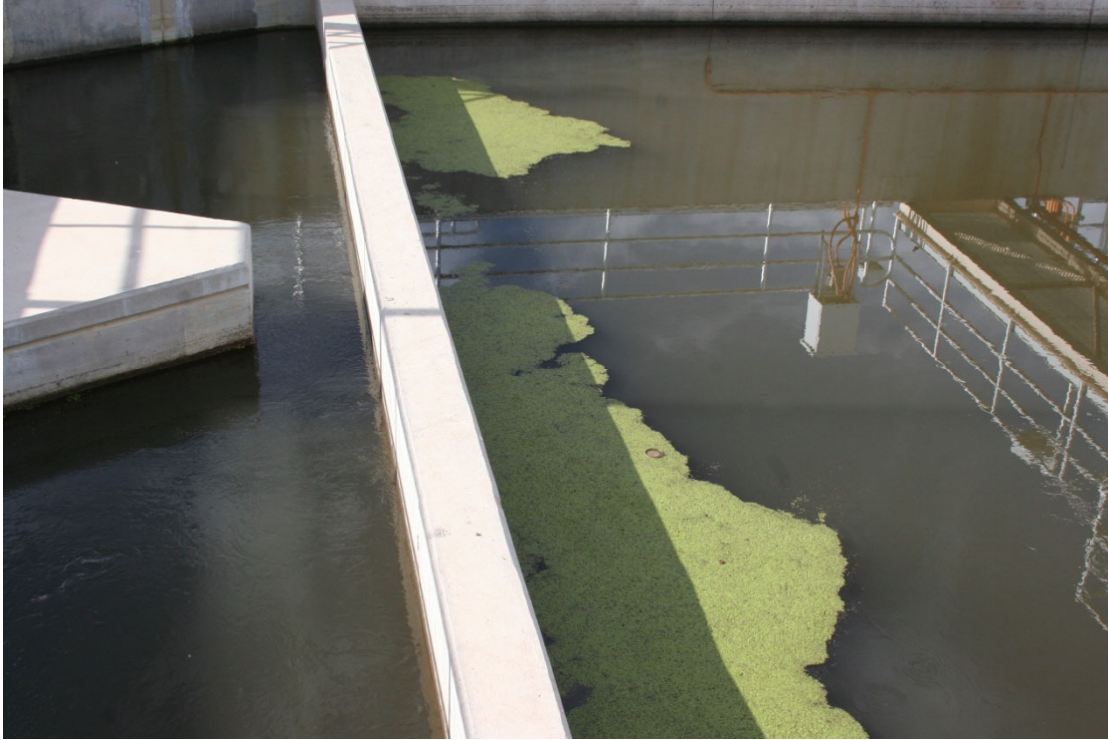
This modification of the conventional process contains many metal “*tubes*” that are placed in the sedimentation basin, or clarifier. These tubes are approximately 1-inch in diameter and 36 inches long, split-hexagonal shape, and installed at an angle of 60 degrees or less. These tubes provide for a very large surface area upon which particles may settle as the water flows upwards. The slope of the tubes facilitates gravity settling of the solids to the bottom of the basin, where they can be collected and removed. The large surface settling area also means that adequate clarification can be obtained with detention times of 15 minutes or less. As with conventional treatment, this sedimentation step is followed by filtration through mixed media.

**Water Quality**

Water testing is conducted throughout the treatment process. Parameters like turbidity, pH, and chlorine residual are monitored and recorded continuously. Some parameters are tested continuously, other several times per day, some once per quarter, and others once per year.

**Zinc Orthophosphate**

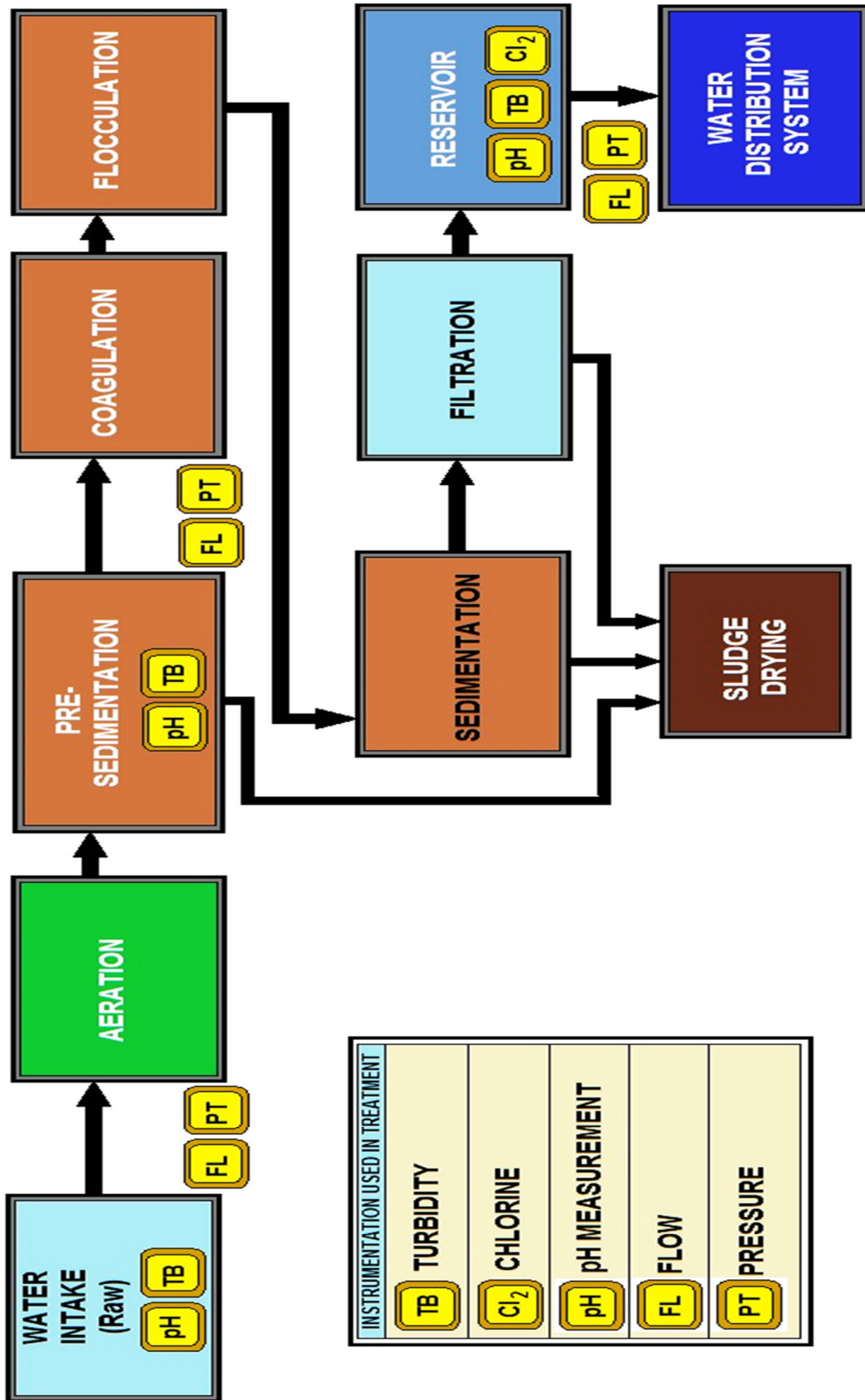
A chemical used to coat the pipes in the distribution system to inhibit corrosion.



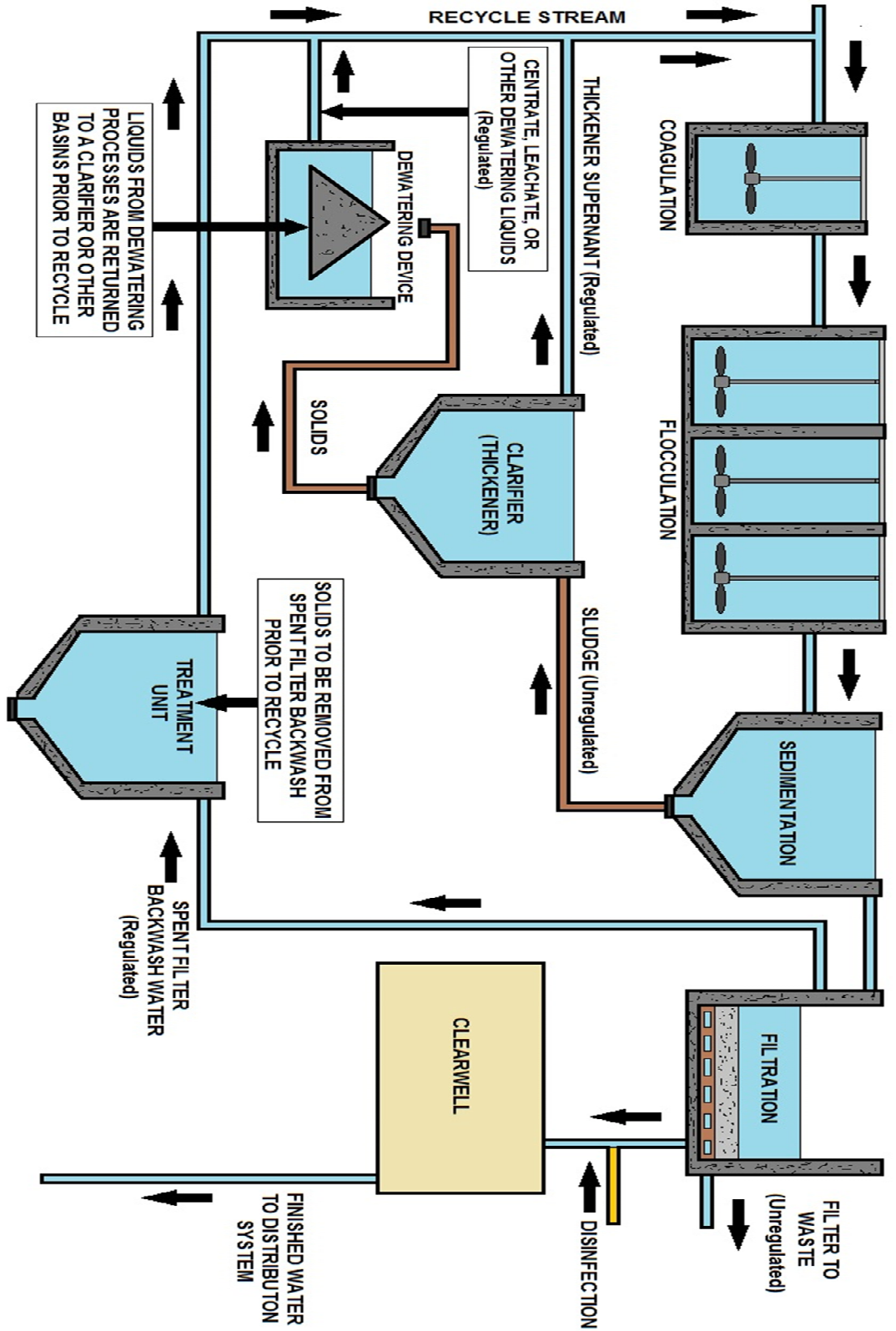
Pretreatment sedimentation basin, bottom photograph, sludge drying bed with new grass. Time to remove and dispose of this deep, dried sludge.







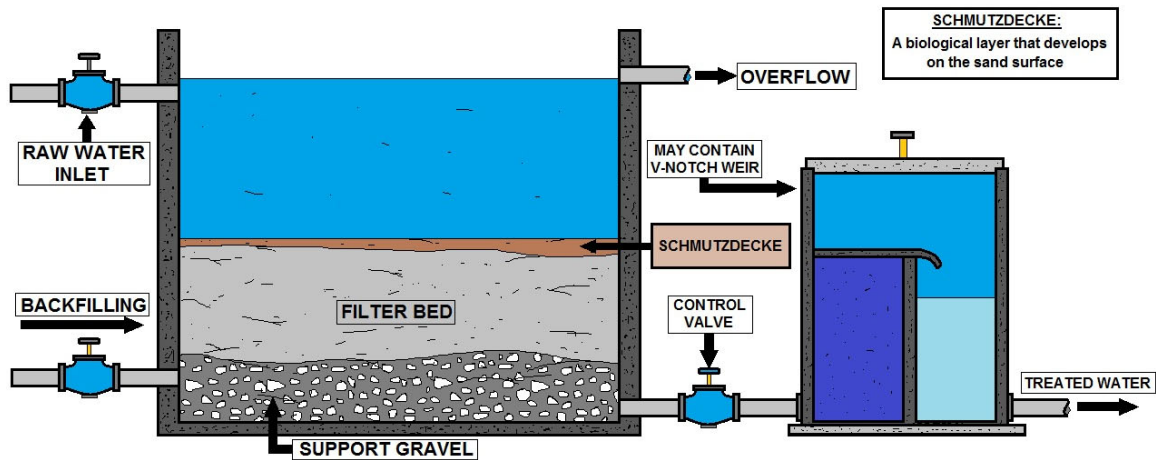
## INSTRUMENTATION USED AT WATER TREATMENT PLANTS



# FILTER BACKWASHED RECYCLING

## Types of Water Filters

Several types of filters are used for water treatment. The earliest ones developed were the slow sand filters (biological process). They typically have filter rates of around 0.05 gpm/ft<sup>2</sup> of surface area. This type of filter requires large filter areas. The top several inches of the sand has to be removed regularly, usually by hand due to the mass of growing material ("*schmutzdecke*") that collects in the filter. The sand removed is usually washed and returned to the filter. These filters are still in use in some small plants, especially in the western United States, as well as in many developing countries. They may also be used as a final step in wastewater treatment.



### SCHMUTZDECKE EXAMPLE

Most filters are classified by filtration rate, type of filter media, or type of operation into the following:

#### A. Gravity Filters

1. Rapid Sand Filters
2. High Rate Filters
  - Dual media
  - Multi-media

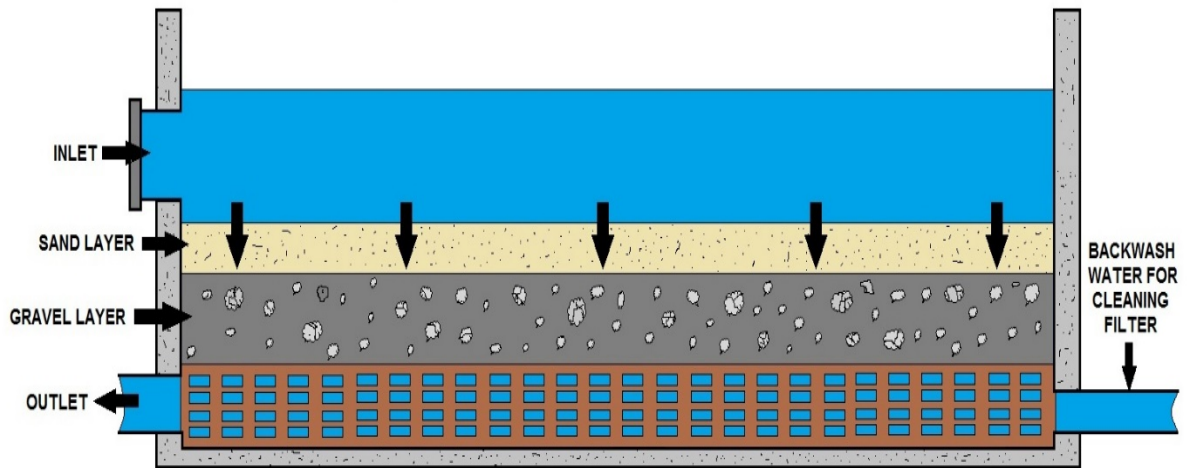
#### B. Pressure Filters

- Sand or Multi-media

### Rapid Sand Filters

Rapid sand filters (physical process) can accommodate filter rates 40 times those of slow sand filters. The major parts of a rapid sand filter are:

- ◆ Filter tank or filter box
- ◆ Filter sand or mixed-media
- ◆ Gravel support bed
- ◆ Underdrain system
- ◆ Wash water troughs
- ◆ Filter bed agitators



## FILTRATION

(WATER PASSES THROUGH FILTERS TO PURIFY THE WATER)

The filter tank is generally constructed of concrete and is most often rectangular. Filters in large plants are usually constructed next to each other in a row, allowing the piping from the sedimentation basins to feed the filters from a central pipe gallery. Some smaller plants are designed with the filters forming a square of four filters with a central pipe gallery feeding the filters from a center well.

### Filter Sand

The filter sand used in rapid sand filters is manufactured specifically for the purpose of water filtration. Most rapid sand filters contain 24-30 inches of sand, but some newer filters are deeper. The sand used is generally 0.4 to 0.6 mm in diameter. This is larger than the sand used in slow rate filtration. The coarser sand in the rapid filters has larger voids that do not fill as easily. In many newer filtration systems, other media is used in addition or instead of sand.

The gravel installed under the sand layer(s) in the filter prevents the filter sand from being lost during the operation. The under-gravel also distributes the backwash water evenly across the total filter. This under-gravel supports the filter sand and is usually graded in three to five layers, each generally 6-18 inches in thickness, depending on the type of underdrain used.

### Underdrain

The filter underdrain can be one of many types, such as:

- ◆ Pipe laterals
- ◆ False floor
- ◆ Leopold system
- ◆ Porous plates or strainer nozzles
- ◆ Pipe laterals

A pipe lateral system uses a control manifold with several perforated laterals on each side. Piping materials include cast iron, asbestos cement, and PVC. The perforations are usually placed on the underside of the laterals to prevent them from plugging with sand. This also allows the backwash to be directed against the floor, which helps keep the gravel and sand beds from being directly disturbed by the high velocity water jets.

**False Floor**

The false floor design of a filter underdrain is used together with a porous plate design or with screens that retain the sand when there is no undergravel layer. This type of underdrain allows the plenum or open space under the floor to act as the collection area for the filtered water and for the distribution of the filter backwash water.

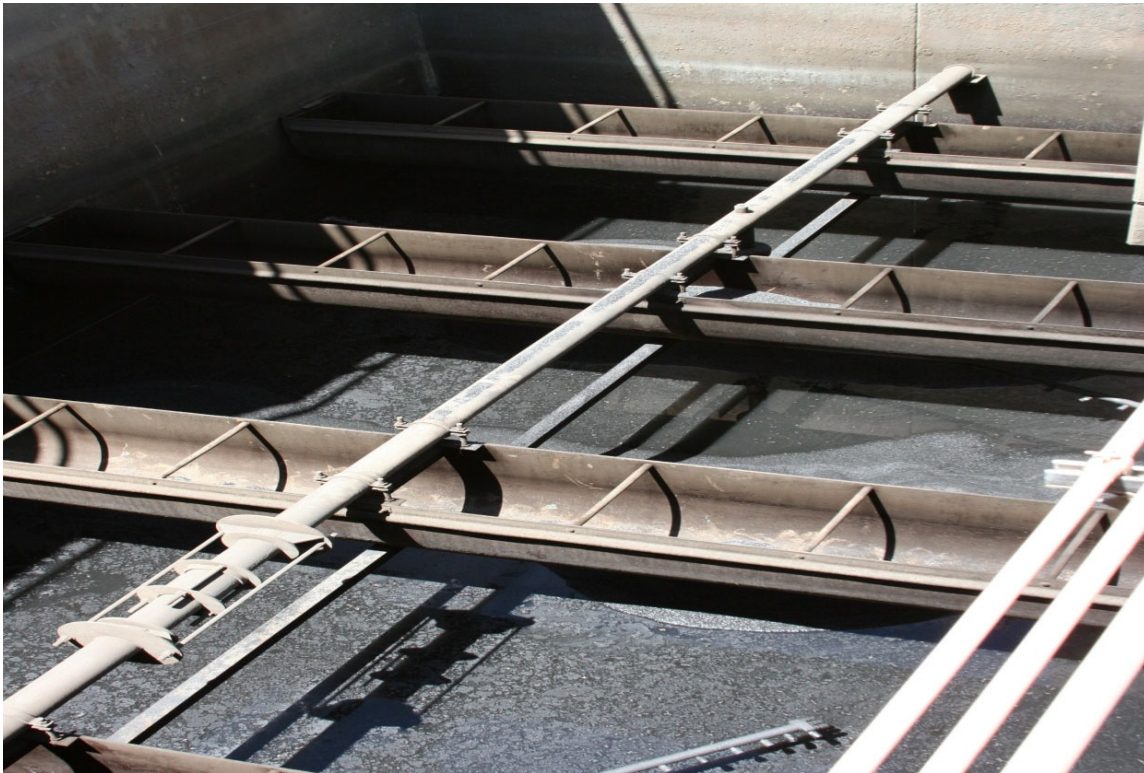
**Leopold System**

The Leopold system consists of a series of clay or plastic blocks that form the channels to remove the filtered water from the filter and distribute the backwash water. This type of underdrain is generally used with an undergravel layer, although some new designs allow for sand retention without gravel.

**Wash Troughs**

Washwater troughs placed above the filter media collect the backwash water and carry it to the drain system. These are used for the water supply during filtration. Proper placement of these troughs at the same elevation is very important to ensure that the filter media is not carried into the troughs during the backwash and removed from the filter.

The wash troughs must supply an even head across the entire filter. These backwash troughs are constructed from concrete, plastic, fiberglass, or other corrosion-resistant materials.



The photograph above shows exposed filter wash troughs.

## Filtration Overview

A water treatment step used to remove turbidity, dissolved organics, odor, taste and color. The water flows by gravity through large filters that may be composed of anthracite coal, silica sand, garnet and gravel. The floc particles are removed in these filters. The rate of filtration can be adjusted to meet water consumption needs. Filters for suspended particle removal can also be made of graded sand, granular synthetic material, screens of various materials, and fabrics.

The most widely used are rapid-sand filters in tanks. In these units, gravity holds the material in place and the flow is downward. The filter is periodically cleaned by a reversal of flow and the discharge of back-flushed water into a drain.

### Cartridge Filters

In very small plants, cartridge filters made of fabric, paper, or plastic material are also common and are often much smaller and cheaper, as well as disposable. Filters are available in several ratings, depending on the size of particles to be removed. Activated carbon filters, described earlier, will also remove turbidity, but would not be recommended for that purpose only.

With most of the larger particles settled out, the water now goes to the filtration process. At a rate of between 2 and 10 gpm per square foot, the water is filtered through an approximate 36" depth of graded sand. Anthracite coal or activated carbon may also be included in the sand to improve the filtration process, especially for the removal of organic contaminants and taste and odor problems.

### The filtration process removes the following types of particles:

- Silts and clay
- Colloids
- Biological material
- Floc

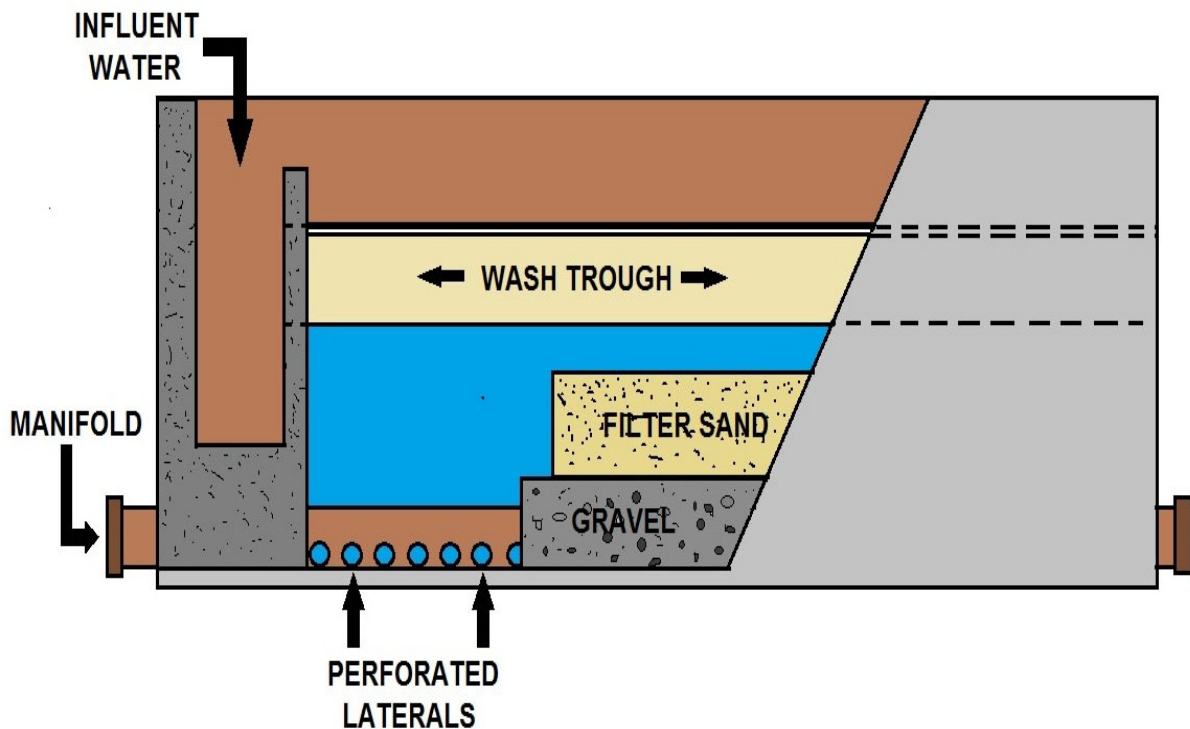
### Four Desirable Characteristics of Filter Media

- Good hydraulic characteristics (permeable)
- Does not react with substances in the water (inert and easy to clean)
- Hard and durable
- Free of impurities and insoluble in water

Evaluation of overall filtration process performance should be conducted on a routine basis, at least once per day.

Poor chemical treatment can often result in either early turbidity breakthrough or rapid head loss buildup. The more uniform the media, the slower head loss buildup.

All water treatment plants that use surface water are governed by the U.S. EPA's Surface Water Treatment Rules or SWTR.

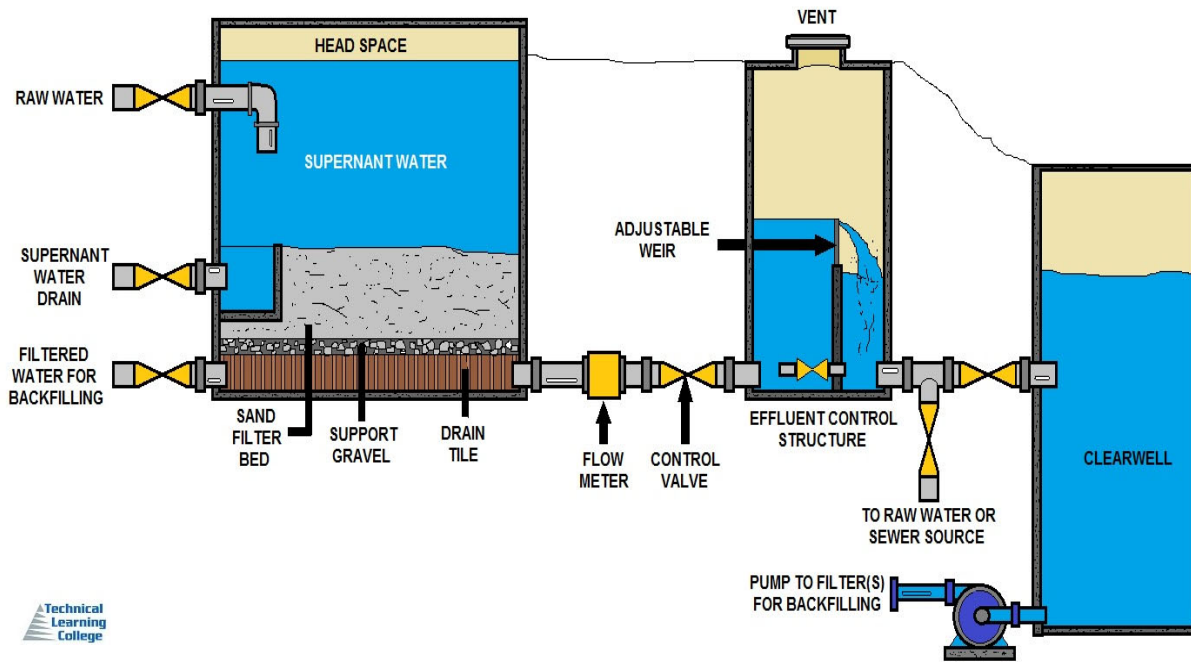


### RAPID SAND FILTER DIAGRAM

The rapid sand filter or rapid gravity filter is a type of filter used in water purification and is commonly used in municipal drinking water facilities as part of a multiple-stage treatment system. Rapid sand filters were first developed in the 1890s, and improved designs were developed by the 1920s. The first modern rapid sand filtration plant was designed and built by George W. Fuller in Little Falls, New Jersey. Rapid sand filters were widely used in large municipal water systems by the 1920s, because they required smaller land areas compared to slow sand filters.



Dried backwash channels on the top of a cleaned filter bed.



## SLOW SAND FILTER



**SLOW SAND FILTER**  
*These have become obsolete*



## ***EPA Filter Backwash Rule- Introduction***

The U.S. Environmental Protection Agency (EPA) has finalized the Long Term 1 Enhanced Surface Water Treatment Rule and Filter Backwash Rule (LT1FBR) to increase protection of finished drinking water supplies from contamination by *Cryptosporidium* and other microbial pathogens.

This rule will apply to public water systems using surface water or ground water under the direct influence of surface water. This rule will extend protections against *Cryptosporidium* and other disease-causing microbes to the 11,500 small water systems which serve fewer than 10,000 people annually.

This rule also establishes filter backwash requirements for certain public water systems of all sizes. The filter backwash requirements will reduce the potential risks associated with recycling contaminants removed during the filtration process.

### **Background**

The Safe Drinking Water Act (SDWA) requires the EPA to set enforceable standards to protect public health from contaminants that may occur in drinking water. The EPA has determined that the presence of microbiological contaminants is a health concern. If finished water supplies contain microbiological contaminants, disease outbreaks may result. Disease symptoms may include diarrhea, cramps, nausea, possibly jaundice, headaches and fatigue. The EPA has set enforceable drinking water treatment requirements to reduce the risk of waterborne disease outbreaks. Treatment technologies such as filtration and disinfection remove or inactivate microbiological contaminants.

Physical removal is critical to the control of *Cryptosporidium* because it is highly resistant to standard disinfection practice. Cryptosporidiosis may manifest itself as a severe infection that can last several weeks and may cause the death of individuals with compromised immune systems. In 1993, *Cryptosporidium* caused over 400,000 people in Milwaukee to experience intestinal illness. More than 4,000 were hospitalized, and at least 50 deaths were attributed to the cryptosporidiosis outbreak.

The 1996 Amendments to SDWA require the EPA to promulgate an Interim Enhanced Surface Water Treatment Rule (IESWTR) and a Stage 1 Disinfection Byproducts Rule (announced in December 1998). The IESWTR set the first drinking water standards to control *Cryptosporidium* in large water systems, by establishing filtration and monitoring requirements for systems serving more than 10,000 people each. The LT1FBR proposal builds on those standards by extending the requirements to small systems.

The 1996 Amendments also required the EPA to promulgate a Long Term 1 Enhanced Surface Water Treatment Rule (for systems serving less than 10,000 people) back in November, 2000 ((1412(b)(2)(C)) and also require the EPA to "*promulgate a regulation to govern the recycling of filter backwash water within the treatment process of a public water system*" back in August, 2000 ((1412(b)(14)). The current rule includes provisions addressing both of these requirements.

### **LT1FBR Required**

The LT1FBR provisions will apply to public water systems using surface water or ground water under the direct influence of surface water systems.

**LT1 Provisions** - Applied to systems serving fewer than 10,000 people, and fall into the three following categories:

***Turbidity***

- Conventional and direct filtration systems must comply with specific combined filter effluent turbidity requirements;
- Conventional and direct filtration systems must comply with individual filter turbidity requirements;

***Disinfection Benchmarking***

- Public water systems will be required to develop a disinfection profile unless they perform applicability monitoring which demonstrates their disinfection byproduct levels are less than 80% of the maximum contaminant levels;
- If a system considers making a significant change to their disinfection practice they must develop a disinfection benchmark and receive State approval for implementing the change.

***Other Requirements***

- Finished water reservoirs for which construction begins after the effective date of the rule must be covered; and
- Unfiltered systems must comply with updated watershed control requirements that add Cryptosporidium as a pathogen of concern.

***FBR Provisions*** - Apply to all systems which recycle regardless of population served:

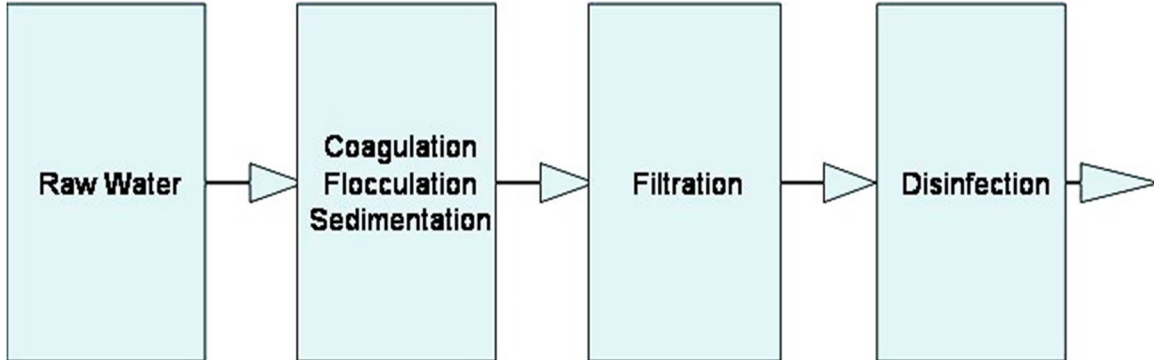
- Recycle systems will be required to return spent filter backwash water, thickener supernatant, and liquids from the dewatering process prior to the point of primary coagulant addition unless the State specifies an alternative location;
- Direct filtration systems recycling to the treatment process must provide detailed recycle treatment information to the State, which may require that modifications to the recycle practice be made, and;
- Conventional systems that practice direct recycle, employ 20 or fewer filters to meet production requirements during a selected month, and recycle spent filter backwash water, thickener supernatant, and/or liquids from the dewatering process within the treatment process must perform a one month, one-time recycle self-assessment. The self-assessment requires hydraulic flow monitoring and that certain data be reported to the State, which may require that modifications to the recycle practice be made to protect public health.



Often under the filtration basins are work tunnels, complex machinery, gauges and huge water pumps.

## Conventional Treatment Overview

Improving the clarity of surface water has always presented a challenge because source quality varies. Traditional treatments rely on expensive, construction-intensive processes with lengthy times for design and construction.



Suspended particles carry an electrical charge which causes them to repel one another. The conventional process uses alum (aluminum sulfate or ferric chloride) and cationic polymer to neutralize the charge. That allows suspended particles to clump together to form more easily filtered particles.

### Chemical Pretreatment

Chemical pretreatment may increase filtered water clarity, measured in NTU, by 90% compared with filtration alone. If an operator is present to make adjustments for variations in the raw water, filtered water clarity improvements in the range of 93 to 95% are achievable.

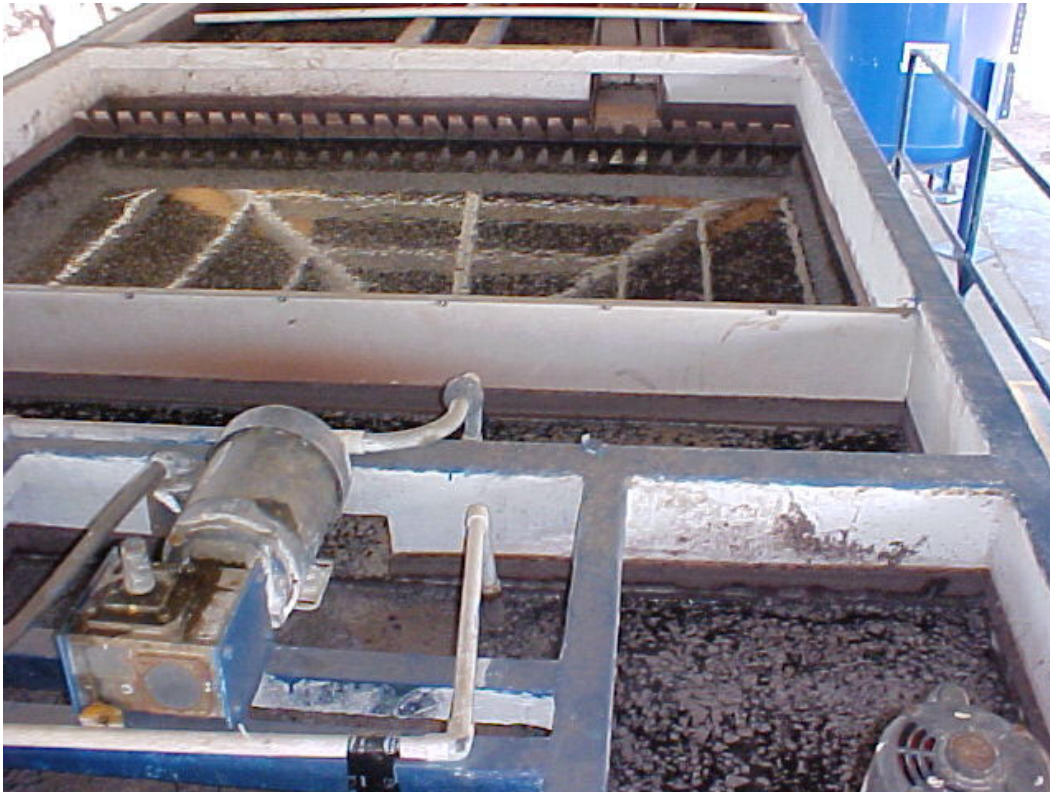
### Conventional Technology

Alum combines with alkalinity in the raw water to form a white precipitate that neutralizes suspended particles' electrical charge and forms a base for coagulating those particles. Conventional technology uses a 30 to 50 mg/L alum dosage to form a large floc that requires extensive retention time to permit settling. Traditional filter systems use graded silica sand filter media. Since the sand grains all have about the same density, larger grains lay toward the bottom of the filter bed and finer grains lay at the top of the filter bed. As a result, most filtration occurs only within the first few inches of the finer grains at the top of the bed.

A deeper filter design has four layers of filtration media, each of different size and density. Light, coarse material lies at the top of the filter bed. The media become progressively finer and denser in the lower layers. Larger suspended particles are removed by the upper layers while smaller particles are removed in the lower layers. Particles are trapped throughout the bed, not in just the top few inches. That allows a depth filter to run substantially longer and use less backwash water than a traditional sand filter.

As suspended particles accumulate in a filter bed, the pressure drop through the filter increases. When the pressure difference between filter inlet and outlet increases by 5 - 10 psi (34 to 68 kPa) from the beginning of the cycle, the filter should be reconditioned. Operating beyond this pressure drop increases the chance of fouling - called "*mud-balling*" - within the filter.

The reconditioning cycle consists of an up-flow backwash followed by a down-flow rinse. Backwash is an up-flow operation, at about 14 gpm per square foot (34m/hr) of filter bed area that lasts about 10 minutes. Turbidity washes out of the filter bed as the filter media particles scour one another. The down-flow rinse settles the bed before the filter returns to service. This fast rinse lasts about 5 to 10 minutes. Often this process is called “filter-to-waste” cycle.



Example of a small water treatment package plant with coagulation, flocculation and filtration all within a 20-foot area.

### **Package Plants**

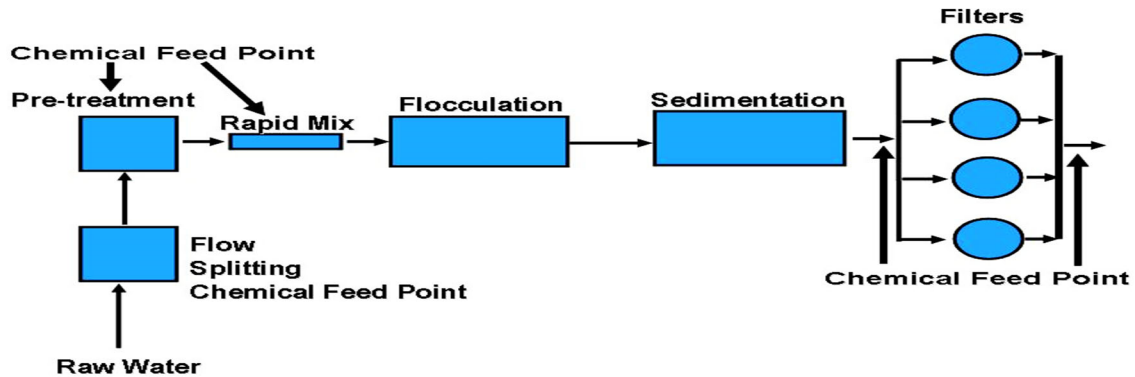
Representing a slight modification of conventional filtration technology, package plants are usually built in a factory, mounted on skids, and transported virtually assembled to the operation site.

These are appropriate for small community systems where full water treatment is desired, but without the construction costs and space requirements associated with separately constructed sedimentation basins, filter beds, clear wells, etc.

In addition to the conventional filtration processes, package plants include as two other types: tube-type clarifiers and adsorption clarifiers.

## Filtration Process- Detailed

Removal of suspended solids by filtration plays an important role in the natural treatment of groundwater as it percolates through the soil. It is also a major part of most water treatment. Groundwater that has been softened or treated through iron and manganese removal will require filtration to remove floc created by coagulation or oxidation processes. Since surface water sources are subject to run-off and do not undergo natural filtration, it must be filtered to remove particles and impurities.



The filter used in the filtration process can be compared to a sieve or microstrainer that traps suspended material between the grains of filter media. However, since most suspended particles can easily pass through the spaces between the grains of the filter media, straining is the least important process in filtration.

*The photograph on the right illustrates debris removed during the backwash process. The particles were trapped on top of the filter and trapped within the filter media.*



Filtration primarily depends on a combination of complex physical and chemical mechanisms, the most important being adsorption.

### Adsorption

Adsorption is the process of particles sticking onto the surface of the individual filter grains or onto the previously deposited materials. The forces that attract and hold the particles to the grains are the same as those that work in coagulation and flocculation.

In fact, some coagulation and flocculation may occur in the filter bed, especially if coagulation and flocculation of the water before filtration was not properly controlled. Incomplete coagulation can cause serious problems in filter operation.



## Conventional and Alternative Filtration Methods

The conventional type of water treatment filtration method includes coagulation, flocculation, sedimentation, and filtration. **Direct filtration method** is similar to conventional except that the sedimentation step is omitted. **Slow sand filtration process** does not require pretreatment, has a flow of 0.1 gallons per minute per square foot of filter surface area, and is simple to operate and maintain.

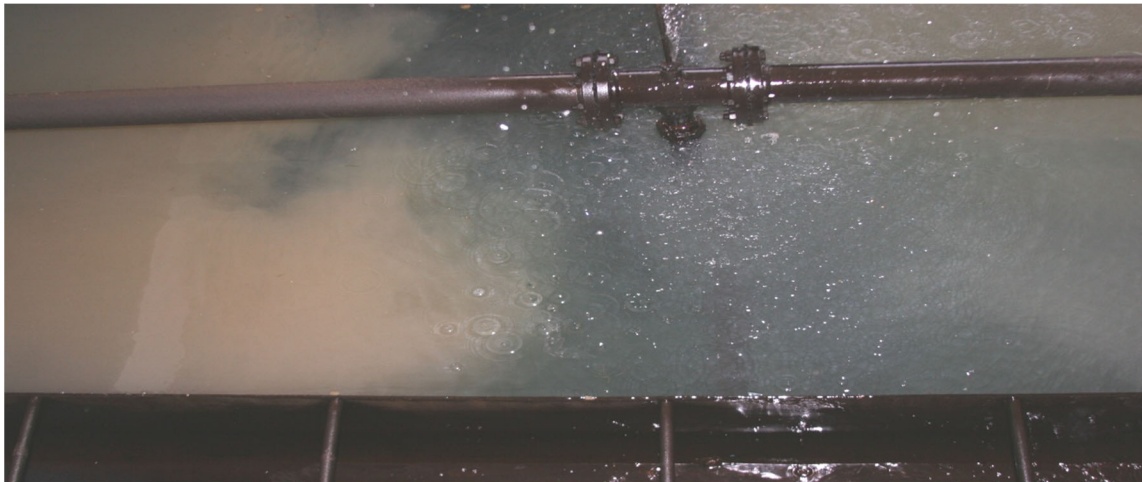
**Diatomaceous earth method** uses a thin layer of fine siliceous material on a porous plate. This type of filtration medium is only used for water with low turbidity. Sedimentation, adsorption, and **biological action treatment methods** are a filtration process that involves a number of interrelated removal mechanisms. **Demineralization** is primarily used to remove total dissolved solids from industrial wastewater, municipal water, and seawater.

## Surface Wash Sub-Section



The photograph above shows a drained filter with the surface wash agitator and nozzles exposed. During operation these will spin, spraying water during the water backwash.

During the operation of a filter, the upper six-to-ten inches of the filter media remove most of the suspended material from the water. It is important that this layer be thoroughly cleaned during the backwash cycle. Normal backwashing does not, in most cases, clean this layer completely; therefore, some method of agitation is needed to break up the top layers of the filter and to help the backwash water remove any material caught there.

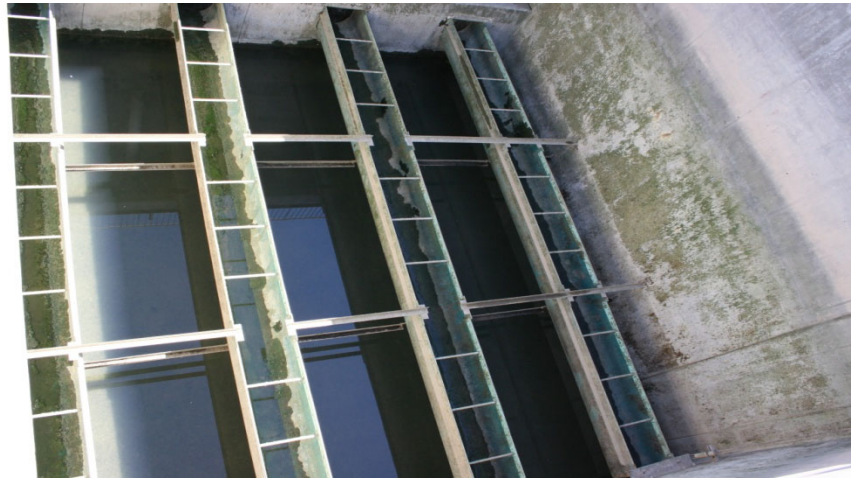


The surface wash system consists of a series of pipes installed in the filter that introduce high velocity water or air jet action into the upper layer of the filter. This jet action will generally be supplied by rotating arms that are activated during the backwashing of the filter.

A newer design of surface wash uses compressed air to mix the upper layer and loosen the particles from the sand so that the backwash water can remove the particles more easily. This air wash generally is turned on before the backwash cycle. If both are used at the same time, some sand may be washed away. The compressed air rate can be two-to-five cubic feet per minute per square foot (cfm/ft<sup>2</sup>) of filter surface, depending on the design of the filter.

### High Rate Filters

High rate filters, which operate at a rate three-to-four times that of rapid sand filters, use a combination of different filter media, not just sand. The combinations vary with the application, but generally they are sand and anthracite coal. Multi-media or mixed-media filters use three or four different materials, generally sand, anthracite coal, and garnet.

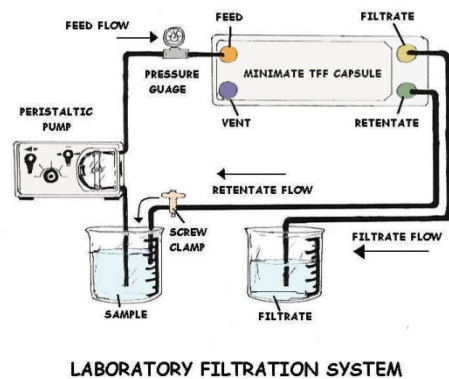


In this photograph, you can see the water lines on the wall of the filter. The deeper the water the more head pressure exerted on the filter media.

In rapid sand filters, finer sand grains are at the top of the sand layer with larger grains farther down into the filter. As a result, the filter removes more suspended material in the first few inches of the filter. In the high rate filter, the media size decreases. The top layers consist of a coarse material with the finer material farther down, allowing the suspended material to penetrate deeper into the filter.

The material in a filter bed forms layers in the filter, depending on their weight and specific gravities. In the coarse layer at the top, the larger suspended particles are removed first, followed by the finer materials. This allows for longer filter runs at higher rates than is possible with rapid sand filters.

The type of filter media used in a high rate filter depends on many factors, including the raw-water quality, raw-water variations, and the chemical treatment used. Pilot studies help the operator and the design engineer evaluate which material, or combination of materials, will give the best result.





## Pressure Filters

Pressure filters fall into two categories: pressure sand and diatomite filters.

### Pressure Sand Filters

This type of filter is used extensively in iron and manganese removal plants.

A pressure sand filter is contained under pressure in a steel tank, which may be vertical or horizontal, depending on the space available. As with gravity filters, the media is usually sand or a combination of media. Filtration rates are similar to gravity filters.

These filters are commonly used for iron and manganese removal from groundwater, which is first aerated to oxidize the iron or manganese present, then pumped through the filter to remove the suspended material.



Filter Media

Because the water is under pressure, air binding will not occur in the filter. However, pressure filters have a major disadvantage in that the backwash cannot be observed; in addition, cracking of the filter bed can occur quite easily, allowing the iron and manganese particles to go straight through the filter. When using pressure filters for iron and manganese removal, the operator must regularly measure the iron and manganese concentration of the filter effluent and backwash the filter before breakthrough occurs. Because of these limitations, pressure filters must not be used to treat surface water.

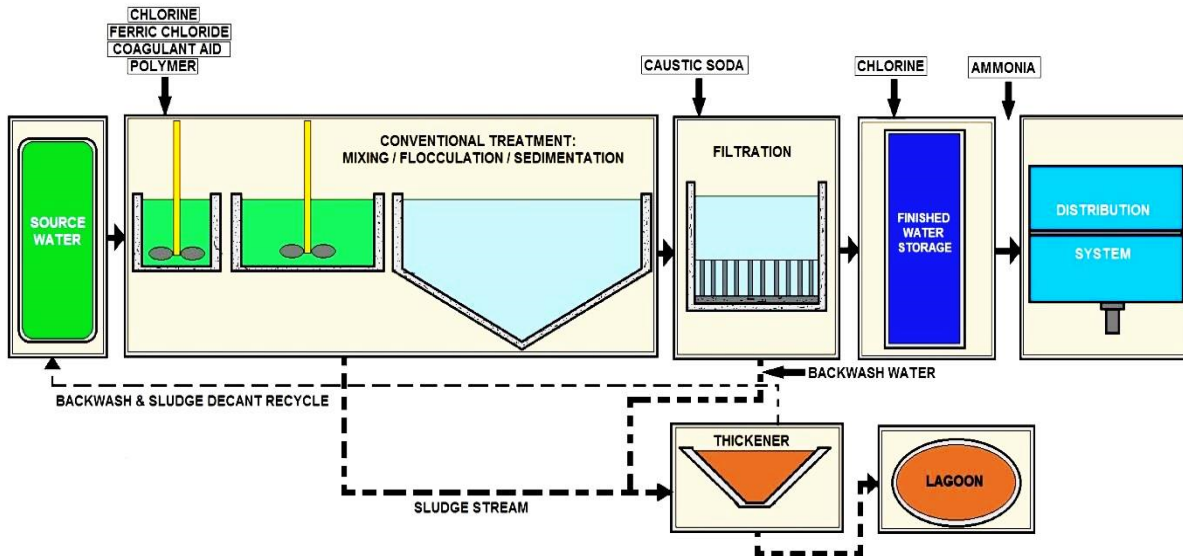
### Diatomaceous Earth Filter

This type of filter is commonly used for the treatment of swimming pools. The process was developed by the military during World War II to remove microorganisms that cause amoebic dysentery from water used in the field.



## More About Water Filtration Processes

Two basic types of filtration processes are currently used in the United States. Conventional filtration, the traditional design for many years, provides effective treatment for just about any range of raw-water turbidity. Its success is due partially to the sedimentation that precedes filtration and follows the coagulation and flocculation steps. Sedimentation, if operated properly, should remove most of the suspended material.



## SURFACE WATER CONVENTIONAL TREATMENT

After sedimentation, the water passing through to the filters should not have turbidity higher than 10-to-15 NTU. Rapid sand filters were once used in the conventional process, but many have been converted to multi-media filters in an attempt to increase plant capacity.

In the other type of filtration process--direct filtration--no sedimentation follows the coagulation phase. Direct filtration is designed to filter water with an average turbidity of less than 25 NTU.

Dual and multi-media filters are used with direct filtration. They are able to remove more suspended material per cubic foot of filter media than sand filters.

Direct filtration plants have a lower capital cost. However, the process cannot handle large variations in raw water turbidity.



## Filtration Operation

Filtration operation is divided into three steps: filtering, backwashing, and filtering to waste.

Filter Control of the filter operation requires the following equipment:

- ◆ Rate of flow controller
- ◆ Loss of head indicator
- ◆ On-line turbidimeter

### Rate of Flow Controllers

Flow rates through filters are controlled by one of two different methods:

#### Declining Rate

This method of control is used where the head loss through the plant is quite large. It allows the filter head to increase until the filter becomes plugged with particles and the head loss is too great to continue operation of the filter. The rate through the filter is much greater in the beginning of a filter run than at the end when the filter is dirty. This method tends to be the most commonly installed in new filter plants.

The photograph on right shows operators walking through the filter gallery of a plant that uses declining rate filters. This is also showing pipelines to and from the filter boxes.



#### Constant Rate

This type of control monitors the level of water on the top of the filter and attempts to control this level from the start of the operation to the end. This is accomplished by the controller operating a valve on the effluent of the filter. The valve will be nearly closed at the start of the filter run and fully open at the end. This design is used when the head or pressure on the filter is limited.



The photograph above shows the overflow wall opening in case the filter level gets too high.

Both controllers consist of a venturi tube or some other type of metering device, as well as a valve to control the flow from the filter. In most cases, the valve is controlled by an automatic control device, often an air-actuated type valve that is controlled by the flow tube controller.

### **Loss of Head Indicator**

As filtration proceeds, an increasing amount of pressure, called head loss across the filter, is required to force the water through the filter. The head loss should be continuously measured to help determine when the filter should be backwashed.

Usually the difference in the head is measured by a piezometer connected to the filter above the media and the effluent line.

### **In-line Turbidimeter**

Turbidity in water is caused by small suspended particles that scatter or reflect light so that the water appears to be cloudy.

Turbidity of the filtered water may shelter bacteria, preventing chlorine from reaching it during the final disinfection process. The turbidity of the filtered water is one of the factors that determine the length of a filter run. At some point, the suspended material will start to break through the filter media and increase the turbidity of the filter effluent. At this time, the filter should be backwashed.

Continuous turbidity monitors provide information about when the filter is approaching this point so that the operators can start the backwash before the turbidity is too great. Turbidity measurements will also indicate whether the coagulation and other treatment processes are operating properly.



Turbidity Meter Digital Reading

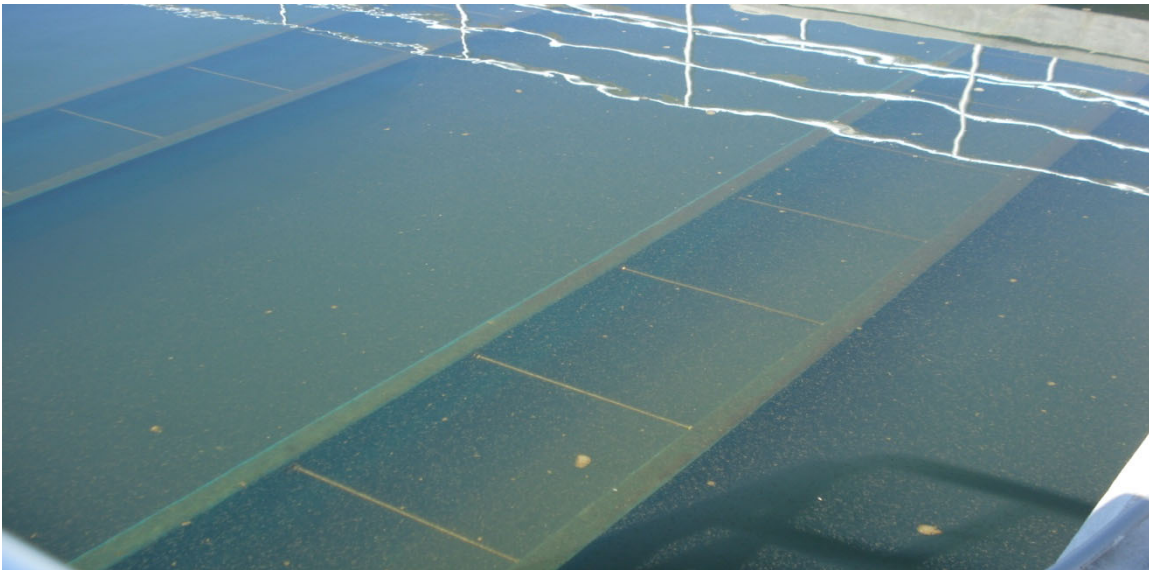
## Filtration Process - Rate of Flow

Water from the source or, more commonly, from pre-treatment processes, is applied to the top of the filter; it then flows downward. The water level above the filter bed is usually kept at two-to-six feet.

When the filtration is started after being backwashed, there will be little head loss. In filters with a control valve installed on the filter effluent pipe, the filter flow is restricted during this time. The control valve also has the important function of preventing filter surges, which could disturb the media and force floc through the filter.

The rate of flow on a filter depends on the type of filter. A rapid sand filter will have a flow of two-to-three gpm/square foot of filter area. The high rate filter may have four-to-six gpm/square foot applied to the surface.

A constant rate flow valve is almost fully closed when a filter is clean so that the desired water level on top of the filter is maintained. As the filter becomes dirty with suspended material, the valve opens gradually until the increase in the water level above the filter indicates that the filter needs backwashing.



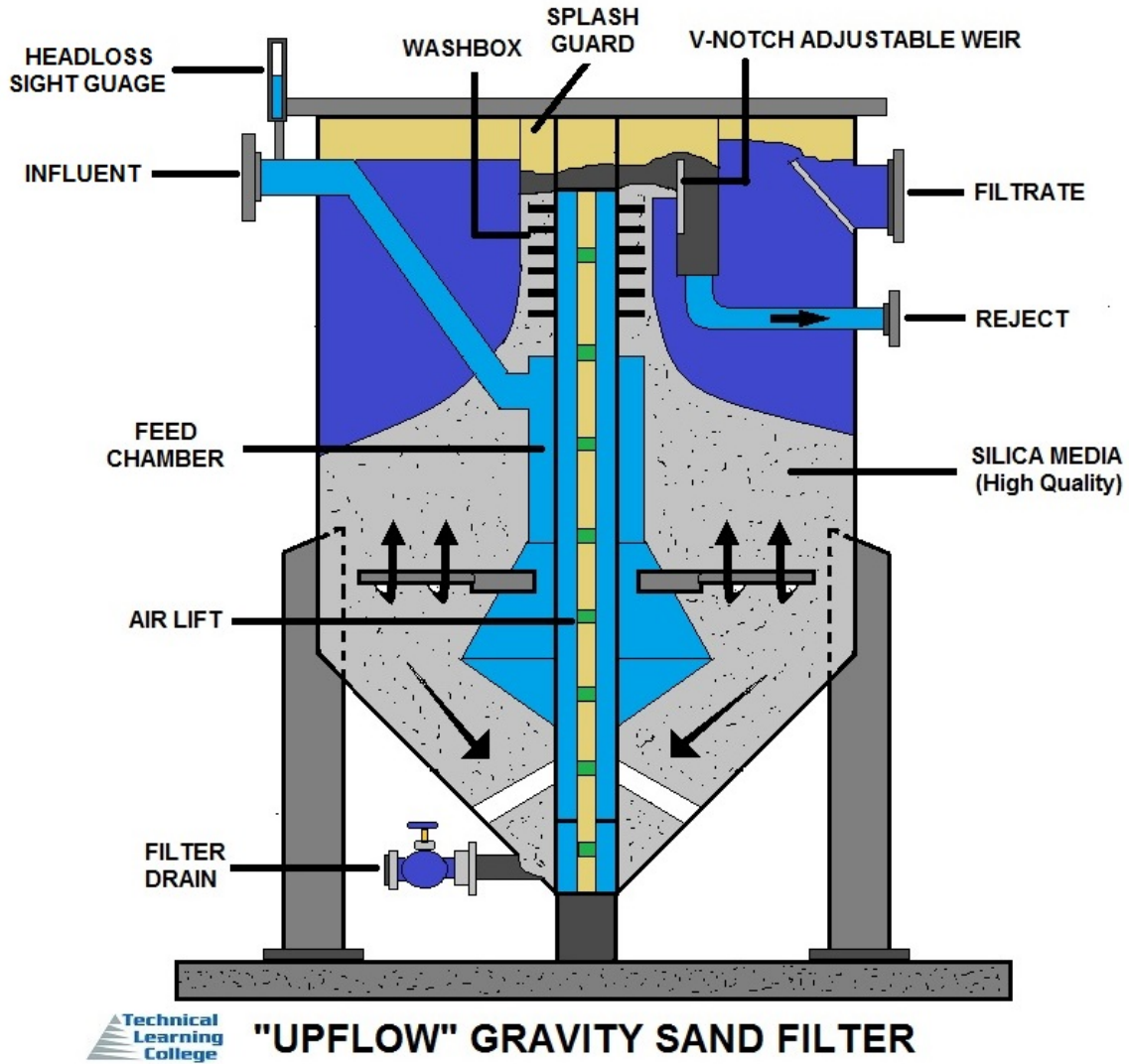
The above photograph is a filter from a direct filtration plant; notice the size of the floc – a good size for filtration.

In filters with variable declining rate flow control, the filters are allowed to take on as much water as they can handle. As the filters become dirty, both the headloss and the depth of the water on the surface increase until the filters need backwashing. This method is generally preferred because it requires less operator attention.

With this method, a filter accepts as much flow as it can handle. As the filter becomes dirty, the flow through the filter becomes less and, if the plant has more than one filter, additional flow redistributes across the other filters.

A flow restrictor or actuated valve is placed in the filter effluent pipe to prevent a filter flow rate that is too great for the filter.

Regardless of the method of control, the filter eventually fills with suspended material. At some time, usually after 15 to 30 hours, it will need to be backwashed to clean the media.



The "Upflow" gravity sand filter, also known for continuous backwashing of the media, is used for many applications such as water treatment and tertiary treatment. This process aids in the removal of inorganic and organic contaminants.

## Filter Backwashing

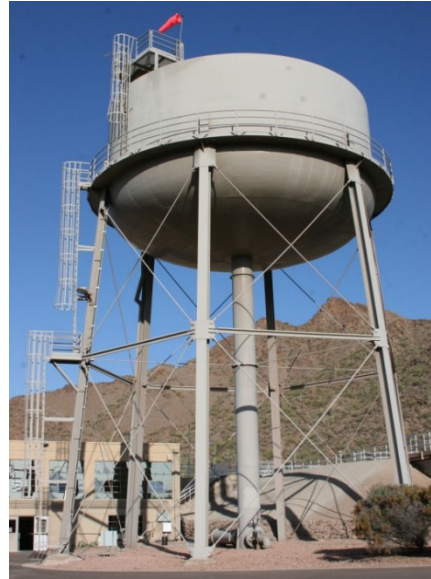
Proper backwashing is a very important step in the operation of a filter. If the filter is not backwashed completely, it will eventually develop additional operational problems. If a filter is to operate efficiently, it must be cleaned before the next filter run. Treated water from storage is used for the backwash cycle. This treated water is generally taken from elevated storage tanks or pumped in from the clear well.

During filtration, the filter media becomes coated with the floc, which plugs the voids between the filter grains, making the filter difficult to clean. The media must be expanded to clean the filter during the backwash. This expansion causes the filter grains to violently rub against each other, dislodging the floc from the media.

The filter backwash rate has to be great enough to expand and agitate the filter media and suspend the flocs in the water for removal. However, if the filter backwash rate is too high, media will be washed from the filter into the troughs and out of the filter. A normal backwash rate is between 12 to 15 gpm per square foot of filter surface area.

In most cases, the filter backwash rate will not break up the mass on the top of the filter. The design engineer will recommend the installation of a surface wash of some type, the most common being a set of rotary arms that are suspended above the media during filtration. During filter backwash, the media expands upwards and around the washing arms.

A newer method of surface wash involves using air scour before the water wash. This is a very efficient method, but requires the installation of a large air blower to produce the air. The normal design for the air wash will be two-to-five cubic feet of air per square foot of filter area.



Both photos are of essential components of the backwash equipment for the water plant: an elevated storage tank and/or backwash pumps.

The filter should be backwashed when the following conditions have been met:

- ◆ The head loss is so high that the filter no longer produces water at the desired rate; and/or
- ◆ Floc starts to break through the filter and the turbidity in the filter effluent increases; and/or
- ◆ A filter run reaches a given number of hours of operation.
- ◆ If a filter is taken out of service for some reason, it must always be backwashed before being taken out of service and prior to going back on line.

The decision to backwash the filter can be based on any one of the above conditions.

If a filter is not backwashed until the headloss exceeds a certain number of feet, the turbidity may break through and cause the filter to exceed the standard of 0.5 NTU of turbidity.

Similarly, depending on filter effluent- turbidity alone can cause high head loss and decreased filter flow rate, which can cause the pressure in the filter to drop below atmospheric pressure and cause the filter to air bind and stop filtering.

If the water applied to a filter is very good quality, the filter runs can be very long.

Some filters can operate longer than one week before needing to be backwashed. However, this is not recommended as long filter runs can cause the filter media to pack down so that it is difficult to expand the bed during the backwash.

### **Backwashing Process**

The normal method for backwashing a filter involves draining the water level above the filter to a point six inches above the filter media. The surface wash is then turned on and allowed to operate for several minutes to break up the crust on the filter.

After that, the backwash valve is opened, allowing backwash water to start flowing into the filter and start carrying suspended material away from the filter. For a filter with usually an air wash instead of a water-surface wash, the filter backwash water and the air wash should not be used together. This would be possible only if some means of controlling the media carryover is installed.



A filter control panel.



The time elapsed from when the filter wash is started until full flow is applied to the filter should be greater than one minute. After a few minutes, the filter backwash valve should be fully opened to allow full expansion of the filter media.

Generally, this expansion will be from 20 to 40 percent over the normal filter bed volume. The expansion needed will depend on how much agitation is needed to suspend the filter media to remove suspended material trapped in the filter. With a multi-media filter, the rate must be high enough to scrub the interface between the coal and the sand, where the highest amount of suspended solids will be removed from the media.

The filter will be washed for 10 to 15 minutes, depending on the amount of solids that must be removed. The best way to determine how long the filter should be washed is to measure the turbidity of the backwash water leaving the filter. In most cases, a filter is washed too long. This could be costly. Too much backwash water is used, and it must be treated after use. Backwash valves must be opened slowly. Opening the valves too rapidly can cause serious damage to the filter underdrain, filter gravel, and filter media.



### **Disposal of Filter Backwash Water**

Water from the filter backwash cannot be returned directly to the environment. Normally the water is discharged into a backwash tank and allowed to settle. The supernatant, or cleared liquid, is then pumped back to the head of the treatment plant at a rate not exceeding ten percent of the raw water flow entering the plant.

### **Settled Material**

The settled material is pumped to a sewer or is treated in the solids-handling process of the plant. This conserves most of the backwash water and eliminates the need to obtain a pollution discharge permit for the disposal of the filter backwash water.

Since backwash is a very high flow operation, the surges that are created from the backwash coming from the filter must not be allowed to enter the head of the plant. Therefore, the spent backwash water must be stored in storage tanks and returned slowly to the treatment process.

### Filter to Waste

When filtration is started after backwash, filtered water should be wasted until the turbidity in the effluent meets standards. Depending on the type of filter, this may last from two to 20 minutes. This wasting is needed also some suspended material remains with floc the filter media following the backwash. The media needs to become somewhat sticky again to start to capture the suspended material.

Also, the filtration rate is higher in a clean filter, causing more material to be swept from the filter during the start-up. Filtration should always be started slowly after a backwash to prevent breakthrough of suspended material.



### Filter Aids

Sometimes, when water passes through a filter, the floc is torn apart into smaller particles that will penetrate deeply into the filter media, causing premature turbidity breakthrough.

This will require more frequent filter backwashing of the filter and use of large volumes of backwash water to be able to remove the floc that has penetrated deeply into the filter bed.

A filter aid is a material that adds strength to the floc and prevents its breakup. Generally, a polymer is used as a filter aid because it creates strong bonds with the floc. Polymers are water-soluble, organic compounds that can be purchased in either wet or dry form.





The photo on the left is showing dry Polymer and on the right is the liquid.

Polymers have very high molecular weight and cause the floc to coagulate and flocculate quickly. Polymers can have positive or negative charges, depending on the type needed to cause attraction to the specific floc filtered.

When used as a filter aid, the polymer strengthens the bonds and prevents the shearing forces in the filter from breaking the floc apart. For best results, the polymer should be added just ahead of the filter. A normal dose of polymer for filter aiding will be less than 0.1 ppm, but the exact dose will be decided by the result of a jar test and by experimentation in the treatment plant. Too much polymer will cause the bonds to become too strong, which may then cause the filter to plug, especially the top few inches of the filter media.

### **Filter Operating Problems**

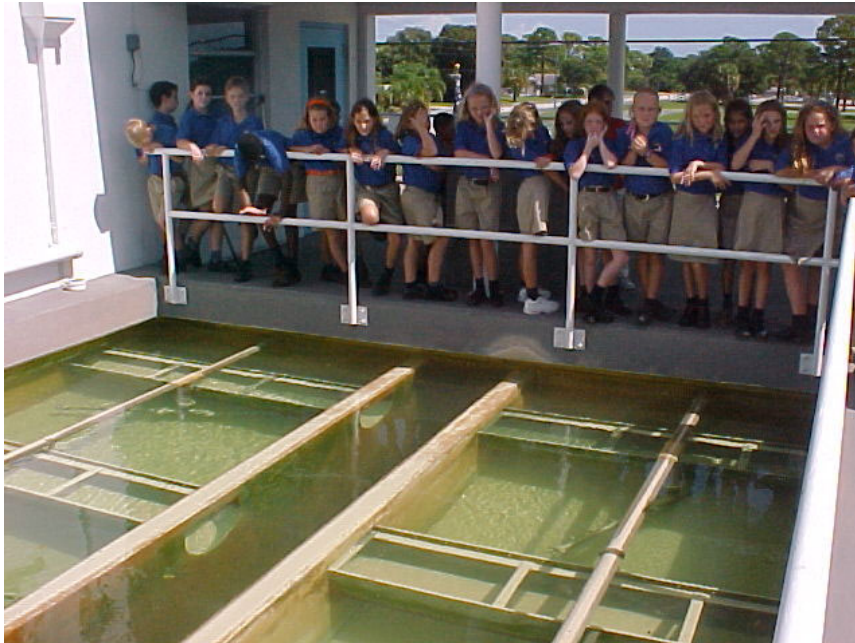
There are three major types of filter problems. They can be caused by chemical treatment before the filter, control of filter flow rate, and backwashing of filters.



The above photo shows clumps formed by Powder Activated Carbon.

## Direct Filtration Plant vs. Conventional Plant

The only difference is that the sedimentation process or step is omitted from the Direct Filtration plant.



Tours of your facility are a wonderful public image tool. Many facilities are worried about their public image and what could possibly negatively happen, but if you can think positive, you may find more support and funding for your future projects.

## Chemical Treatment before the Filter

The coagulation and flocculation stages of the water treatment must be monitored continuously. Adjustments in the amount of coagulant added must be made frequently to prevent the filter from becoming overloaded with suspended material. This overload may cause the filter to prematurely reach its maximum headloss.

If there is early turbidity breakthrough in the filter effluent, more coagulant may have to be added to the coagulation process. There may be a need for better mixing during the coagulation or the addition of more filter aid.

If there is a rapid increase in filter head loss, too much coagulant may be clogging the filter. Less coagulant or less filter aid should be used. The operator needs to learn to recognize these problems and choose the proper corrections.



Filter aid being fed at the weirs of sedimentation.



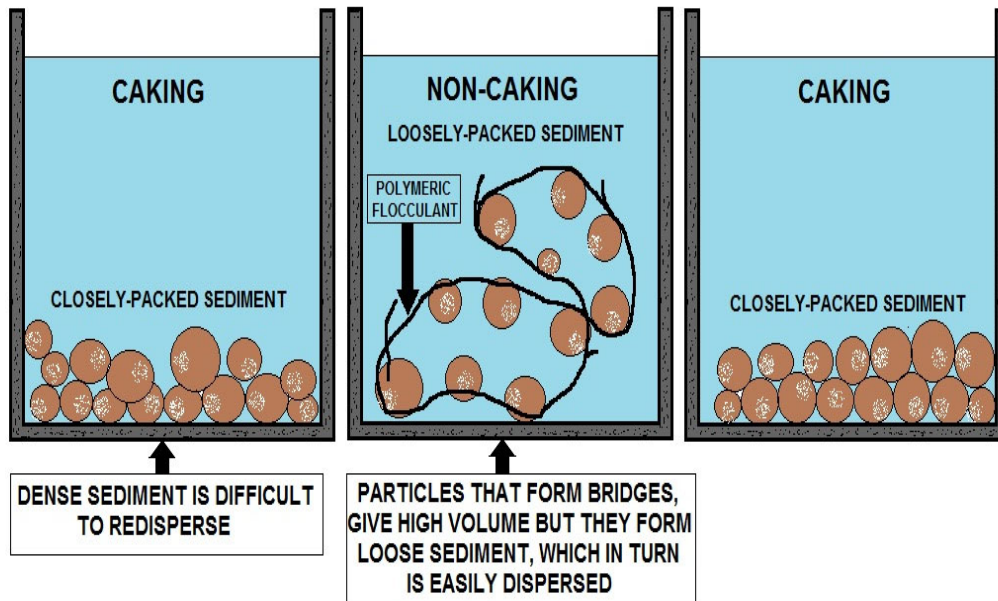
In the photograph above, overfeeding flocculants to meet federal regulations caused Iron to precipitate on the filter walls, resulting in this dark stain.

### Control of Filter Flow Rate

When a filter is subjected to rapid changes in flow rate, the turbidity of the effluent may be affected; the dirtier the filter media, the greater the effect.

When a plant flow changes, the filter flow also has to change to produce the water needed. If an increase is necessary, the flow should, if possible, be increased gradually over a ten-minute period to reduce the impact on the filter. Addition of filter aids may also reduce the impact on the filter effluent.

When backwashing a filter and therefore temporarily taking it out of service, the remaining filter(s) must pick up the additional flow. This can cause an abrupt change in flow that will cause turbidity breakthrough. This problem can be avoided by keeping one filter in reserve to accept this additional flow. If the plant has a backwash storage basin, this will also prevent surges to the filters.

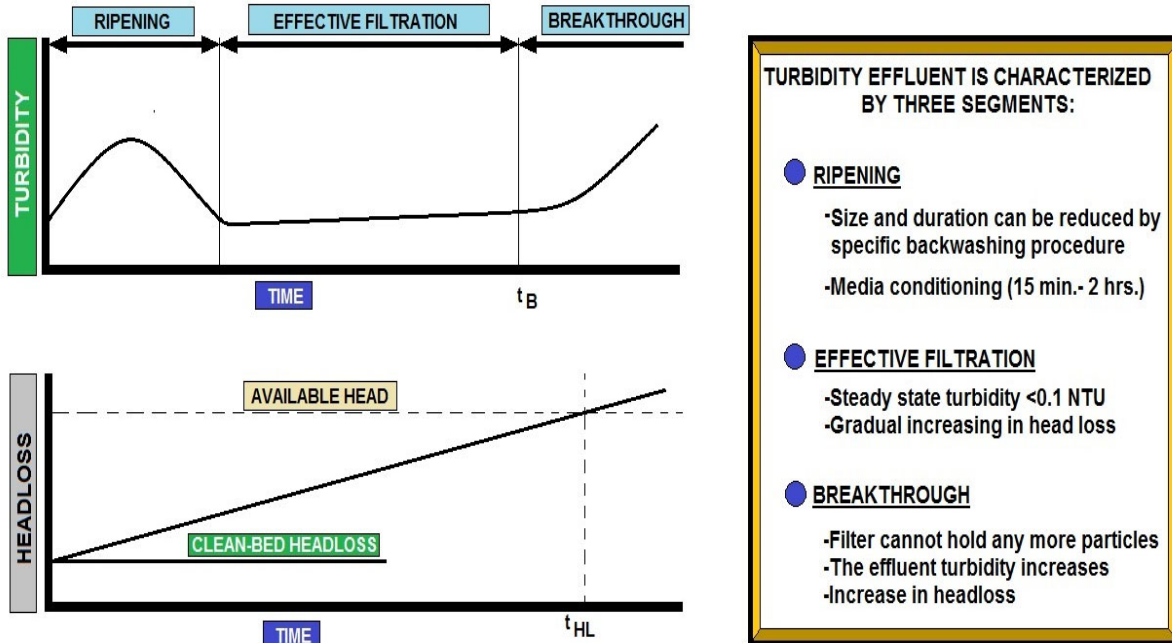


### TYPE AND VOLUME OF SEDIMENT

Many plants are not operated continuously, and the start-up at the beginning of the day will cause a surge to the filter(s). The filters should be backwashed before putting them back into operation or operated to waste until the effluent meets the standards.

## Backwashing of Filters

Backwashing of the filters is the single most important operation in the maintenance of the filters. If the filter is not backwashed effectively, problems may occur that may be impossible to correct without totally replacing the filter media. These problems could be caused by improper backwashing procedures:



**FLOC BREAKTHROUGH CHART**

- ◆ Mud balls are formed by the filter media cementing together with the floc that the filter is supposed to remove. If the filter is backwashed effectively, the mud balls are broken apart and removed. As the balls gain weight, they will settle to the bottom of the filter and occupy valuable filter volume. This will cause the flow to increase in the areas of the filter that have not been plugged. Additional problems, such as filter cracking and separation of the media from the filter walls may also be the result of mud-ball formation.
- ◆ Filter bed shrinkage or compaction can result from ineffective backwashing. Media grains in a clean filter should rest directly against each other with very little compaction.
- ◆ Filter media in a dirty filter are surrounded by a soft layer that causes it to compact. This causes filter bed cracking and separation of the filter media from the walls of the filter. When the filter is cracked, it is obvious that the filter will short circuit. The flow will seek the crack and go straight through, resulting in excessive turbidity in the effluent.



A backwash basin that has wasted or lost media caked on the bottom.

- ◆ Separation of the gravel is caused by the backwash valve opening too quickly; as a result, the supporting gravel is forced to the top of the filter. This could also be caused by the filter underdrain being plugged or damaged, causing uneven distribution of the backwash water. When this happens, a boil occurs from the increased velocity in the filter. The filter media will start washing into the filter underdrain system and be removed from the filter. If displacement has occurred, the filter media must be removed from the filter and the filter rebuilt by the placement of each grade of media in its proper place.
- ◆ Air binding of the filter is not common as long as the filter is washed regularly. Air binding is the result of pressure in the filter becoming negative during operation. This causes the air dissolved in the water to come out of the solution and become trapped in the filter, resulting in resistance and short filter runs. This negative head generally occurs in a filter that has less than five feet of head above the unexpanded filter bed. If a filter head of five feet is not possible, filter backwash should be started at a lower head loss than normal.



The photograph above shows a filter support bed under construction.



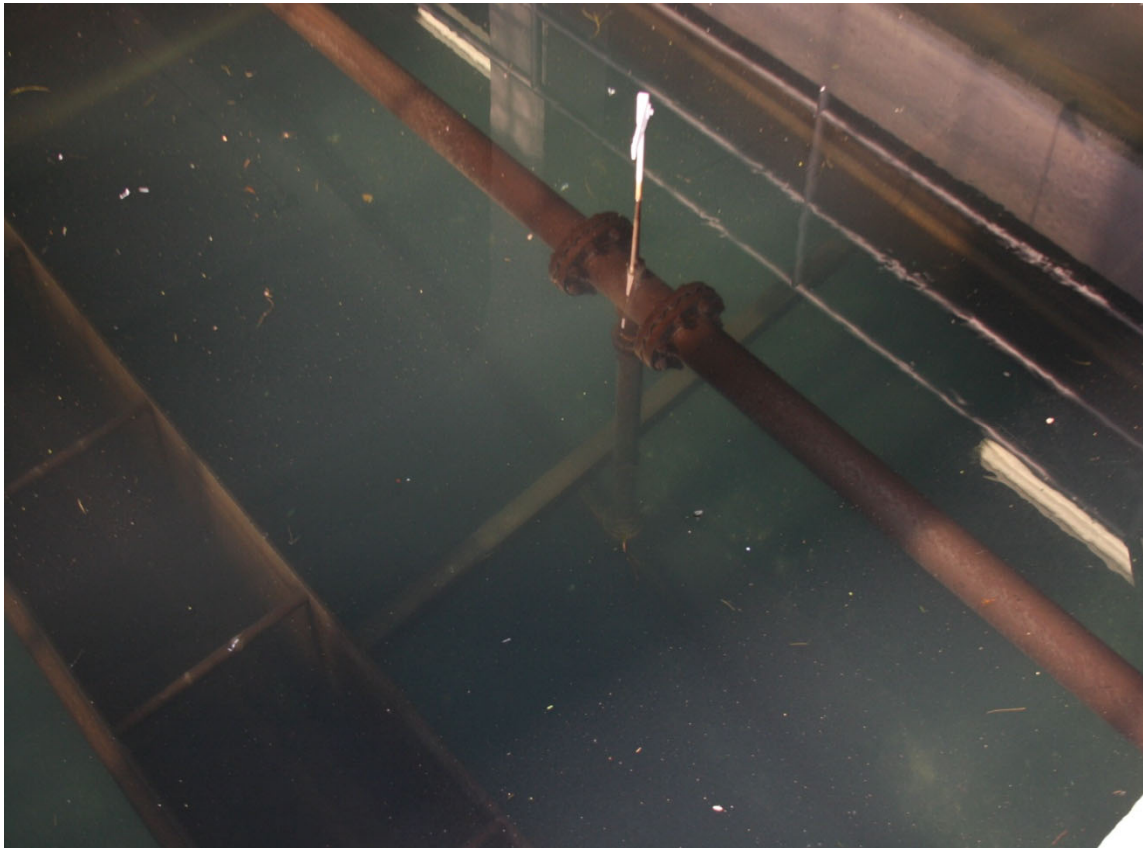
### **Air Binding**

Air binding can also be caused by the water being cold and super-saturated with air. This air bubbles out as the water warms up. It is not possible for the operator to control this situation. If it happens, the filter must be backwashed more frequently to correct the filter air binding.

- ◆ Media loss is normal in any filter. Some are lost each time the filter is backwashed, especially if the filter surface wash is used. If a large amount of media is being lost, the method of washing should be inspected and corrected. The bed should not have to be expanded more than 20 percent during the backwash cycle. It may help to turn off the surface wash approximately two minutes before the end of the backwash. If this does not correct the problem, the filter troughs may have to be raised to prevent the excessive media loss.

### **Filter On-Line**

After a well-operated filter backwash, the filter should be level and smooth with no cracks or mud balls at the surface. A good bed will appear to move laterally during the backwash and there will be no boils at the surface. The filter should clear up evenly during cleaning. If some areas are not clean, there could be an under-drain problem.



Mudballs can be seen on the top layer of the media bed or during the backwash water cycle. Typically, these will not flow over into the filter troughs. Mudballs must be removed manually, generally requiring extensive filter media reworking.

## Granular Activated Carbon / Powdered Activated Carbon

Along with aeration, granular activated carbon (GAC) and powdered activated carbon (PAC) are suitable treatments for removal of organic contaminants such as VOCs, solvents, PCBs, herbicides and pesticides. Activated carbon is carbon that has been exposed to a very high temperature, creating a vast network of pores with a very large internal surface area; one gram of activated carbon has a surface area equivalent to that of a football field. It removes contaminants through adsorption, a process in which dissolved contaminants adhere to the surface of the carbon particles.

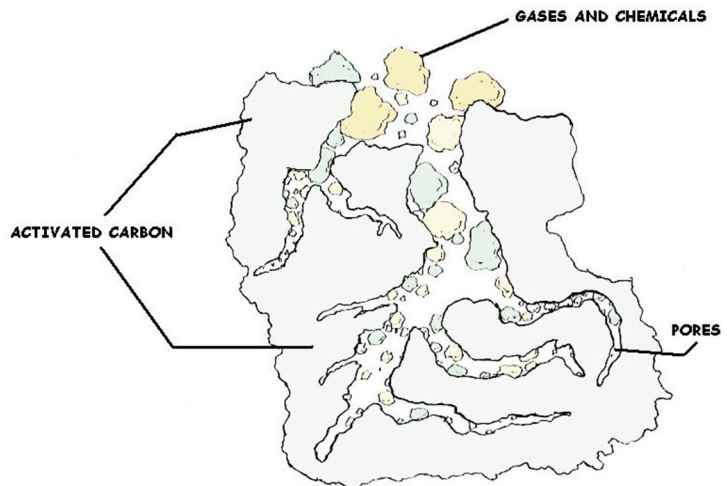
GAC can be used as a replacement for existing media (such as sand) in a conventional filter or it can be used in a separate contactor such as a vertical steel pressure vessel used to hold the activated carbon bed. After a period of a few months or years, depending on the concentration of the contaminants, the surface of the pores in the GAC can no longer adsorb contaminants and the carbon must be replaced. Several operational and maintenance factors affect the performance of granular activated carbon. Contaminants in the water can occupy adsorption sites, whether or not they are targeted for removal. Also, adsorbed contaminants can be replaced by other contaminants with which GAC has a greater affinity, so their presence might interfere with removal of contaminants of concern.

A significant drop in the contaminant level in influent water can cause a GAC filter to desorb, or slough off adsorbed contaminants, because GAC is essentially an equilibrium process. As a result, raw water with frequently changing contaminant levels can result in treated water of unpredictable quality. Bacterial growth on the carbon is another potential problem. Excessive bacterial growth may cause clogging and higher bacterial counts in the treated water. The disinfection process must be carefully monitored in order to avoid this problem.

Powdered activated carbon consists of finely ground particles and exhibits the same adsorptive properties as the granular form. PAC is normally applied to the water in a slurry and then filtered out. The addition of PAC can improve the organic removal effectiveness of conventional treatment processes and also remove tastes and odors.

The advantages of PAC are that it can be used on a short-term or emergency basis with conventional treatment, it creates no headloss, it does not encourage microbial growth, and it has relatively small capital costs.

The main disadvantage is that some contaminants require large doses of PAC for removal. It is also somewhat ineffective in removing natural organic matter due to the competition from other contaminants for surface adsorption and the limited contact time between the water and the carbon.



ACTIVATED CARBON ABSORBS GASES AND CHEMICALS

## Chemical Equipment Photograph Summaries



Lime slaker used for coagulation and water softening. The photograph on right is the hopper and conveyor for the lime.



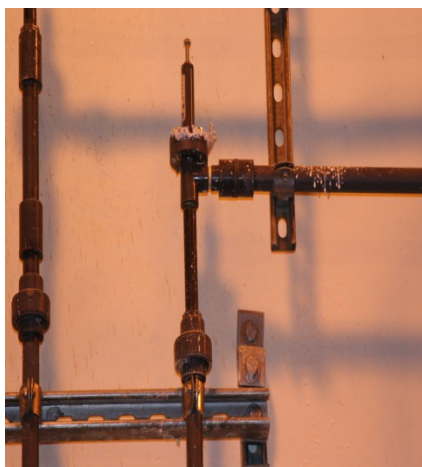
This operator is preparing to take the lime feeder off-line. It is very important to remove all residual chemical so that it doesn't harden in the equipment.



This photograph shows the containers of Lime slurry that was removed from the equipment after being placed out of service. The Lime had already hardened.



High rate feed pumps are used at this particular plant for Alum. The photograph on the right shows 3 positive displacement pumps in parallel operation.



These photographs show an Alum leak. When exposed to air, this chemical becomes crystallized.



Some water treatment plants use Powder Activated Carbon (**PAC**) for taste and odor. As you can see in these photographs it can be very messy. Proper storage procedures must be implemented.



This water operator is checking to see if the Rota-dip is in operation. This is used to feed liquid chemicals in solution such as polymers.



These photographs show chemical lines using schedule 80 PVC. The pipes are exposed to sunlight and this causes the pipes to break especially when the protective paint is worn off.



The above photographs show polymer chemical feed pumps used in sludge thickening. The photograph on the right is a bulk container for the polymer. The Hazard Communication Standard (29 CFR 1910.1200) specifically requires every hazardous chemical container to have a label. Labels are part of the company's Hazard Communication Program, which gives employees the Right to Know about the chemical hazards they face on the job.

**A.**



Most water treatment plants use bulk containment for chemicals storage. The plants are required to have containment walls to hold any spilled chemicals from the tanks.



These photographs above show containers that hold a mixture of rain water and chemicals. Not all chemicals are allowed to be discharged into the sewers so they have to be sent out as a hazardous waste.



This photograph shows a delivery of Sulfuric Acid. The delivery driver is wearing only work gloves. He is clearly in violation of the proper PPE. The Hazard Communication Standard requires employees to understand chemical hazards, labels, and SDSs and to use them on the job. Before starting jobs involving possible exposure to hazardous substances, employees must read SDSs to know what they are working with and procedures for safe handling.



This is a rectangular water treatment sedimentation basin. The holes in the wall are used to increase the contact time so that the particles can floc and settle out properly.



**B.** *The SDS is every worker's guide to safe handling of hazardous substances. An individual chemical's hazards determine exactly what information the SDS contains. However, it always contains the information needed to avoid accidents and illness when handling that substance. Stress to employees the importance of reading the SDS before starting a job.*



**READ THE SAFETY DATA SHEET**



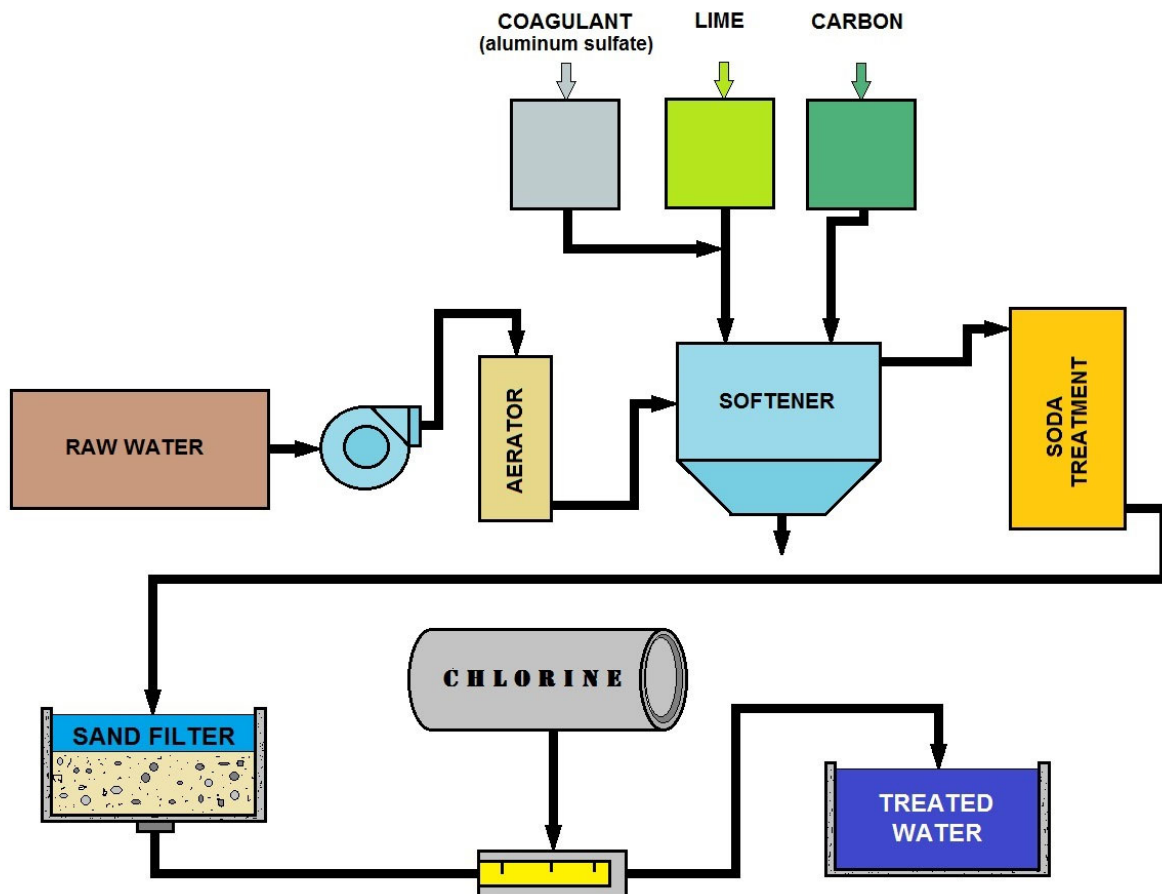
**WEAR PROPER PPE**



**HANDLING CHEMICALS**



## Summary of Conventional Water Treatment Processes

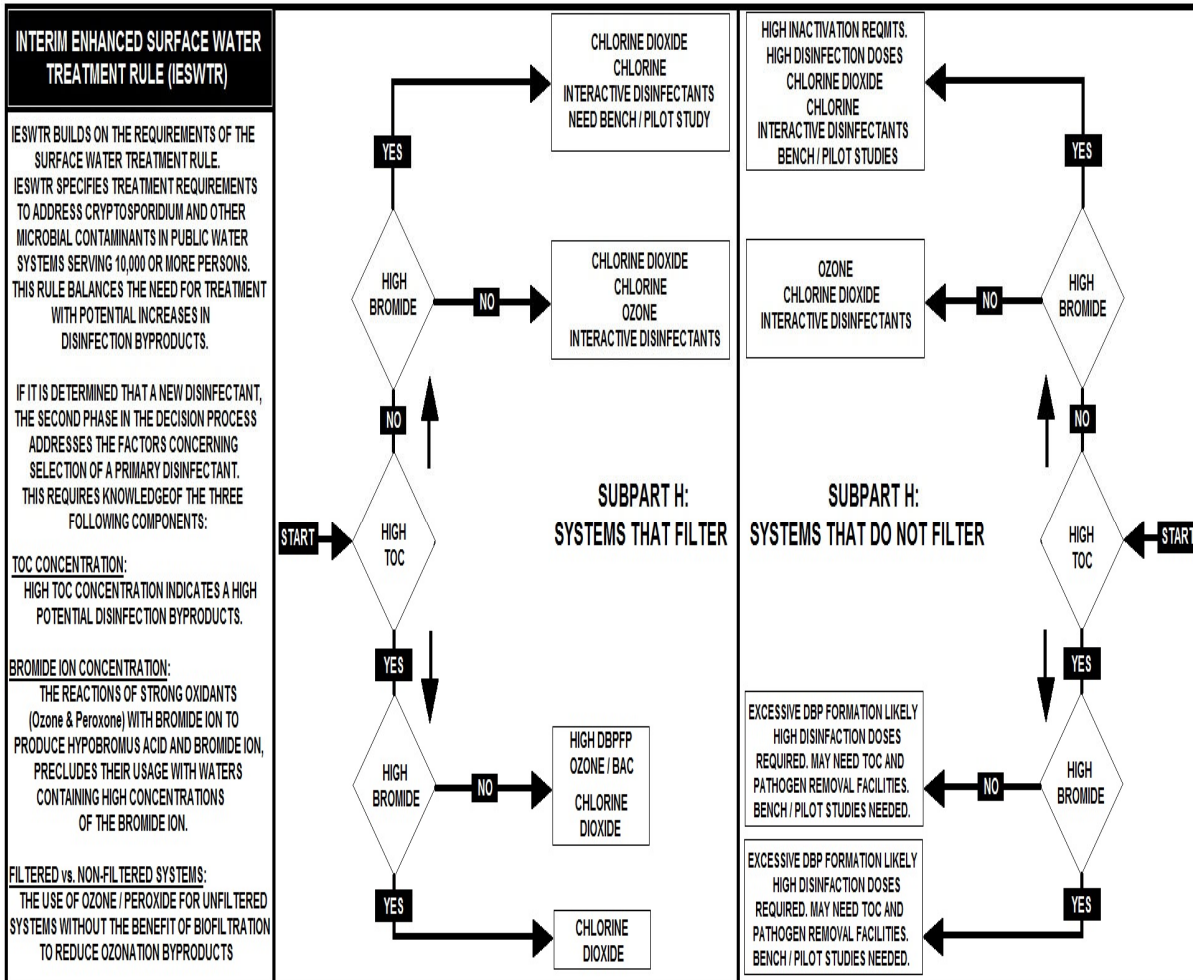


A combination selected from the following processes is used for municipal drinking water treatment worldwide:

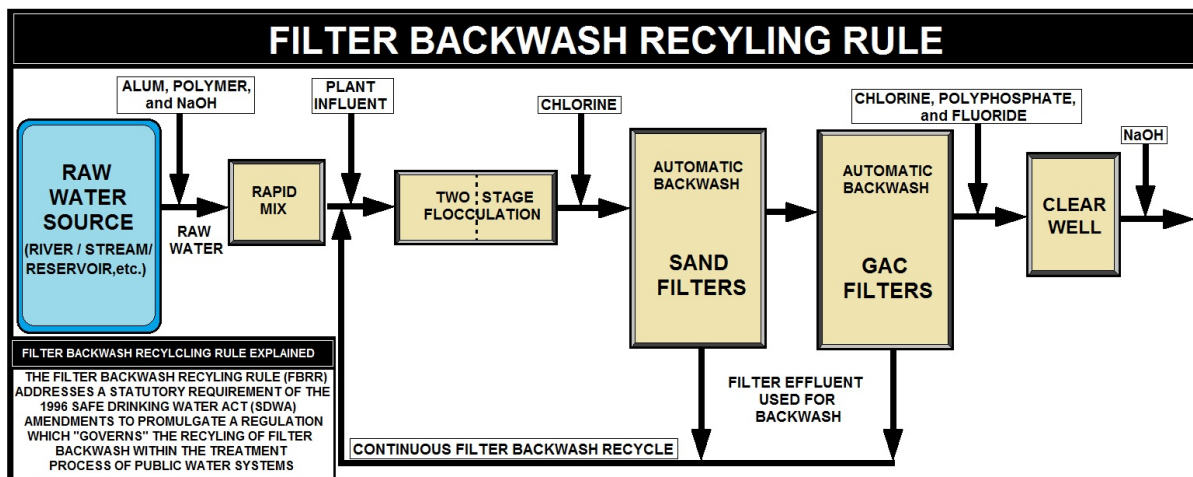
- Pre-chlorination - for algae control and arresting any biological growth
- Aeration - along with pre-chlorination for removal of dissolved iron and manganese
- Coagulation - for flocculation
- Coagulant aids, also known as polyelectrolytes - to improve coagulation and for thicker floc formation
- Sedimentation - for solids separation, that is, removal of suspended solids trapped in the floc
- Filtration - removing particles from water
- Desalination - Process of removing salt from the water
- Disinfection - for killing bacteria.

There is no unique solution (selection of processes) for any type of water. Also, it is difficult to standardize the solution in the form of processes for water from different sources.

Treatability studies for each source of water in different seasons need to be carried out to arrive at most appropriate processes.



INTERIM ENHANCED SURFACE WATER TREATMENT RULE (IESWTR)



FILTER BACKWASH RECYCLING RULE (FBRR)



## Specific Water Treatment Chemicals

Similar chemicals are used for process control, odor control and sludge conditioning in Water and Wastewater Treatment. Students will learn about the types of chemicals used and how they react in the process. Students will also learn about chemical safety and how to perform on-site equipment assessment.

The table below is a list of general chemicals used in Water and Wastewater. They may vary by the manufacture; a perfect example would be Thioguard®, which is Magnesium Hydroxide. In this class we will discuss the chemical name and compound and leave out manufacture trade names.

## Common Water/Wastewater Treatment Chemicals

Chemical Name	Common Name	Chemical Formula
Aluminum hydroxide		Al(OH) <sub>3</sub>
Aluminum sulfate	Alum, liquid	Al <sub>2</sub> (SO <sub>4</sub> ) <sub>3</sub> · 14(H <sub>2</sub> O)
Ammonia		NH <sub>3</sub>
Ammonium		NH <sub>4</sub>
Bentonitic clay	Bentonite	
Calcium bicarbonate		Ca(HCO <sub>3</sub> ) <sub>2</sub>
Calcium carbonate	Limestone	CaCO <sub>3</sub>
Calcium chloride		CaCl <sub>2</sub>
Calcium Hypochlorite	HTH	Ca(OCl) <sub>2</sub> · 4H <sub>2</sub> O
Calcium hydroxide	Slaked Lime	Ca(OH) <sub>2</sub>
Calcium oxide	Unslaked (Quicklime)	CaO
Calcium sulfate	Gypsum	CaSO <sub>4</sub>
Carbon	Activated Carbon	C
Carbon dioxide		CO <sub>2</sub>
Carbonic acid		H <sub>2</sub> CO <sub>3</sub>
Chlorine gas		Cl <sub>2</sub>
Chlorine Dioxide		ClO <sub>2</sub>
Copper sulfate	Blue vitriol	CuSO <sub>4</sub> · 5H <sub>2</sub> O
Dichloramine		NHCl <sub>2</sub>
Ferric chloride	Iron chloride	FeCl <sub>3</sub>
Ferric hydroxide		Fe(OH) <sub>3</sub>
Ferric sulfate	Iron sulfate	Fe <sub>2</sub> (SO <sub>4</sub> ) <sub>3</sub>
Ferrous bicarbonate		Fe(HCO <sub>3</sub> ) <sub>2</sub>
Ferrous hydroxide		Fe(OH) <sub>2</sub>
Ferrous sulfate	Copperas	FeSO <sub>4</sub> · 7H <sub>2</sub> O
Hydrofluorsilicic acid		H <sub>2</sub> SiF <sub>6</sub>
Hydrochloric acid	Muriatic acid	HCl
Hydrogen sulfide		H <sub>2</sub> S

Chemical Name	Common Name	Chemical Formula
Hypochlorous acid		HOCL
Magnesium bicarbonate		Mg(HCO <sub>3</sub> ) <sub>2</sub>
Magnesium carbonate		MgCO <sub>3</sub>
Magnesium chloride		MgCl <sub>2</sub>
Magnesium hydroxide		Mg(OH) <sub>2</sub>
Magnesium dioxide		MgO <sub>2</sub>
Manganous bicarbonate		Mn(HCO <sub>3</sub> ) <sub>2</sub>
Manganous sulfate		MnSO <sub>4</sub>
Monochloramine		NH <sub>2</sub> Cl
Potassium bicarbonate		KHCO <sub>3</sub>
Potassium permanganate		KMnO <sub>4</sub>
Sodium carbonate	Soda ash	Na <sub>2</sub> CO <sub>3</sub>
Sodium chloride	Salt	NaCl
Sodium chlorite		NaClO <sub>2</sub>
Sodium fluoride		NaF
Sodium fluorosilicate		Na <sub>2</sub> SiF <sub>6</sub>
Sodium hydroxide	Lye	NaOH
Sodium hypochlorite		NaOCl
Sodium Metaphosphate	Hexametaphosphate	NaPO <sub>3</sub>
Sodium phosphate	Disodium phosphate	Na <sub>3</sub> PO <sub>4</sub>
Sodium sulfate		Na <sub>2</sub> SO <sub>4</sub>
Sulfuric acid		H <sub>2</sub> SO <sub>4</sub>



**READ THE SAFETY DATA SHEET**



**WEAR PROPER PPE**



**HANDLING CHEMICALS**

## Topic 4- Water Treatment Filtration Section Post Quiz

1. What is a typical range for the turbidity of the water coming to the treatment plant from the raw water source?
2. What chemical is often used to coat the pipes in the distribution system to inhibit corrosion?
3. The mass of growing material that collects in the filter is called?
4. Solids that have been removed from the raw water by the coagulation, flocculation and settling processes are referred to as?
5. What chemical is a clear, fuming, corrosive liquid with a pH ranging from 1 to 1.5. Used in water treatment to fluoridate drinking water?
6. Powdered activated carbon (PAC) is occasionally added for taste and odor control. PAC is added to the\_\_\_\_\_.
7. What is a condition that occurs in tanks or basins when some of the water travels faster than the rest of the flowing water?
8. Water testing is conducted throughout the treatment process. Items like \_\_\_\_\_are monitored and recorded continuously. Some items are tested several times per day, some once per quarter and others once per year.
9. What type of chemical, when combined with other types of coagulants, aids in binding small suspended particles to larger particles to help in the settling and filtering processes.
10. What is a strong chemical used in the treatment process to neutralize acidity, increase alkalinity, or raise the pH value?

11. Coagulants are chemicals, such as alum, that neutralize \_\_\_\_\_ charges on small particles, allowing them to stick together and form larger particles that are more easily removed by sedimentation (settling) or filtration.

12. Chemicals are added to the water in order to improve the subsequent treatment processes. These may include pH adjusters and coagulants.

True or False

13. A variety of devices, such as baffles, static mixers, impellers, and in-line sprays can be used to mix the water and distribute the chemicals evenly.

True or False

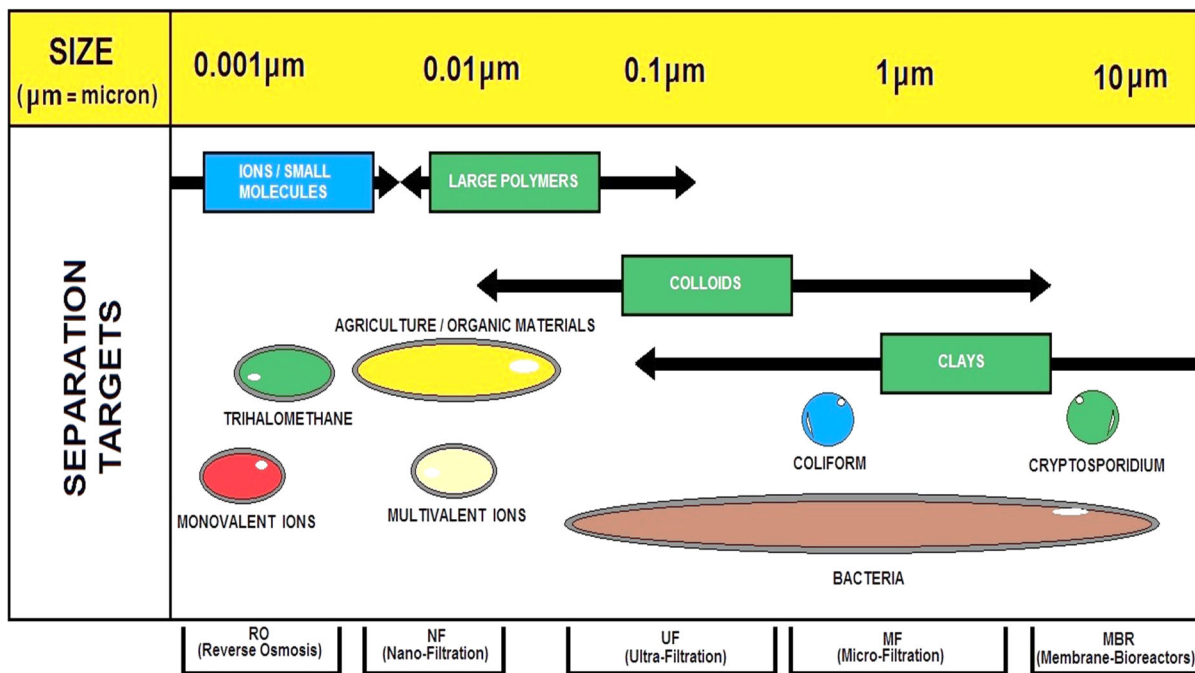
14. The final step in the conventional filtration process, the clarifier provides temporary storage for the treated water.

15. Water scouring cleans adsorption clarifiers followed by chemical flushing.

## Topic 5 -Advanced Water Treatment Section

**Section Focus:** You will learn the basics of dealing with hard water, related issues and advanced water treatment methods. At the end of this section, you will be able to describe various treatment methods including Microfiltration and Reverse Osmosis to alternative disinfection methods. There is a post quiz at the end of this section to review your comprehension and a final examination in the Assignment for your contact hours.

**Scope/Background:** Water contains Endocrine-Disrupting Compounds (EDCs), contaminants and various amounts of dissolved minerals, some of which impart a quality known as hardness. It is necessary for many water treatment facilities to treat these concerns without conventional water treatment methods. EPA has promulgated many rules and regulations as a result of the SDWA that require drinking water utilities to meet specific guidelines and numeric standards for water quality, some of which are enforceable and collectively referred to as maximum contaminant levels (MCLs).



### ADVANCED TREATMENT REMOVAL METHOD DIAGRAM

We will cover the primary advanced water treatment applications, advantages, and disadvantages of various processes arranged in such a way as to provide a ready comparison between alternative treatment processes.

There are a number of different treatments used in various configurations to make up an advanced water treatment system. The particular situation and/or contaminant determines the most applicable process design, for example, removal of Arsenic, metals, or endocrine-disrupting compounds (EDCs).

Many advanced processes, although selected on the basis of their effectiveness in removal of a particular pollutant, obtain additional benefits in the control of other pollutants and contaminants.

The advanced water treatment processes, include: chemical water treatment processes, advanced oxidation processes, desalination and membrane technology.

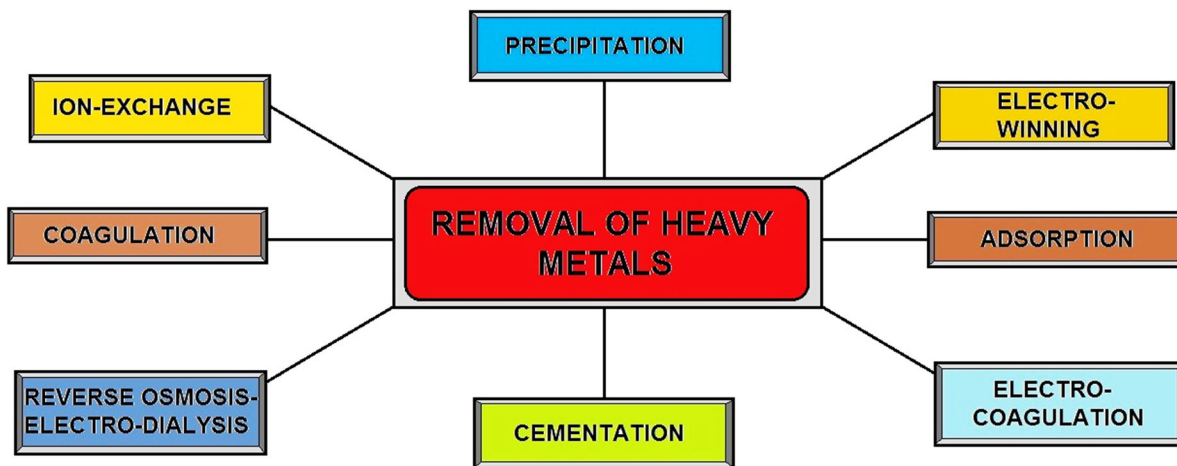
### Endocrine-Disrupting Compounds (EDCs)

Compounds that can alter the endocrine system of humans and animals have been detected in water supplies around the world as the result of human activities. These substances are known as endocrine-disrupting compounds (EDCs) and have been linked to a variety of adverse effects in both humans and wildlife including hormone dependent cancers, reproductive tract disorders, and reduction in reproductive fitness.

Pharmaceutical compounds and personal care products have been collectively termed as PPCPs. Many EDCs and PPCPs have been detected in surface waters, a few of which have been detected in finished drinking water, fish and invertebrates. The detection of EDCs and PPCPs in source waters is of great concern since these compounds have known physiological responses at low concentrations.

### Heavy Metals

Heavy metals can be removed by using aluminum-based coagulants. There are also other ways like using Iron/iron oxide based coagulants, zeolites etc. but they may be expensive compared to aluminum-based coagulants. Activated carbon can be used as adsorbent for removing heavy metals.



## METHODS TO REMOVE HEAVY METALS IN WATER



## Hard Water Sub-Section

Water contains various amounts of dissolved minerals, some of which impart a quality known as hardness. Consumers frequently complain about problems attributed to hard water, such as the formation of scale on cooking utensils and hot water heaters. In this document, we will examine the occurrences, and effects of hard water and the hard water treatment or softening process that removes the hardness-causing minerals.

<b>GENERAL HARDNESS</b>	
<b>TEMPORARY HARDNESS or CARBONATE HARDNESS (KH)</b>	<b>PERMANENT HARDNESS or NON-CARBONATE HARDNESS (NCH)</b>
<b>CALCIUM (Ca) Carbonate and MAGNESIUM (Mg) Carbonate</b>	<b>CALCIUM (Ca) &amp; MAGNESIUM (Mg) SULFATES</b>
	<b>CALCIUM (Ca) &amp; MAGNESIUM (Mg) CHLORIDES</b>
	<b>CALCIUM (Ca) &amp; MAGNESIUM (Mg) NITRATES</b>



### FORMS OF HARDNESS IN WATER

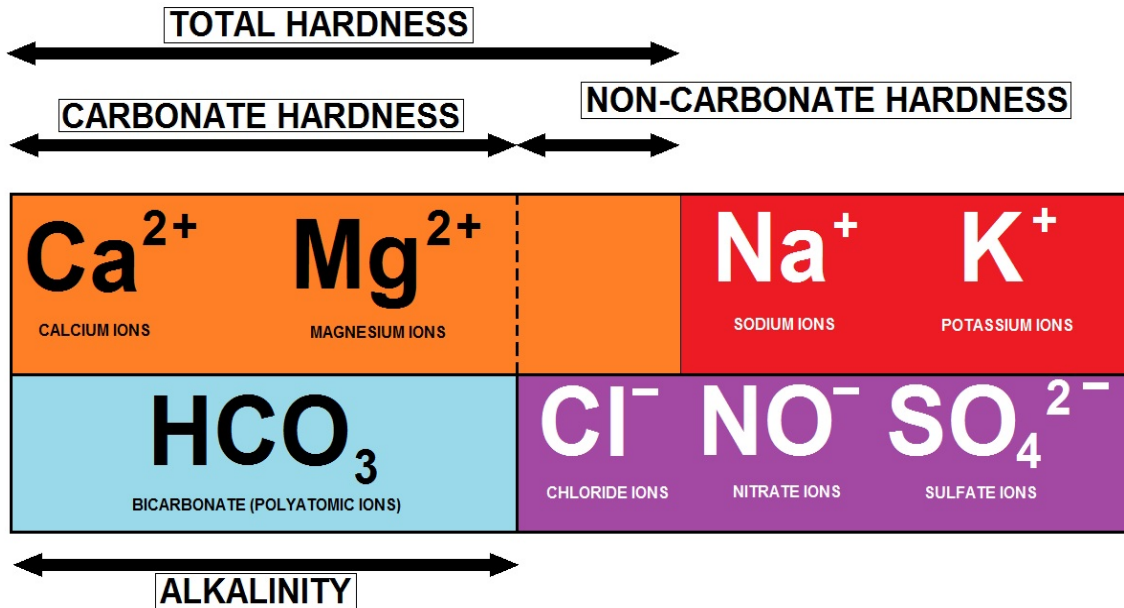
## Occurrence of Hard Water

Hard water is caused by soluble, divalent, metallic cations, (positive ions having valence of 2). The principal chemicals that cause water hardness are calcium (**Ca**) and magnesium (**Mg**). Strontium, aluminum, barium, and iron are usually not present in large enough concentrations to contribute significantly to the total hardness.

<b>WATER HARDNESS (Salt Types)</b>	
<b>CARBONATE HARDNESS COMPOUNDS</b>	<b>NON-CARBONATE HARDNESS COMPOUNDS</b>
CALCIUM CARBONATE (CaCO <sub>3</sub> )	CALCIUM SULPHATE (CaSO <sub>4</sub> )
MAGNESIUM CARBONATE (MgCO <sub>3</sub> )	MAGNESIUM SULPHATE (MgSO <sub>4</sub> )
CALCIUM BICARBONATE (Ca(HCO <sub>3</sub> ) <sub>2</sub> )	CALCIUM CHLORIDE (CaCl <sub>2</sub> )
MAGNESIUM BICARBONATE (Mg(HCO <sub>3</sub> ) <sub>2</sub> )	MAGNESIUM CHLORIDE (MgCl <sub>2</sub> )
CALCIUM HYDROXIDE (Ca(OH) <sub>2</sub> )	
MAGNESIUM HYDROXIDE (Mg(OH) <sub>2</sub> )	



### CAUSES OF HARDNESS THAT AFFECTS WATER QUALITY



## CARBONATE HARDNESS CHART

WATER HARDNESS SCALE		
Grains/Gal.	mg/L or PPM	Classification
LESS THAN 1	LESS THAN 17.1	SOFT
1 - 3.5	17.1 - 60	SLIGHTLY HARD
3.5 - 7	60 - 120	MODERATELY HARD
7 - 10	120 - 180	HARD
Over 10	Over 180	VERY HARD

1 gpg = 17.1 mg/L = 17.1 ppm

## Expressing Water Hardness Concentration

Water hardness is generally expressed as a concentration of calcium carbonate, in terms of milligrams per liter as CaCO<sub>3</sub>. The degree of hardness that consumers consider objectionable will vary, depending on other qualities of the water and on the hardness to which they have become accustomed. We will show two different classifications of the relative hardness of water:

### Comparative classifications of water for softness and hardness

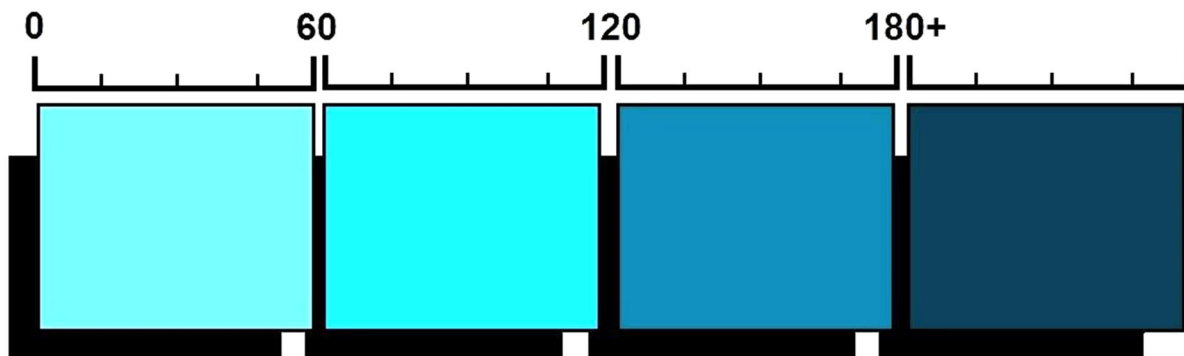
Classification	mg/L as CaCO <sub>3</sub> *	mg/L as CaCO <sub>3</sub> †
Soft	0 – 75	0 – 60
Moderately hard	75 – 150	61 – 120
Hard	150 – 300	121 – 180
Very hard	Over 300	Over 180

Source: Adapted from Sawyer 1960 and Briggs and Ficke 1977.

\* Per Sawyer (1960)

† Per Briggs and Ficke (1977)

German Hardness °dH	mg/l calcium oxide	mg/l calcium carbonate	Water conditions
0 - 3	0 - 30	0 - 50	Soft
3 - 6	30 - 60	50 - 100	Moderately soft
6 - 12	60 - 120	100 - 200	Slightly hard
12 - 18	120 - 180	200 - 300	Moderately hard
18 - 25	180 - 250	300 - 450	Hard
25 +	250 +	450 +	Very hard



MEASURING HARDNESS –CALCIUM IONS CHART

## Types of Water Hardness

Hardness can be categorized by either of two methods: calcium versus magnesium hardness and carbonate versus non-carbonate hardness. The calcium-magnesium distinction is based on the minerals involved.

### Calcium Hardness

Hardness caused by calcium is called calcium hardness, regardless of the salts associated with it, which include calcium sulfate ( $\text{CaSO}_4$ ), calcium chloride ( $\text{CaCl}_2$ ), and others. Likewise, hardness caused by magnesium is called magnesium hardness. Calcium and magnesium are normally the only significant minerals that cause hardness, so it is generally assumed that

$$\text{Total hardness} = \text{calcium hardness} + \text{magnesium hardness}$$

The carbonate-noncarbonate distinction, however, is based on hardness from either the bicarbonate salts of calcium or the normal salts of calcium and magnesium involved in causing water hardness.

Carbonate hardness is caused primarily by the bicarbonate salts of calcium and magnesium, which are calcium bicarbonate,  $\text{Ca}(\text{HCO}_3)_2$ , and magnesium bicarbonate  $\text{Mg}(\text{HCO}_3)_2$ .

Calcium and magnesium combined with carbonate ( $\text{CO}_3$ ) also contribute to carbonate hardness.

Noncarbonate hardness is a measure of calcium and magnesium salts other than carbonate and bicarbonate salts. These salts are calcium sulfate, calcium chloride, magnesium sulfate ( $\text{MgSO}_4$ ), and magnesium chloride ( $\text{MgCl}_2$ ).

Calcium and magnesium combined with nitrate may also contribute to noncarbonate hardness, although it is a very rare condition.

**For carbonate and noncarbonate hardness,**

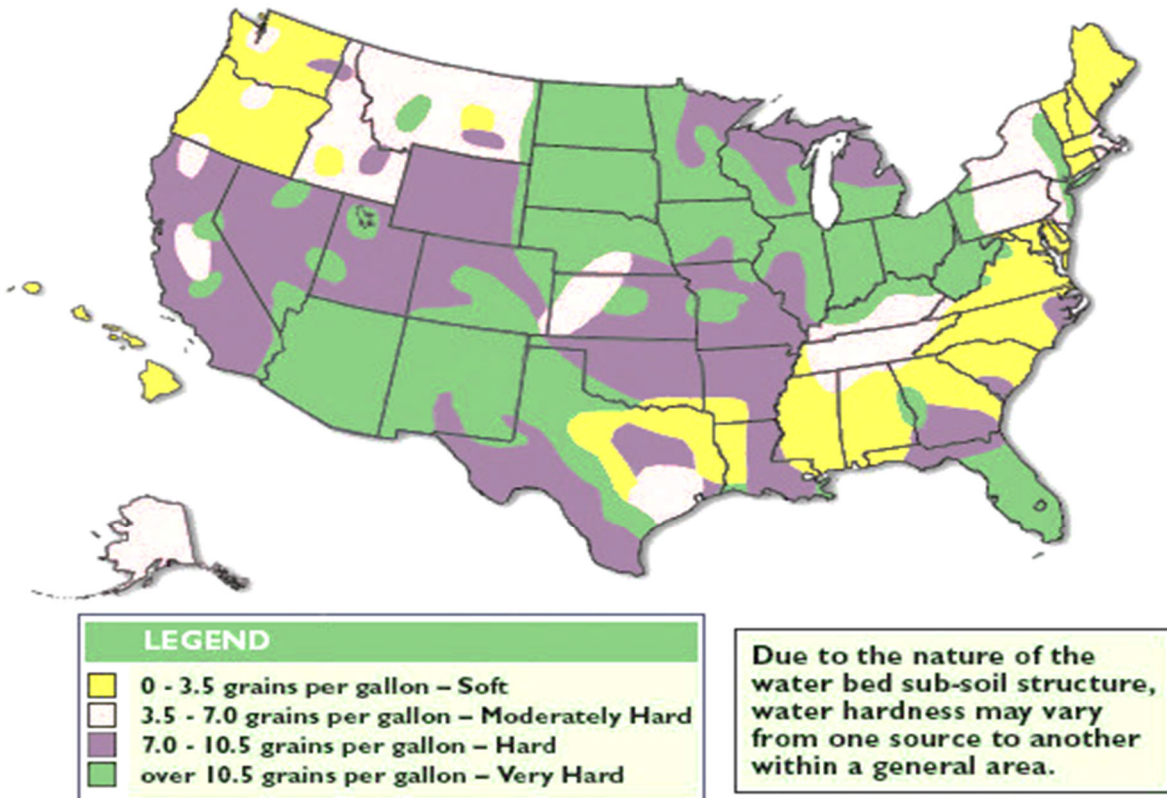
$$\text{Total hardness} = \text{carbonate hardness} + \text{noncarbonate hardness}$$

When hard water is boiled, carbon dioxide ( $\text{CO}_2$ ) is driven off, and Bicarbonate salts of calcium and magnesium then settle out of the water to form calcium and magnesium carbonate precipitates. These precipitates form the familiar chalky deposits on teapots.

Because it can be removed by heating, carbonate hardness is sometimes called “**Temporary hardness.**”

Because noncarbonated hardness cannot be removed or precipitated by prolonged boiling, it is sometimes called “**permanent hardness.**”

## HARD WATER LEVELS THROUGHOUT THE U.S.



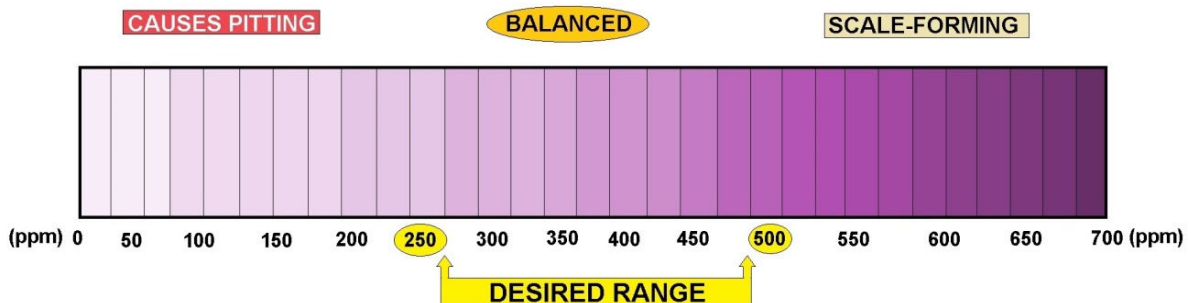
**Note:** 1 grain/gallon = 17.1 ppm hardness as  $\text{CaCO}_3$ .

### Geologic Formations

Water hardness varies considerably in different geographic areas of the contiguous 48 states. This is due to different geologic formations, and is also a function of the contact time between water and limestone deposits, which leech calcium into the water.

### Magnesium

Magnesium is dissolved as water passes over and through dolomite and other magnesium-bearing minerals. Because groundwater is in contact with these formations for a longer period of time than surface water, groundwater is normally harder than surface water.



## CALCIUM HARDNESS MEASUREMENT

## Water Softening Processes

### Precipitation Process

The precipitation process most frequently used is generally known as the lime process or lime soda process. Because of the special facilities required and the complexity of the process, it is generally applicable only to medium- or large-size water systems where all treatment can be accomplished at a central location. This process will provide softened water at the lowest cost. Lime softening can be used for treatment of either groundwater or surface water sources.

### Distillers

Various sizes of distillers are available for home use. They all work on the principle of vaporizing water and then condensing the vapor. In the process, dissolved solids such as salt, metals, minerals, asbestos fibers, and other particles are removed. Some organic chemicals are also removed, but those that are more volatile are often vaporized and condensed with the product water. Distillers are effective in killing all microorganisms.



The principal problem with a distiller is that a small unit can produce only 2-3 gal (7.5 -11 Lt) a day, and that the power cost for operation will be substantially higher than the operating cost of other types of treatment devices.

Water Distillers have a high energy cost (approximately 20-30 cents per gallon). They must be carbon filtered before and/or after to remove volatile chemicals. It is considered "**dead**" water because the process removes all extra oxygen and energy. It has no taste. It is still second only to reverse osmosis water for health. The diet of a person drinking distilled water should be rich in electrolytes because as the aggressive nature of distilled water can "**leach**" electrolytes from the body.

Water softening is a method of removing from water the minerals that make it hard. Hard water does not dissolve soap readily. It forms scale in pipes, boilers, and other equipment in which it is used. The principal methods of softening water are the lime soda process and the ion exchange process.

In the **lime soda process**, soda ash and lime are added to the water in amounts determined by chemical tests. These chemicals combine with the calcium and magnesium in the water to make insoluble compounds that settle to the bottom of the water tank.

## Ion Exchange Processes Introduction

The other commonly used method of softening involves the ion exchange process. This process has the advantages of a considerably lower initial cost and ease of use by small systems or by large systems at multiple locations. The principal disadvantage is that operating costs are considerably higher.

Ion exchange processes can typically be used for direct treatment of groundwater, so long as turbidity and iron levels are not excessive. For treatment of surface water, the process normally must be preceded by conventional treatment. Softening can also be accomplished using membrane technology, electrodialysis, distillation, and freezing. Of these, membrane methods seem to have the greatest potential.

In the **ion exchange process**, the water filters through minerals called **zeolites**. As the water passes through the filter, the sodium ions in the zeolite are exchanged for the calcium and magnesium ions in the water, and the water is softened. After household softeners become exhausted, a strong solution of **sodium chloride** (salt) is passed through the filter to replace the sodium that has been lost.



The use of two exchange materials makes it possible to remove both metal and acid ions from water. Some cities and towns, however, prohibit or restrict the use of ion exchange equipment on drinking water, pending the results of studies on how people are affected by the consumption of the added sodium in softened water. The commercial ion exchange containers hold the resin for the deionization.

Calcium and magnesium in water create hard water, and high levels can clog pipes. The best way to soften water is to use a water softener unit connected into the water supply line. You may want to consider installing a separate faucet for unsoftened water for drinking and cooking. Water softening units also remove iron.

The most common way to soften household water is to use a water softener. Softeners may also be safely used to remove up to about 5 milligrams per liter of dissolved iron if the water softener is rated for that amount of iron removal. Softeners are automatic, semi-automatic, or manual. Each type is available in several sizes and is rated on the amount of hardness it can remove before regeneration is necessary.

Using a softener to remove iron in naturally soft water is not advised; a green-sand filter is a better method. When the resin is filled to capacity, it must be recharged. Fully automatic softeners regenerate on a preset schedule and return to service automatically. Regeneration is usually started by a preset time clock; some units are started by water use meters or hardness detectors.

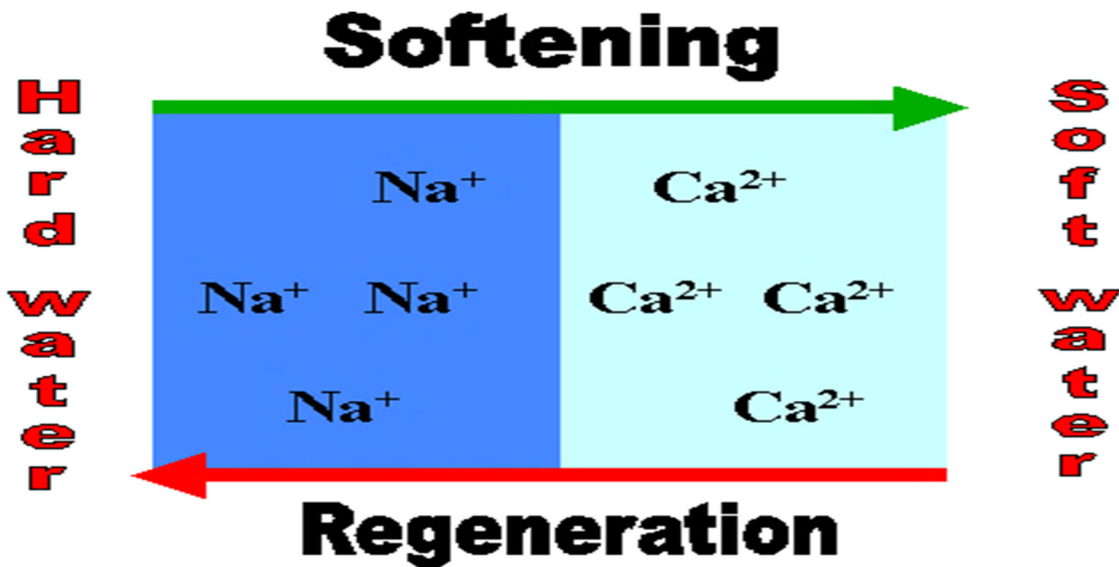
Semi-automatic softeners have automatic controls for everything except for the start of regeneration. Manual units require manual operation of one or more valves to control back washing, brining and rinsing.

In many areas, there are companies that provide a water softening service. For a monthly fee the company installs a softener unit and replaces it periodically with a freshly charged unit.

The principle behind water softening is really just simple chemistry. A water softener contains resin beads which hold electrically charged ions. When hard water passes through the softener, calcium and magnesium ions are attracted to the charged resin beads.

It's the resulting removal of calcium and magnesium ions that produces "**soft water**." The diagram shows the exchange that takes place during the water softening process. When the resin beads in your softener become saturated with calcium and magnesium ions, they need to be recharged.

Sodium ions from the water softening salt reactivate the resin beads so they can continue to do their job. Without sufficient softening salt, your water softener is less efficient. As a rule, you should check your water softener once a week to be sure the salt level is always at least one quarter full.



PROPERTY of WATER BEING TESTED	RECOMMENDED LEVEL PARAMETERS
pH	6.5 - 9.0 pH
HARDNESS as CaCO	30 - 750 ppm
ALKALINITY as CaCO	500 ppm Maximum
TOTAL DISSOLVED SOLIDS (TDS)	1500 ppm Maximum
CONDUCTIVITY	2400 micromhos
CHLORIDES	250 ppm Maximum Cl (Chloride) (410 ppm Maximum as NaCl (Sodium Chloride))
SULPHATES	250 ppm
SILICA	5 - 25 milligrams/l Maximum



WATER QUALITY PARAMETERS TO CONTROL CORROSION AND SCALE (Recommended levels)



## Advanced Water Treatment Methods Sub-Section

### Membrane Filtration Processes

In 1748, the French physicist Nollet first noted that water would diffuse through a pig bladder membrane into alcohol. This was the discovery of osmosis, a process in which water from a dilute solution will naturally pass through a porous membrane into a concentrate solution.

Over the years, scientists and engineers have attempted to develop a membrane that would be useful in industrial processes or for difficult contaminants, but it wasn't until the late 1950s that membranes were produced that could be used for what is known as reverse osmosis. In reverse osmosis, water is forced to move through a membrane from a concentrate solution to a dilute solution.

Since that time, continual improvements and new developments have been made in membrane technology, resulting in ever-increasing uses in many industries. In potable water treatment, membranes have been used for desalinization, removal of dissolved inorganic and organic chemicals, water softening, and removal of fine solids.

In particular, membrane technology enables some water systems having contaminated water sources to meet new, more stringent regulations. In some cases, it can also allow secondary sources, such as brackish groundwater, to be used. There is great potential for the continuing wide use of membrane filtration processes in potable water treatment, especially as technology is improved and costs are reduced.

### Description of Membrane Filtration Processes

In the simplest membrane processes, water is forced through a porous membrane under pressure, while suspended solids, large molecules, or ions are held back or rejected.

### Types of Membrane Filtration Processes

The two general classes of membrane processes, based on the driving force used to make the process work, are:

- Pressure-driven processes
- Electric-driven processes

### Pressure-Driven Processes

The four general membrane processes that operate by applying pressure to the raw water are:

- Microfiltration
- Ultrafiltration
- Nanofiltration
- Reverse Osmosis



Membrane Filtration System

### **Microfiltration**

Microfiltration (MF) is a process in which water is forced under pressure through a porous membrane. Membranes with a pore size of 0.45  $\mu\text{m}$  (microns) are normally used; this size is relatively large compared with the other membrane filtration processes. This process has not been generally applicable to drinking water treatment because it either does not remove substances that require removal from potable water, or the problem substances can be removed more economically using other processes.

### **$\mu\text{m}$ Definition**

The symbol  $\mu\text{m}$  stands for micron. There are 1000  $\mu\text{m}$  in one millimeter. A human hair is 40 to 50  $\mu\text{m}$  across and bacteria may be only 0.5-  $\mu\text{m}$  wide and 1-  $\mu\text{m}$  long.

The current primary use of MF is by industries to remove very fine particles from process water, such as in electronic manufacturing. In addition, the process has also been used as a pretreatment for other membrane processes. In particular, Reverse Osmosis (RO) membranes are susceptible to clogging or binding unless the water being processed is already quite clean.

However, in recent years, microfiltration has been proposed as a filtering method for particles resulting from the direct filtration process. Traditionally, this direct filtration process has used the injection of coagulants such as alum or polymers into the raw water stream to remove turbidity such as clay or silts. The formed particles were then removed by rapid sand filters. Their suggested use is to improve filtering efficiency, especially for small particles that could contain bacterial and protozoan life.

### **Ultrafiltration**

Ultrafiltration (UF) is a process that uses a membrane UF with a pore size generally below 0.1  $\mu\text{m}$ . The smaller pore size is designed to remove colloids and substances that have larger molecules, which are called high-molecular-weight materials. UF membranes can be designed to pass material that weigh less than or equal to a certain molecular weight. This weight is called the molecular weight cutoff (MWC) of the membrane. Although UF does not generally work well for removal of salt or dissolved solids, it can be used effectively for removal of most organic chemicals.

### **Nanofiltration**

Nanofiltration (NF) is a process using membrane that will reject even smaller molecules than UF. The process has been used primarily for water softening and reduction of total dissolved solids (TDS). NF operates with less pressure than reverse osmosis and is still able to remove a significant proportion of inorganic and organic molecules. This capability will undoubtedly increase the use of NF for potable water treatment.

### **Reverse Osmosis**

Reverse Osmosis (RO) is a membrane process that has the highest rejection capability of all the membrane processes. RO membranes have a very low pore size that can reject ions at very high rates, including chloride and sodium. Water from this process is very pure due to the high reject rates. The process has been used primarily in the water industry for desalination of seawater because the capital and operating costs are competitive with other processes for this service.

The RO also works for most organic chemicals, radionuclides and microorganisms. Industrial water uses such as semiconductor manufacturing, also use the RO process. RO is discussed in more detail later.

### **Water Treatment Process**

Perhaps the most prominent use of microfiltration membranes pertains to the treatment of potable water supplies. The membranes are a key step in the primary disinfection of the uptake water stream. Such a stream might contain pathogens such as the protozoa *Cryptosporidium* and *Giardia lamblia* which are responsible for numerous disease outbreaks. Both species show a gradual resistance to traditional disinfectants (i.e. chlorine).

The use of MF membranes presents a physical means of separation (a barrier) as opposed to a chemical alternative. In this sense, both filtration and disinfection take place in a single step, reducing the cost of chemical dosage and the corresponding equipment needed for handling and storage.

Similarly, the MF membranes are used in secondary wastewater effluents to remove turbidity but also to provide treatment for disinfection. At this stage, coagulants (iron or aluminum) may potentially be added to precipitate species such as phosphorus and arsenic which would otherwise have been soluble.

### **Sterilization**

Another crucial application of MF membranes lies in the cold sterilization of beverages and pharmaceuticals. Historically, heat was used to sterilize refreshments such as juice, wine and beer in particular, however a palatable loss in flavor was clearly evident upon heating. Similarly, pharmaceuticals have been shown to lose their effectiveness upon heat addition. MF membranes are employed in these industries as a method to remove bacteria and other undesired suspensions from liquids, a procedure termed as 'cold sterilization', which negates the use of heat.

### **Driving Force, Retentate Stream and Permeate Streams**

Membrane filtration processes can be distinguished by three major characteristics; Driving force, retentate stream and permeate streams.

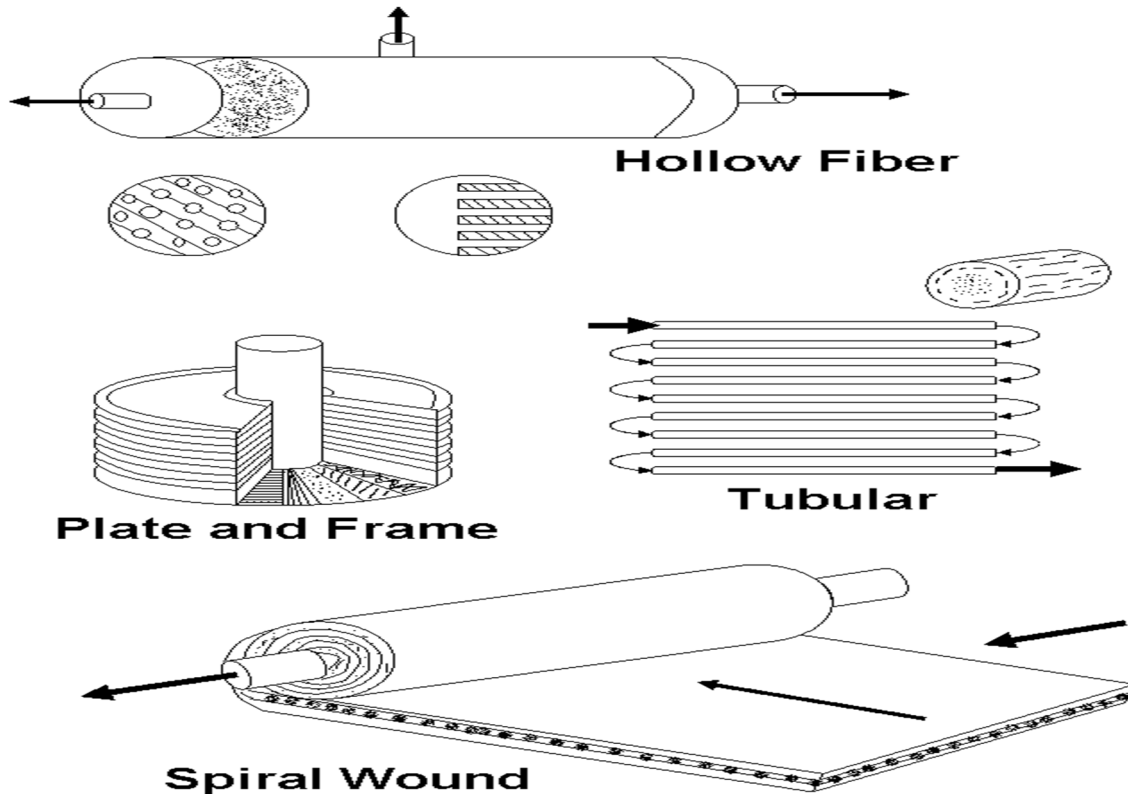
The microfiltration process is pressure driven with suspended particles and water as retentate and dissolved solutes plus water as permeate. The use of hydraulic pressure accelerates the separation process by increasing the flow rate (flux) of the liquid stream but does not affect the chemical composition of the species in the retentate and product streams.

### **Fouling**

A major characteristic that limits the performance of microfiltration or any membrane technology is a process known as fouling. Fouling describes the deposition and accumulation of feed components such as suspended particles, impermeable dissolved solutes or even permeable solutes, on the membrane surface and or within the pores of the membrane.

Fouling of the membrane during the filtration processes decreases the flux and thus overall efficiency of the operation. This is indicated when the pressure drop increases to a certain point. It occurs even when operating parameters are constant (pressure, flow rate, temperature and concentration). Fouling is eventually complete and irreversible although a portion of the fouling layer can be reversed by repeatedly cleaning the membranes.

## Membrane Configurations



## Types of Processes

Membrane separation processes operate without heating and therefore use less energy than conventional thermal separation processes such as distillation, sublimation or crystallization. The separation process is purely physical and both fractions (permeate and retentate) can be used.

Cold separation using membrane technology is widely used in the food technology, biotechnology and pharmaceutical industries. Using membranes enables separations to take place that would be impossible using thermal separation methods. For example, it is impossible to separate the constituents of azeotropic liquids or solutes which form isomorphous crystals by distillation or recrystallization but such separations can be achieved using membrane technology.

Nanofiltration is also becoming more widely used in food processing applications such as dairy, for simultaneous concentration and partial (monovalent ion) demineralization.

## Electric-Driven Processes

There are two membrane processes that purify a water stream by using an electric current to move ions across a membrane.

These processes are

- Electrodialysis
- Electrodialysis Reversal

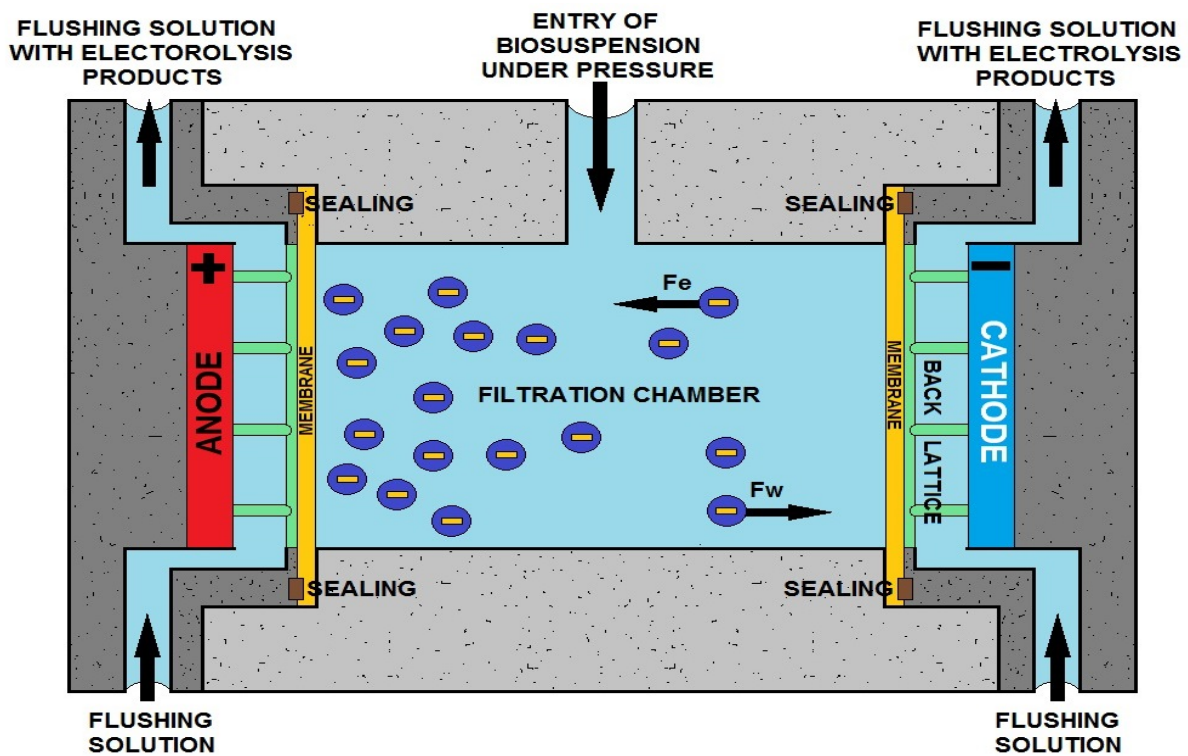
## Electrodialysis

Electrodialysis (ED) is a process in which ions are transferred through a membrane as a result of direct electric current applied to the solution. The current carries the ions through a membrane from the less concentrated solution to the more concentrated one.

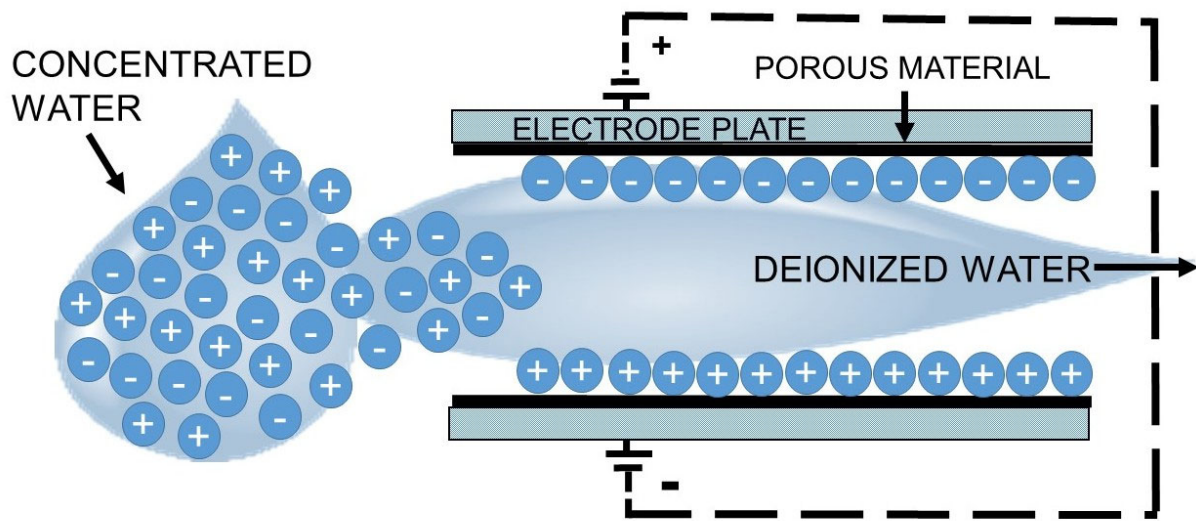
## Electrodialysis Reversal

Electrodialysis Reversal (EDR) is a process similar to ED, except that the polarity of the direct current is periodically reversed. The reversal in polarity reverses the flow of ions between demineralizing compartments, which provides automatic flushing of scale-forming materials from the membrane surface.

As a result, EDR can often be used with little or no pretreatment of feedwater to prevent fouling. So far, ED and EDR have been used at only a few locations for drinking water treatment.

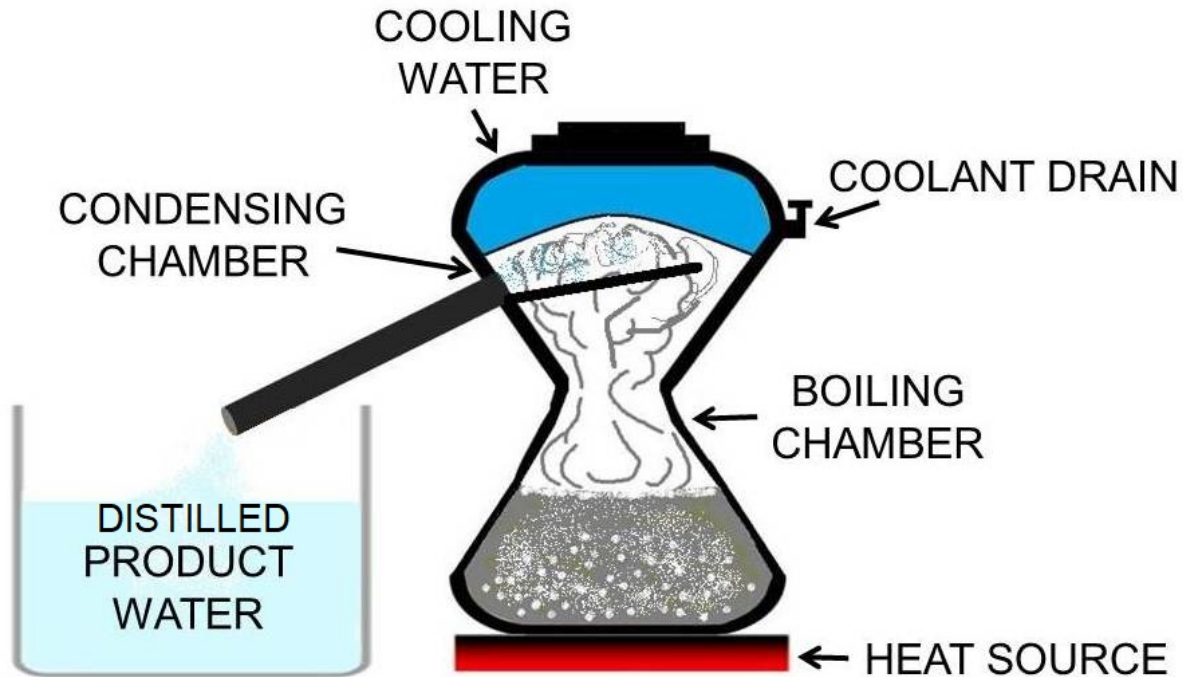


**ELECTROFILTRATION CHAMBER**



**CAPACITIVE DEIONIZATION PROCESS**

## Desalination Sub-Section



## DESALINATION – DISTILLATION PROCESSES

Desalinated water can be produced from the diluted draw / osmotic agent solution, using a second process. This may be by membrane separation, thermal method, physical separation or a combination of these processes.

The process has the feature of inherently low fouling because of the forward osmosis first step, unlike conventional reverse osmosis desalination plants where fouling is often a problem.

### Landfill Leachate Treatment

In the case where the desired product is fresh water which does not contain draw solutes, a second separation step is required. The first separation step of FO, driven by an osmotic pressure gradient, does not require a significant energy input (only unpressurized stirring or pumping of the solutions involved). The second separation step, however does typically require energy input.

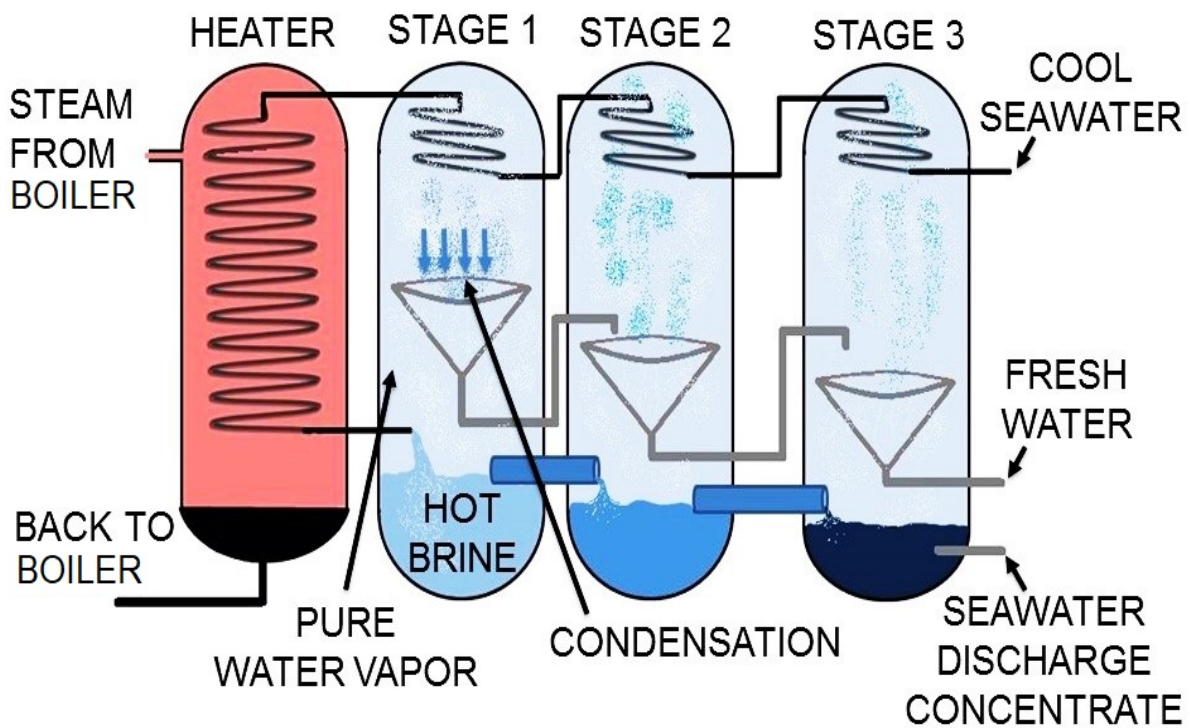
One method used for the second separation step is to employ RO. This approach has been used, for instance, in the treatment of landfill leachate. An FO membrane separation is used to draw water from the leachate feed into a saline (NaCl) brine.

The diluted brine is then passed through a RO process to produce fresh water and a reusable brine concentrate. The advantage of this method is not a savings in energy, but rather in the fact that the FO process is more resistant to fouling from the leachate feed than a RO process alone.

## Brine Concentration

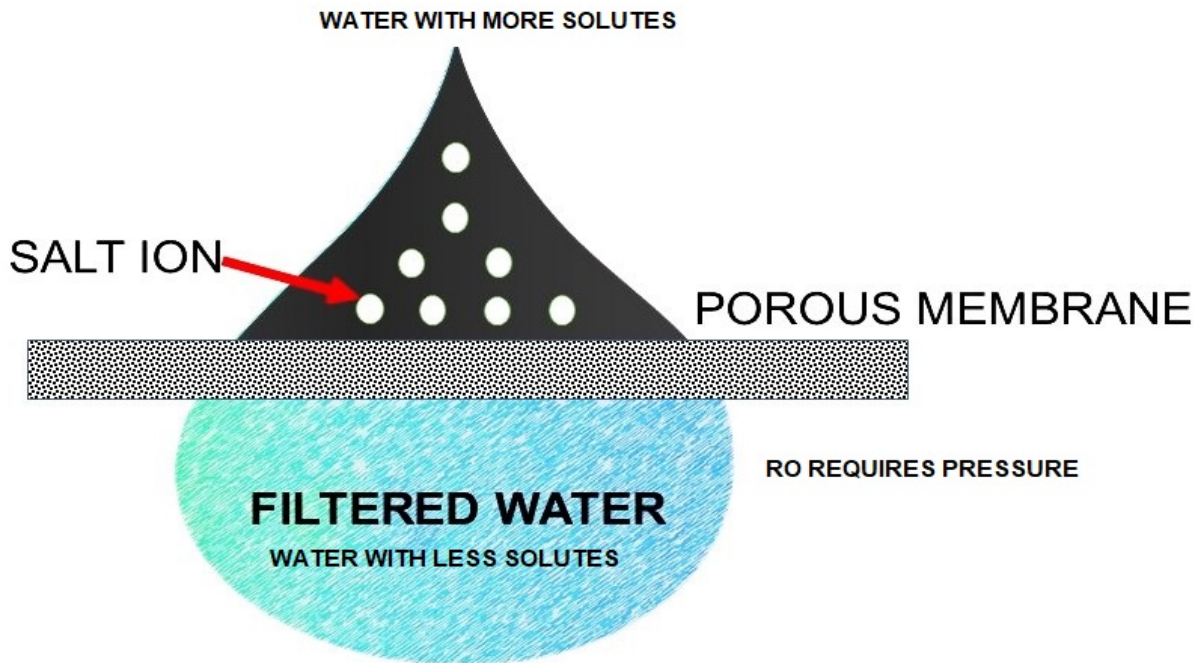
Brine concentration using forward osmosis may be achieved using a high osmotic pressure draw solution with a means to recover and regenerate it.

One unexploited application is to 'soften' or pre-treat the feedwater to multi stage flash (MSF) or multiple effect distillation (MED) plants by osmotically diluting the recirculating brine with the cooling water. This reduces the concentrations of scale forming calcium carbonate and calcium sulfate compared to the normal process, thus allowing an increase in top brine temperature (TBT), output and gained output ratio (GOR). Darwish et al. showed that the TBT could be raised from 110 °C to 135 °C whilst maintaining the same scaling index for calcium sulfate.





## Osmotic Processes Sub-Section



### OSMOSIS PROCESS DIAGRAM # 1

In this section, you will explain Forward and Reverse Osmosis treatment methods and terminology.

- a. Forward Osmosis
- b. Reverse Osmosis
- c. Brine Channel
- d. R/O Components
- e. Clean-in-place System

Osmotic processes manipulate the osmotic pressure gradient between solutions. Osmotic processes include reverse osmosis (RO), forward osmosis (FO), pressure enhanced osmosis (PEO) and pressure retarded osmosis (PRO).

#### Forward Osmosis (FO)

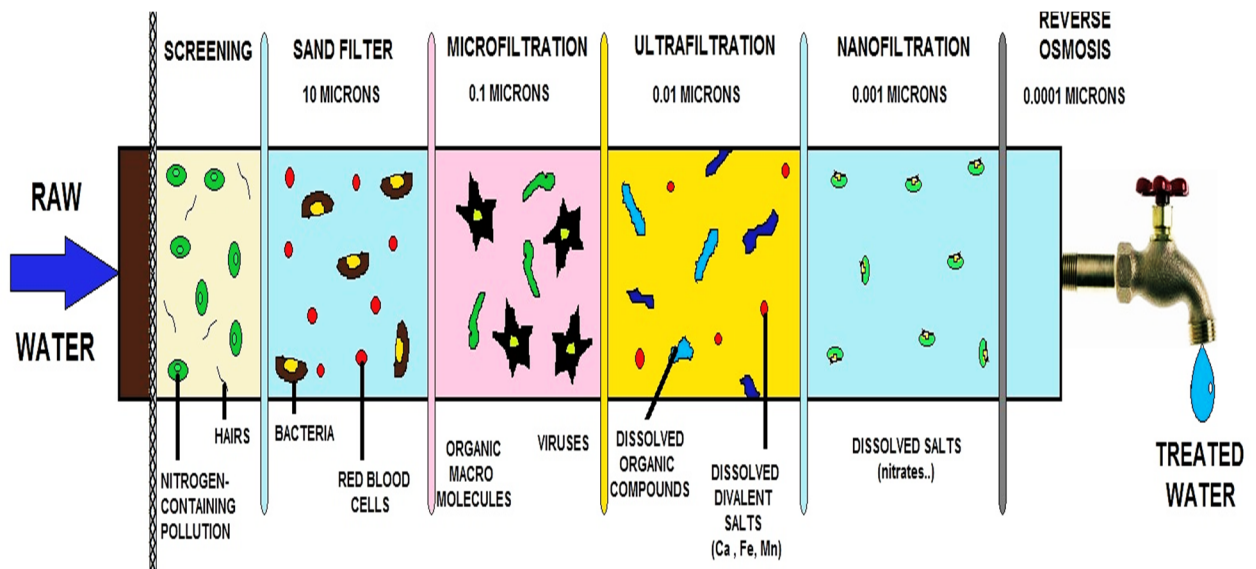
Forward osmosis (FO) is an osmotic process that, like reverse osmosis (RO), uses a semi-permeable membrane to effect separation of water from dissolved solutes. The driving force for this separation is an osmotic pressure gradient, such that a "draw" solution of high concentration (relative to that of the feed solution), is used to induce a net flow of water through the membrane into the draw solution, thus effectively separating the feed water from its solutes.

In contrast, the reverse osmosis process uses hydraulic pressure as the driving force for separation, which serves to counteract the osmotic pressure gradient that would otherwise favor water flux from the permeate to the feed. Hence significantly more energy is required for reverse osmosis compared to forward osmosis.

	TASTE AND ODOR	CHLORINE	ORGANICS	INORGANICS	BIOLOGICALS
BOTTLED WATER	●	○	○	○	○
ULTRA VIOLET (UV)	○	○	○	●	○
CARBON FILTERS	●	●	●	○	◐
REVERSE OSMOSIS SYSTEM	●	●	●	●	●

○ NO      ● YES      ◐ PARTIAL

**TYPES OF WATER QUALITY FILTRATION TECHNOLOGIES AND EFFECTIVE USES**



**FILTRATION METHODS AND REMOVAL SIZES**

In FO processes we may have solute diffusion in both directions depending on the composition of the draw solution and the feed water. This does two things; the draw solution solutes may diffuse to the feed solution and the feed solution solutes may diffuse to the draw solution.

Clearly this phenomenon has consequences in terms of the selection of the draw solution for any particular FO process. For instance, the loss of draw solution may affect the feed solution perhaps due to environmental issues or contamination of the feed stream, such as in osmotic membrane bioreactors.

An additional distinction between the reverse osmosis (RO) and forward osmosis (FO) processes is that the permeate water resulting from an RO process is in most cases, fresh water ready for use. In the FO process, this is not the case. The membrane separation of the FO process in effect results in a "trade" between the solutes of the feed solution and the draw solution.

Depending on the concentration of solutes in the feed (which dictates the necessary concentration of solutes in the draw) and the intended use of the product of the FO process, this step may be all that is required. The osmotic pressure gradient is used to induce a net flow of water through the membrane into the draw, thus effectively concentrating the feed. The draw solution can consist of a single or multiple simple salts or can be a substance specifically tailored for forward osmosis applications. The feed solution can be a dilute product stream, a waste stream or seawater.

The forward osmosis process is also known as osmosis or in the case of a number of companies who have coined their own terminology 'engineered osmosis' and 'manipulated osmosis'. Most of the applications of FO, thus fall into three broad categories: product concentration, waste concentration or production of cleaner water as a bi-product of the concentration process. The most efficient FO applications combine all three. At its best, FO can concentrate waste, turning waste into a product all while producing clean water.

The Forward Osmosis process has applications in many different industries, including but not limited to: Water Reuse and Desalination; Food and Beverage; Mining; Oil and Gas; and the Power Industry.

#### **FO Applications in Different Industries:**

- ✓ Water Reuse
- ✓ Water Desalination
- ✓ Brine Concentration
- ✓ Product concentration (examples: juice, chemicals)
- ✓ Produced water treatment

RO produces clean water, FO produces clean draw, while PRO produces power.

#### **Distinction Between RO and FO Processes**

A major distinction between the RO and FO processes is that the water permeating the RO process is, in most cases, fresh water ready for use. In the FO process, this is not the case.

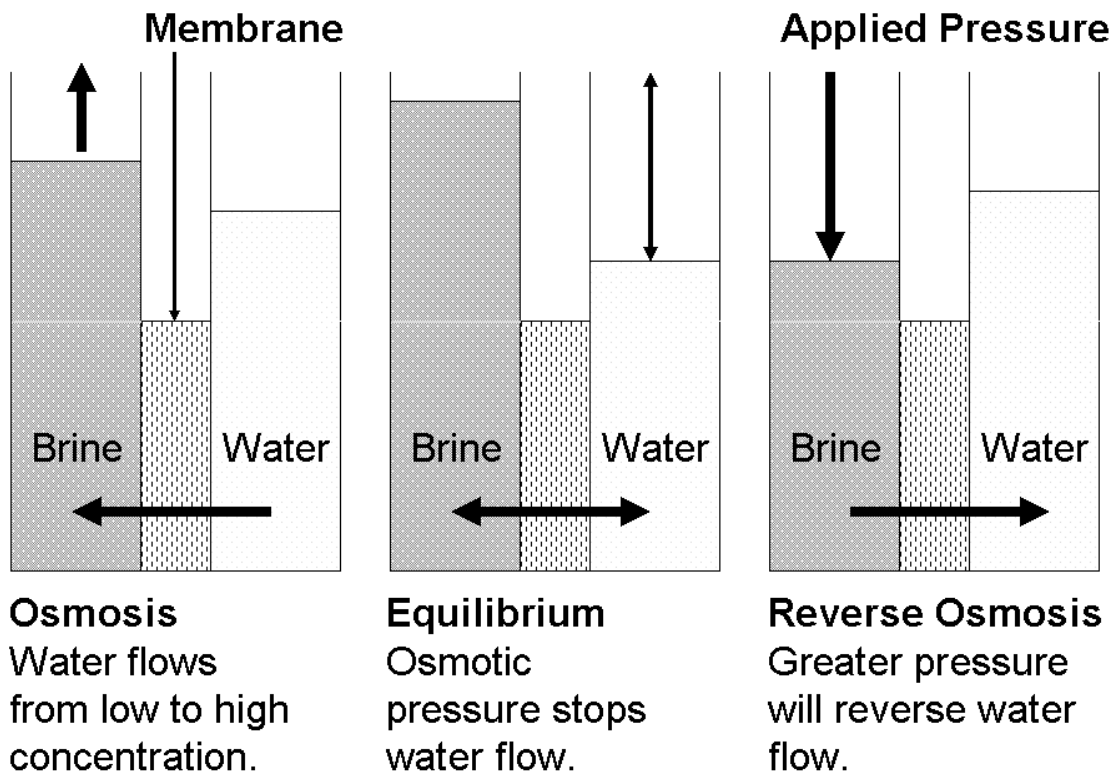
The membrane separation of the FO process in effect results in a "trade" between the solutes of the feed solution and the draw solution.

- ✓ Pressure Retarded Osmosis (PRO) may be used to convert salinity gradient into power.
- ✓ Forward osmosis is not a replacement for reverse osmosis.

In some applications, FO complements RO. In others, specialized draw or salt is concentrated using different technologies. FO can also be used without the draw concentration step as an FO Concentrator if a brine stream with high osmotic pressure is available.

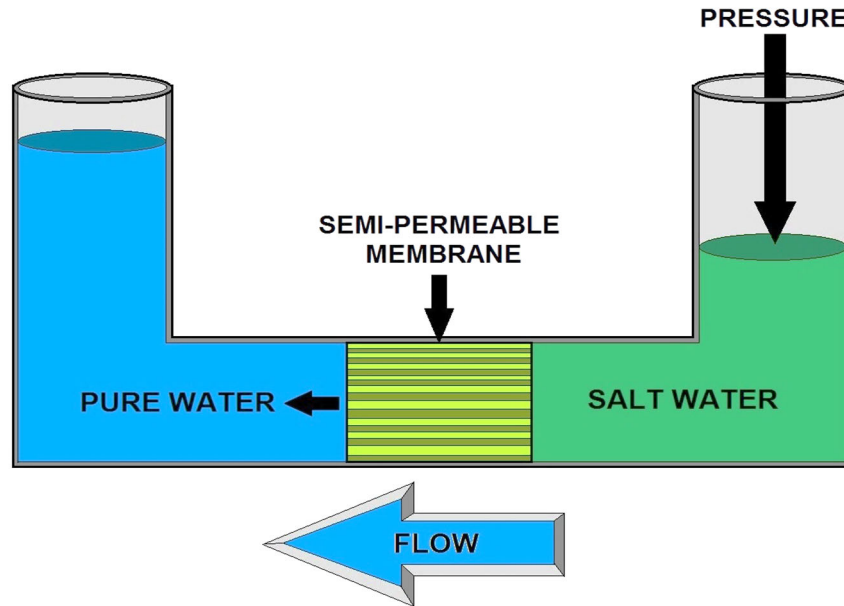
FO can concentrate waters with higher total dissolved solids (TDS) than RO using a high osmotic draw.

- ✓ Membranes used for RO do not work well for FO.
- ✓ Different materials and membrane structure are required to achieve good membrane productivity.
- ✓ FO fouls less than RO.



## Reverse Osmosis Process Sub-Section

In contrast with forward osmosis, the reverse osmosis process uses hydraulic pressure as the driving force for separation, which serves to counteract the osmotic pressure gradient that would otherwise favor water flux from the permeate to the feed. One of the reasons that FO membranes are considerably less prone to fouling than membranes used in pressure driven processes is the absence of external pressure that compacts foulants into the membrane surface, restricting flow.



### REVERSE OSMOSIS

Reverse Osmosis and Nanofiltration processes work in a similar way to an extremely fine filter but use a "*membrane*" to remove atoms which are larger than water molecules.

The mechanism requires sophisticated pumping and control. RO is therefore used to remove a wide range of contaminants, typically salts, hardness and large organic molecules where a very high level of purity is required. It is, of course, more expensive than conventional filtration and is used only where high purity is essential.

Reverse Osmosis has proven to be the most reliable and cost effective method of desalinating water, and hence its use has become more and more widespread. Energy consumption is usually some 70% less than for comparable evaporation technologies. Reverse osmosis, also known as hyperfiltration, is the finest filtration known. This process will allow the removal of particles as small as ions from a solution.

Advancements have been made in membrane technology, resulting in stable, long-lived membrane elements. Component parts have been improved as well, reducing maintenance and down time. Additional advancements in pretreatment have been made in recent years, further extending membrane life and improving performance.

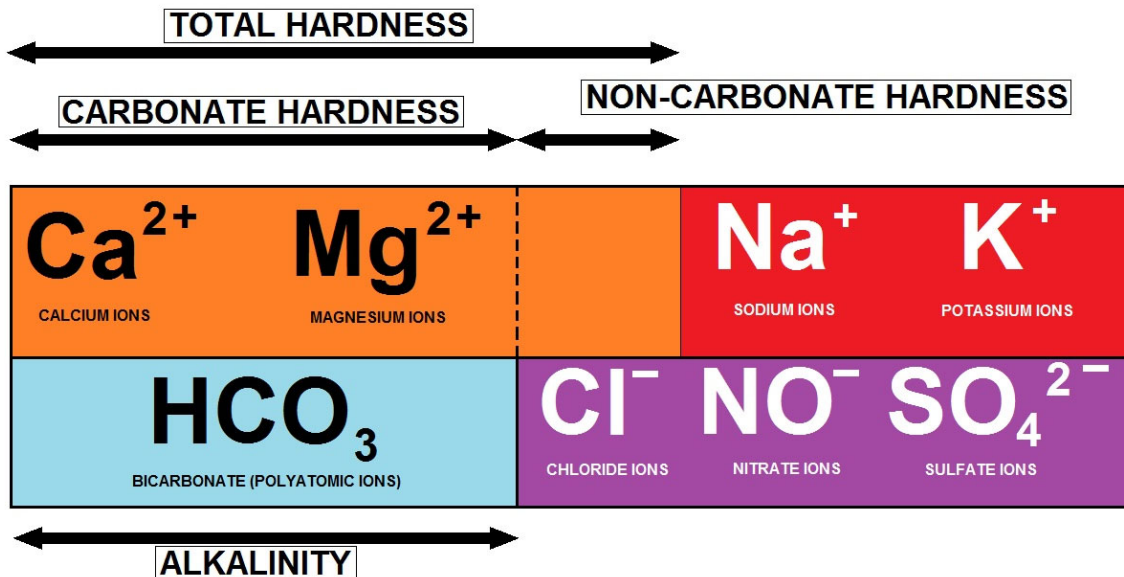
Reverse Osmosis delivers product water or permeate having essentially the same temperature as the raw water source (an increase of 1° C or 1.8° F may occur due to pumping and friction in the piping). This is more desirable than the hot water produced by evaporation technologies. R/O Systems can be designed to deliver virtually any required product water quality. For these and other reasons, R/O is usually the preferred method of desalination today.

Reverse osmosis is capable of rejecting bacteria, salts, sugars, proteins, particles, dyes, and other constituents that have a molecular weight of greater than 150-250 Daltons. The separation of ions with reverse osmosis is aided by charged particles. This means that dissolved ions that carry a charge, such as salts, are more likely to be rejected by the membrane than those that are not charged, such as organics. The larger the charge and the larger the particle, the more likely it will be rejected.

A Reverse Osmosis System removes virtually all of the following: bad taste, odor, turbidity, organic compounds, herbicides, insecticides, pesticides, chlorine and THM's, bacteria, virus, cysts, parasites, arsenic, heavy metals, lead, cadmium, aluminum, dissolved solids, sodium, calcium, magnesium, inorganic dead dirt minerals, fluoride, sulfates, nitrates, phosphates, detergents, radioactivity and asbestos.

**Disadvantages of using ultrafiltration, nanofiltration or reverse osmosis to treat water?**  
Reverse osmosis removes a number of healthy minerals from water, in addition to the harmful minerals and particles.

The removal of these minerals, including calcium and magnesium, can actually make water unhealthy, especially for people with inadequate diets and people who live in hot climates, as water can provide these necessary minerals. The addition of calcium and magnesium, can resolve these concerns.



## CARBONATE HARDNESS CHART

## Reverse Osmosis Described

Osmosis is a natural phenomenon in which a liquid - water in this case - passes through a semi-permeable membrane from a relatively dilute solution toward a more concentrated solution. This flow produces a measurable pressure, called osmotic pressure.

If pressure is applied to the more concentrated solution, and if that pressure exceeds the osmotic pressure, water flows through the membrane from the more concentrated solution toward the dilute solution. This process, called reverse osmosis, or RO, removes up to 98% of dissolved minerals, and virtually 100% of colloidal and suspended matter. RO produces high quality water at a relatively low cost compared to other purification processes.

The membrane must be physically strong to stand up to high osmotic pressure - in the case of sea water, 2500 kg/m. Most membranes are made of cellulose acetate or polyamide composites cast into a thin film, either as a sheet or fine hollow fibers. The membrane is constructed into a cartridge called a reverse osmosis module.



RO Skid

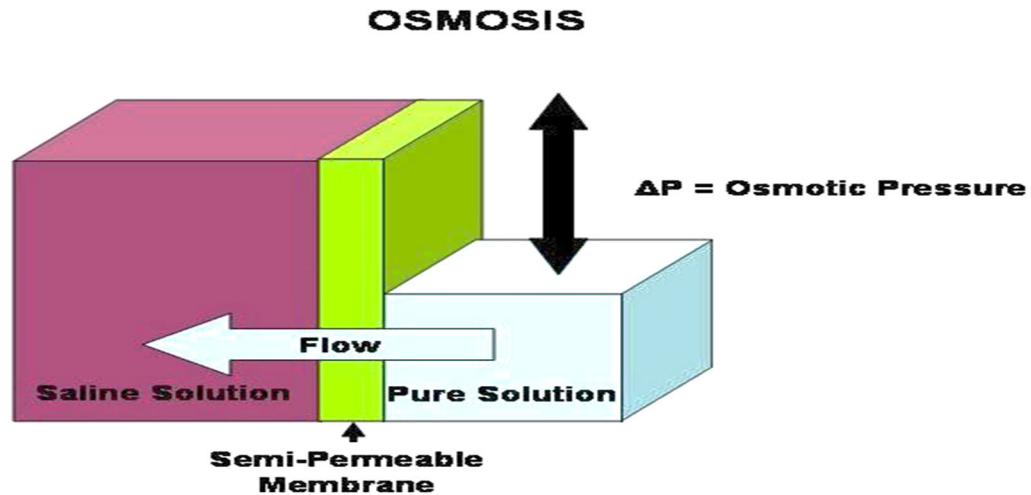
After filtration to remove suspended particles, incoming water is pressurized with a pump to 200 - 400 psi (1380 - 2760 kPa) depending on the RO system model.

This exceeds the water's osmotic pressure. A portion of the water (permeate) diffuses through the membrane, leaving dissolved salts and other contaminants behind with the remaining water where they are sent to drain as waste (concentrate).

### Pretreatment

Pretreatment is important because it influences permeate quality and quantity. It also affects the module's life because many water-borne contaminants can deposit on the membrane and foul it. Generally, the need for pretreatment increases as systems become larger and operate at higher pressures, and as permeate quality requirements become more demanding. Because reverse osmosis is the principal membrane filtration process used in water treatment, it is described here in greater detail.

To understand Reverse Osmosis, one must begin by understanding the process of osmosis, which occurs in nature. In living things, osmosis is frequently seen. The component parts include a pure or relatively pure water solution and a saline or contaminated water solution, separated by a semi-permeable membrane, and a container or transport mechanism of some type.



The semi-permeable membrane is so designated because it permits certain elements to pass through, while blocking others. The elements that pass through include water, usually smaller molecules of dissolved solids, and most gases. The dissolved solids are usually further restricted based on their respective electrical charge. In osmosis, naturally occurring in living things, the pure solution passes through the membrane until the osmotic pressure becomes equalized, at which point osmosis ceases. The osmotic pressure is defined as the pressure differential required to stop osmosis from occurring. This pressure differential is determined by the total dissolved solids content of the saline solution, or contaminated solution on one side of the membrane. The higher the content of dissolved solids, the higher the osmotic pressure. Each element that may be dissolved in the solution contributes to the osmotic pressure, in that the molecular weight of the element affects the osmotic pressure.

Generally, higher molecular weights result in higher osmotic pressures. Hence, the formula for calculating osmotic pressure is very complex. However, approximate osmotic pressures are usually sufficient to design a system.

### **Tap Water Osmotic Pressure**

Common tap water, as found in most areas, may have an osmotic pressure of about 10 PSI (Pounds per Square Inch), or about 1.68 Bar. Seawater at 36,000 PPM typically has an osmotic pressure of about 376 PSI (26.75 Bar). Thus, to reach the point at which osmosis stops for tap water, a pressure of 10 PSI would have to be applied to the saline solution. To stop osmosis in seawater, a pressure of 376 PSI would have to be applied to the seawater side of the membrane.

Several decades ago, U.S. Government scientists had the idea that the principles of osmosis could be harnessed to purify water from various sources, including brackish water and seawater. In order to transform this process into one that purifies water, osmosis would have to be reversed, and suitable synthetic membrane materials would have to be developed.



Additionally, ways of configuring the membranes would have to be engineered to handle a continuous flow of raw and processed water without clogging or scaling the membrane material. These ideas were crystallized and, fueled by U.S. Government funding, usable membrane materials and designs resulted. One of the membrane designs was the spiral wound membrane element. This design enabled the engineers to construct a membrane element that could contain a generous amount of membrane area in a small package, and to permit the flow of raw water to pass along the length of the membrane. This permits flows and pressures to be developed to the point that ample processed or purified water is produced, while keeping the membrane surface relatively free from particulate, colloidal, bacteriological or mineralogical fouling.

The design features a perforated tube in the center of the element, called the product or permeate tube. Wound around this tube are one or more "*envelopes*" of membrane material, opening at the permeate tube. Each envelope is sealed at the incoming and exiting edge. Thus, when water penetrates or permeates through the membrane, it travels, aided by a fine mesh called the permeate channel, around the spiral and collects in the permeate tube. The permeate or product water is collected from the end of each membrane element, and becomes the product or result of the purification process.

### **Brine Channel**

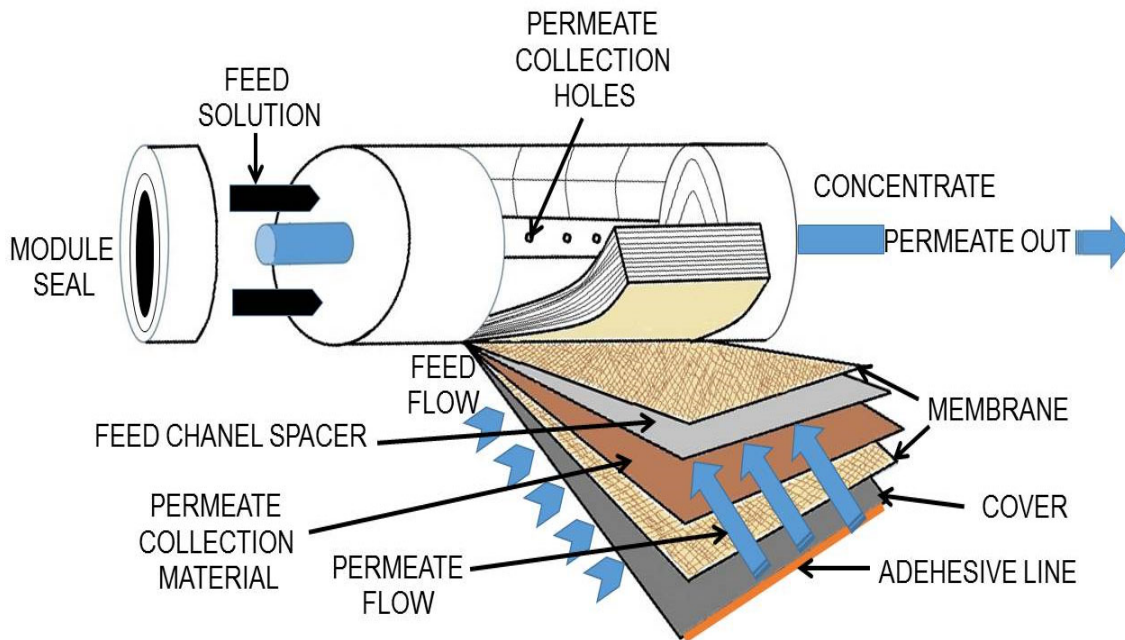
Meanwhile, as the raw water flows along the "*brine channel*" or coarse medium provided to facilitate good flow characteristics, it gets more and more concentrated. This concentrated raw water is called the reject stream or concentrate stream. It may also be called brine if it is coming from a salt water source. The concentrate, when sufficient flows are maintained, serves to carry away the impurities removed by the membrane, thus keeping the membrane surface clean and functional. This is important, as buildup on the membrane surface, called fouling, impedes or even prevents the purification process.

### **Thin Film Composite (TFC) Polyamide Material**

The membrane material itself is a special thin film composite (**TFC**) polyamide material, cast in a microscopically thin layer on another, thicker cast layer of Polysulfone, called the microporous support layer. The microporous support layer is cast on sheets of paper-like material that are made from synthetic fibers such as polyester, and manufactured to the required tolerances.

Each sheet of membrane material is inspected at special light tables to ensure the quality of the membrane coating, before being assembled into the spiral wound element design. To achieve Reverse Osmosis, the osmotic pressure must be exceeded, and to produce a reasonable amount of purified water, the osmotic pressure is generally doubled. Thus with seawater osmotic pressure of 376 PSI, a typical system operating pressure is about 800 PSI. Factors that affect the pressure required include raw water temperature, raw water TDS (Total Dissolved Solids), membrane age, and membrane fouling.

The effect of temperature is that with higher temperatures, the salt passage increases, flux (permeate flow) increases, and operating pressure required is lower. With lower temperatures, the inverse occurs, in that salt passage decreases (reducing the TDS in the permeate or product water), while operating pressures increase. Or, if operating pressures do not increase, then the amount of permeate or product water is reduced. In general, Reverse Osmosis (R/O) systems are designed for raw water temperatures of 25° C (77° F). Higher temperatures or lower temperatures can be accommodated with appropriate adjustments in the system design.



**REVERSE OSMOSIS MEBRANE DIAGRAM**

Membranes are available in "standard rejection" or "high rejection" models for seawater and brackish water. The rejection rate is the percentage of dissolved solids rejected, or prevented from passing through the membrane. For example, a membrane with a rejection rate of 99% (usually based on Na (Sodium)) will allow only 1% of the concentration of dissolved solids to pass through into the permeate.

Hence, product water from a source containing 10,000 PPM would have 100 PPM remaining. Of course, as the raw water is processed, the concentrations of TDS increase as it passes along the membrane's length, and usually multiple membranes are employed, with each membrane in the series seeing progressively higher dissolved solids levels.

Typically, starting with seawater of 36,000 PPM, standard rejection membranes produce permeate below 500 PPM, while high rejection membranes under the same conditions produce drinking water TDS of below 300 PPM.

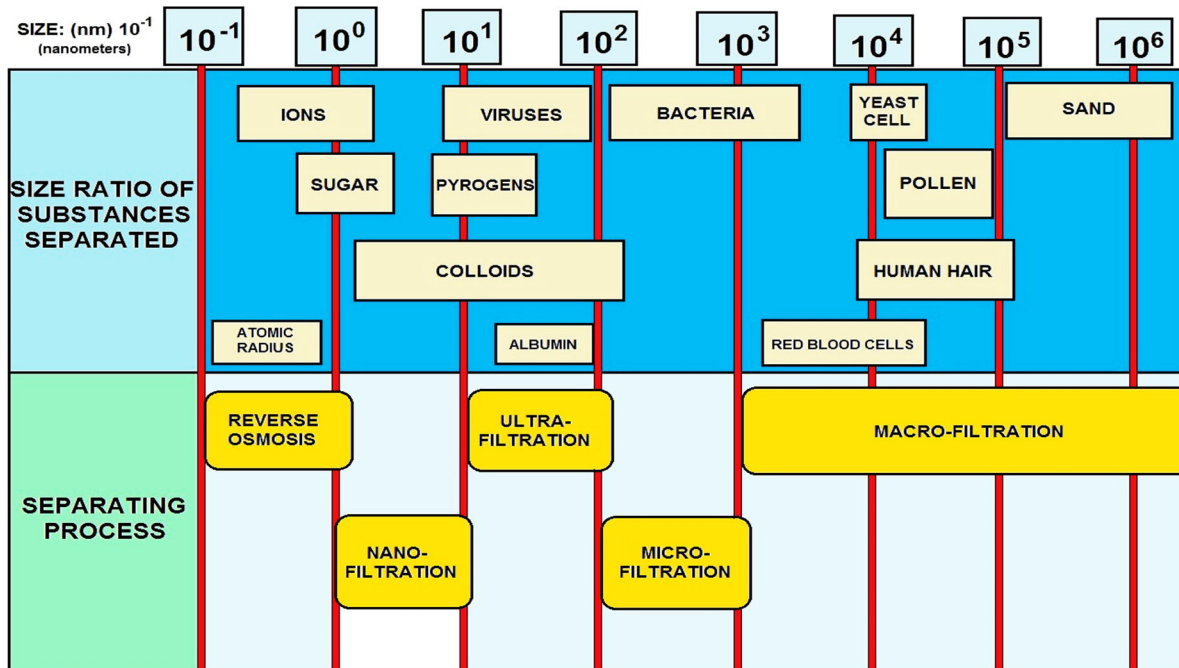
There are many considerations when designing R/O systems that competent engineers are aware of. These include optimum flows and pressures, optimum recovery rates (the percentage of permeate from a given stream of raw water), prefiltration and other pretreatment considerations, and so forth.

Membrane systems in general cannot handle the typical load of particulate contaminants without prefiltration. Often, well designed systems employ multiple stages of prefiltration, tailored to the application, including multi-media filtration and one or more stages of cartridge filtration. Usually the last stage would be 5 microns or smaller, to provide sufficient protection for the membranes.

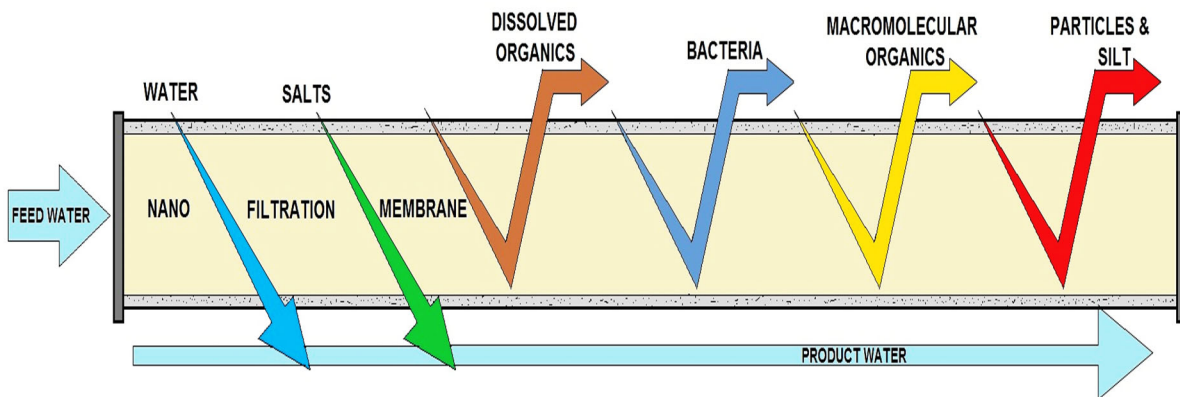
**R/O systems typically have the following components:**

A supply pump or pressurized raw water supply; prefiltration in one or more stages; chemical injection of one or more pretreatment agents; a pressure pump suited to the application, a membrane array including one or more membranes installed in one or more pressure tubes (also called vessels, R/O pressure vessels); various gauges and flow meters; a pressure regulating valve, relief valve(s) and/or safety pressure switches; and usually some form of post treatment.

Post treatment should usually include a form of sterilization such as Chlorine, Bromine, Ultra-Violet (**U-V**), or Ozone. Other types of post treatment may include carbon filters, pH adjustment, or mineral injection for some applications.



**FILTRATION SPECTRUM**



**NANOFILTRATION**



## Nanofiltration and Reverse Osmosis Comparisons

Nanofiltration and Reverse Osmosis are both techniques to bring into action univalent and bivalent ions.

### Nanofiltration

Nanofiltration is a technique that has prospered over the past few years. Today, nanofiltration is mainly applied in drinking water purification process steps, such as water softening, decoloring and micro pollutant removal. During industrial processes nanofiltration is applied for the removal of specific components, such as coloring agents.

Nanofiltration is a pressure related process, during which separation takes place, based on molecule size. Membranes bring about the separation. The technique is mainly applied for the removal of organic substances, such as micro pollutants and multivalent ions. Nanofiltration membranes have a moderate retention for univalent salts.

### Other Applications of Nanofiltration are:

- ✓ The removal of pesticides from groundwater
- ✓ The removal of heavy metals from wastewater
- ✓ Wastewater recycling in laundries
- ✓ Water softening
- ✓ Nitrates removal

### Reverse Osmosis (RO)

Reverse Osmosis is based upon the fundamental pursuit for balance. Two fluids containing different concentrations of dissolved solids that come in contact with each other will mix until the concentration is uniform. When these two fluids are separated by a semi permeable membrane (which lets the fluid flow through, while dissolved solids stay behind), a fluid containing a lower concentration will move through the membrane into the fluids containing a higher concentration of dissolved solids. (Binnie 2002)

After a while the water level will be higher on one side of the membrane. The difference in height is called the osmotic pressure.

By pursuing pressure upon the fluid column, which exceeds the osmotic pressure, one will get a reversed effect. Fluids are pressed back through the membrane, while dissolved solids stay behind in the column.

Using this technique, a larger part the salt content of the water can be removed.

1. Water flows from a column with a low dissolved solids content to a column with a high dissolved solids content
2. Osmotic pressure is the pressure that is used to stop the water from flowing through the membrane, in order to create balance
3. By pursuing pressure that exceeds the osmotic pressure, the water flow will be reversed; water flows from the column with a high dissolved solids content to the column with a low dissolved solids content

Reverse Osmosis is a technique that is mainly applied during drinking water preparation. The process of drinking water preparation from salty seawater is commonly known. Besides that, Reverse Osmosis is applied for the production of ultra-pure water and boiler feed water.

It is also applied in the food sector (concentration of fruit juice, sugar and coffee), in the galvanic industry (concentration of wastewater) and in the dairy industry (concentration of milk for cheese production).

**Summarized, the applications of Reverse Osmosis application are:**

- ✓ Water softening
- ✓ Drinking water production
- ✓ Process water production
- ✓ Ultra-pure water production (electronic industries)
- ✓ Concentration of molecular solvents for food and dairy industries

The pre-treatment of feed water for nanofiltration or Reverse Osmosis installations greatly influences the performance of the installation.

The required form of pre-treatment depends on the feed water quality. The purpose of pre-treatment is reducing the organic matter content and the amount of bacteria, as well as lowering the MFI.

The organic matter content and the amounts of bacteria should be as low as possible to prevent the biofouling of membranes.

**The application of a pre-treatment has several benefits:**

- ✓ Membranes have a longer life-span when pre-treatment is performed
- ✓ The production time of the installation is extended
- ✓ The management tasks become simpler
- ✓ The employment costs are lower

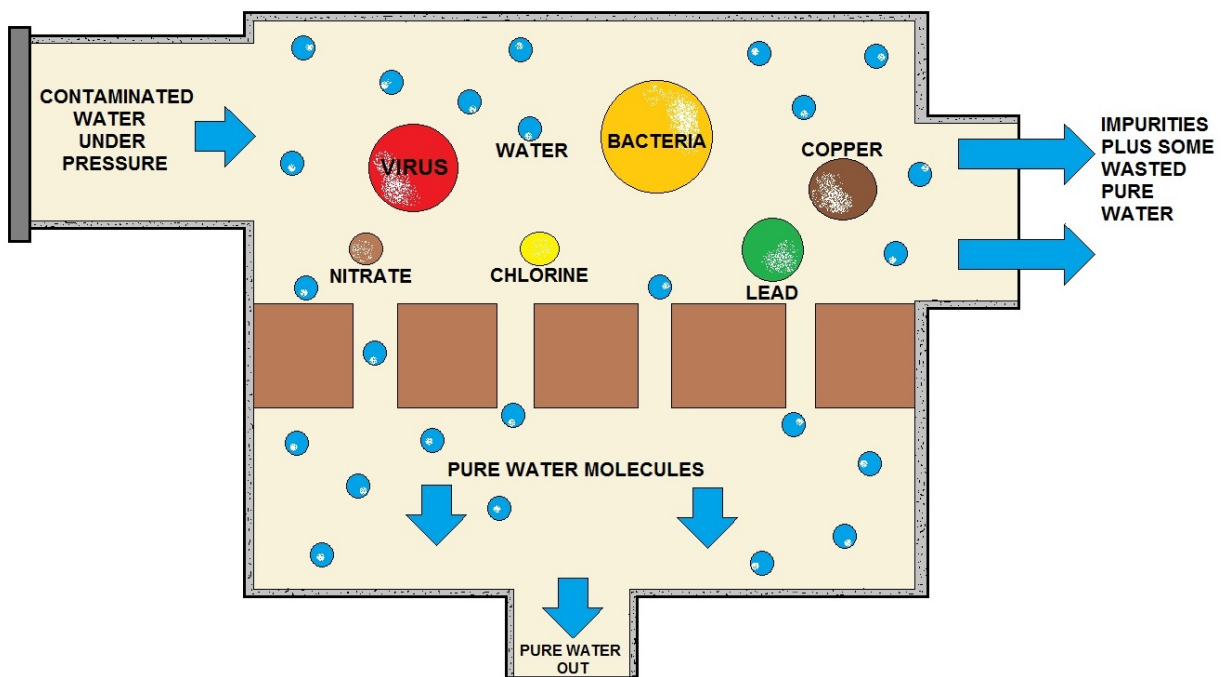
Next to pre-treatment one can perform a chemical dosage (acid, anti-scalent), to prevent scaling and precipitation of insoluble solids, such as calcium carbonate and barium sulfate on the membrane surface. The applied acids are hydrochloric acid (HCl) and sulfuric acid (H<sub>2</sub>SO<sub>4</sub>).

Sulfuric acid is the most widely used chemical for this purpose. However, hydrochloric acid is applied more and more because sulfuric acid can negatively influence the fouling speed of a membrane. When the feed water contains high amounts of sulfate ions, hydrochloric acid replaces sulfuric acid. The dosage of sulfuric acid would enhance the chances of scaling by sulfate ions on the membranes in this case. (Baker, 2000)

## Reverse Osmosis Summary

Reverse osmosis (RO) has been known for more than a century, but it did not become a commercial process until the early sixties when a special membrane was developed. Because RO operates at a comparatively low temperature and is relatively energy efficient, it is employed in various applications, e.g., desalination, treatment of wastewater, reclamation of minerals, and concentration of whey and other food products, and purification of water.

In recent years, RO has been used increasingly in making processed water for dialysis in hospitals and for certain cosmetics and drugs by pharmaceutical manufacturers. In addition to these applications, RO is capable of producing water of sufficient purity to be used as Water For Injection (WFI) and for the preparation of parenteral solutions. This ITG will focus on the chemical and microbiological quality of water produced by reverse osmosis.



**REVERSE OSMOSIS SYSTEM BASIC DESIGN**

### Definition and Operating Principle

Reverse osmosis is a process which uses a membrane under pressure to separate relatively pure water (or other solvent) from a less pure solution. When two aqueous solutions of different concentrations are separated by a semi-permeable membrane, water passes through the membrane in the direction of the more concentrated solution as a result of osmotic pressure. If enough counter pressure is applied to the concentrated solution to overcome the osmotic pressure, the flow of water will be reversed.

Water molecules can form hydrogen bonds in the RO membrane and fit into the membrane matrix. The water molecules that enter the membrane by hydrogen bonding can be pushed through under pressure. Most organic substances with a molecular weight over 100 are sieved out, i.e., oils, pyrogens and particulates including bacteria and viruses.

Salt ions, on the other hand, are rejected by a mechanism related to the valence of the ion. Ions are repelled by dielectric interactions; ions with higher charges are repelled to a greater distance from the membrane surface. Monovalent ions such as chloride ions will not be rejected as efficiently as, for example, divalent sulfate ions. The nominal rejection ratio of common ionic salts is 85 - 98%.

### **Membranes**

The majority of the commercially manufactured RO membranes are made from cellulose acetate, polysulfonate, and polyamide. Many other kinds of membrane made of a single polymer or a copolymer are also available for specific purposes. The membrane consists of a skin about 0.25 microns and a support layer about 100 microns. The skin is the active barrier and primarily allows water to pass through.

### **Two types of RO construction are commonly used:**

1. spiral wound ---sheets of membrane sandwiched with mesh spacers are connected and wound around a permeate tube; and
2. hollow fiber. Either of these modules is assembled into a pressure housing.

### **Quality of RO Product Water**

The amount of dissolved solids in water produced by reverse osmosis is approximately a constant percentage of those in the feed water. For example, when the feed water contains 300 ppm total dissolved solids (TDS), the product water may have 15 to 30 ppm (95% and 90% rejection ratio respectively). A RO system design is based on a certain range of feed water TDS, the percentage of rejection and percentage of recovery desired. For a given system, the higher the percentage of recovery or the lower the percentage of rejection, the poorer the quality of product water becomes.

A RO water purification system with a deionizer and/or several modules connected in series can produce water containing less than 0.1 ppm TDS (resistivity about 1 megaohm-cm). In defining water for use parenterally, Remington's Pharmaceutical Sciences emphasizes that besides meeting USP pyrogen standards, there are several acceptable levels for total solids which may be used to evaluate or grade the product water for particular applications. The USP Monograph limits total solids to 10 PPM for WFI. However, to preclude stability problems from interfering with manufacturing, the parenteral drug industry commonly establishes a limit of 0.1 PPM or less of ionic contaminants.

### **Extensive Investigations on Bacterial Contamination**

It has been reported that bacteria can "grow" through membranes. The mechanism by which bacteria pass through a RO membrane is not known and no correlation exists between a dye leak test of the membrane and its bacterial retention efficiency. Researchers at the Center for Disease Control (CDC) conducted extensive investigations on the bacterial contamination of RO systems used in producing purified water for dialysis.

They reported:

1. certain naturally occurring Gram- negative bacteria can multiply in relatively pure RO water;
2. thorough periodic disinfection of the entire RO system is essential in producing water with acceptable bacterial counts;
3. stagnant water in pipes downstream of the membrane is the major source of bacteria and endotoxin in the product water; and
4. the efficiency of a membrane in rejecting bacteria is better in continuous operation than in intermittent use.



## Topic 5 - Advanced Treatment Section Post Quiz

1. Who was the French physicist who first noted that water would diffuse through a pig bladder membrane into alcohol back in 1748?
2. In reverse osmosis, water is forced to move through \_\_\_\_\_ from a concentrate solution to a dilute solution.
3. Membranes have been used for desalinization, removal of dissolved inorganic and organic chemicals, water softening, and removal of fine solids.  
True or False
4. In the simplest membrane processes, water is forced through a porous membrane under pressure, while suspended solids, large molecules, or ions are dissolved.  
True or False
5. Which process has not been generally applicable to drinking water treatment because it either does not remove substances that require removal from potable water, or the problem substances can be removed more economically using other processes?
6. Reverse Osmosis (RO) membranes are not susceptible to clogging or binding.  
True or False
7. Although \_\_\_\_\_ does not generally work well for removal of salt or dissolved solids, it can be used effectively for removal of most organic chemicals.
8. \_\_\_\_\_ operates with less pressure than reverse osmosis and is still able to remove a significant proportion of inorganic and organic molecules.
9. Reverse Osmosis (RO) membranes have a very low pore size that can reject ions at very high rates, including \_\_\_\_\_ and \_\_\_\_\_.
10. Another crucial application of MF membranes lies in the \_\_\_\_\_ of beverages and pharmaceuticals.

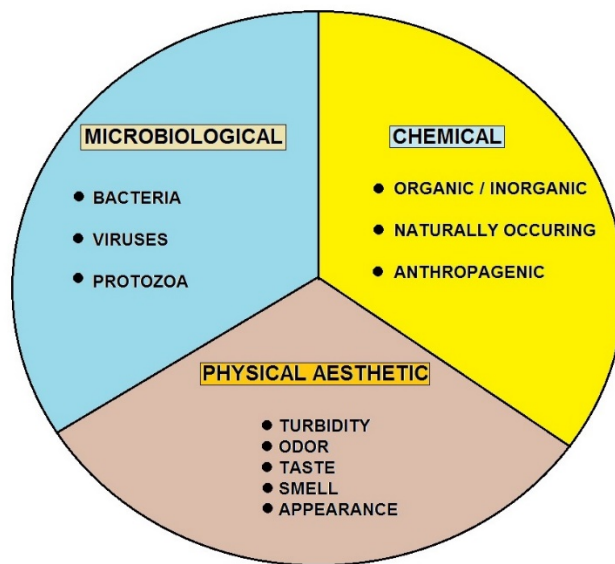
11. The microfiltration process is pressure driven with suspended particles and water as retentate and dissolved solutes plus water as\_\_\_\_\_.

12. \_\_\_\_\_describes the deposition and accumulation of feed components such as suspended particles, impermeable dissolved solutes or even permeable solutes, on the membrane surface and or within the pores of the membrane.

# Topic 6 - Water Laboratory Analysis Section

**Section Focus:** You will learn the basics of the water laboratory and related water quality analysis/procedures. At the end of this section, you will be able to describe water analytical methodologies, i.e., pH, DO, turbidity, Jar Testing, etc. and related lab reports. There is a post quiz at the end of this section to review your comprehension and a final examination in the Assignment for your contact hours.

**Scope/Background:** Laboratory analysis of water quality refers primarily to the chemical, physical, biological, and radiological characteristics of water. It is a measure of the condition of water relative to compliance or process control requirements. Laboratory analysis is frequently used by reference to a set of standards against which compliance, generally achieved through treatment of the water, can be assessed



WATER QUALITY BROKEN DOWN INTO 3 BROAD CATEGORIES

## Quality of Water Primary Factors – Review

If you classified water by its characteristics and could see how water changes as it passes on the surface and below the ground, it would be in these four categories:

**Physical** characteristics such as taste, odor, temperature, and turbidity; this is how the consumer judges how well the provider is treating the water.

**Chemical** characteristics are the elements found that are considered alkali, metals, and non-metals such as fluoride, sulfides or acids. The consumer relates it to scaling of faucets or staining.



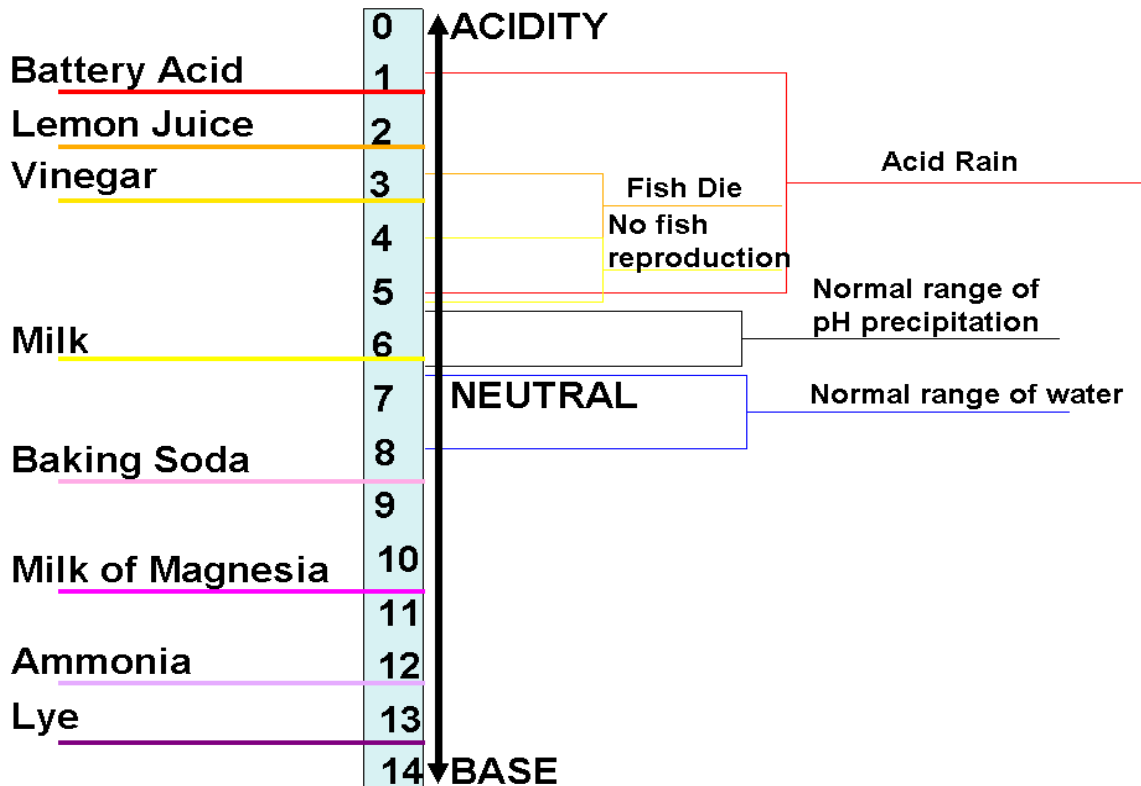
**Biological** characteristics are the presence of living or dead organisms. This will also interact with the chemical composition of the water. The consumer will become sick or complain about hydrogen sulfide odors--the rotten egg smell.

**Radiological** characteristics are the result of water coming in contact with radioactive materials. This could be associated with atomic energy.

FACTOR	TYPE	SOURCE(S)	PROBLEM
FECAL COLIFORM BACTERIA	BIOLOGICAL	HUMAN SEWAGE; LIVESTOCK WASTE	POSSIBLE PRESENCE OF PATHOGENIC (DISEASE-CAUSING) ORGANISMS
DISSOLVED OXYGEN (DO)	CHEMICAL	AIR; AQUATIC PLANTS	LOW LEVELS CAN KILL AQUATIC ORGANISMS
NITROGEN AND PHOSPHORUS	CHEMICAL	FERTILIZERS AND DETERGENTS FROM LAWNS AND RUNOFF	EXCESSIVE ALGAE GROWTH CAN LEAD TO LOW DO
ZINC, ARSENIC, LEAD, MERCURY, CADMIUM, NICKEL	CHEMICAL	LANDFILLS; INDUSTRIAL DISCHARGES; RUNOFF	GENETIC MUTATIONS OR DEATH IN FISH & WILDLIFE (HUMAN HEALTH THREATS AS WELL)
SALT	CHEMICAL	SALTWATER INTRUSION (IF NEAR OCEAN)	KILLS FRESHWATER SPECIES OF PLANTS AND ANIMALS
MUD, SAND, OTHER SOLID PARTICLES (TURBIDITY)	PHYSICAL	EROSION AND RUNOFF FROM DEVELOPMENT; AGRICULTURE	REDUCES PHOTOSYNTHESIS IN AQUATIC VEGETATION; INTERFERES WITH RESPIRATION IN AQUATIC ANIMALS

## WATER QUALITY FACTORS

## pH Section



### Basics

**pH:** A measure of the acidity of water. The pH scale runs from 0 to 14 with 7 being the mid-point or neutral.

A pH of less than 7 is on the acid side of the scale with 0 as the point of greatest acid activity.

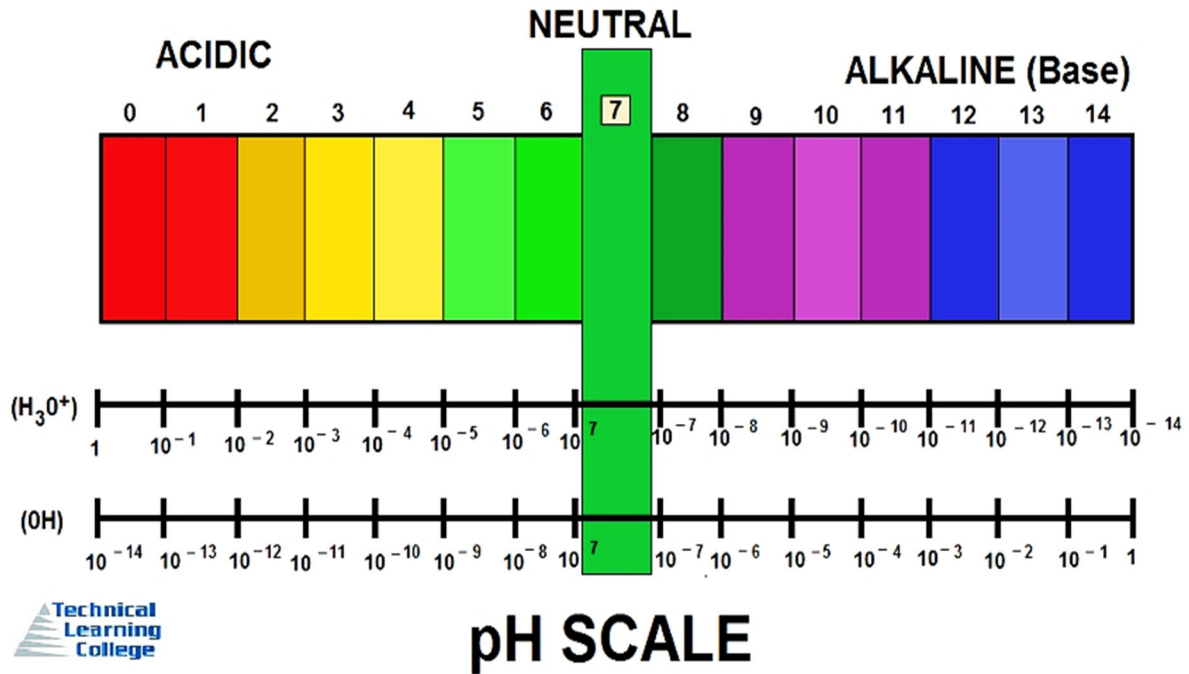
A pH of 7, there are an equal amount or number of hydroxyl (OH<sup>-</sup>) and Hydrogen (H<sup>+</sup>) ions in the solution.

A pH of more than 7 is on the basic (alkaline) side of the scale with 14 as the point of greatest basic activity. Normal rain has a pH of **5.6** – slightly acidic because of the carbon dioxide picked up in the earth's atmosphere by the rain.

### pH = (Power of Hydroxyl Ion Activity).

The acidity of a water sample is measured on a pH scale. This scale ranges from **0** (maximum acidity) to **14** (maximum alkalinity). The middle of the scale, **7**, represents the neutral point. The acidity increases from neutral toward **0**.

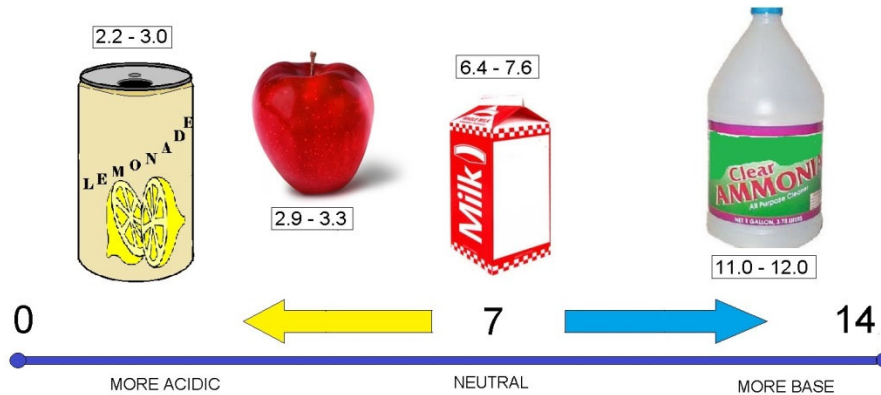
Because the scale is logarithmic, a difference of one pH unit represents a tenfold change. For example, the acidity of a sample with a pH of **5** is ten times greater than that of a sample with a pH of **6**. A difference of 2 units, from **6** to **4**, would mean that the acidity is one hundred times greater, and so on.



**Hydrogen Ion pH Comparison Chart**

pH	Hydrogen Ion Concentration, mmol/L
14	0.00000000000001
13	0.0000000000001
12	0.000000000001
11	0.00000000001
10	0.0000000001
9	0.000000001
8	0.00000001
7	0.0000001
6	0.000001
5	0.00001
4	0.0001
3	0.001
2	0.01
1	0.1

## pH Testing Section



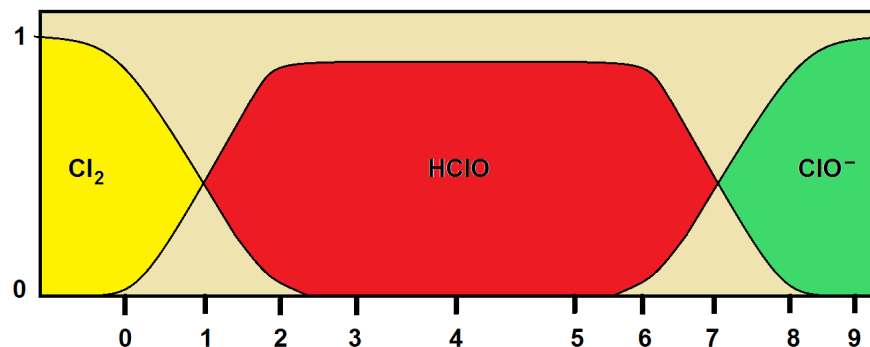
## pH SCALE

As a water treatment operator, you will need to master pH sampling and testing. pH measurements are important in water and wastewater processes (sampling) but also in medicine, biology, chemistry, agriculture, forestry, food science, environmental science, oceanography, civil engineering, chemical engineering, nutrition, and many other applications.

In water and wastewater processes, **pH** is a measure of the acidity or basicity of an aqueous solution.

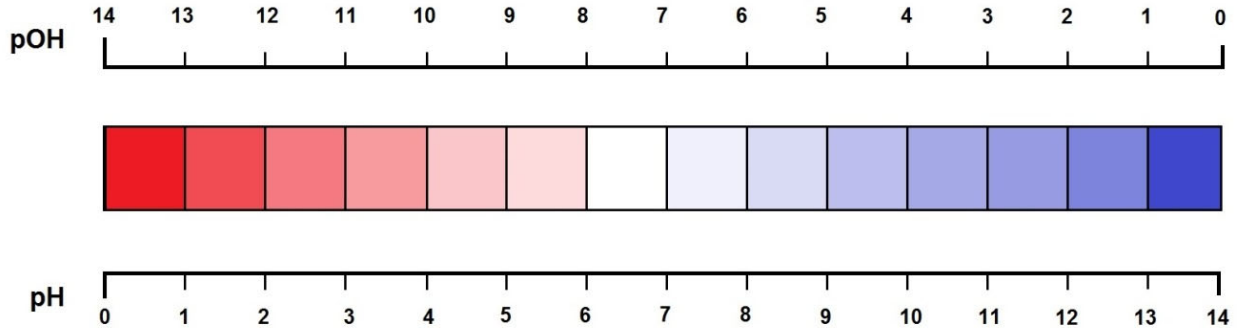
The pH scale is traceable to a set of standard solutions whose pH is established by international agreement.

Primary pH standard values are determined using a concentration cell with transference, by measuring the potential difference between a hydrogen electrode and a standard electrode such as a silver chloride electrode. Measurement of pH for aqueous solutions can be done with a glass electrode and a pH meter, or using indicators like strip test paper.



**pH VALUES CHANGE WITH THE ADDITION OF DIFFERENT TYPES OF CHLORINE**

Mathematically, pH is the measurement of hydroxyl ion ( $H^+$ ) activity and expressed as the negative logarithm of the activity of the (solvated) hydronium ion, more often expressed as the measure of the hydronium ion concentration.



**RELATIONSHIP BETWEEN  $p(OH^-)$  &  $p(H^+)$   
red = ACIDIC / blue = BASIC)**

**History**

The scientific discovery of the  $p[H]$  concept was first introduced by Danish chemist Søren Peder Lauritz Sørensen at the Carlsberg Laboratory back in 1909 and revised to the modern pH in 1924 to accommodate definitions and measurements in terms of electrochemical cells. In the first papers, the notation had the "H" as a subscript to the lowercase "p", as so:  $p_H$ .

**Alkalinity**

Alkalinity is the quantitative capacity of an aqueous solution to neutralize an acid. Measuring alkalinity is important in determining a stream's ability to neutralize acidic pollution from rainfall or wastewater. It is one of the best measures of the sensitivity of the stream to acid inputs. There can be long-term changes in the alkalinity of rivers and streams in response to human disturbances.

*Reference. Bates, Roger G. Determination of pH: theory and practice. Wiley, 1973.*



## pH Definition and Measurement

CONCENTRATION OF HYDROGEN IONS COMPARED TO DISTILLED H <sub>2</sub> O	1/10,000,000	14	LIQUID DRAIN CLEANER CAUSTIC SODA	EXAMPLES OF SOLUTIONS AND THEIR RESPECTIVE pH
	1/1,000,000	13	BLEACHES OVEN CLEANERS	
	1/100,000	12	SOAPY WATER	
	1/10,000	11	HOUSEHOLD AMMONIA (11.9)	
	1/1,000	10	MILK OF MAGNESIUM (10.5)	
	1/100	9	TOOTHPASTE (9.9)	
	1/10	8	BAKING SODA (8.4) / SEA WATER EGGS	
	0	7	"PURE" WATER (7)	
	10	6	URINE (6) / MILK (6.6)	
	100	5	ACID RAIN (5.6) BLACK COFFEE (5)	
	1000	4	TOMATO JUICE (4.1)	
	10,000	3	GRAPEFRUIT & ORANGE JUICE SOFT DRINK	
	100,000	2	LEMON JUICE (2.3) VINEGAR (2.9)	
	1,000,000	1	HYDROCHLORIC ACID SECRETED FROM STOMACH LINING (1)	
	10,000,000	0	BATTERY ACID	

### pH Scale

#### Technical Definition of pH

In technical terms, pH is defined as the decimal logarithm of the reciprocal of the hydrogen ion activity,  $a_{H^+}$ , in a solution.

$$pH = -\log_{10}(a_{H^+}) = \log_{10}\left(\frac{1}{a_{H^+}}\right)$$

Ion-selective electrodes are often used to measure pH, respond to activity.

In this calculation of electrode potential,  $E$ , follows the Nernst equation, which, for the hydrogen ion can be written as

$$E = E^0 + \frac{RT}{F} \ln(a_{H^+}) = E^0 - \frac{2.303RT}{F} pH$$

where  $E$  is a measured potential,  $E^0$  is the standard electrode potential,  $R$  is the gas constant,  $T$  is the temperature in kelvin,  $F$  is the Faraday constant. For  $H^+$  number of electrons transferred is one. It follows that electrode potential is proportional to pH when pH is defined in terms of activity.

International Standard ISO 31-8 is the standard for the precise measurement of pH as follows: A galvanic cell is set up to measure the electromotive force (EMF) between a reference electrode and an electrode sensitive to the hydrogen ion activity when they are both immersed in the same aqueous solution.

The reference electrode may be a silver chloride electrode or a calomel electrode. The hydrogen-ion selective electrode is a standard hydrogen electrode.

Reference electrode | concentrated solution of KCl || test solution | H<sub>2</sub> | Pt

Firstly, the cell is filled with a solution of known hydrogen ion activity and the emf,  $E_s$ , is measured. Then the emf,  $E_x$ , of the same cell containing the solution of unknown pH is measured.

$$pH(X) = pH(S) + \frac{E_s - E_x}{Z}$$

The difference between the two measured emf values is proportional to pH. This method of calibration avoids the need to know the standard electrode potential. The proportionality

constant,  $1/z$  is ideally equal to  $\frac{1}{2.303RT/F}$  the "Nernstian slope".

If you were to apply this practice the above calculation, a glass electrode is used rather than the cumbersome hydrogen electrode. A combined glass electrode has an in-built reference electrode. It is calibrated against buffer solutions of known hydrogen ion activity. IUPAC has proposed the use of a set of buffer solutions of known H<sup>+</sup> activity.

Two or more buffer solutions should be used in order to accommodate the fact that the "slope" may differ slightly from ideal.

The electrode is first immersed in a standard solution and the reading on a pH meter is adjusted to be equal to the standard buffer's value, to implement the proper calibration. The reading from a second standard buffer solution is then adjusted, using the "slope" control, to be equal to the pH for that solution. Further details, are given in the IUPAC recommendations.

When more than two buffer solutions are used the electrode is calibrated by fitting observed pH values to a straight line with respect to standard buffer values. Commercial standard buffer solutions usually come with information on the value at 25 °C and a correction factor to be applied for other temperatures. The pH scale is logarithmic and pH is a dimensionless quantity.

### pH Indicators

Visual comparison of the color of a test solution with a standard color chart provides a means to measure pH accurate to the nearest whole number. Indicators may be used to measure pH, by making use of the fact that their color changes with pH.

More precise measurements are possible if the color is measured spectrophotometrically, using a colorimeter or spectrophotometer. Universal indicator consists of a mixture of indicators such that there is a continuous color change from about pH 2 to pH 10. Universal indicator paper is made from absorbent paper that has been impregnated with universal indicator.

### pOH

pOH is sometimes used as a measure of the concentration of hydroxide ions,  $\text{OH}^-$ , or alkalinity. pOH values are derived from pH measurements. The concentration of hydroxide ions in water is related to the concentration of hydrogen ions by

$$[\text{OH}^-] = \frac{K_w}{[\text{H}^+]}$$

where  $K_w$  is the self-ionization constant of water. Taking logarithms

$$\text{pOH} = \text{p}K_w - \text{pH}$$

At room temperature  $\text{pOH} \approx 14 - \text{pH}$ . However, this relationship is not strictly valid in other circumstances, such as in measurements of soil alkalinity.

### Extremes of pH

Measurement of pH below about 2.5 (ca.  $0.003 \text{ mol dm}^{-3}$  acid) and above about 10.5 (ca.  $0.0003 \text{ mol dm}^{-3}$  alkali) requires special procedures because, when using the glass electrode, the Nernst law breaks down under those conditions.

Extreme pH measurements imply that the solution may be concentrated, so electrode potentials are affected by ionic strength variation. At high pH the glass electrode may be affected by "alkaline error", because the electrode becomes sensitive to the concentration of cations such as  $\text{Na}^+$  and  $\text{K}^+$  in the solution. Specially constructed electrodes are available which partly overcome these problems. Runoff from industrial outfalls, restaurant grease, mines or mine tailings can produce some very low pH values.

### Applications

Water has a pH of  $\text{p}K_w/2$ , so the pH of pure water is about 7 at  $25^\circ\text{C}$ ; this value varies with temperature. When an acid is dissolved in water, the pH will be less than that of pure water. When a base, or alkali, is dissolved in water, the pH will be greater than that of pure water.

A solution of a strong acid, such as hydrochloric acid, at concentration  $1 \text{ mol dm}^{-3}$  has a pH of 0. A solution of a strong alkali, such as sodium hydroxide, at concentration  $1 \text{ mol dm}^{-3}$ , has a pH of 14. Thus, measured pH values will lie mostly in the range 0 to 14, though negative pH values and values above 14 are entirely possible.

Since pH is a logarithmic scale, a difference of one pH unit is equivalent to a tenfold difference in hydrogen ion concentration.

The pH of an aqueous solution of pure water is slightly different from that of a salt such as sodium chloride even though the salt is neither acidic nor basic.

In this case, the hydrogen and hydroxide ions' activity is dependent on ionic strength, so  $K_w$  varies with ionic strength. The pH of pure water decreases with increasing temperatures. One example is the pH of pure water at 50 °C is 6.55.

### Seawater

The pH of seawater plays an important role in the ocean's carbon cycle, and there is evidence of ongoing ocean acidification caused by human caused carbon dioxide emissions. pH measurement can be complicated by the chemical properties of seawater, and several distinct pH scales exist in chemical oceanography.

These solutions have a relatively low ionic strength (~0.1) compared to that of seawater (~0.7), and, as a consequence, are not recommended for use in characterizing the pH of seawater, since the ionic strength differences cause changes in electrode potential.

To resolve this problem, an alternative series of buffers based on artificial seawater was developed. This new series resolves the problem of ionic strength differences between samples and the buffers. The newest pH scale is referred to as the **total scale**, often denoted as **pH<sub>T</sub>**. The bottom line: do not use a fresh water pH meter to measure the pH of seawater.

### Calculation of pH

The calculation of the pH of a solution containing acids and/or bases is an example of a chemical speciation calculation, that is, a mathematical procedure for calculating the concentrations of all chemical species that are present in the solution. The complexity of the procedure depends on the nature of the solution.

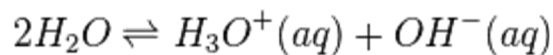
If the pH of a solution contains a weak acid requires the solution of a quadratic equation.

If the pH of a solution contains a weak base may require the solution of a cubic equation.

For strong acids and bases no calculations are necessary except in extreme situations.

The general case requires the solution of a set of non-linear simultaneous equations.

A complicating factor is that water itself is a weak acid and a weak base. It dissociates according to the equilibrium



with a dissociation constant,  $K_w$  defined as

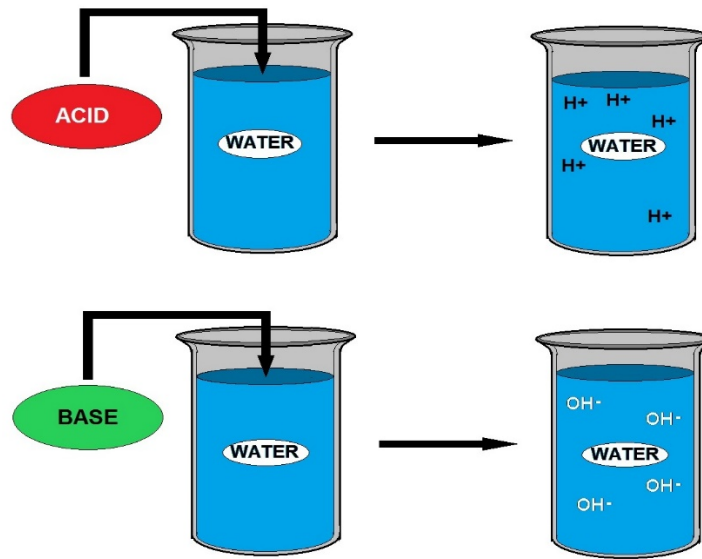
$$K_w = [H^+][OH^-]$$

where  $[H^+]$  represents for the concentration of the aquated hydronium ion and  $[OH^-]$  stands for the concentration of the hydroxide ion.

$K_w$  has a value of about  $10^{-14}$  at 25 °C, so pure water has a pH of approximately 7.

This equilibrium needs to be considered at high pH and when the solute concentration is extremely low.

## Strong Acids and Bases



### Strong Acids and Bases

Strong acids and bases are compounds that, for practical purposes, are completely dissociated in water. Under normal circumstances, this means that the concentration of hydrogen ions in acidic solution can be taken to be equal to the concentration of the acid. The pH is then equal to minus the logarithm of the concentration value.

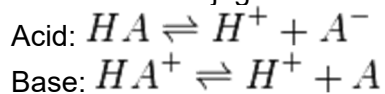
Hydrochloric acid (HCl) is an example of a strong acid. The pH of a 0.01M solution of HCl is equal to  $-\log_{10}(0.01)$ , that is,  $\text{pH} = 2$ .

Sodium hydroxide, NaOH, is an example of a strong base. The  $\text{p}[\text{OH}]$  value of a 0.01M solution of NaOH is equal to  $-\log_{10}(0.01)$ , that is,  $\text{p}[\text{OH}] = 2$ .

From the definition of  $\text{p}[\text{OH}]$  above, this means that the pH is equal to about 12. For solutions of sodium hydroxide at higher concentrations, the self-ionization equilibrium must be taken into account.

### Weak Acids and Bases

A weak acid or the conjugate acid of a weak base can be treated using the same formalism.



First, an acid dissociation constant is defined as follows. Electrical charges are omitted from subsequent equations for the sake of generality

$$K_a = \frac{[H][A]}{[HA]}$$

and its value is assumed to have been determined by experiment. This being so, there are three unknown concentrations,  $[HA]$ ,  $[H^+]$  and  $[A^-]$  to determine by calculation. Two additional equations are needed.

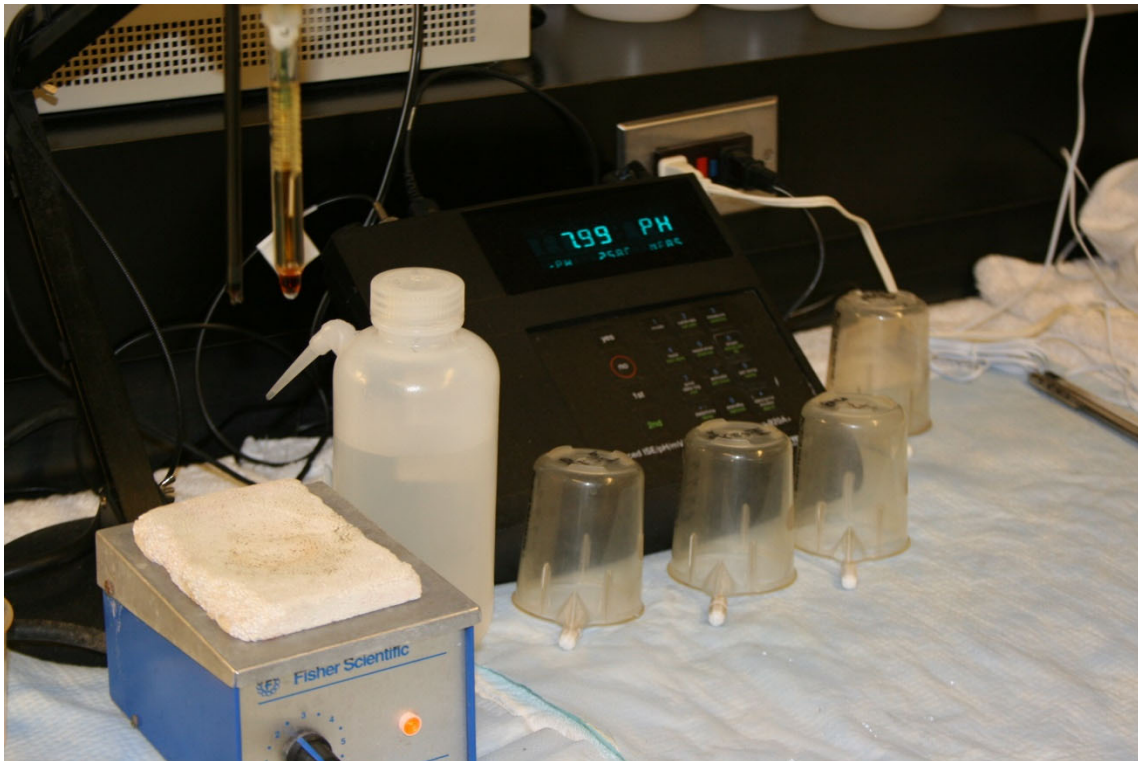
One way to provide them is to apply the law of mass conservation in terms of the two "reagents" H and A.

$$\begin{aligned}C_A &= [A] + [HA] \\C_H &= [H] + [HA]\end{aligned}$$

C stands for analytical concentration. In some texts one mass balance equation is replaced by an equation of charge balance. This is satisfactory for simple cases like this one, but is more difficult to apply to more complicated cases as those below.

Together with the equation defining  $K_a$ , there are now three equations in three unknowns. When an acid is dissolved in water  $C_A = C_H = C_a$ , the concentration of the acid, so  $[A] = [H]$ . After some further algebraic manipulation an equation in the hydrogen ion concentration may be obtained.

$$[H]^2 + K_a[H] - K_aC_a = 0$$



Digital pH Meter

# Alkalinity Sub-Section

## Introduction

Alkalinity of water is its acid-neutralizing capacity. It is the sum of all the titratable bases. The measured value may vary significantly with the end-point pH used. Alkalinity is a measure of an aggregate property of water and can be interpreted in terms of specific substances only when the chemical composition of the sample is known.

## Alkalinity and pH Adjustment

Adjusting pH and alkalinity is the most common corrosion control method because it is simple and inexpensive. pH is a measure of the concentration of hydrogen ions present in water; alkalinity is a measure of water's ability to neutralize acids.

Generally, water pH less than 6.5 is associated with uniform corrosion, while pH between 6.5 and 8.0 can be associated with pitting corrosion. Some studies have suggested that systems using only pH to control corrosion should maintain a pH of at least 9.0 to reduce the availability of hydrogen ions as electron receptors. However, pH is not the only factor in the corrosion equation; carbonate and alkalinity levels affect corrosion as well.

Generally, an increase in pH and alkalinity can decrease corrosion rates and help form a protective layer of scale on corrodible pipe material.

Chemicals commonly used for pH and alkalinity adjustment are hydrated lime ( $\text{CaOH}_2$  or calcium hydroxide), caustic soda ( $\text{NaOH}$  or sodium hydroxide), soda ash ( $\text{Na}_2\text{CO}_3$  or sodium carbonate), and sodium bicarbonate ( $\text{NaHCO}_3$ , essentially baking soda).

Care must be taken, however, to maintain pH at a level that will control corrosion but not conflict with optimum pH levels for disinfection and control of disinfection by-products.



## Corrosion Inhibitors

Inhibitors reduce corrosion by forming protective coatings on pipes. The most common corrosion inhibitors are inorganic phosphates, sodium silicates and mixtures of phosphates and silicates. These chemicals have proven successful in reducing corrosion in many water systems.

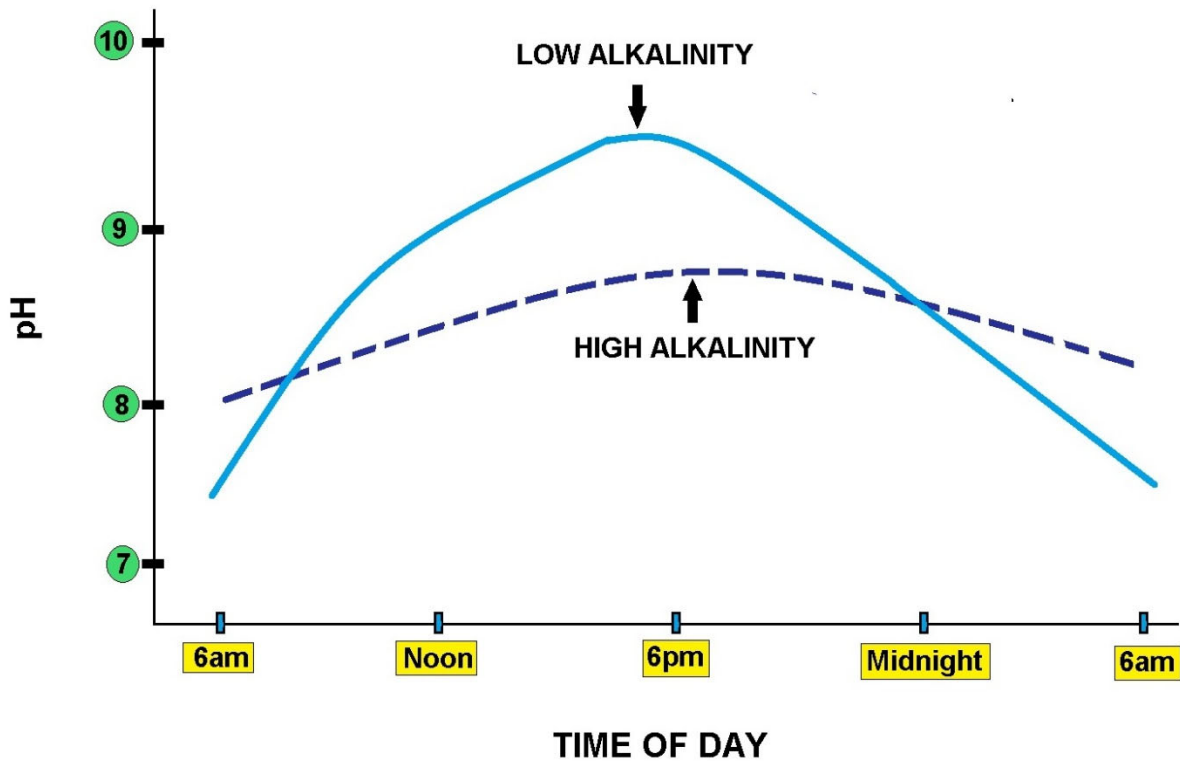
The phosphates used as corrosion inhibitors include polyphosphates, orthophosphates, glassy phosphates and bimetallic phosphates. In some cases, zinc is added in conjunction with orthophosphates or polyphosphates.

Glassy phosphates, such as sodium hexametaphosphate, effectively reduce iron corrosion at dosages of 20 to 40 mg/l.

Glassy phosphate has an appearance of broken glass and can cut the operator. Sodium silicates have been used for over 50 years to inhibit corrosion. The effectiveness depends on the water pH and carbonate concentration.

Sodium silicates are particularly effective for systems with high water velocities, low hardness, low alkalinity and a pH of less than 8.4.

Typical coating maintenance doses range from 2 to 12 mg/l. They offer advantages in hot water systems because of their chemical stability. For this reason, they are often used in the boilers of steam heating systems.

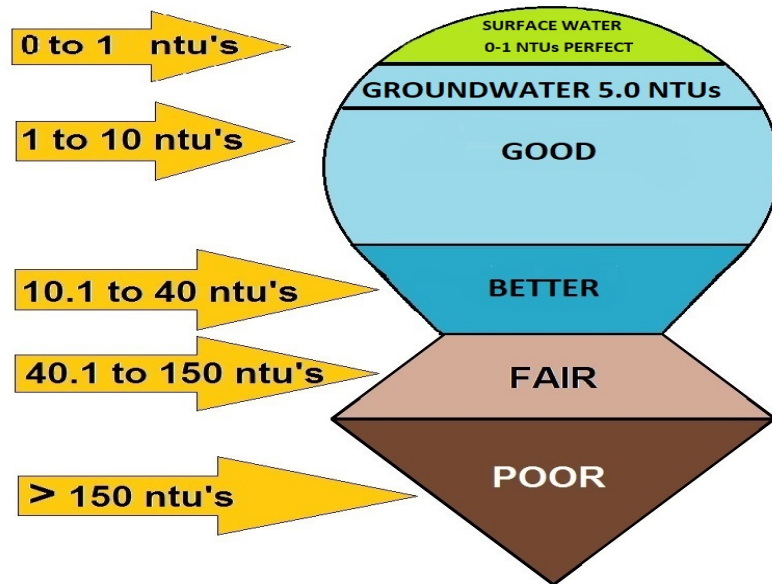


**ALKALINITY CAN CHANGE THROUGHOUT THE DAY DIAGRAM**



## Turbidity Testing Sub-Section

Suspension of particles in water interfering with passage of light is called turbidity. Turbidity is caused by wide variety of suspended matter that range in size from colloidal to coarse dispersions, depending upon the degree of turbulence, and ranges from pure inorganic substances to those that are highly organic in nature. Turbid waters are undesirable from an aesthetic point of view in drinking water supplies. Turbidity is measured to evaluate the performance of water treatment plants.



**TURBIDITY PARAMETERS (NTU) FOR WATER QUALITY**

### Surface Water (SW) System Compliance

- ▶ 0.34 NTU in 95% of samples, never to exceed 1.0 NTU spike
- ▶ Sample turbidity at each individual filter effluent
- ▶ Sample the combined filter turbidity at the clear well
- ▶ (Groundwater turbidity = 5.0 NTU)

### Disinfection Key

- ▶ Contact time is required
  - ▶ 99% or 2 log inactivation of crypto
  - ▶ 99.9% or 3 log inactivation of giardia lamblia cysts
  - ▶ 99.99% or 4 log inactivation of enteric viruses
- ▶ CT = Concentration of disinfectant x contact time
- ▶ The chlorine residual leaving the plant must be = or > 0.2 mg/L and measurable throughout the system.

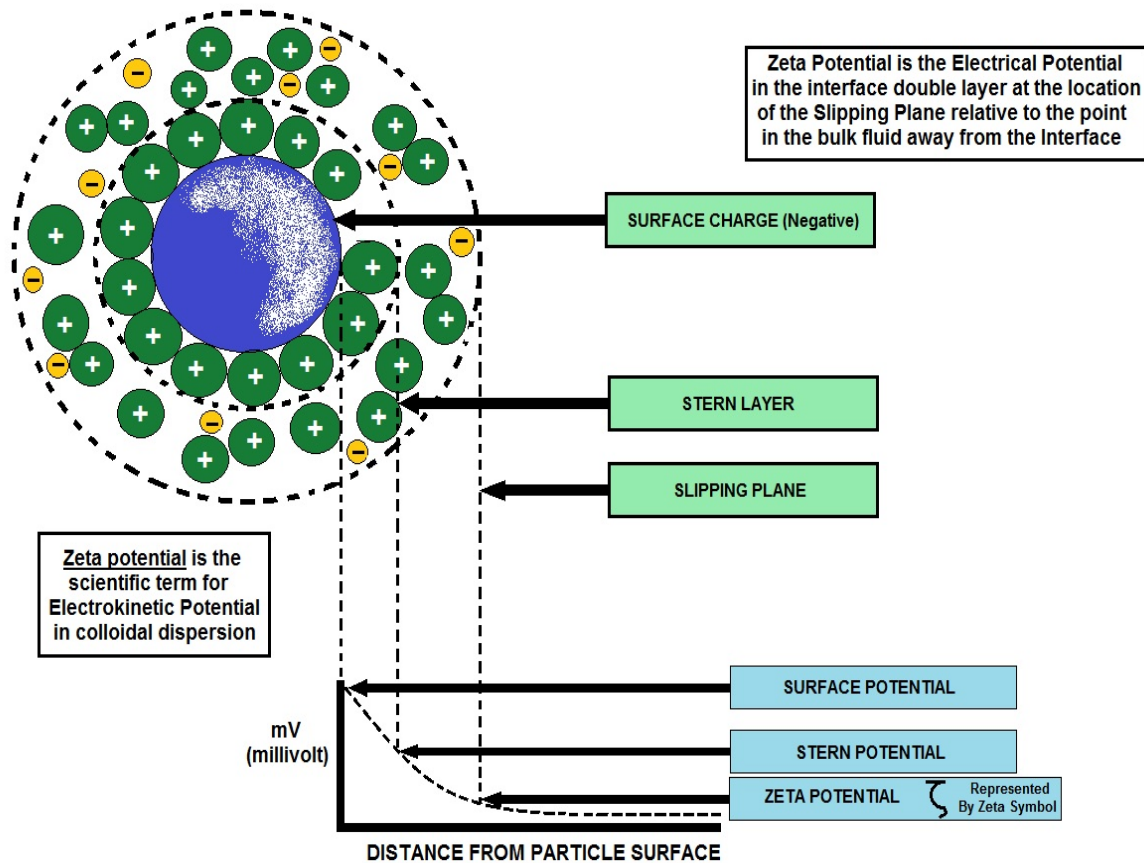
### Turbidity Key

- ▶ Turbidity can also be measured in ppm (parts per million) and its size is measured in microns. Turbidity can be particles in the water consisting of finely divided solids, larger than molecules, but not visible by the naked eye; ranging in size from .001 to .150mm (1 to 150 microns).
- ▶ 0.34 NTU in 95% of surface water samples, never to exceed 1.0 NTU spike

## Cloudy Water

Particles less than or about 1 to 10  $\mu\text{m}$  in diameter (primarily colloidal particles) will not settle out by gravitational forces, therefore making them very difficult to remove. These particles are the primary contributors to the turbidity of the raw water causing it to be “cloudy”. The most important factor(s) contributing to the stability of colloidal particles is not their mass, but their surface properties.

This idea can be better understood by relating the colloidal particles’ large surface area to their small volume (S/V) ratio resulting from their very small size. In order to remove these small particles, we must either filter the water or somehow incorporate gravitational forces such that these particles will *settle* out. In order to have gravity affect these particles, we must somehow make them larger, somehow have them come together (agglomerate); in other words, somehow make them “stick” together, thereby increasing their size and mass.



## ZETA POTENTIAL

The two primary forces that control whether or not colloidal particles will agglomerate are:

**Repulsive Force**

$$\zeta = \frac{4 \pi q d}{D}$$

An electrostatic force called the “**Zeta Potential**” -

**Where:**

$\zeta$  = Zeta Potential

$q$  = charge per unit area of the particle

$d$  = thickness of the layer surrounding the shear surface through which the charge is effective

$D$  = dielectric constant of the liquid

**Attractive force**

***Force due to van der Waals forces***

Van der Waals forces are weak forces based on a polar characteristic induced by neighboring molecules. When two or more nonpolar molecules, such as He, Ar, H<sub>2</sub>, are in close proximity, the nucleus of each atom will weakly attract electrons in the counter atom resulting, at least momentarily, in an asymmetrical arrangement of the nucleus.

This force, van der Waals force, is inversely proportional to the sixth power of the distance (1/d<sup>6</sup>) between the particles. As can clearly be seen from this relationship, decay of this force occurs exponentially with distance.

**Ways to Measure Turbidity**

- 1.) Jackson Candle Test
- 2.) Secchi Disk - a black and white disk divided like a pie in 4 quadrants about 6" in diameter. This device is lowered by a rope into the water until it cannot be seen and then the rope is measured.
- 3.) Turbidimeter - Light is passed through a sample. A sensitive photomultiplier tube at a 90° angle from the incident light beam detects the light scattered by the particles in the sample. The photomultiplier tube converts the light energy into an electrical signal, which is amplified and displayed on the instrument. The reading is expressed in Nephelometric Turbidity Unit (NTU) or Formazin Turbidity Unit (FTU).

## How to Treat Turbidity

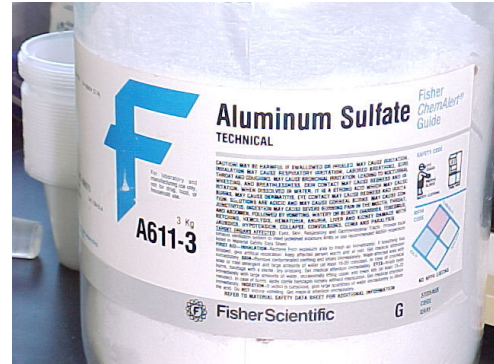
By supercharging the water supply momentarily with a positive charge, we can upset the charge effect of the particle enough to reduce the Zeta potential (repulsive force), thereby allowing van der Waals forces (attractive forces) to take over.

By introducing aluminum ( $\text{Al}_3^+$ ) into the water in the form of Alum ( $\text{Al}_2(\text{SO}_4)_3 \cdot n\text{H}_2\text{O}$ ) we can accomplish the supercharging of the water. This is the *coagulation* part of the coagulation/flocculation process; flocculation follows coagulation.

During the *flocculation* process the particles join together to form flocs; the larger the flocs, the faster they will settle within a clarifier.

Other chemical coagulants used are Ferric Chloride and Ferrous Sulfate.

Alum works best in the pH range of natural waters, 5.0 - 7.5. Ferric Chloride works best at lower pH values, down to pH 4.5.



Ferrous Sulfate works well through a range of pH values, 4.5 to 9.5.

During the coagulation process, charged hydroxy-metallic complexes are formed momentarily (i.e.  $\text{Al}(\text{OH})_2^+$ ,  $\text{Al}(\text{OH})_2^{1+}$  etc.). These complexes are charged highly positive, and therefore upset the stable negative charge of the target particles, thereby momentarily displacing the water layer surrounding the charged particle. This upset decreases the distance “d,” in turn decreasing the Zeta potential.

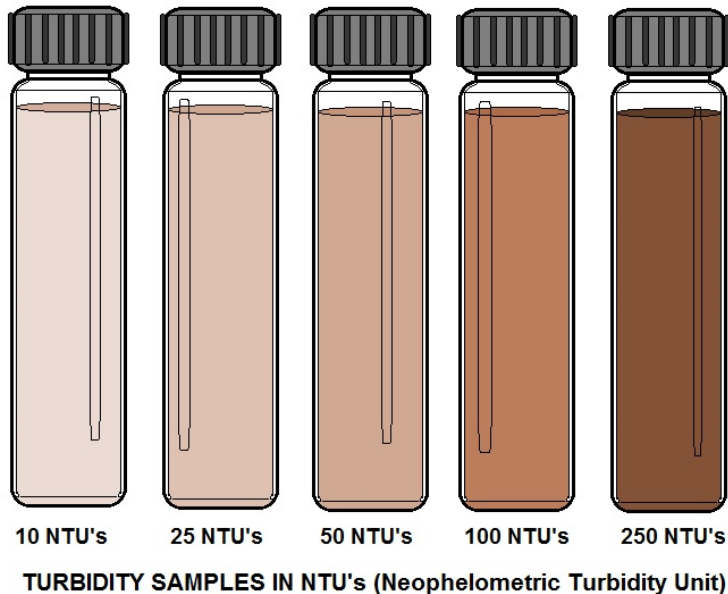
The particles are then able to get close enough together for van der Waals forces to take over and the particles begin to flocculate. The chemical reaction continues until the aluminum ions ( $\text{Al}^{+3}$ ) reach their final form,  $\text{Al}(\text{OH})_3$  (s), and settle out (note – the flocculated particles settle out separately from the precipitated  $\text{Al}(\text{OH})_3$  (s)).

If too much alum is added, then the opposite effect occurs--the particles form sub complexes with the  $\text{Al}^{+3}$  and gain a positive charge about them, and the particles re-stabilize.

The final key to obtaining good flocs is the added energy put into the system by way of rotating paddles in the flocculator tanks. By “*pushing*” (adding energy) the particles together we can aid in the flocculation process, forming larger flocs.

It is important to understand that too much energy, i.e. rotating the paddles too fast, would cause the particles to shear (breakup), thereby reducing the size of the particles and increasing the settling time in the clarifier.

## Turbidity Analysis



### Principle

Turbidity can be measured either by its effect on the transmission of light, which is termed as Turbidimetry, or by its effect on the scattering of light, which is termed as Nephelometry. A Turbidimeter can be used for samples with moderate turbidity and a Nephelometer for samples with low turbidity. The higher the intensity of scattered light, the higher the turbidity.

### Interference

Color is the main source of interference in the measurement of turbidity.

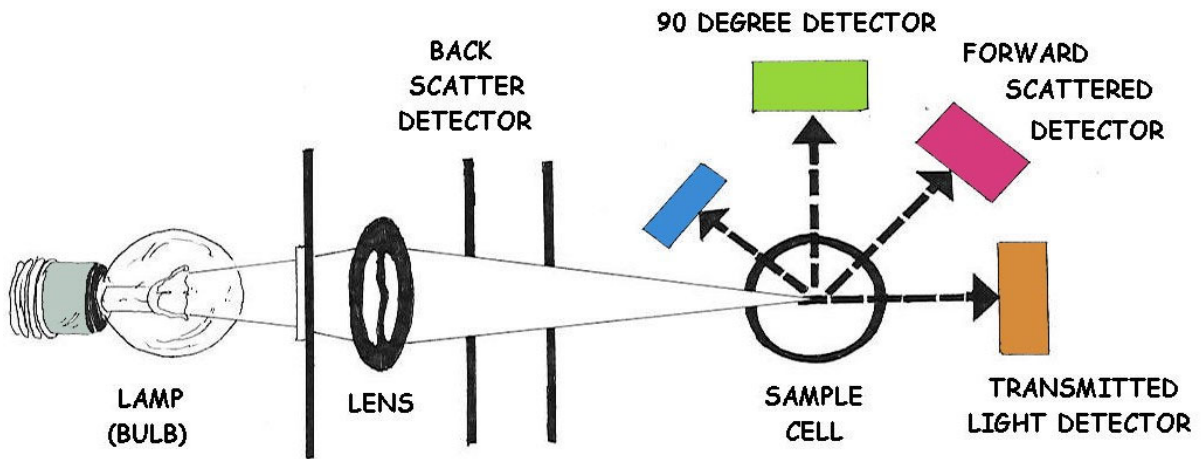
### Apparatus Necessary: Turbidimeter or Nephelometer.

### Reagents

1. Solution I: Dissolve 1.0 gm Hydrazine Sulfate and dilute to 100 mL.
2. Solution II: Dissolve 10.0 gm Hexamethylene tetramine and dilute to 100 mL.
3. Mix 5 mL of I with 5 mL of II. Allow to stand for 24 hrs. at  $25 \pm 3^\circ\text{C}$  and dilute to 100 mL. This solution (III) will have turbidity of 400 units (N.T.U.)
4. Standard turbidity suspension: Dilute 10 mL of solution III as prepared above to 100 mL to have solution of the turbidity of 40 units. (N.T.U.)

### Procedure

1. Prepare calibration curve in the range of 0-400 units by carrying out appropriate dilutions of solutions III and IV above taking readings on turbidimeter.
2. Take sample or a suitably diluted aliquot and determine its turbidity either by visual comparison with the diluted standards or by reading on turbidimeter.
3. Read turbidity from the standard curves and apply correction due to dilution, if necessary.
4. Report the readings in turbidity units.



**HOW AN TURBIDIMETER WORKS**

## Jar Testing Sub- Section

Jar testing, to determine the proper coagulant dosage, continues to be one of the most effective tools available to surface water plant operators. Finished water quality, cost of production, length of filter runs, and overall filter life all depend on the proper application of chemicals to the raw water entering the treatment plant.

### Instructions

The jar test, as with any coagulant test, will only provide accurate results when properly performed. Because the jar test is intended to simulate conditions in your plant, developing the proper procedure is very important. Take time to observe what happens to the raw water in your plant after the chemicals have been added, then simulate this during the jar test. The RPM of the stirrers and the minutes to complete the test depend on the conditions/parameters of your plant.

If, for instance, your plant does not have a static or flash mixer, starting the test at high rpm would provide misleading results. This rule applies to flocculator speed, length of settling time and floc development. Again, operate the jar test to simulate conditions in your plant.

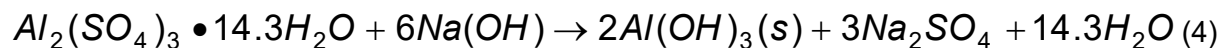
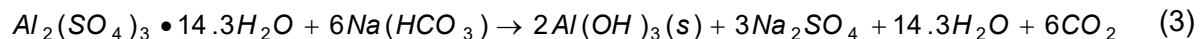
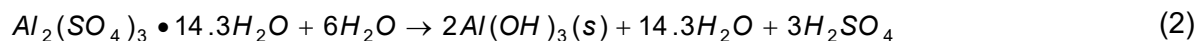
### Scope

This practice covers a general procedure for the evaluation of a treatment to reduce dissolved, suspended, colloidal, and non-settleable matter from water by chemical coagulation-flocculation, followed by gravity settling. The procedure may be used to evaluate color, turbidity, and hardness reduction.

The practice provides a systematic evaluation of the variables normally encountered in the coagulation-flocculation process.

This standard does not purport to address the safety concerns, if any, associated with its use. It is the responsibility of the user of this standard to establish appropriate safety and health practices and determine the applicability of regulatory limitations prior to use.

## Key Equations



### Apparatus

- Jar Test Apparatus
- 6 1500 mL Beakers
- pH meter
- Pipettes
- Conductivity Meter
- Turbidimeter

### Procedure #1

- Make up a 10-g/L solution of alum.
- Make up a 0.1 N solution of NaOH (buffer). ( $\text{Na}^+ = 23 \text{ mg/mmol}$ ,  $\text{O}^{2-} = 16 \text{ mg/mmol}$ ,  $\text{H}^+ = 1 \text{ mg/mmol}$ )
- Fill each of the six 1500 mL beakers with one-liter of river water.
- Measure the temperature and conductivity.
- Measure the initial pH
- Add alum and NaOH solutions in equal portions as specified by instructor.
- Mixing protocol: Alter to match plant conditions
  - rapid mix - 1 minute (100 rpm)
  - slow mix - 15 minutes (20 rpm)
  - off, settling - 30 minutes
- Measure final turbidity. Take the sample from the center, about 2" down for each one-liter sample. Be careful not to disturb the flocs that have settled.
- Measure final pH





## Jar Testing Procedure Step # 2

Jar testing is a useful tool that **helps water plant operators determine the most effective chemical source-water treatment**. By simulating coagulation and flocculation that occurs at full scale in the plant, jar testing can inform quick and effective treatment process adjustments.

Jar tests are conducted on a four- or six-place gang stirrer, which can be utilized to simulate mixing and settling conditions in a clarifier. Jars (beakers) with different treatment programs or the same product at different dosages are run side-by-side, and the results compared to an untreated jar, or one treated with the current program.

The general procedure for jar testing is as follows <sup>a</sup>:

1. Fill the appropriate number of (matched) 1000 mL square transparent jars <sup>b</sup> with well-mixed test water, using a 1000 mL graduate.
2. Place the filled jars on the gang stirrer, with the paddles positioned identically in each beaker.
3. Mix the beakers at 40 – 50 rpm for 30 seconds. Discontinue mixing until polymer addition is completed.
4. Leave the first beaker as a blank <sup>a</sup>, and add increasing dosages of the first polymer to subsequent beakers. Inject polymer solutions as quickly as possible, below the liquid level and about halfway between the stirrer shaft and beaker wall.
5. Increase the mixing speed to 100-125 rpm for 15-60 seconds (rapid mix). <sup>c,d</sup>
6. Reduce the mixing to 40 rpm and continue the slow mix for twice the duration of the rapid mix. Note relative floc sizes.
7. Turn the mixer off and allow settling to occur. Note relative rates of settling.
8. After settling for a period of time (typically 10 or 15 min.), note supernatant appearance. If desired, the latter may be quantified using a turbidimeter or clarity wedge (for turbidity), or determined gravimetrically (for suspended solids).
9. Remove the jars from the gang stirrer, empty the contents and thoroughly clean the beakers.
10. Repeat the procedure from Step 1, but substituting for the Blank the dosage selected as providing the desired level of performance in the first series of test. If the currently used product is available, the first series of tests consists of a dosage curve of that product: test dosages are selected so as to bracket the plant dosage.

### Legend

- a. If the current program is unknown or samples are unavailable, or if there is no product in use, the first step is to determine an approximate minimum dosage of flocculant. This is accomplished by slowly stirring 100 or 200 mL of the substrate in a beaker and adding the polymer solution in 1 mL increments until flocculation begins to occur. This will be the starting dosage of jar testing.
- b. It is preferable to use square jars or beakers to provide more turbulent mixing and insure good distribution of the polymer. Alternatively, use 1-liter plastic laboratory bottles from which the tops have been cut off.)
- c. Inorganic or organic coagulants may require longer rapid mix times, perhaps as much as 5 min.
- d. Some plants, especially water prep facilities, have mixing regimes which they feel duplicate plant conditions. Mixing times may also be substantially greater in these plants.



## Preparing Polymers for the Jar Test

A successful Jar Test is very reliant upon the proper preparation of the polymers being tested. Dilution technique (*"make down"*) is especially critical, since it involves compactly coiled large molecules in emulsions, prior to activation. The polymer must be uncoiled to provide maximum contact with the colloidal particles to be flocculated. If the following procedures are not followed, the Jar Test results will be very unreliable.

### Required Equipment:

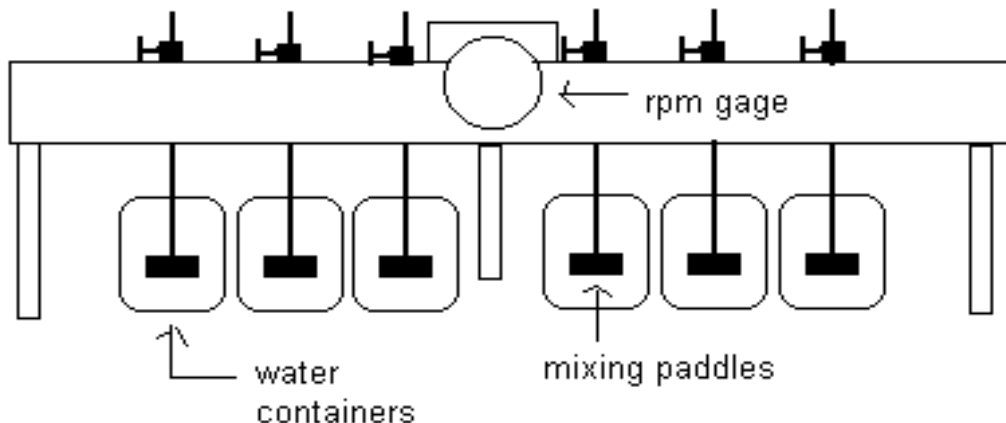
- 250 mL bottles with lids.
- High speed hand mixer (for emulsion polymers).
- Syringes (1cc, 5cc, 10cc).
- 250 and 500 mL beakers.
- Water (it is recommended that the make-down water from the plant be used).
- Graduated cylinder (100 mL).

### Emulsion Polymers (Prepare 1.0% solution.)

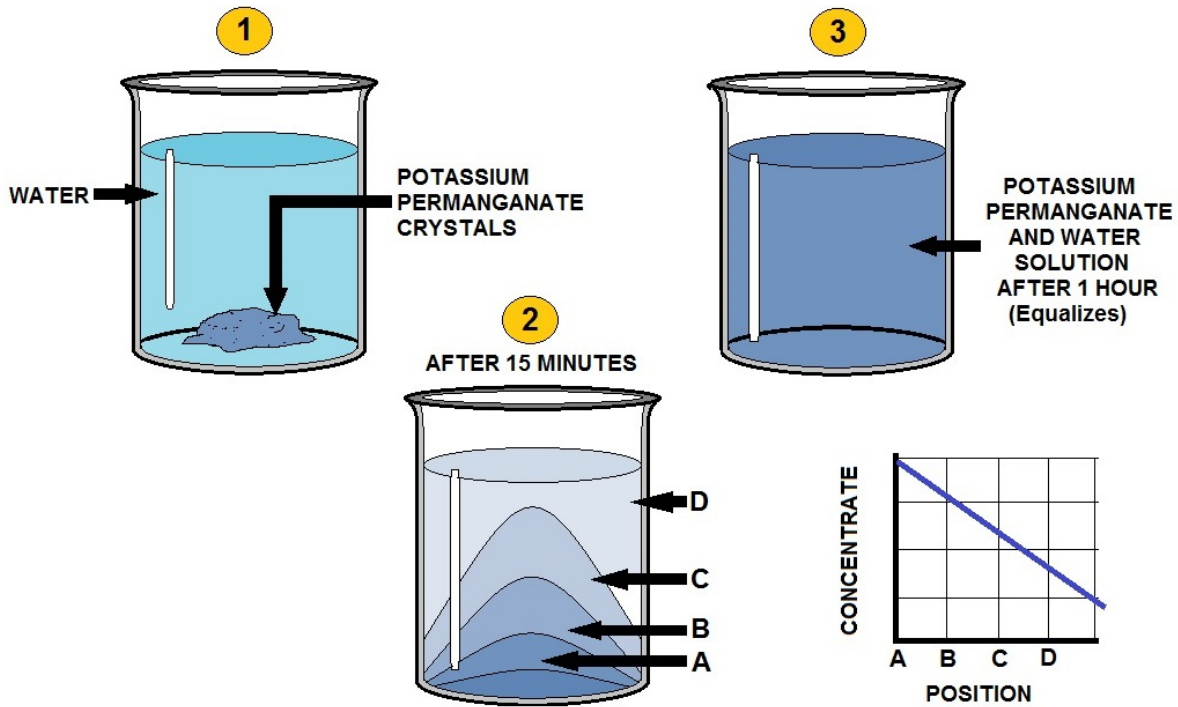
- Add 198 mL of water to a beaker.
- Insert Braun mixer into water and begin mixing.
- Using a syringe, inject 2 mL of neat polymer into vortex.
- Mix for 20 seconds. Do not exceed 20 seconds!
- Allow dilute polymer to age for at least 20 minutes, but preferably overnight. Prepare 0.1% solution.
- Add 180 mL of water to 250 mL bottle.
- Add 20 mL of 1.0% polymer solution.
- Shake vigorously for at least one minute.

### Solution Polymers and Inorganics (Prepare a 1.0% solution.)

- Add 198 mL of water to 250 mL bottle.
- Using a syringe, add 2 mL of neat product to bottle.
- Shake vigorously for at least 1 minute.
- Prepare 0.1% solution.
- Add 180 mL to 250 mL bottle.
- Add 20 mL of 1 % solution.
- Shake vigorously for at least one minute.



## JAR TESTING APPARATUS

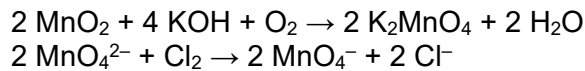


## DIFFUSION OF POTASSIUM PERMANGANATE IN WATER

### Creation of Potassium Permanganate

Potassium permanganate is produced industrially from manganese dioxide ( $\text{MnO}_2$ ), which also occurs as the mineral pyrolusite. The  $\text{MnO}_2$  is fused with potassium hydroxide and heated in air or with a source of oxygen, like potassium nitrate or chlorate.

This process gives potassium manganate, which upon electrolytic oxidation in alkaline media, or by boiling the manganate solution in the presence of carbon dioxide until all the green color is discharged, gives potassium permanganate.



or:



In which the potassium permanganate is separated by filtering the insoluble manganese dioxide, evaporating the solution to 1/3 and recrystallizing it.

## Potassium Permanganate Jar Test

Potassium Permanganate has been used for a number of years in both water and wastewater treatment.  $\text{KMnO}_4$  is a strong oxidizer that can be used to destroy many organic compounds of both natural and man-made origin.  $\text{KMnO}_4$  is also used to oxidize iron, manganese and sulfide compounds and other taste and odor producing substances usually due to the presence of very small quantities of secretions given off by microscopic algae, which develop on the surface waters and on beds of lakes and rivers under certain conditions of temperature and chemical composition.

$\text{KMnO}_4$  must be used with caution, as this material produces an intense purple color when mixed with water. As the permanganate ion is reduced during its reaction with compounds that it oxidizes, it changes color from purple, to yellow or brown. The final product formed is manganese dioxide ( $\text{MnO}_2$ ), an insoluble precipitate that can be removed by sedimentation and filtration.

All  $\text{KMnO}_4$  applied must be converted to manganese dioxide ( $\text{MnO}_2$ ) prior to filtration. If it is not all converted and is still purple or pink, it will pass through the filter into the clearwell or distribution system. This may cause the customer to find pink tap water, or the reaction may continue in the system and the same conditions as exist with naturally occurring manganese may cause staining of the plumbing fixtures.

### Stock Solutions

#### Strong Stock Solution

5 grams potassium permanganate dissolved in 500 ml distilled water.

#### Test Stock Solution

- A. 4 ml strong stock solution thoroughly mixed in 100 ml distilled water.
- B. Each 5 ml of the test stock solution added to a 2000 ml sample equals 1 mg/l.



### Jar Testing - Example 1

If you have a six position stirrer:

Using a graduated cylinder, measure 2000 ml of the sample to be tested into each of the six beakers. Dose each beaker to simulate plant practices in pre-treatment, pH adjustment, coagulant,- etc. Do not add carbon or chlorine. Using a graduated pipette, dose each beaker with the test stock solution in the following manner.

Jar #	$\text{KMnO}_4$ ml	$\text{KMnO}_4$ mg/l	Color
1	0.50	0.10	no pink
2	0.75	0.15	no pink
3	1.00	0.20	no pink
4	1.25	0.25	no pink
5	1.50	0.30	pink
6	1.75	0.35	pink

Stir the beakers to simulate the turbulence where the  $\text{KMnO}_4$  is to be added and observe the color change.

As the iron and manganese begin to oxidize, the sample will turn varying shades of brown, indicating the presence of oxidized iron and or manganese. Samples which retain a brown or yellow color indicate that the oxidation process is incomplete and will require a higher dosage of  $\text{KMnO}_4$ .

The end point has been reached when a pink color is observed and remains for at least 10 minutes. In the preceding table a pink color first developed in beaker #5 which had been dosed with 1.5 ml/ 0.3 mg/l. If the first jar test does not produce the correct color change, continue with increased dosages.

When applying potassium permanganate to raw water, care must be taken not to bring pink water to the filter unless you have "greensand" filter media. Also, permanganate generally reacts more quickly at pH levels above 7.0.

### Quick Test

In this example a quick way to check the success of a  $\text{KMnO}_4$  application is by adding 1.25 ml of the test stock solution to 1000 ml finished water. If the sample turns brown, there is iron or manganese remaining in the finished water. If the sample remains pink, oxidation is complete.

With proper application, potassium permanganate is an extremely useful chemical treatment.

As well as being a strong oxidizer for iron and manganese,  $\text{KMnO}_4$  used as a disinfectant in pre-treatment could help control the formation of trihalomethanes by allowing chlorine to be added later in the treatment process or after filtration. Its usefulness also extends to algae control, as well as many taste/odor problems.

To calculate the dosage of  $\text{KMnO}_4$  for iron and manganese removal, here is the formula to use, based on the amount of iron and manganese in the water:

$$\text{KMnO}_4 \text{ Dose, mg/l} = 0.6(\text{iron, mg/l}) + 2.0(\text{Manganese, mg/l})$$

### Example:

Calculate the  $\text{KMnO}_4$  dose in mg/l for a water with 0.4 of iron. The manganese concentration is 1.2 mg/l.

### Known Unknown

Iron, mg/l = 0.4 mg/l  $\text{KMnO}_4$  Dose, mg/l

Manganese, mg/l = 1.2 mg/l

### Calculate the $\text{KMnO}_4$ dose in mg/l.

$$\begin{aligned} \text{KMnO}_4 \text{ Dose, mg/l} &= 0.6(\text{Iron, mg/l}) + 2.0(\text{Manganese, mg/l}) \\ &= 0.6(0.4 \text{ mg/l}) + 2.0(1.2 \text{ mg/l}) \\ &= 2.64 \text{ mg/l} \end{aligned}$$

**Note:** The calculated 2.64 mg/l  $\text{KMnO}_4$  dose is the minimum dose. This dose assumes there are no oxidizable compounds in the raw water. Therefore, the actual dose may be higher. Jar testing should be done to determine the required dose.

# Alkalinity Test

## Alkalinity (Total)

References: ASTM D 1067-92, Acidity or Alkalinity of Water.

APHA Standard Methods, 19th ed., p. 2-26, method 2320B (1995).

EPA Methods for Chemical Analysis of Water and Wastes, method 310.1 (1983).

The alkalinity of water is a measurement of its buffering capacity or ability to react with strong acids to a designated pH. Alkalinity of natural waters is typically a combination of bicarbonate, carbonate, and hydroxide ions. Sewage and wastewaters usually exhibit higher alkalinities either due to the presence of silicates and phosphates or to a concentration of the ions from natural waters.

Alkalinity inhibits corrosion in boiler and cooling waters and is therefore a desired quality which must be maintained. It is also measured as a means of controlling water and wastewater treatment processes or the quality of various process waters.

In natural waters, excessive alkalinity can render water unsuitable for irrigation purposes and may indicate the presence of industrial effluents. *The Titrimetric Method*. CHEMetrics' tests determine total or "M" alkalinity using an acid titrant and a pH indicator. The end point of the titration occurs at pH 4.5. Results are expressed as ppm (mg/L) CaCO<sub>3</sub>.

## Titration Method

### a. Principle

Hydroxyl ions present in a sample, as a result of dissociation or hydrolysis of solutes react with additions of standard acid. Alkalinity thus depends on the end-point pH used.

### b. Reagents

i) Standard Hydrochloric Acid – 0.02 N.

ii) Methyl Orange Indicator – Dissolve 0.1 g of methyl orange in distilled water and dilute to 1 liter.

iii) Sodium carbonate solution, 0.02 N: Dry 3 to 5 g primary standard Na<sub>2</sub>CO<sub>3</sub> at 250°C for 4 h and cool in a desiccator. Weigh 1.03 gm.

(to the nearest mg), transfer to a 1-L volumetric flask, fill flask to the mark with distilled water, dissolve and mix reagent. Do not keep longer than 1 week.

### c. Procedure

Titrate over a white surface 100 ml of the sample contained in a 250-ml conical flask with standard hydrochloric acid using two or three drops of methyl orange Indicator.

(NOTE – If more than 30 ml of acid is required for the titration, a smaller suitable aliquot of the sample shall be taken.)

### d. Calculation

**Total alkalinity** (as CaCO<sub>3</sub>), mg/l =  $10 V$  or  $N \times V \times 50 \times 1000$

T.A. (as CaCO<sub>3</sub>) =  $\frac{\text{Sample Amount}}{\text{Sample Amount}}$

Where N = Normality of HCl used

V = volume in ml of standard hydrochloric acid used in the titration.

### Alkalinity to Phenolphthalein

The sample is titrated against standard acid using phenolphthalein indicator.

#### a. Reagents

i) Phenolphthalein Indicator Solution :

Dissolve 0.1 g of phenolphthalein in 60 ml of ETHANOL and dilute with Distilled water to 100 ml.

ii) Standard hydrochloric Acid – 0.02 N.

#### b. Procedure

Add 2 drops of phenolphthalein indicator solution to a sample of suitable size, 50 or 100 ml, in a conical flask and titrate over a white surface with standard hydrochloric acid.

#### c. Calculation

$$\text{Alkalinity to phenolphthalein (as CaCO}_3\text{), mg/l} = \frac{1000 V_1}{V_2}$$

Where

$V_1$  = volume in ml of standard hydrochloric acid used in the titration , and

$V_2$  = Volume in ml of the sample taken for the test.



## Caustic Alkalinity

### a. General

Caustic alkalinity is the alkalinity corresponding to the hydroxides present in water and is calculated from total alkalinity (T) and alkalinity to phenolphthalein (P).

<b>b. Procedure</b> Determine total alkalinity and alkalinity to phenolphthalein and calculate caustic alkalinity as shown in Table below. Result of Titration Caustic Alkalinity or Hydroxide Alkalinity as $\text{CaCO}_3$ Carbonate Alkalinity as $\text{CaCO}_3$ Bicarbonate Concentration as $\text{CaCO}_3$ <b>Result of Titration</b>	<b>Caustic Alkalinity or Hydroxide Alkalinity as <math>\text{CaCO}_3</math></b>	<b>Carbonate Alkalinity as <math>\text{CaCO}_3</math></b>	<b>Bicarbonate Concentration as <math>\text{CaCO}_3</math></b>
P=0	0	0	0
P<1/2T	0	2P	T-2P
P=1/2T	0	2P	0
P>1/2T	2P-T	2(T-P)	0
P=T	T	0	0

The alkalinity of water is a measure of its capacity to neutralize acids. The alkalinity of natural water is due to the salts of carbonate, bicarbonate, borates, silicates and phosphates along with the hydroxyl ions in free state. However, the major portion of the alkalinity in natural waters is caused by hydroxide, carbonate, and bicarbonates which may be ranked in order of their association with high pH values.

Alkalinity values provide guidance in applying proper doses of chemicals in water and waste water treatment processes, particularly in coagulation and softening.

### **Hardness (Calcium)**

Reference: West, T. S., DSC, Ph.D., *Complexometry with EDTA and Related Reagents*, 3rd ed., p. 46, 164 (1969).

Originally described as water's capacity to precipitate soap, hardness is one of the most frequently determined qualities of water. It is a composite of the calcium, magnesium, strontium, and barium concentrations in a sample. The current practice is to assume total hardness refers to the calcium and magnesium concentrations only.

Completely de-hardened water, resulting from sodium zeolite or other suitable ion exchange treatment, is required for various processes-including power generation, printing and photo finishing, pulp and paper manufacturing, and food and beverage processing.

Hard water can cause scale formation on heat exchange surfaces, resulting in decreased heat transfer and equipment damage.

The Titrimetric Method. This method is specific for calcium hardness. The EGTA titrant in alkaline solution is employed with zincon indicator. Results are expressed as ppm (mg/L)  $\text{CaCO}_3$ . Although the reagent itself is stable, the end-point indicator has a limited shelf-life. We recommend stocking quantities that will be used within 7 months.

### **Hardness (Total)**

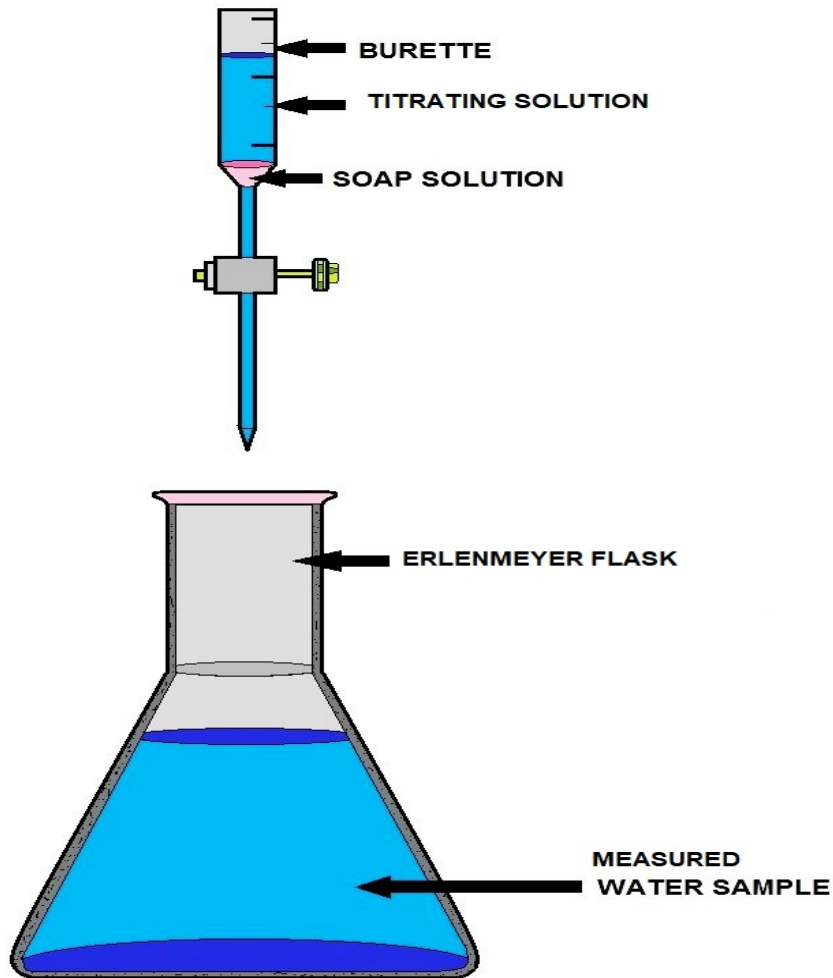
References: Colorimetric-Calcichrome chemistry--Method developed by CHEMetrics, Inc. Titrimetric--APHA Standard Methods, 19th ed., p. 2-36, method 2340 C (1995). EPA Methods for Chemical Analysis of Water and Wastes, method 130.1 (1983).

### **The Colorimetric Method**

The colorimetric method is applicable to monitoring boiler feedwater and other industrial waters. The titrimetric method is applicable to drinking, surface, and brine waters. CHEMetrics developed the sensitive Calcichrome reagent, which is a dark purple color. It reacts to form a light purple color at the lower end of the range, and forms a light blue color at the end of the range. Results are expressed as ppm (mg/L) or ppb ( $\mu\text{g/L}$ )  $\text{CaCO}_3$ . *The Titrimetric Method*.

The EDTA titrant is employed in alkaline solution with a calmagite indicator. This method determines the combined calcium and magnesium concentration of a sample. If no magnesium is present, the end point of the titration normally appears sluggish.

However, the reagent has been specially formulated to ensure a sharp end point, regardless of the presence of magnesium. Results are expressed as ppm (mg/L)  $\text{CaCO}_3$ .



## TESTING FOR THE HARDNESS OF WATER

WATER HARDNESS (Salt Types)	
CARBONATE HARDNESS COMPOUNDS	NON-CARBONATE HARDNESS COMPOUNDS
CALCIUM CARBONATE ( $\text{CaCO}_3$ )	CALCIUM SULPHATE ( $\text{CaSO}_4$ )
MAGNESIUM CARBONATE ( $\text{MgCO}_3$ )	MAGNESIUM SULPHATE ( $\text{MgSO}_4$ )
CALCIUM BICARBONATE ( $\text{Ca}(\text{HCO}_3)_2$ )	CALCIUM CHLORIDE ( $\text{CaCl}_2$ )
MAGNESIUM BICARBONATE ( $\text{Mg}(\text{HCO}_3)_2$ )	MAGNESIUM CHLORIDE ( $\text{MgCl}_2$ )
CALCIUM HYDROXIDE ( $\text{Ca}(\text{OH})_2$ )	
MAGNESIUM HYDROXIDE ( $\text{Mg}(\text{OH})_2$ )	

### **Iron (Total)**

Reference: J. A. Tetlow and A. L. Wilson, "Determination of Iron in Boiler Feedwater", Analyst, 1958. See discussion under Iron (total & soluble). CHEMetrics' colorimetric method for determining total iron uses thioglycolic acid to dissolve particulate iron and to reduce any iron from the ferric to the ferrous state. Ferrous iron then reacts with PDTS in acid solution to form a purple-colored chelate. Results are expressed as ppm (mg/L) Fe.

### **Manganese**

Reference: APHA Standard Methods, 14th ed., p. 227, method 314C (1975).

Manganese can act as an oxidizing or reducing agent, depending on its valence state. In various forms, it is used as a pigment or a bleaching agent. Manganese concentrations in potable water should not exceed 0.05 mg/L.

Concentrations greater than 0.1 mg/L will impart a foul taste to water and discolor laundry and porcelain surfaces. Generally speaking, surface and ground waters rarely contain more than 1 mg/L of soluble or suspended manganese. Levels higher than 1 mg/l in surface waters can result from mining operations or excessive discharging from domestic waste treatment facilities or industrial plants.

CHEMetrics' tests measure soluble manganese compounds but do not differentiate the various valence states. Manganese is oxidized in the presence of periodate to form a deep-red reaction product. Reducing agents will interfere. Results are expressed as ppm (mg/L) Mn.

## Fluorides

Fluoride ions have dual significance in water supplies. High concentration of F<sup>-</sup> causes dental fluorosis (disfigurement of the teeth). At the same time, a concentration less than 0.8 mg/l results in 'dental caries'. Hence, it is recommended to maintain the F<sup>-</sup> conc. between 0.8 to 1.0 mg/L in drinking water. Among the many methods suggested for the determination of fluoride ion in water, the colorimetric method (SPADNS) & the ion selective electrode method are the most satisfactory and applicable to a variety of samples. Because all of the colorimetric methods are subject to errors due to the presence of interfering ions, it may be necessary to distill the sample before making the fluoride estimation.

The addition of the prescribed buffer frees the electrode method from the interference, caused by such relatively common ions as aluminum hexametaphosphate and orthophosphate which adversely affect the colorimetric methods. However, samples containing fluoroborate ion (BF<sub>4</sub>), must be subject to a preliminary distillation step in either of the methods. Both the methods and the preliminary distillation step are discussed below.

### 1. SPADNS METHOD

#### Principle

Under acid condition fluorides (HF) react with zirconium SPADNS solution and the 'Lake' (color of SPADNS reagent) gets bleached due to formation of ZrF<sub>6</sub>. Since bleaching is a function of fluoride ions, it is directly proportional to the concentration of F<sup>-</sup>. It obeys Beer's law in a reverse manner.

#### Interference

Alkalinity 5000 mg/L, aluminum 0.1 mg/L, chlorides 7000 mg/L, Fe 10 mg/L, PO<sub>4</sub> 16 mg/L, SO<sub>4</sub> 200 mg/L, and hexametaphosphate 1.0 mg/L interfere in the bleaching action. In presence of these interfering radicals distillation of the sample is recommended.

#### Apparatus

1. Distillation apparatus
2. Colorimeter for use at 570 nm.
3. Nessler's tubes cap. 100 ml.

#### Reagents

1. Sulfuric acid H<sub>2</sub>SO<sub>4</sub> concentration.
2. Silver Sulfate Ag<sub>2</sub>SO<sub>4</sub> crystals.
3. SPADNS solution: Dissolve 958 mg SPADNS and dilute to 500 ml.
4. Zirconyl acid reagent: Dissolve 133 mg ZrOCl<sub>2</sub> · 8H<sub>2</sub>O in 25 ml water. Add 350 ml. conc. HCl and dilute to 500 ml.
5. Mix equal volume of 3 and 4 to produce a single reagent. Protect from direct light.
6. **Reference solution:** Add 10 ml SPADNS solution to 100 ml distilled water. Dilute 7 ml concentration HCl to 10 ml and add to diluted SPADNS solution.
7. **Sodium arsenite solution:** Dissolve 5.0 g NaAsO<sub>2</sub> and dilute to 1000 ml.
8. **Stock F<sup>-</sup> solution:** Dissolve 221.0 mg anhydrous NaF and dilute to 1000 ml. 1 ml = 100 mg F<sup>-</sup>.
9. **Standard F<sup>-</sup>:** Dilute stock solution 10 times to obtain 1 ml = 10mg F<sup>-</sup>.

### **A. Preliminary Distillation Step**

Place 400 ml distilled water in the distilling flask and carefully add 200 ml conc.  $\text{H}_2\text{SO}_4$ . Swirl until the flask contents are homogenous, add 25 to 30 glass beads and connect the apparatus. Begin heating slowly at first and then rapidly until the temperature of the flask reaches exactly  $180^\circ\text{C}$ . Discard the distillate. This process removes fluoride contamination and adjusts the acid-water ratio for subsequent distillations.

After cooling the acid mixture remaining after the above step or previous distillation to  $120^\circ\text{C}$  or below add 300 ml of sample, mix thoroughly, and distill as before until the temperature reaches  $180^\circ\text{C}$ . Do not heat above  $180^\circ\text{C}$  to prevent Sulfate carryover.

Add  $\text{Ag}_2\text{SO}_4$  to distilling flask at the rate of 5 mg/mg Cl when high chloride samples are distilled. Use the sulfuric acid solution in the flask repeatedly until the contaminants from the samples accumulate to such an extent that recovery is affected or interferences appear in the distillate. After the distillation of high fluoride samples, flush the still with 300 ml. distilled water and combine the two fluoride distillates. After periods of inactivity, similarly flush the still, and discard the distillate.

### **B. Procedure**

1. Prepare standard curve in the range 0.0 to 1.40 mg/L by diluting appropriate volume of standard F solution to 50 ml in Nessler's tubes.
2. Add 10.0 mL mixed reagent prepared as in 5 above to all the samples, mix well and read optical density of bleached color at 570 nm using reference solution for setting zero absorbance.
3. Plot conc. Vs. % transmission or absorbance.
4. If sample contains residual chlorine, remove it by adding 1 drop (0.05ml)  $\text{NaAsO}_2$  solution 0.1 mg  $\text{Cl}_2$  and mix.  $\text{NaAsO}_2$  conc. should not exceed 1300 mg/L to avoid error due to  $\text{NaAsO}_2$ . Take suitable aliquot & dilute it to 50 mL.
5. Add acid Zirconia - SPADNS reagent 10 ml; Mix well and read % transmission or absorbance.
6. Take suitable aliquots of sample either direct or after distillation in Nessler's tubes. Follow the step 5.
7. Calculate the mg F present in the sample using standard curve.

## **2. Ion Selective Electrode Method**

### **Principle**

The fluoride sensitive electrode consists of a lanthanum fluoride crystal, it forms a cell in combination with a reference electrode, normally the calomel electrode. The crystal contacts the sample solution at one face and an internal reference solution at the other. A potential is established by the presence of fluoride ions across the crystal, which is measured by a device called ion meter, or by a digital pH meter having an expanded millivolt scale.

The fluoride ion selective electrode can be used to measure the activity or concentration of fluoride in an aqueous sample by use of an appropriate calibration curve. However, fluoride activity depends on the total ionic strength of the sample. The electrode does not respond to bound or complex fluoride. Addition of a buffer solution of high total ionic strength containing a chelate to complex aluminum preferentially overcomes these difficulties.

### **Interference**

Polyvalent cations such as Al (III), Fe (III) and Si (IV) will complex fluoride ions. However, the addition of CDTA (Cyclohexylene diamine tetra acetic acid) preferentially will complex concentrations of aluminum up to 5 mg/L. Hydrogen ion forms complex with fluoride, while hydroxide ion interferes with electrode response. By adjusting the pH between 5 to 8 no interference occurs.

### **Apparatus**

1. Ion meter (field / laboratory model) or pH/mV meter for precision laboratory measurements.
2. Reference electrode (calomel electrode)
3. Fluoride sensitive electrode.
4. Magnetic stirrer.
5. Plastic labware (Samples and standards should always be stored in plastic containers as fluoride reacts with glass).

### **Reagents**

1. Standard fluoride solution prepared as directed in SPADNS method.
2. Total Ionic strength adjustment buffer (TISAB).

Place approximately 500 ml distilled water in a 1 - L beaker add 57 mL glacial acetic acid, 58 gm NaCl and 4.0 gm 1, 2 cyclohexylene diamine tetraacetic acid. Stir to dissolve.

Place beaker in a cool water bath and add slowly 6 N NaOH (About 125 ml) with stirring, until pH is between 5 and 5.5. Transfer to a 1 - L volumetric flask and make up the volume to the mark.

### **Procedure**

1. For connecting the electrodes to meter, and for further operation of the instrument, follow the instruction manual supplied by the manufacturer.
2. Check the electrode slope with the ion meter (59.16 mV for monovalent ions and 29.58 mV for divalent ions at 25°C)
3. Take 50 ml of each 1 ppm and 10 ppm fluoride standard. Add 50 ml TISAB (or 5 ml if conc. TISAB is used) and calibrate the instrument.
4. Transfer 50 to 100 ml of sample to a 150 ml plastic beaker. Add TISAB as mentioned in (3).
5. Rinse electrode, blot dry and place in the sample. Stir thoroughly and note down the steady reading on the meter.
6. Recalibrate every 1 or 2 hours.
7. Direct measurement is a simple procedure for measuring a large number of samples. The temperature of samples and standard should be the same and the ionic strength of standard and samples should be made the same by addition of TISAB to all solutions.
8. Direct measurement results can be verified by a known addition procedure. The known addition procedure involves adding a standard of known concentration to a sample solution. From the change in electrode potential before and after addition, the original sample concentration is determined.

## Fluoride SPADNS Method

### References:

APHA Standard Methods, 20th ed., p. 4-82, method 1500 F-(1998).

EPA Methods for Chemical Analysis of Water and Wastes, method 340.1 (1974,1978).

Thomas and Chamberlain, 1974, Colorimetric Analytical Methods, pp 186-193.

The Fluoride Vacu-vials® test method is based on the reaction between fluoride and a red zirconium-dye lake that has been formed with SPADNS.

The loss of color resulting from the reaction of the fluoride with the dye lake is a function of the fluoride concentration. Results are expressed in ppm (mg/Liter) F<sup>-</sup>.

This method is approved by the EPA for NPDES and NPDWR reporting purposes when the samples have been distilled from an acid solution.

Seawater and wastewater samples must be pre-distilled. Distillation removes most contaminating interferences except chlorine. Sodium Arsenite has been added to remove up to 5 mg/L chlorine.



## Oxygen (Dissolved)

References: Indigo Carmine--ASTM D 888-87, Colorimetric Indigo Carmine, Test Method A. Gilbert, T.W., Behymer, T.D., Castaneda, H.B., "Determination of Dissolved Oxygen in Natural and Wastewaters," *American Laboratory*, March 1982, pp. 119-134.  
Rhodazine D method--(Method developed by CHEMetrics, Inc.) Power Plant Manual, First ed., p. 169 (1984).

### Corrosive Element

At elevated temperatures, oxygen is highly corrosive to metals, causing "*pitting*" in ferrous systems such as high-pressure boilers and deep well oil recovery equipment. To prevent costly corrosion damage, the liquids in contact with the metal surfaces must be treated, usually by a combination of physical and chemical means. De-aeration can reduce the dissolved oxygen concentration of boiler feedwater from several ppm to a few ppb. Chemical reducing agents such as hydrazine or sodium sulfite are sometimes used instead of de-aeration, but more often are used to react with residual oxygen which remains after the de-aeration process.

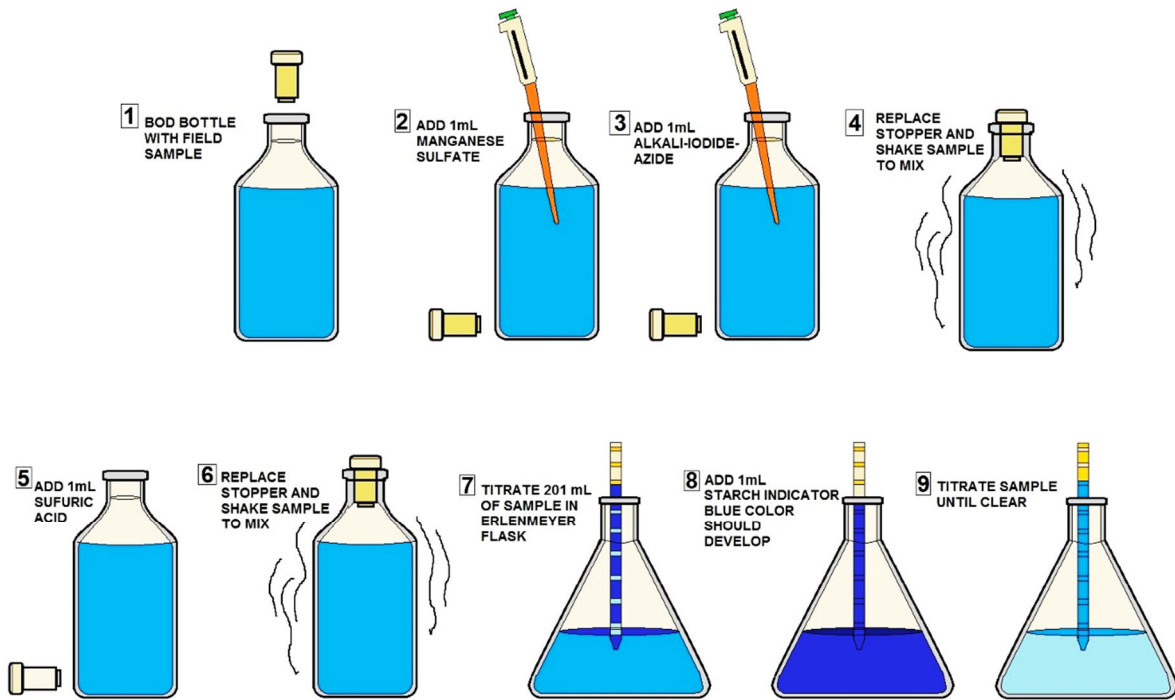
### The Colorimetric Methods

Test kits for environmental and drinking water applications (ppm range) employ the indigo carmine method. The reduced form of indigo carmine reacts with D.O. to form a blue product. The indigo carmine methodology is not subject to interferences from temperature, salinity or dissolved gases such as sulfide, which can affect users of D.O. meters. Results are expressed as ppm (mg/L) O<sub>2</sub>.

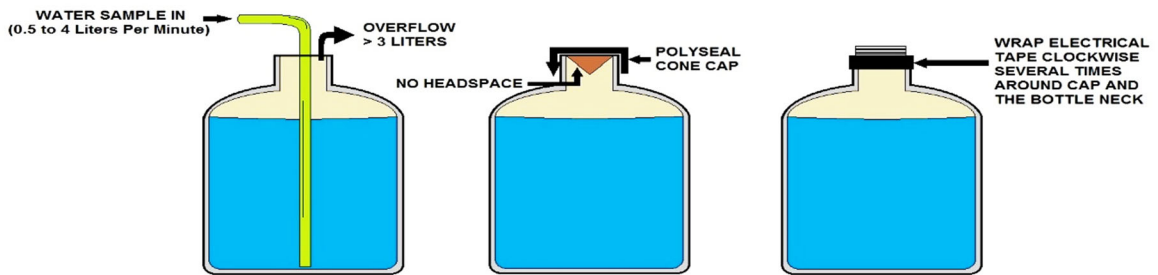
The dissolved oxygen products provide fast, accurate colorimetric oxygen determination. Test kit K-7512 is used to monitor surface waters. ULR CHEMets™ ampoules detect oxygen to 1 ppb. Test kit K-7540 is widely used to monitor boiler feedwater.

### Probe Method

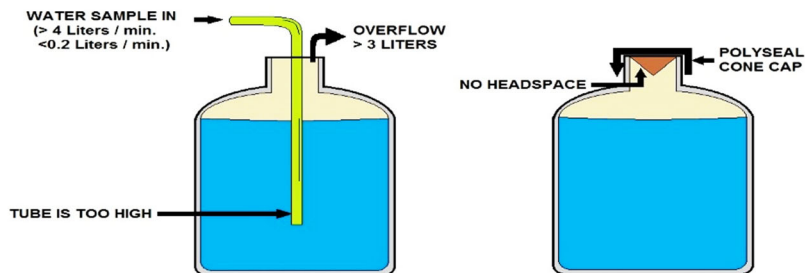
Reliable and accurate D.O. probes are available that can be fitted to 300 ml BOD bottles or other containers for suitable water.



**HOW TO MEASURE DISSOLVED OXYGEN IN A WATER SAMPLE  
DO NOT ALLOW THE PIPETTES TO TOUCH THE WATER**



**WILL PRODUCE GOOD RESULTS**



**DO NOT DO THIS. WILL PRODUCE INACCURATE RESULTS**

**WATER SAMPLING PROCEDURES**

## Total Dissolved Solids TDS (Filterable)

The dissolved (Filterable) solids can be determined from the difference between the residue on evaporation and total suspended solids, but if the dissolved solids content is low and the suspended solids high, a direct determination is better.

It is preferable to adopt the centrifugal method of separating suspended matter in order that a sufficiently large volume of separated liquid is available for the determination.

### Principle

A known volume of filtered sample is evaporated and dried in a weighed dish at 105°C to constant weight; the increase in weight over the empty dish represents the dissolved solids.

### Apparatus

1. Evaporating dishes, 50, 100 mL capacity (Preferably porcelain or silica).
2. Pipettes 25, 50 ml capacity
3. Water bath & Oven
4. Balance to weigh up to 4th decimal.

### Procedure

The known volume (V) of filtered sample in a previously ignited and weighed basin ( $W_1$ ).

Evaporate to dryness on a steam bath and further dry at 105°C for one or two hours in an oven.

Cool in desiccator and weight ( $W_2$ ).

Repeat by further heating for 15 minutes and cooling until successive results do not differ by more than about 0.4 mg.

### Calculation

$$\text{Dissolved solids mg/L} = \frac{(W_2 - W_1) \times 1000}{V}$$

Where

$W_2$  = Weight of residue and dish

$W_1$  = Weight of empty and dry dish

V = Weight of sample

## Ozone Analysis

Reference:

**DDPD method:** Developed by CHEMetrics, Inc.

**Indigo method:** Bader, H. and Hoigne, J., "Determination of Ozone in Water by the Indigo Method," Water Research, Vol. 15, 449-456, 1981. APHA Standard Methods, 20th ed., p. 4-137, Method 4500-03 B (1998).

Ozone is a strong oxidizing agent. Ozonation is used as an alternative biocide and disinfectant to chlorination of drinking water. Ozone is used to remove odor, decolorize, and to control algae and other aquatic growths. Because ozone is unstable in water, monitoring ozone residuals is important to ensure that proper treatment levels are maintained.

### The Colorimetric Methods

The DDPD chemistry employs a methyl substituted form of the DPD reagent. The A-7400 activator solution (potassium iodide) is added to the sample before analysis. Ozone reacts with the iodide to liberate iodine.

The iodine then reacts with the reagent to give a blue-violet color. Various free halogens and halogenating agents produce color with the reagent. Chromate in test samples below 25 ppm will not interfere with results.

Results are expressed as ppm (mg/L) O<sub>3</sub>. The new ozone method employs the indigo trisulfonate reagent, which reacts instantly and quantitatively with ozone, bleaching the blue color in direct proportion to the amount of ozone present.

Malonic acid is included in the formulation to prevent interference from chlorine. Results are expressed as ppm (mg/L) O<sub>3</sub>.

## Water Quality Summary

A daily chemistry lab sheet will show: Temperature, Turbidity, pH, Alkalinity, Hardness- Calcium and Magnesium, Total Solids, Iron, Fluoride, Free Chlorine, Combined Chlorine, Particle Count, Langelier Index, Log Removal and UV 254.

### Temperature

A measure of the average kinetic energy of the particles in a sample of matter, expressed in terms of units or degrees designated on a standard scale. Depending on how cold or hot the water temperature is, chemical reactions in the process, such as coagulation, will be affected. We are all aware the colder the water, the longer the contact time; consideration must be made when setting the mixing speed due to the viscosity of the water. Disinfection is also influenced by temperature, depending on the amount of demand and contact required. This could increase the formation of THM.

### Turbidity

Particles less than or about 1 to 10  $\mu\text{m}$  in diameter (primarily colloidal particles) will not settle out by gravitational forces, therefore making them very difficult to remove. These particles are the primary contributors to the turbidity of the raw water causing it to be “cloudy”. The most important factor(s) contributing to the stability of colloidal particles is not their mass, but their surface properties. Lower turbidity improves disinfection and lessens the chance of Viruses and Giardia. This idea can be better understood by relating the colloidal particles large surface area to their small volume (**S/V**) ratio resulting from their very small size. In order to remove these small particles, we must either filter the water or somehow incorporate gravitational forces such that these particles will *settle* out. In order to have gravity affect these particles we must somehow make them larger, somehow have them come together (agglomerate); or, in other words, somehow make them “stick” together, thereby increasing their size and mass. The two primary forces that control whether or not colloidal particles will agglomerate are:

### Repulsive force

An electrostatic force called the “Zeta Potential” -

$$\zeta = \frac{4 \pi q d}{D}$$

Where:

$\zeta$  = Zeta Potential

$q$  = charge per unit area of the particle

$d$  = thickness of the layer surrounding the shear surface through which the charge is effective

$D$  = dielectric constant of the liquid

### Attractive force

#### **Force due to van der Waals forces**

Van der Waals forces are weak forces based on a polar characteristic induced by neighboring molecules. When two or more nonpolar molecules, such as He, Ar, H<sub>2</sub>, are in close proximity the nucleus of each atom will weakly attract electrons in the counter atom resulting, at least momentarily, in an asymmetrical arrangement of the nucleus.

This force, van der Waals force, is inversely proportional to the sixth power of the distance (1/d<sup>6</sup>) between the particles. As can clearly be seen from this relationship, decay of this force occurs exponentially with distance.

### Ways to Measure Turbidity

- 4.) Jackson Candle Test
- 5.) Secchi Disk - a black and white disk divided like a pie in 4 quadrants about 6" in diameter.

- 6.) Turbidimeter - Light is passed through a sample. A sensitive photomultiplier tube at a 90° angle from the incident light beam detects the light scattered by the particles in the sample. The photomultiplier tube converts the light energy into an electrical signal, which is amplified and displayed on the instrument.
- a.) *Units* - Nephelometric Turbidity Unit (**NTU**) or Formazin Turbidity Unit (**FTU**).

### **How to Treat Turbidity**

Supercharge the water supply - By supercharging the water supply momentarily with a positive charge, we can upset the charge effect of the particles enough to reduce the Zeta potential (repulsive force) thereby allowing van der Waals forces (attractive forces) to take over.

By introducing aluminum ( $\text{Al}^{3+}$ ) into the water in the form of Alum ( $\text{Al}_2(\text{SO}_4)_3 \cdot 18\text{H}_2\text{O}$ ) we can accomplish the supercharging of the water. This is the *coagulation* part of the coagulation/flocculation process; flocculation follows coagulation. During the *flocculation* process the particles join together to form flocs; the larger the flocs, the faster they will settle within a clarifier.

Other chemical coagulants used are Ferric Chloride and Ferrous Sulfate. Alum works best in the pH range of natural waters, 5.0 - 7.5. Ferric Chloride works best at lower pH values, down to pH 4.5. Ferrous Sulfate works well through a range of pH values, 4.5 to 9.5.

During the coagulation process, charged hydroxy-metallic complexes are formed momentarily (i.e.  $\text{Al}(\text{OH})_2^+$ ,  $\text{Al}(\text{OH})_2^{1+}$  etc.). These complexes are charged highly positive and therefore upset the stable negative charge of the target particles, thereby displacing the water layer surrounding the charged particle momentarily. This upset decreases the distance “d” in-turn decreasing the Zeta potential.

The particles are then able to get close enough together for van der Waals forces to take over and the particles begin to flocculate. The chemical reaction continues until the aluminum ions ( $\text{Al}^{+3}$ ) reach their final form,  $\text{Al}(\text{OH})_3$  (s), and settle out (note – the flocculated particles settle out separately from the precipitated  $\text{Al}(\text{OH})_3$  (s)).

If too much alum is added then the opposite effect occurs, the particles form sub complexes with the  $\text{Al}^{+3}$  and gain a positive charge about them, and the particles re-stabilize.

The final key to obtaining good flocs is the added energy put into the system by way of rotating paddles in the flocculator tanks. By “**pushing**” (adding energy) the particles together we can aid in the flocculation process forming larger flocs.

It is important to understand that too much energy, i.e. rotating the paddles too fast, would cause the particles to shear (breakup), thereby reducing the size of the particles and would therefore increase the settling time in the clarifier.

### **pH**

The pH of a sample of water is a measure of the concentration of hydrogen ions. The term pH was derived from the manner in which the hydrogen ion concentration is calculated - it is the negative logarithm of the hydrogen ion ( $\text{H}^+$ ) concentration. What this means to those of us who are not mathematicians is that at higher pH, there are fewer free hydrogen ions, and that a change of one pH unit reflects a tenfold change in the concentrations of the hydrogen ion. For example, there are 10 times as many hydrogen ions available at a pH of 7 than at a pH of 8. The pH scale ranges from 0 to 14. A pH of 7 is considered to be neutral. Substances with pH of less than 7 are acidic; substances with pH greater than 7 are basic.

The pH of water determines the solubility (amount that can be dissolved in the water) and biological availability (amount that can be utilized by aquatic life) of chemical constituents such as nutrients (phosphorus, nitrogen, and carbon) and heavy metals (lead, copper, cadmium, etc.). For example, in addition to affecting how much and what form of phosphorus is most abundant in the water, pH may also determine whether aquatic life can use it. In the case of heavy metals, the degree to which they are soluble determines their toxicity. Metals tend to be more toxic at lower pH because they are more soluble.

### **Reasons for Natural Variation**

Photosynthesis uses up dissolved carbon dioxide which acts like carbonic acid ( $H_2CO_3$ ) in water.  $CO_2$  removal, in effect, reduces the acidity of the water and so pH increases. In contrast, respiration of organic matter produces  $CO_2$ , which dissolves in water as carbonic acid, thereby lowering the pH. For this reason, pH may be higher during daylight hours and during the growing season, when photosynthesis is at a maximum. Respiration and decomposition processes lower pH. Like dissolved oxygen concentrations, pH may change with depth in a lake, due again to changes in photosynthesis and other chemical reactions.

There is typically a seasonal decrease in pH in the lower layers of a stratified lake because  $CO_2$  accumulates. There is no light for plants to fix  $CO_2$  and decomposition releases  $CO_2$ . Fortunately, lake water is complex; it is full of chemical "shock absorbers" that prevent major changes in pH. Small or localized changes in pH are quickly modified by various chemical reactions, so little or no change may be measured. This ability to resist change in pH is called buffering capacity. Not only does the buffering capacity control would-be localized changes in pH, but it controls the overall range of pH change under natural conditions. The pH scale may go from 0 to 14, but the pH of natural waters hovers between 6.5 and 8.5.

pH influences how well enhanced coagulation will remove TOC. It also has adverse effects to disinfection and the Distribution system.

### **Alkalinity**

Alkalinity is a total measure of the substances in water that have "acid-neutralizing" ability. Don't confuse alkalinity with pH. pH measures the strength of an acid or base; alkalinity indicates a solution's power to react with acid and "buffer" its pH -- that is, the power to keep its pH from changing.

The main sources of natural alkalinity are rocks, which contain carbonate, bicarbonate, and hydroxide compounds. Borates, silicates, and phosphates may also contribute to alkalinity.

Limestone is rich in carbonates, so waters flowing through limestone regions generally have high alkalinity -- hence it's good buffering capacity. Alkalinity has an impact on enhanced coagulation and SUVA. Without alkalinity corrosion would occur.

### **Hardness**

Water hardness is a measure of the amount of calcium and magnesium salts in water. Calcium and magnesium enter water mainly through the weathering of rocks. The more calcium and magnesium in water, the harder the water will be.

Water hardness is usually expressed in milligrams per liter (mg/l) of dissolved calcium and magnesium carbonate. The term "hardness" comes from the fact that it is hard to get soapsuds from soap or detergents in hard water. This happens because calcium and magnesium react strongly with negatively-charged chemicals like soap to form insoluble compounds. As a result,

hard water can reduce the effectiveness of the cleaning process. This is also known to cause scale formation; it usually correlates with pH increase.

### Total Dissolved Solids

Dissolved solids" refer to any minerals, salts, metals, cations or anions dissolved in water. Total dissolved solids (**TDS**) comprise inorganic salts (principally calcium, magnesium, potassium, sodium, bicarbonates, chlorides and sulfates) and some small amounts of organic matter that are dissolved in water.

TDS in drinking-water originate from natural sources, sewage, urban run-off, industrial wastewater, and chemicals used in the water treatment process, and the nature of the piping or hardware used to convey the water, i.e., the plumbing. In the United States, elevated TDS has been due to natural environmental features such as: mineral springs, carbonate deposits, salt deposits, and sea water intrusion, but other sources may include: salts used for road de-icing, anti-skid materials, drinking water treatment chemicals, stormwater and agricultural runoff, and point/non-point wastewater discharges.

In general, the total dissolved solids concentration is the sum of the cations (positively charged) and anions (negatively charged) ions in the water. Therefore, the total dissolved solids test provides a qualitative measure of the amount of dissolved ions, but does not tell us the nature or ion relationships. In addition, the test does not give us insight into the specific water quality issues, such as: Elevated Hardness, Salty Taste, or Corrosiveness. Therefore, the total dissolved solids test is used as an indicator test to determine the general quality of the water. The sources of total dissolved solids can include all of the dissolved cations and anions, but the following table can be used as a generalization of the relationship of TDS to water quality problems.

Cations combined with Carbonates CaCO <sub>3</sub> , MgCO <sub>3</sub> etc.	Associated with hardness, scale formation, bitter taste
Cations combined with Chloride NaCl, KCl	Salty or brackish taste, increase corrosivity

An elevated total dissolved solids (TDS) concentration is not a health hazard. The TDS concentration is a secondary drinking water standard and therefore is regulated because it is more of an aesthetic rather than a health hazard. An elevated TDS indicates the following:

- 1)The concentration of the dissolved ions may cause the water to be corrosive, have a salty or brackish taste, result in scale formation, and interfere and decrease efficiency of hot water heaters; and
- 2)Many contain elevated levels of ions that are above the Primary or Secondary Drinking Water Standards, such as: an elevated level of nitrate, arsenic, aluminum, copper, lead, etc.

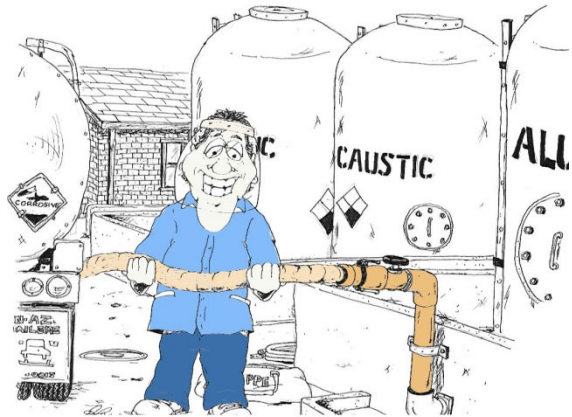
### Iron

Iron is part of the Secondary Rules. Iron is one of the most troublesome elements in water supplies. Making up at least 5 percent of the earth's crust, iron is one of the earth's most plentiful resources. Rainwater as it infiltrates the soil and underlying geologic formations dissolves iron. It is understandable, therefore, that most groundwater supplies contain some measurable amount of iron. Although present in water, iron is seldom found at concentrations greater than 10 milligrams per liter (mg/l) or 10 parts per million. However, *as little as 0.3 mg/l can cause water to turn a reddish brown color*. Iron stains and contaminates anything it contacts. The resulting stains are



usually yellowish-brown to reddish-brown, but may be gray to black in the presence of some organics. *Iron may also cause undesirable odors and tastes in water.*

Iron is mainly present in water in various forms but the two most common forms are either the soluble ferrous iron or the insoluble ferric iron. Water containing ferrous iron is clear and colorless because the iron is completely dissolved. When exposed to air or the atmosphere, the water turns cloudy and a reddish brown substance begins to form. This sediment is the oxidized or ferric form of iron that will not dissolve in water. Manganese is frequently found with and is similar to iron but forms a brownish-black precipitate and stains. Manganese is less commonly found in groundwater than iron, rarely found alone in a water source, and generally found with dissolved iron.



### **Fluoride**

Fluoride exists naturally in water sources and is derived from fluorine, the thirteenth most common element in the Earth's crust. It is well known that fluoride helps prevent and even reverse the early stages of tooth decay. The primary rule MCL is 4.0 mg/L.

### **Free and Combined Residual**

When chlorine is added to water, some of the chlorine reacts first with organic materials and metals in the water and is not available for disinfection (this is called the *chlorine demand* of the water). The remaining chlorine concentration after the chlorine demand is accounted for is called *total chlorine*. Total chlorine is further divided into: 1) the amount of chlorine that has reacted with nitrates and is unavailable for disinfection which is called *combined chlorine* and, 2) the *free chlorine*, which is the chlorine available to inactivate disease-causing organisms, and thus a measure to determine the potability of water.

### **Particle Count**

Particle counters are instruments currently used in research that have the capacity to count numbers and determine sizes of particles in suspension. Research has shown that reducing the amount of particulate matter in potable water reduces the risk of human pathogens such as *Cryptosporidium parvum* entering drinking water supplies.

Since particle counters have several advantages over turbidity meters, such as a higher sensitivity to changes in water quality at low turbidities (below 0.1 NTU), a higher sensitivity to changes associated with larger particle sizes, and a particle-sizing capability, they may someday replace turbidity meters. However, turbidity meters are the preferred monitoring mechanism for process control of particulate matter in potable water at this time.

### **Langelier Index**

The Langelier Saturation Index is a means of evaluating water quality data to determine if the water has a tendency to form a chemical scale. In order to use this index, the following laboratory analysis is needed: pH, conductivity, total dissolved solids, alkalinity, and total hardness. In manipulating the data, the actual pH of the water is compared to the theoretical pH (pHs) based on the chemical analysis.

### **The Saturation Index (SI) $SI = pH - pH_s$**

The Saturation Index is typically either negative or positive and rarely 0. A Saturation Index of zero indicates that the water is “balanced” and is less likely not to cause scale formation. A negative SI suggests that the water would be undersaturated with respect to carbonate equilibrium and the water may be more likely to have a greater corrosive potential.

A positive SI suggests that water may be scale forming. The scale, typically a carbonate residue, could clog or reduce the flow in pipes, cause buildup on hot water heaters, impart an alkali taste to the water, reduce the efficiency of the water heaters, and cause other aesthetic problems.

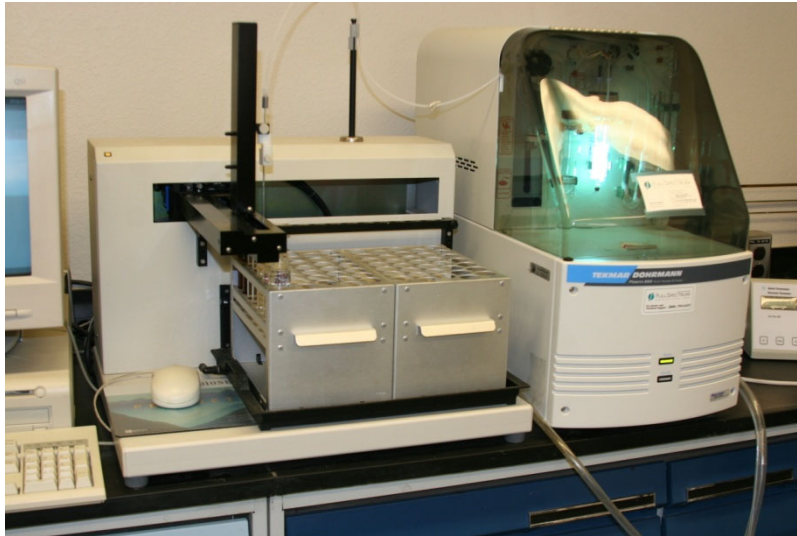
Saturation Index	Description	General Recommendation
- 5	Severe Corrosion	Treatment Recommended
- 4	Severe Corrosion	Treatment Recommended
- 3	Moderate Corrosion	Treatment Recommended
- 2	Moderate Corrosion	Treatment May Be Needed
-1	Mild Corrosion	Treatment May Be Needed
-0.5	None- Mild Corrosion	Probably No Treatment
0	Near Balanced	No Treatment
0.5	Some Faint Coating	Probably No Treatment
1	Mild Scale Coating	Treatment May Be Needed
2	Mild to Moderate Coatings	Treatment May Be Needed
3	Moderate Scale Forming	Treatment Advisable
4	Severe Scale Forming	Treatment Advisable

Please Note- SI Index is not a reliable means of evaluating corrosion potential, but it can be used as a guide.

### **UV 254**

Total organic carbon (TOC) is an important indicator of water quality in drinking water supply systems. Prescribed chlorination rates are often based on TOC levels, and levels of disinfection byproducts (DBP) can be subsequently approximated.

Unfortunately, TOC is difficult to measure, and some water utilities rely on the more easily measured absorbance of ultraviolet light (specifically at a wavelength of 254 nm, abbreviated UV-254) as a surrogate indicator of organic content. For daily or weekly operating purposes, measurements of UV-254 can provide inexpensive and meaningful prescriptive guidance for disinfection processes.



Water Treatment labs use unit like this to measure total organic carbon (TOC).

### Required EPA Information

- EPA is directed to require public water systems to provide customers with annual "**Consumer Confidence Reports**" in newspapers and by direct mail.
- The reports must list levels of regulated contaminants along with Maximum Contaminant Levels (**MCLs**) and Maximum Contaminant Level Goals (**MCLGs**), along with plainly worded definitions of both.
- The reports must also include a plainly worded statement of the health concerns for any contaminants for which there has been a violation, describe the utility's sources of drinking water and provide data on unregulated contaminants for which monitoring is required, including Cryptosporidium and radon.
- EPA must establish a toll-free hot line customers can call to get additional information.
- EPA is required to publish guidelines for states to develop water source assessment programs that delineate protection areas and assess contamination risks.
- EPA is required to identify technologies that are affordable for small systems to comply with drinking water regulations.



Jar testing is used to determine what chemical dosage is best.

## Topic 6 - Water Laboratory Analysis Section

1. pH is a measure of the \_\_\_\_\_ or \_\_\_\_\_ of an aqueous solution.
2. Primary pH standard values are determined using a concentration cell with transference, by measuring the potential difference between a hydrogen electrode and a standard electrode such as a silver chloride electrode.  
True or False
3. Measurement of pH for aqueous solutions can be done with a glass electrode and a pH meter, or using indicators like \_\_\_\_\_.
4. Alkalinity is the quantitative capacity of an aqueous solution to neutralize a base.  
True or False
5. There can be long-term changes in the \_\_\_\_\_ of rivers and streams in response to human disturbances.

### pH Indicators

6. Universal indicator consists of a mixture of indicators such that there is a continuous color change from about pH \_\_\_\_\_ to pH \_\_\_\_\_. Universal indicator paper is made from absorbent paper that has been impregnated with universal indicator.

### Strong Acids and Bases

7. Strong acids and bases are \_\_\_\_\_ that, for practical purposes, are completely dissociated in water.
8. pH is a measure of the concentration of \_\_\_\_\_ ions present in water; alkalinity is a measure of water's ability to neutralize acids.
9. pH is not the only factor in the corrosion equation; \_\_\_\_\_ and alkalinity levels affect corrosion as well.
10. Generally, an increase in pH and alkalinity can decrease corrosion rates and help form a protective layer of \_\_\_\_\_ on corrodible pipe material.



## Topic 7- Disinfection Section

**Section Focus:** You will learn the basics of water disinfection with an emphasis on Chlorine. At the end of this section, you will be able to describe chlorination. There is a post quiz at the end of this section to review your comprehension and a final examination in the Assignment for your contact hours.

**Scope/Background:** Traditionally, the use of chlorine gas was the most common method of water disinfection. Chlorine gas itself is relatively inexpensive but is a highly toxic chemical that must be transported and handled with extreme caution. It is stored under pressure in large tanks and is released into the water as a gas. Sodium hypochlorite is a diluted liquid form of chlorine that is also commonly used, primarily by groundwater wells but also as a safer alternative to chlorine gas.

# CHLORINE

**DO NOT TAKE INTERNALLY**

AVOID CONTACT  
WITH EYES, MOUTH  
OR CLOTHING

**WARNING**

AVOID  
BREATHING FUMES

**FLAMMABLE - KEEP FIRE AWAY**

USE ONLY IN WELL VENTILATED AREAS.

USE ONLY WHERE THERE ARE NO OPEN FLAMES  
OR OTHER SOURCES OF IGNITION

EXTREMELY FLAMMABLE

KEEP AWAY FROM HEAT, SPARKS AND OPEN FLAME

KEEP CONTAINER CLOSED

HAZARD IDENTIFICATION



CODE NUMBERS

4 - SEVERE

3 - SERIOUS

2 - MODERATE

1 - SLIGHT

0 - MINIMAL

### Chlorine Introduction

Chlorine gas is the most widely used water disinfectant in the U.S., and it kills most bacteria, viruses, and other microorganisms that cause disease.

Chlorine is introduced to water in the form of gas, hypochlorites (tablets, solutions, or powder), and other compounds.

The different forms of chlorine used at water treatment plants are gaseous chlorine, sodium hypochlorite solution, calcium hypochlorite, and bromium chloride.

### **Chlorine's Appearance and Odor**

Chlorine is a greenish-yellow gas with a characteristic pungent odor. It condenses to an amber liquid at approximately -34 degrees C (-29.2 degrees F) or at high pressures. Odor thresholds ranging from 0.3-0.5 parts per million (ppm) of air have been reported. Prolonged exposures may result in olfactory fatigue. Prolonged, low-level exposures, such as those that occur in the workplace, can lead to olfactory fatigue and tolerance of chlorine's irritant effects.

### **Reactivity**

1. **Conditions Contributing to Instability:** Cylinders of chlorine may burst when exposed to elevated temperatures. Chlorine in solution forms a corrosive material.

2. **Incompatibilities:** Flammable gases and vapors form explosive mixtures with chlorine. Contact between chlorine and many combustible substances (such as gasoline and petroleum products, hydrocarbons, turpentine, alcohols, acetylene, hydrogen, ammonia, and sulfur), reducing agents, and finely divided metals may cause fires and explosions. Contact between chlorine and arsenic, bismuth, boron, calcium, activated carbon, carbon disulfide, glycerol, hydrazine, iodine, methane, oxomonosilane, potassium, propylene, and silicon should be avoided. Chlorine reacts with hydrogen sulfide and water to form hydrochloric acid, and it reacts with carbon monoxide and sulfur dioxide to form phosgene and sulfuryl chloride. Chlorine is also incompatible with moisture, steam, and water.

3. **Hazardous Decomposition Products:** None reported.

4. **Special Precautions:** Chlorine will attack some forms of plastics, rubber, and coatings.

### **Safety Information- Brief Points**

Chlorine is a greenish-yellow, noncombustible gas at room temperature and atmospheric pressure. The intermediate water solubility of chlorine accounts for its effect on the upper airway and the lower respiratory tract.

Exposure to chlorine gas may be prolonged, because its moderate water solubility may not cause upper airway symptoms for several minutes. In addition, the density of the gas is greater than that of air, causing it to remain near ground level and increasing exposure time. The odor threshold for chlorine is approximately 0.3-0.5 parts per million (ppm); however, distinguishing toxic air levels from permissible air levels may be difficult until irritative symptoms are present.

Death is possible from asphyxia, shock, reflex spasm in the larynx, or massive pulmonary edema. Populations at special risk from chlorine exposure are individuals with pulmonary disease, breathing problems, bronchitis, or chronic lung conditions.

Chlorine gas reacts with water producing a strongly oxidizing solution causing damage to the moist tissue lining the respiratory tract when the tissue is exposed to chlorine. The respiratory tract is rapidly irritated by exposure to 10-20 ppm of chlorine gas in air, causing acute discomfort that warns of the presence of the toxicant. Even brief exposure to 1,000 ppm of Cl<sub>2</sub> can be fatal.





These are 1- ton chlorine gas containers. Notice the five-gallon bucket of motor oil (OSHA Violation) in the bottom photograph. Do you have an eye wash and emergency shower readily available? If not, it is a very common OSHA safety violation.



## Key Chlorine Safety Notes

### Flammability

**Chlorine is a non-combustible gas.**

The National Fire Protection Association has assigned a flammability rating of 0 (no fire hazard) to chlorine; however, most combustible materials will burn in chlorine.

1. **Flash point:** Not applicable.
2. **Autoignition temperature:** Not applicable.
3. **Flammable limits in air:** Not applicable.
4. **Extinguishant:** For small fires use water only; do not use dry chemical or carbon dioxide. Contain and let large fires involving chlorine burn. If fire must be fought, use water spray or fog.

**Fires involving chlorine should be fought upwind from the maximum distance possible.**

Keep unnecessary people away; isolate the hazard area and deny entry. For a massive fire in a cargo area, use unmanned hose holders or monitor nozzles; if this is impossible, withdraw from the area and let the fire burn. Emergency personnel should stay out of low areas and ventilate closed spaces before entering.

Containers of chlorine may explode in the heat of the fire and should be moved from the fire area if it is possible to do so safely. If this is not possible, cool fire exposed containers from the sides with water until well after the fire is out. Stay away from the ends of containers. Firefighters should wear a full set of protective clothing and self-contained breathing apparatus when fighting fires involving chlorine.

### Fire-fighting

When there is a fire that involves Chlorine, the fire fight should be fought upwind from the maximum distance possible.

Keep unnecessary people away; isolate the hazard area and deny entry. For a massive fire in a cargo area, use unmanned hose holders or monitor nozzles; if this is impossible, withdraw from the area and let the fire burn. Emergency personnel should stay out of low areas and ventilate closed spaces before entering.

### Special Reactivity

Cylinders of chlorine may burst when exposed to elevated temperatures. When there is Chlorine in solution, this forms a corrosive material. Fires and explosions are formed when chlorine is in contact with combustible substances (such as gasoline and petroleum products, hydrocarbons, turpentine, alcohols, acetylene, hydrogen, ammonia, and sulfur), reducing agents, and finely divided metals.

Contact between chlorine and arsenic, bismuth, boron, calcium, activated carbon, carbon disulfide, glycerol, hydrazine, iodine, methane, oxomonosilane, potassium, propylene, and silicon should be avoided. Chlorine reacts with hydrogen sulfide and water to form Hydrochloric acid. Chlorine is also incompatible with moisture, steam, and water.

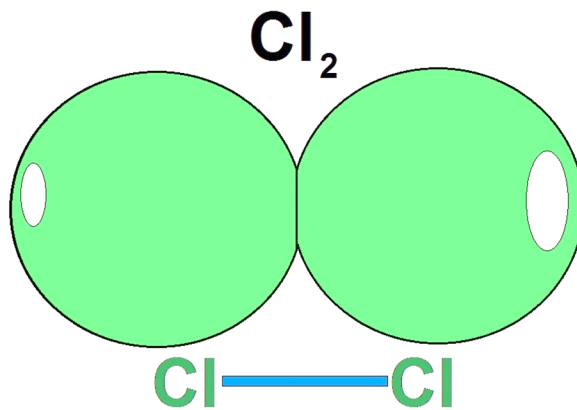
## Chlorine Gas Introduction

Today, most of our drinking water supplies are free of the microorganisms — viruses, bacteria, and protozoa — that cause serious and life-threatening diseases, such as cholera and typhoid fever. This is largely due to the introduction of water treatment, particularly chlorination, at the turn of the century.

Living cells react with chlorine and reduce the free chlorine residual concentration while they die. Their organic matter and other substances that are present convert to chlorinated derivatives, some of which are marginally effective killing agents.

### Background

Chlorine gas is a pulmonary irritant with intermediate water solubility that causes acute damage in the upper and lower respiratory tract. Chlorine gas was first used as a chemical weapon at Ypres, France in 1915. Of the 70,552 American soldiers poisoned with various gasses in World War I, 1843 were exposed to chlorine gas. Approximately 10.5 million tons and over 1 million containers of chlorine are shipped in the U.S. each year, so it can and is handled safely.



### CHLORINE MOLECULAR STRUCTURE

Chlorine is a yellowish-green gas at standard temperature and pressure. It is extremely reactive with most elements. Because its density is greater than that of air, the gas settles low to the ground. It is a respiratory irritant, and it burns the skin. Just a few breaths of it are fatal. Cl<sub>2</sub> gas does not occur naturally, although chlorine can be found in a number of compounds.

**Atomic Number:** 17

**Standard State:** Gas at 298K

**Melting Point:** 171.6K (-101.5 C)

**Boiling Point:** 239.11K (-34.04 C)

**Density:** N/A

**Molar Volume:** 17.39 cm<sup>3</sup>

**Electronegativity:** 3.16 Pauling Units

**Crystal Structure:** The Diatomic Chlorine molecules arrange themselves in an orthorhombic structure.

Chlorine is a highly reactive gas. It is stable in many naturally occurring compounds, such as table salt. Chlorine is produced in very large amounts (23 billion pounds in 1992) by eighteen companies in the United States. US demand for chlorine is expected to increase slightly over the next several years and then decline. The expected decline in US demand is due to environmental concerns for chlorinated organic chemicals.

The largest users of chlorine are companies that make ethylene dichloride and other chlorinated solvents, polyvinyl chloride (PVC) resins, chlorofluorocarbons, and propylene oxide. Paper companies use chlorine to bleach paper. Water and wastewater treatment plants use chlorine to reduce water levels of microorganisms that can spread disease to humans.

Exposure to chlorine can occur in the workplace or in the environment following releases to air, water, or land. People who use laundry bleach and swimming pool chemicals containing chlorine products are usually not exposed to elemental chlorine itself. Pure chlorine is generally found only in industrial settings.

Chlorine enters the body when breathed in with contaminated air or when consumed with contaminated food or water. It does not remain in the body as chlorine due to its reactivity – it forms destructive acids.

Chlorine gas is greenish yellow in color and very toxic. It is heavier than air and will therefore sink to the ground if released from its container. It is the toxic effect of chlorine gas that makes it a good disinfectant, but it is toxic to more than just waterborne pathogens; it is also toxic to humans and virtual all life. It is a respiratory irritant and it can also irritate skin and mucus membranes.

Exposure to high volumes of chlorine gas fumes can cause serious health problems, including death.

However, it is important to realize that chlorine gas, once entering the water, changes into hypochlorous acid and hypochlorite ions, and therefore its human toxic properties are not found in the drinking water we consume.

Chlorine gas is sold as a compressed liquid, which is amber in color. Chlorine, as a liquid, is heavier (more dense) than water. If the chlorine liquid is released from its container, it will quickly return back to its gas state. Chlorine gas is the least expensive form of chlorine to use. The typical amount of chlorine gas required for water treatment is 1-16 mg/L of water. Different amounts of chlorine gas are used depending on the quality of water that needs to be treated.

If the water quality is poor, a higher concentration of chlorine gas will be required to disinfect the water if the contact time cannot be increased.

### **Oxidizing Microbicide**

Chlorine gas is likely the most widely used oxidizing microbicide. Besides water and wastewater disinfection, it has traditionally been the biocide of choice in many cooling water treatment systems.

It is a strong oxidizer that is relatively easy to feed and is quite inexpensive. Upon introduction into the water stream, chlorine hydrolyzes into hypochlorous acid (HOCl) and hydrochloric acid (HCl). We will master this chemistry in a few more pages.

### **Alkaline Conditions**

This hydrolyzation provides the active toxicant, HOCl, which is pH-dependent. In alkaline conditions, it readily dissociates to form the hypochlorite ion (OCl<sup>-</sup>). This dissociation phenomenon is important to remember.

In alkaline conditions, OCl<sup>-</sup> becomes the predominant species and lacks the biocidal efficacy of the non-dissociated form. Considerably more HOCl is present at a pH of 7.0 than at pH 8.5.

### **Non-Selective**

It is also widely known that chlorine is non-selective, making it very sensitive to combining with many other compounds or from in-plant process leaks. Ammonia, organic acids and organic compounds, sulfides, iron and manganese all easily react with HOCl. The amount of chlorine needed to react with these contamination species is referred to as chlorine demand and it must be satisfied before active HOCl is available to provide a free chlorine residual.

The combination of high chlorine demand in process-contaminated systems and the dissociation process in alkaline systems creates the need for greater chlorine feed to obtain the same microbial efficacy.

### **Pathophysiology**

Chlorine is a greenish-yellow, noncombustible gas at room temperature and atmospheric pressure. The intermediate water solubility of chlorine accounts for its effect on the upper airway and the lower respiratory tract. Exposure to chlorine gas may be prolonged because its moderate water solubility may not cause upper airway symptoms for several minutes. In addition, the density of the gas is greater than that of air, causing it to remain near ground level and potentially increasing exposure time.

The odor threshold for chlorine is approximately 0.3-0.5 parts per million (ppm); however, distinguishing toxic air levels from permissible air levels may be difficult until irritative symptoms are present.

### **Immediate Effects**

The immediate effects of chlorine gas toxicity include acute inflammation of the conjunctivae, nose, pharynx, larynx, trachea, and bronchi. Irritation of the airway mucosa leads to local edema secondary to active arterial and capillary hyperemia. Plasma exudation results in filling the alveoli with edema fluid, resulting in pulmonary congestion.

### **Pathological Findings**

Pathologic findings are nonspecific. They include severe pulmonary edema, pneumonia, hyaline membrane formation, multiple pulmonary thromboses, and ulcerative tracheo-bronchitis.

The hallmark of pulmonary injury associated with chlorine toxicity is pulmonary edema, manifested as hypoxia. Non-cardiogenic pulmonary edema is thought to occur when there is a loss of pulmonary capillary integrity. Inhalation of chlorine gas is often fatal.

### **Mechanism of Activity**

The mechanisms of the above biological activity are poorly understood and the predominant anatomic site of injury may vary, depending on the chemical species produced. Cellular injury is believed to result from the oxidation of functional groups in cell components, from reactions with tissue water to form hypochlorous and hydrochloric acid, and from the generation of free oxygen radicals. Although the idea that chlorine causes direct tissue damage by generating free oxygen radicals was once accepted, this idea is now controversial.

The cylinders on the right contain chlorine gas. The gas comes out of the cylinder through a gas regulator. The cylinders are on a scale that operators use to measure the amount used each day. The chains are used to prevent the tanks from falling over.

Chlorine gas is stored in vented rooms that have panic bar equipped doors. Operators have the equipment necessary to reduce the impact of a gas leak, but usually rely on trained emergency response teams to contain leaks.

### **Solubility Effects**

Hydrochloric acid is highly soluble in water. The predominant targets of the acid are the epithelia of the ocular conjunctivae (covering of the eye) and upper respiratory mucus membranes.

Hypochlorous acid is also highly water soluble with an injury pattern similar to hydrochloric acid.

Hypochlorous acid may account for the toxicity of elemental chlorine and hydrochloric acid to the human body.

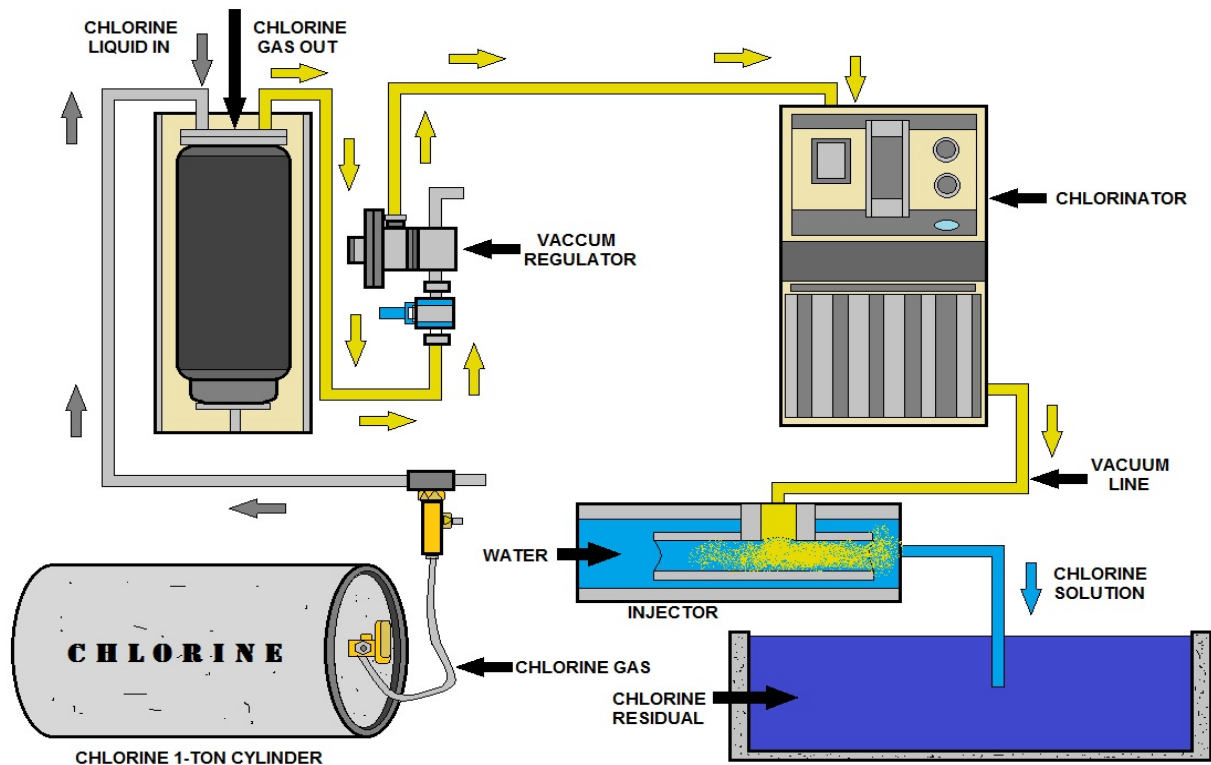
### **Early Response to Chlorine Gas**

Chlorine gas which is highly toxic when mixed with ammonia, reacts to form chloramine gas. In the presence of water, chloramines decompose to ammonia and hypochlorous acid or hydrochloric acid.

The early response to chlorine exposure depends on the

- (1) concentration of chlorine gas,
- (2) duration of exposure,
- (3) water content of the tissues exposed, and
- (4) individual susceptibility.





## CHLORINE EVAPORATOR – CHLORINATION DIAGRAM

### Weight of Chlorine

The weight refers to the weight of chlorine that is being supplied, not the weight of the full cylinder. Full 150 pound cylinders will weigh from 235 pounds to 290 pounds. Full ton containers will weigh from 3,300 pounds to 3,650 pounds.

To equal the chlorine available from one 150 lb. chlorine cylinder, you would need to use:

- 180 gal. of sodium hypochlorite or
- 228 lb. of calcium hypochlorite.

To equal the chlorine available from a one-ton chlorine container, you would need to use:

- 2,400 gal. of sodium hypochlorite or
- 3,040 lb. of calcium hypochlorite.



1-ton chlorine gas containers

Unbelievably, this facility uses between 20 and 30 containers per day. 3 shifts are required to handle the chlorine change-outs each day. Normally this is a slow boring job if everything is working properly. This crew is also responsible for any and all chlorine leaks which is a little more exciting. Even when the fire crews show up for a  $\text{Cl}_2$  leak, the fire crews are often too scared to touch a leaking cylinder and will ask the water treatment personnel to fix the leak.

### **Chlorine's Effectiveness**

The effectiveness of chlorination depends on the chlorine demand of the water, the concentration of the chlorine solution added, the time that chlorine is in contact with the organism, and water quality. These effects can be summarized in the following manner:

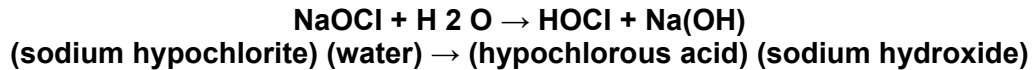
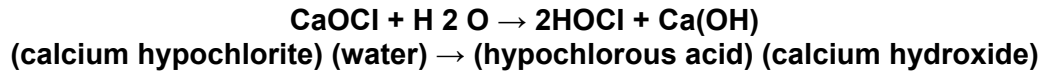
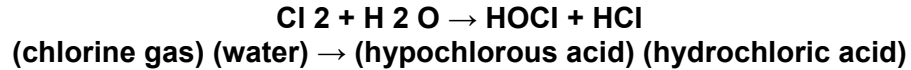
- As the concentration of the chlorine increases, the required contact time to disinfect decreases.
- Chlorination is more effective as water temperature increases.
- Chlorination is less effective as the water's pH increases (becomes more alkaline).
- Chlorination is less effective in cloudy (turbid) water.

When chlorine is added to the water supply, part of it combines with other chemicals in water (like iron, manganese, hydrogen sulfide, and ammonia) and is not available for disinfection. The amount of chlorine that reacts with the other chemicals plus the amount required to achieve disinfection is the **chlorine demand** of the water

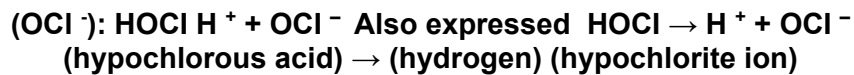


## Chemistry of Chlorination Sub-Section

Chlorine can be added as sodium hypochlorite, calcium hypochlorite or chlorine gas. When any of these is added to water, chemical reactions occur as these equations show:



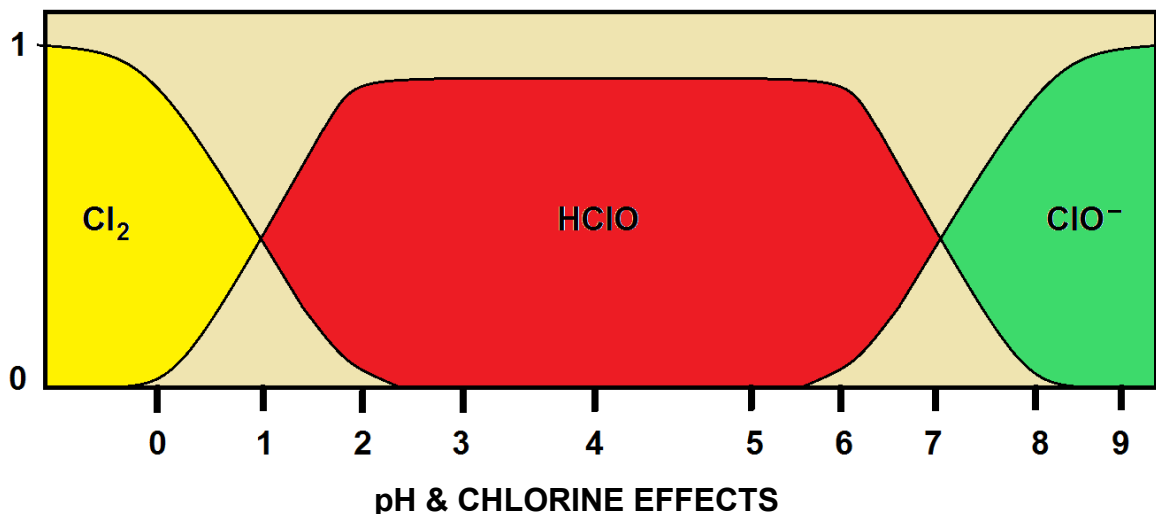
All three forms of chlorine produce hypochlorous acid (HOCl) when added to water. Hypochlorous acid is a weak acid but a strong disinfecting agent. The amount of hypochlorous acid depends on the pH and temperature of the water. Under normal water conditions, hypochlorous acid will also chemically react and break down into a hypochlorite ion. The direction of this reaction is highly pH and temperature dependent.



The hypochlorite ion is a much weaker disinfecting agent than hypochlorous acid; about 100 times less effective.

Let's now look at how pH and temperature affect the ratio of hypochlorous acid to hypochlorite ions. As the temperature is decreased, the ratio of hypochlorous acid increases. Temperature plays a small part in the acid ratio.

Although the ratio of hypochlorous acid is greater at lower temperatures, pathogenic organisms are actually harder to kill. All other things being equal, higher water temperatures and a lower pH are more conducive to chlorine disinfection.



Chlorine present as Cl, HOCl, and OCl<sup>-</sup> is called *free available chlorine* and that which is bound but still effective is *combined chlorine*. A particularly important group of compounds with combined chlorine is the chloramines formed by reactions with ammonia.

One especially important feature of disinfection using chlorine is the ease of creating a "residual" concentration. There is a constant danger that safe water leaving the treatment plant may become contaminated later. There may be breaks in water mains, loss of pressure that permits an inward leak, or plumbing errors.

This residual concentration of chlorine provides significant of protection right to the water faucet. With free available chlorine, a typical residual is from 0.1 to 0.5 ppm. Because chlorinated organic compounds are less effective, a typical residual is 2 ppm for combined chlorine.

There will be no chlorine residual unless there is an excess over the amount that reacts with the organic matter present. However, reaction kinetics complicates interpretation of chlorination data. The correct excess is obtained in a method called "*Breakpoint Chlorination*".

BACTERIA / VIRUS	DISINFECTION TIME FOR FECAL CONTAMINANTS IN CHLORINATED WATER
E. COLI (BACTERIUM)	LESS THAN 1 MINUTE OF CONTACT TIME
HEPATITUS A (VIRUS)	APPROXIMATELY 16 MINUTES CONTACT TIME
GIARDIA (PARASITE)	APPROXIMATELY 45 MINUTES CONTACT TIME
CRYPTOSPORIDIUM (PARASITE)	APPROXIMATELY 10.6 DAYS (15,300 minutes)

**CHLORINE TIMETABLE FOR PROPER DISINFECTION**

## Chlorine Residual Section

### Types of Residual

If water were pure, the measured amount of chlorine in the water should be the same as the amount added. Nevertheless, water is not 100% pure. There are always other substances (interfering agents) such as iron, manganese, turbidity, etc., which will combine chemically with the chlorine.



$$\text{CHLORINE IN USE} + \text{FREE CHLORINE} = \text{TOTAL CHLORINE}$$

This is called the **chlorine demand**. Naturally, once chlorine molecules are combined with these interfering agents, they are not capable of disinfection. It is free chlorine that is much more effective as a disinfecting agent.

### Chlorine Demand

The minimum amount of chlorine needed to react in a water purification system; used as a monitoring measurement by system operators.

### Chlorine Residual

The concentration of chlorine in the water after the chlorine demand has been satisfied. The concentration is normally expressed in terms of total chlorine residual, which includes both the free and combined or chemically bound chlorine residuals.

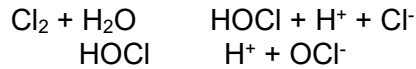
### Combined Chlorine Residual

The amount of chlorine used up in a water purification system; used as a monitoring measurement by system operators. Combined chlorine is defined as the residual chlorine existing in water in chemical combination with ammonia or organic amines that can be found in natural or polluted waters.

Ammonia is sometimes deliberately added to chlorinated public water supplies to provide inorganic chloramines.

### Free Chlorine

Free chlorine is defined as the concentration of residual chlorine in water present as dissolved gas (Cl<sub>2</sub>), hypochlorous acid (HOCl), and/or hypochlorite ion (OCl<sup>-</sup>). The three forms of free chlorine exist together in equilibrium.



Their relative proportions are determined by the pH value and temperature. Regardless of whether pre-chlorination is practiced or not, a free chlorine residual of at least 1.0 mg/L should be maintained in the clear well or distribution reservoir immediately downstream from the point of post-chlorination and .2 mg/L in the distribution system to guard against backflow.

### Total Chlorine Residual

The total of free residual and combined residual chlorine in a water purification system; used as a monitoring measurement by system operators.

Total chlorine is the sum of free and combined chlorine. When chlorinating most potable water supplies, total chlorine is essentially equal to free chlorine since the concentration of ammonia or organic nitrogen compounds (needed to form combined chlorine) will be very low.

When chloramines are present in the municipal water supply, then total chlorine will be higher than free chlorine.

### Free, Total, and Combined Chlorine

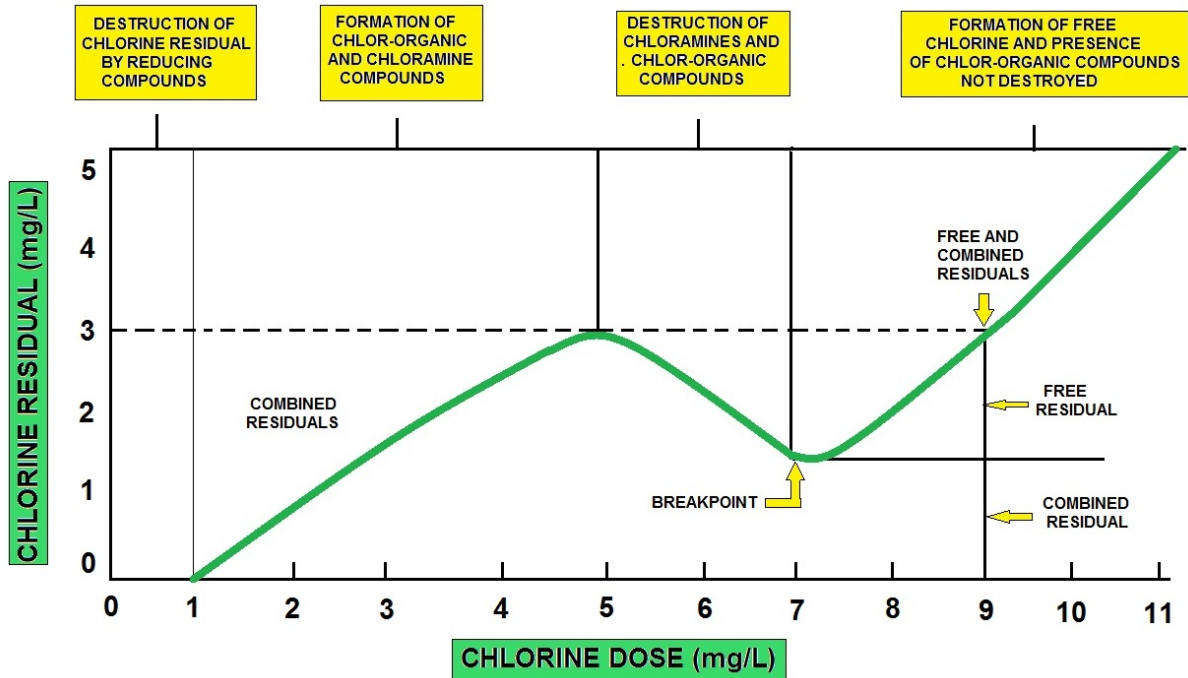
When a chlorine residual test is taken, either a total or a free chlorine residual can be read.

**Total residual is all chlorine that is available for disinfection.**

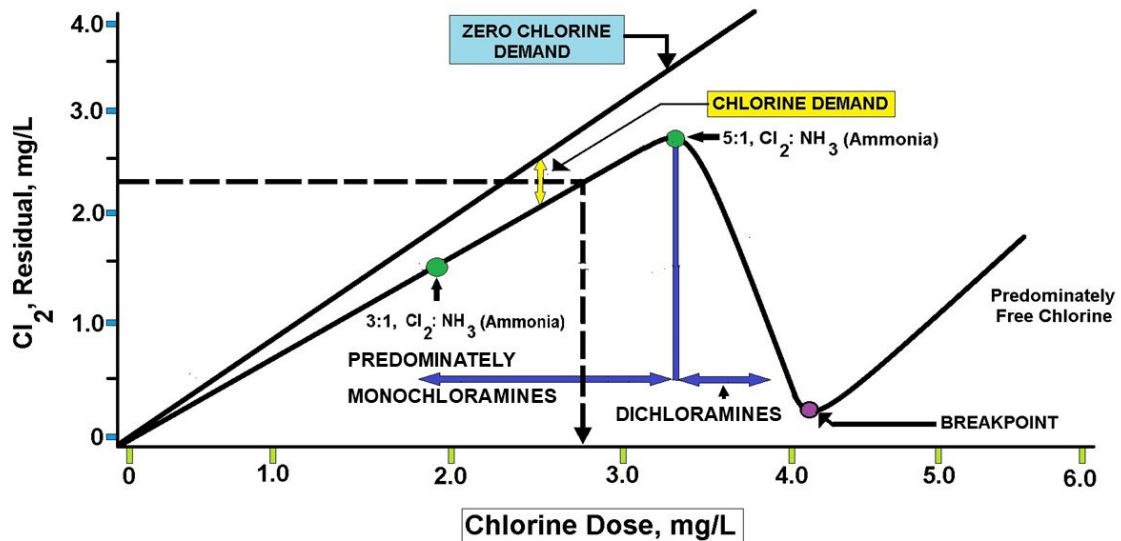
**Total chlorine residual = free + combined chlorine residual.**

Free chlorine residual is a much stronger disinfecting agent. Therefore, most water regulating agencies will require that your daily chlorine residual readings be of free chlorine residual.

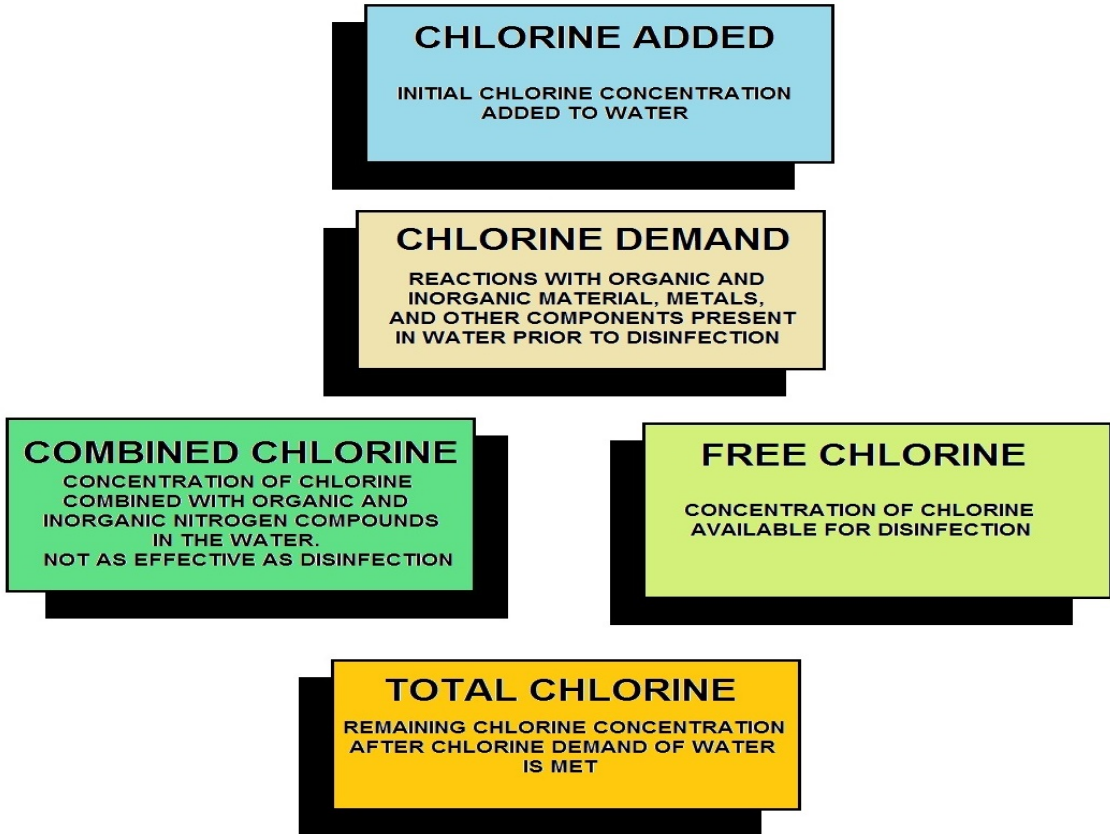
**Break-point chlorination** is where the chlorine demand has been satisfied, and any additional chlorine will be considered **free chlorine**.



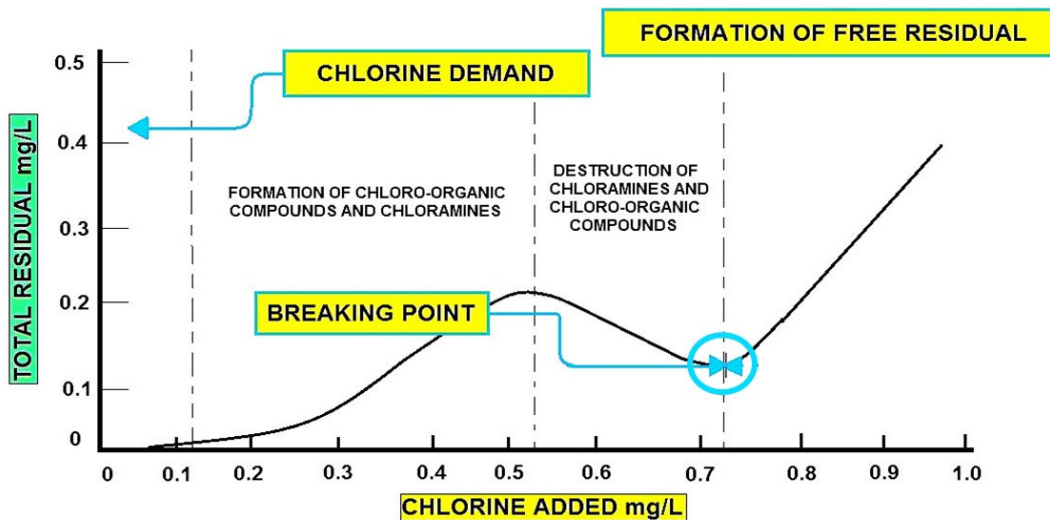
**CHLORINE BREAKPOINT CHART #1**



**CHLORAMINATION DOSING CURVE**



### CHLORINE RESIDUAL ORDER CHART



### REACTION OF CHLORINE IN WATER

Disinfection to eliminate fecal and coliform bacteria may not be sufficient to adequately reduce pathogens such as Giardia or viruses to desired levels. Use of the "CT" disinfection concept is recommended to demonstrate satisfactory treatment, since monitoring for very low levels of pathogens in treated water is analytically very difficult.

The CT concept, as developed by the United States Environmental Protection Agency (Federal Register, 40 CFR, Parts 141 and 142, June 29, 1989), uses the combination of disinfectant residual concentration (mg/L) and the effective disinfection contact time (in minutes) to measure effective pathogen reduction. The residual is measured at the end of the process, and the contact time used is the T10 of the process unit (time for 10% of the water to pass).

$$\text{CT (Contact time)} = \text{Concentration (mg/L)} \times \text{Time (Minutes)}$$

**Required Giardia/Virus Reduction**

All surface water treatment systems shall ensure a minimum reduction in pathogen levels: 3-log reduction in Giardia and 4-log reduction in viruses. These requirements are based on unpolluted raw water sources with Giardia levels of = 1 cyst/100 L, and a finished water goal of 1 cyst/100,000 L (equivalent to 1 in 10,000 risk of infection per person per year). Higher raw water contamination levels may require greater removals as shown on Table 4.1.

BACTERIA / VIRUS	DISINFECTION TIME FOR FECAL CONTAMINANTS IN CHLORINATED WATER
E. COLI (BACTERIUM)	LESS THAN 1 MINUTE OF CONTACT TIME
HEPATITUS A (VIRUS)	APPROXIMATELY 16 MINUTES CONTACT TIME
GIARDIA (PARASITE)	APPROXIMATELY 45 MINUTES CONTACT TIME
CRYPTOSPORIDIUM (PARASITE)	APPROXIMATELY 10.6 DAYS (15,300 minutes)

**CHLORINE TIMETABLE FOR PROPER DISINFECTION**

**TABLE 4.1  
LEVEL OF GIARDIA REDUCTION  
Raw Water Giardia Levels\*  
Recommended Giardia Log  
Reduction**

- < 1 cyst/100 L 3-log
- 1 cyst/100 L - 10 cysts/100 L 3-log - 4-log
- 10 cysts/100 L - 100 cysts/100 L 4-log - 5-log
- > 100 cysts/100 L > 5-log

\*Use geometric means of data to determine raw water Giardia levels for compliance.

### Required CT Value

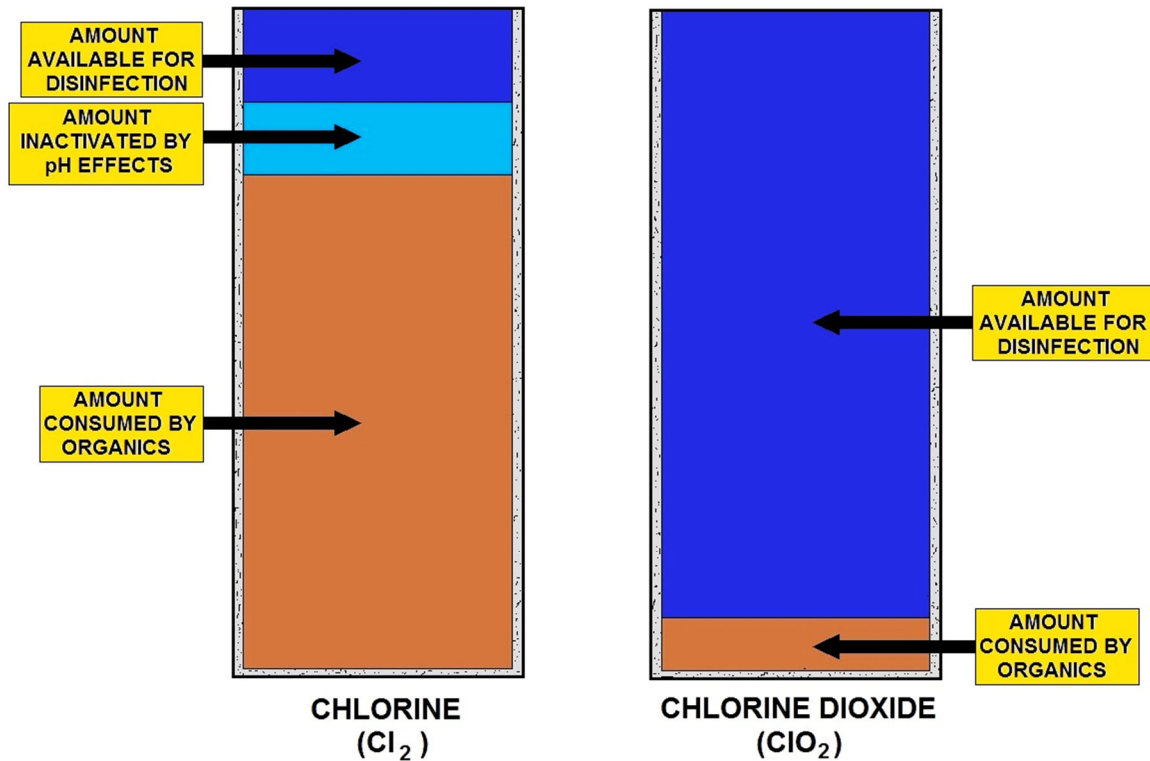
Required CT values are dependent on pH, residual concentration, temperature, and the disinfectant used.

### Calculation and Reporting of CT Data

Disinfection CT values shall be calculated daily, using either the maximum hourly flow and the disinfectant residual at the same time, or by using the lowest CT value if it is calculated more frequently. Actual CT values are then compared to required CT values.

Results shall be reported as a reduction Ratio, along with the appropriate pH, temperature, and disinfectant residual. The reduction Ratio must be greater than 1.0 to be acceptable. Users may also calculate and record actual log reductions.

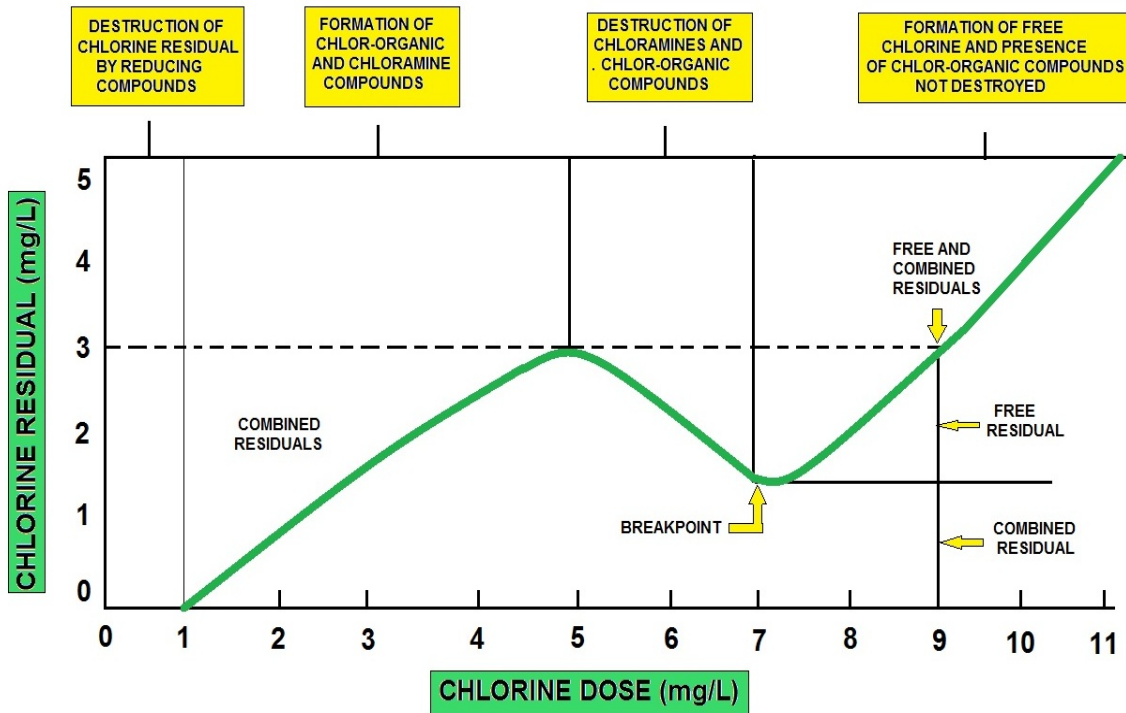
$$\text{Reduction Ratio} = \text{CT actual} \div \text{CT required}$$



THE DIFFERENCE IN USING CHLORINE AND CHLORINE DIOXIDE AS A DISINFECTANT



## Understanding Chlorine Residual



## CHLORINE BREAKPOINT

The amount of available chlorine present in wastewater after a given contact time (20 minutes at peak flow; 30 minutes at average flow), and under specific conditions including pH and temperature. For effective water treatment, the water supply industry has recognized the need for adequate exposure to the disinfectant and sufficient disinfectant dosage for a certain amount of time. In the 1980s, the two functions were combined with the development of the CT values for various disinfectants.

CT represents the combination of the disinfectant dosage and the length of time water has been exposed to a minimum amount of the disinfectant residual.

Mathematically it is represented as  $CT = \text{concentration} \times \text{time}$   
 concentration = final disinfectant concentration in mg/l  
 time = minimum exposure time in minutes

In an assessment of disinfection effectiveness, two types of organisms have been chosen as disinfection surrogates – the protozoan *Giardia* and viruses. CT values established for disinfection of surface waters require treatment plants to achieve a three-log or 99.9% reduction in *Giardia* and a four-log or 99.99% virus reduction. It is important to recognize that the use of chlorine as the disinfectant is only one part of the treatment process. Equally important is the need for improved filtration to remove organisms.

A combination of proper disinfection and filtration is most effective in providing safe drinking water. Recent experiments in controlling *Cryptosporidium* also suggest the effectiveness of filtration in the water treatment process.

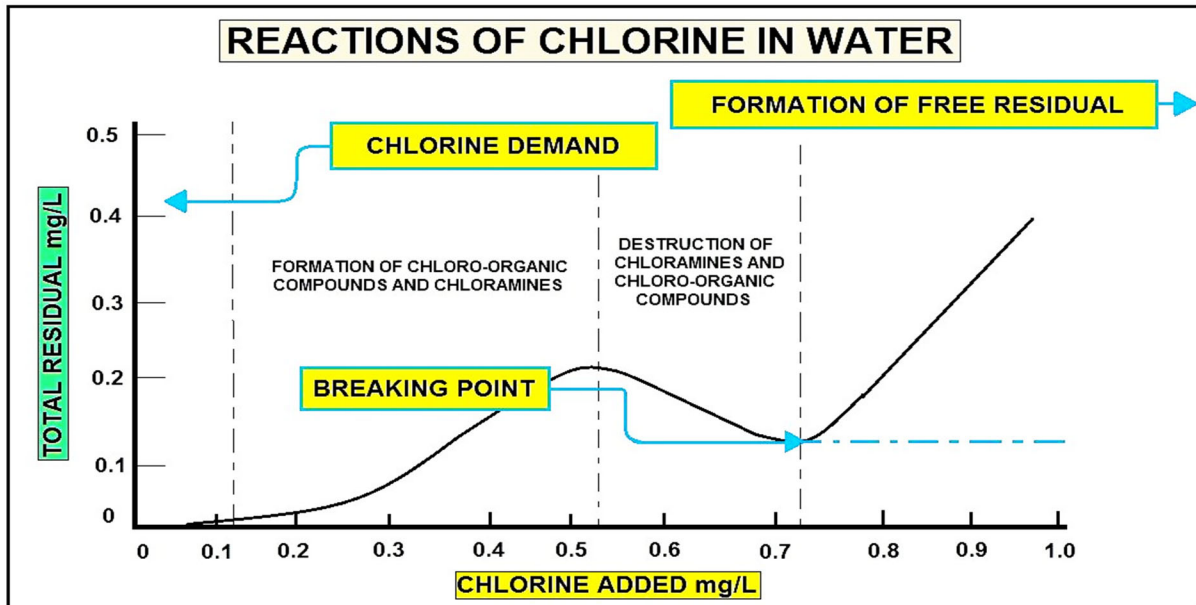
Free residual chlorination involves the application of chlorine to water to produce--either directly or by first destroying any naturally present ammonia--a free available chlorine residual and to maintain this residual through part or all of the water treatment plant and distribution system. Free available residual forms have higher oxidation potentials than combined available chlorine forms and are more effective as disinfectants.

**When free available chlorine residuals are desired, the characteristics of the water will determine how this will be accomplished. This may have to be considered:**

1. If the water contains no ammonia or other nitrogen compounds, any application of chlorine will yield a free residual once it has reacted with any bacteria, virus and other microorganisms present in the water.
2. If the water contains ammonia, it results in the formation of a combined residual, which must be destroyed by applying an excess of chlorine.

## Breakpoint Chlorination

Breakpoint chlorination is the name of the process of adding chlorine to water until the chlorine demand has been satisfied. Chlorine demand equals the amount of chlorine used up before a free available chlorine residual is produced. Further additions of chlorine will result in a chlorine residual that is directly proportional to the amount of chlorine added beyond the breakpoint. Public water supplies normally chlorinate past the breakpoint.



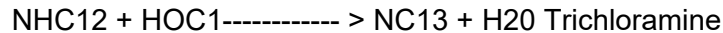
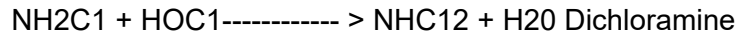
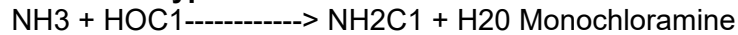
**When chlorine is initially added to water, the following may happen:**

1. If the water contains some iron, manganese, organic matter, and ammonia, the chlorine reacts with these materials and no residual is formed, meaning that no disinfection has taken place.
2. If additional chlorine is added at this point, it will react with the organics and ammonia to form chloramines. The chloramines produce a combined chlorine residual. As the chlorine is combined with other substances, it loses some of the disinfection strength. Combined residuals have poor disinfection power and may be the cause of taste and odor problems.
3. With a little more chlorine added, the chloramines and some of the chlororganics are destroyed.
4. With still more chlorine added, a free chlorine residual is formed, free in the sense that it can react quickly.

Free available chlorine is the best residual for disinfection. It disinfects faster and without the swimming-pool odor of combined residual chlorine. The free available residual forms at the breakpoint; therefore, the process is called breakpoint chlorination. The common practice today is to go just beyond the breakpoint to a residual of about .2 to .5 ppm.

A variety of reactions take place during chlorination. When chlorine is added to a water containing ammonia ( $\text{NH}_3$ ), the ammonia reacts with hypochlorous acid ( $\text{HOCl}$ ) to form monochloramine, dichloramine, and trichloramine. The formation of these chloramines depends on the pH of the water and the initial chlorine-ammonia ratio.

### **Ammonia + Hypochlorous acid ----> Chloramine + Water**



At the pH of most natural water (pH 6.5 to 7.5), monochloramine and dichloramine exist together. At pH levels below 5.5, dichloramine exists by itself. Below pH 4.0, trichloramine is the only compound found. The monochloramine and dichloramine forms have a definite disinfection power. Dichloramine is a more effective disinfecting agent than monochloramine. However, dichloramine is not recommended as a disinfectant due to the possibility of the formation of taste and odor compounds. Chlorine reacts with phenol and salicylic acid to form chlorophenol, which has an intense medicinal odor. This reaction is much slower in the presence of monochloramines.

Both the chlorine residual and the contact time are essential for effective disinfection. It is important to have complete mixing. The operator also needs to be aware that changes in the pH may affect the ability of the chlorine to disinfect the water. The operator must examine the application and select the best point of feed and the best contact time to achieve the results desired. The operator needs to consider:

1. Whether the injection point and the method of mixing is designed so that the disinfectant is able to get into contact with all of the water to be disinfected. This also depends on whether pre- and/or post-chlorination is being used.
2. Contact time. In situations of good initial mixing, the longer the contact time, the more effective the disinfection.
3. Effectiveness of upstream treatment processes. The lower the turbidity of the water, the more effective the disinfection.
4. Temperature. At higher temperatures the rate of disinfection is more rapid.
5. Dosage and type of chemical. Usually the higher the dose, the quicker the disinfection rate. The form of disinfectant (chloramine or free chlorine) and the type of chemical used influence the disinfection rate.
6. pH. The lower the pH, the better the disinfection.

### **Chlorine Demand**

Chlorine combines with a wide variety of materials. These side reactions complicate the use of chlorine for disinfecting purposes. Their demand for chlorine must be satisfied before chlorine becomes available to accomplish disinfection. The amount of chlorine required to react on various water impurities before a residual is obtained. In addition, it means the amount of chlorine required to produce a free chlorine residual of 0.1 mg/l after a contact time of fifteen minutes as measured by Iodometric method of a sample at a temperature of twenty degrees in conformance with Standard methods.

### **Disinfection Information**

- ▶ Contact time is required
  - ▶ 99% or 2 log inactivation of crypto
  - ▶ 99.9% or 3 log inactivation of giardia lamblia cysts
  - ▶ 99.99% or 4 log inactivation of enteric viruses
- ▶ CT = Concentration of disinfectant x contact time
- ▶ The chlorine residual leaving the plant must be = or > 0.2 mg/L and measurable throughout the system.

## Measuring Chlorine Residual Subsection

### Amperometric Titration

Amperometric titrations have been successfully used for accurate determination of residual chlorine in water at many plants to maintain regulatory compliance. Different species of chlorine have also been determined, with suitable modification of the method, as free available chlorine, chloramine, chlorine dioxide, and chlorite.

Various dual polarizable electrodes have been used for the amperometric titration of low concentrations of residual chlorine in water. In particular, amperometric (Iodometric) titrations with dual platinum electrodes have gained considerable interest in the determination of total residual chlorine in water. Selective iodometric titrations with dual polarizable electrodes have also been found to be useful for the speciation of chlorine in water, providing the ability to distinguish between free and combined residual chlorine, and between monochloramine and dichloramine in water.

In the amperometric determination of free chlorine, chlorine is titrated with a standard reducing agent such as thiosulfate or phenyl arsine oxide (PAO) at pH 7. As long as the oxidant (free chlorine) is present in the titrated sample, a current flows through the cell.

The standard amperometric sensor design consists of two electrodes (anode and cathode) that measure a change in current caused by the chemical reduction of hypochlorous acid at the cathode. The current that flows because of this reduction is proportional to the chlorine concentration. A membrane and electrolyte help to control the reaction. Flow rate and pressure must be carefully controlled for accurate measurement.

The effect of pH on the disassociation of hypochlorous acid to the hypochlorite ion is quite significant. A standard sensor design is suitable for a constant pH in the range of 6.8-8.0. Calibration of the system enables compensation for the pH of the sample.

### Varying pH

For applications with varying pH, or pH values beyond this range, an extended pH range sensor is available using a 4.0 pH electrolyte in the membrane cap. This enables conversion of the hypochlorite ion to hypochlorous acid, enabling accurate chlorine readings in solutions between pH 4.0-12.0.

### Time for Measurement

The amount of time to achieve an accurate reading is only 30 seconds for a standard sensor, two minutes for an extended pH range sensor. Flow to the sensor must be consistently controlled in the range of 8-26.4 gal/hr; with a maximum pressure of 1 atm (discharge of sensor must be to atmospheric pressure). Each sensor typically has a required flow cell configuration to enable accurate measurements. During initial start-up, the sensor must be conditioned in the flow stream for 12-24 hours before attempting any calibration.



## Using DPD Method for Chlorine Residuals N, N – diethyl-p-phenylenediamine



Small portable chlorine measuring kit. The redder the mixture the “hotter” or stronger the chlorine in solution.

### Measuring Chlorine Residual

Chlorine residual is the amount of chlorine remaining in water that can be used for disinfection. A convenient, simple and inexpensive way to measure chlorine residual is to use a small portable kit with pre-measured packets of chemicals that are added to water. (Make sure you buy a test kit using the **DPD method**, and not the outdated orthotolodine method.)

Chlorine test kits are very useful in adjusting the chlorine dose you apply. You can measure what chlorine levels are being found in your system (especially at the far ends).

Free chlorine residuals need to be checked and recorded daily. These results should be kept on file for a health or regulatory agency inspection during a regular field visit.

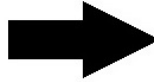
The most accurate method for determining chlorine residuals is to use the laboratory amperometric titration method.

## Additional Drinking Water Methods (Non-EPA) for Chemical Parameters

Method	Method Focus	Title	Location	Source
4500-Cl <sup>-</sup> B	Chloride by Silver Nitrate Titration	Standard Methods for the Examination of Water and Wastewater, 18th & 19th Ed.	Included in Standard Methods	American Water Works Assn. <b>(AWWA)</b>
4500-Cl <sup>-</sup> D	Chloride by Potentiometric Method	Standard Methods for the Examination of Water and Wastewater, 18th, 19th & 20th Editions	Included in Standard Methods	American Water Works Assn. <b>(AWWA)</b>
4500-Cl D	Chlorine Residual by Amperometric Titration (Stage 1 DBP use SM 19th Ed. only)	Standard Methods for the Examination of Water and Wastewater, 18th, 19th & 20th Editions	Included in Standard Methods	American Water Works Assn. <b>(AWWA)</b>
4500-Cl E	Chlorine Residual by Low Level Amperometric Titration (Stage 1 DBP use SM 19th Ed. only)	Standard Methods for the Examination of Water and Wastewater, 18th, 19th & 20th Editions	Included in Standard Methods	American Water Works Assn. <b>(AWWA)</b>
4500-Cl F	Chlorine Residual by DPD Ferrous Titration (Stage 1 DBP use SM 19th Ed. only)	Standard Methods for the Examination of Water and Wastewater, 18th, 19th & 20th Editions	Included in Standard Methods	American Water Works Assn. <b>(AWWA)</b>
4500-Cl G	Chlorine Residual by DPD Colorimetric Method (Stage 1 DBP use SM 19th Ed. only)	Standard Methods for the Examination of Water and Wastewater, 18th, 19th & 20th Editions	Included in Standard Methods	American Water Works Assn. <b>(AWWA)</b>
4500-Cl H	Chlorine Residual by Syringaldazine (FACTS) Method (Stage 1 DBP use SM 19th Ed. only)	Standard Methods for the Examination of Water and Wastewater, 18th, 19th & 20th Editions	Included in Standard Methods	American Water Works Assn. <b>(AWWA)</b>
4500-Cl I	Chlorine Residual by Iodometric Electrode Technique (Stage 1 DBP use SM 19th Ed. only)	Standard Methods for the Examination of Water and Wastewater, 18th, 19th & 20th Editions	Included in Standard Methods	American Water Works Assn. <b>(AWWA)</b>
4500-ClO <sub>2</sub> C	Chlorine Dioxide by the Amperometric Method I	Standard Methods for the Examination of Water and Wastewater, 18th, 19th & 20th Editions	Included in Standard Methods	American Water Works Assn. <b>(AWWA)</b>
4500-ClO <sub>2</sub> D	Chlorine Dioxide by the DPD Method (Stage 1 DBP use SM 19th Ed. only)	Standard Methods for the Examination of Water and Wastewater, 18th, 19th & 20th Editions	Included in Standard Methods	American Water Works Assn. <b>(AWWA)</b>
4500-ClO <sub>2</sub> E	Chlorine Dioxide by the Amperometric Method II (Stage 1 DBP use SM 19th Ed. only)	Standard Methods for the Examination of Water and Wastewater, 18th, 19th & 20th Editions	Included in Standard Methods	American Water Works Assn. <b>(AWWA)</b>

## 1. Do The Basics

- TEST WATER CHEMISTRY
- CHECK WATER FLOW RATE
- ESTIMATE CHLORINE DEMAND
- DETERMINE CONTACT TANK SIZE
- NOTE THE LINE PRESSURE WHERE CHLORINE WILL BE INJECTED INTO



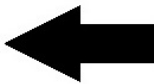
## 2. Choose A Chlorinator

- LIQUID CHLORINATOR OR DRY FEED
- WHERE TO INSTALL CHLORINATOR BEFORE / AFTER PRESSURE TANK
- PERISTALTIC METERING PUMP OR DIAPHRAGM PUMP



## 4. Quality Control

- SET-UP MAINTENANCE SCHEDULE
- CLIPBOARD WITH CHECKLIST
- TEST THE WATER ANNUALLY



## 3. Installation

- BUY DIRECTLY AND INSTALL  
OR
- BUY DIRECTLY AND HIRE PLUMBER  
OR
- BUY FROM WATER TREATMENT DEALER

# HOW TO DETERMINE A CHLORINATION SYSTEM



## Chlorination Equipment Requirements

For all water treatment facilities, chlorine gas under pressure shall not be permitted outside the chlorine room. The chlorine room is the room where chlorine gas cylinders and/or ton containers are stored. Vacuum regulators shall also be located inside the chlorine room. The chlorinator, which is the mechanical gas proportioning equipment, may or may not be located inside the chlorine room.

For new and upgraded facilities, from the chlorine room, chlorine gas vacuum lines should be run as close to the point of solution application as possible. Injectors should be located to minimize the length of pressurized chlorine solution lines.

A gas pressure relief system shall be included in the gas vacuum line between the vacuum regulator(s) and the chlorinator(s) to ensure that pressurized chlorine gas does not enter the gas vacuum lines leaving the chlorine room.

The gas pressure relief system shall vent pressurized gas to the atmosphere at a location that is not hazardous to plant personnel; the vent line should be run in such a manner that moisture collecting traps are avoided. The vacuum regulating valve(s) shall have positive shutdown in the event of a break in the downstream vacuum lines. As an alternative to chlorine gas, it is permissible to use hypochlorite with positive displacement pumping. Anti-siphon valves shall be incorporated in the pump heads or in the discharge piping.

### Capacity

The chlorinator shall have the capacity to dose enough chlorine to overcome the demand and maintain the required concentration of the "**free**" or "**combined**" chlorine.

### Methods of Control

The chlorine feed system shall be automatic proportional controlled, automatic residual controlled, or compound loop controlled. In the automatic proportional controlled system, the equipment adjusts the chlorine feed rate automatically in accordance with the flow changes to provide a constant pre-established dosage for all rates of flow. In the automatic residual controlled system, the chlorine feeder is used in conjunction with a chlorine residual analyzer which controls the feed rate of the chlorine feeders to maintain a particular residual in the treated water. In the compound loop control system, the feed rate of the chlorinator is controlled by a flow proportional signal and a residual analyzer signal to maintain particular chlorine residual in the water.

Manual chlorine feed systems may be installed for groundwater systems with constant flow rate.

### Standby Provision

As a safeguard against malfunction and/or shut-down, standby chlorination equipment having the capacity to replace the largest unit shall be provided. For uninterrupted chlorination, gas chlorinators shall be equipped with an automatic changeover system. In addition, spare parts shall be available for all chlorinators.



### Weigh Scales

Scales for weighing cylinders shall be provided at all plants using chlorine gas to permit an accurate reading of total daily weight of chlorine used. At large plants, scales of the recording and indicating type are recommended. At a minimum, a platform scale shall be provided. Scales shall be of corrosion-resistant material. Read the scales daily and at the same time.

### Securing Cylinders

All chlorine cylinders shall be securely positioned to safeguard against movement. Tag the cylinder "**empty**" and store upright and chained. Ton containers may not be stacked.



In the photo, we have a 1-ton chlorine gas container and 150-pound  $\text{Cl}_2$  gas cylinders. The 1-ton is on a scale. Cylinders stand up-right and containers on their sides.



Here is an operator checking for  $\text{Cl}_2$  leaks with Ammonia. If there is a  $\text{Cl}_2$  leak, you will be able to see a white smoke. Even if you cannot smell the chlorine, the ammonia will find the leak.

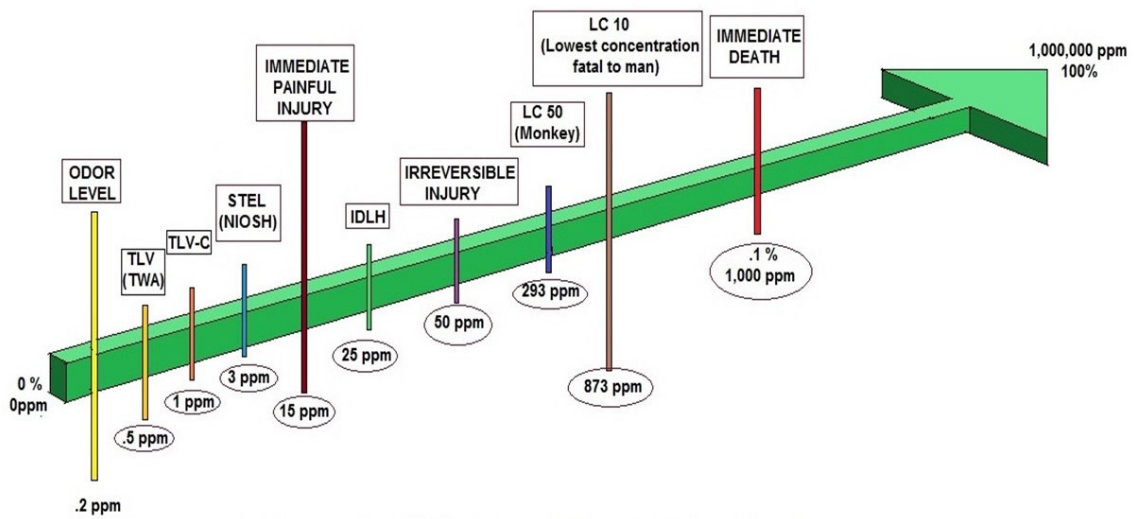


### Chlorine measurement devices or Rotameters.

Chlorine is only slightly soluble in water; its maximum solubility is approximately one percent at 49° C. At temperatures below this point it combines with water to form chlorine ice, a crystalline substance. When the water supply to a gas chlorinator is below normal room temperature, it may cool the chlorine gas to the point at which chlorine ice is formed and accumulates on the needle valve and gas outlet tube, resulting in erratic feed results. Because the vapor pressure of chlorine increases with rising temperatures, its solubility also decreases. At 212° F. chlorine is insoluble in water.



**Safety Information:** There is a fusible plug on every chlorine gas cylinder. This metal plug will melt at 158° to 165° F. This is to prevent a build-up of excessive pressure and the possibility of cylinder rupture due to fire or high temperatures.



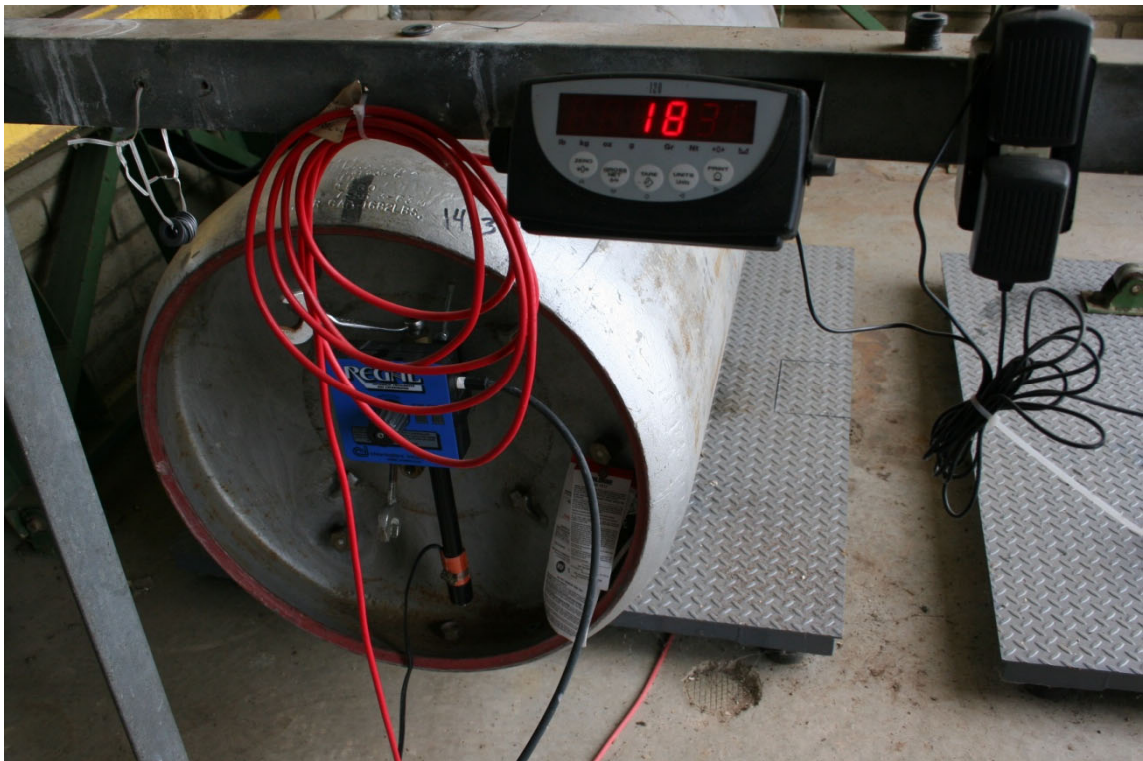
## LOGARITHMIC SCALE OF CHLORINE EXPOSURE



Small chlorine storage shed in the foreground; notice the vents at the bottom and top. The bottom vent will allow the gas to ventilate because  $\text{Cl}_2$  gas is heavier than air.



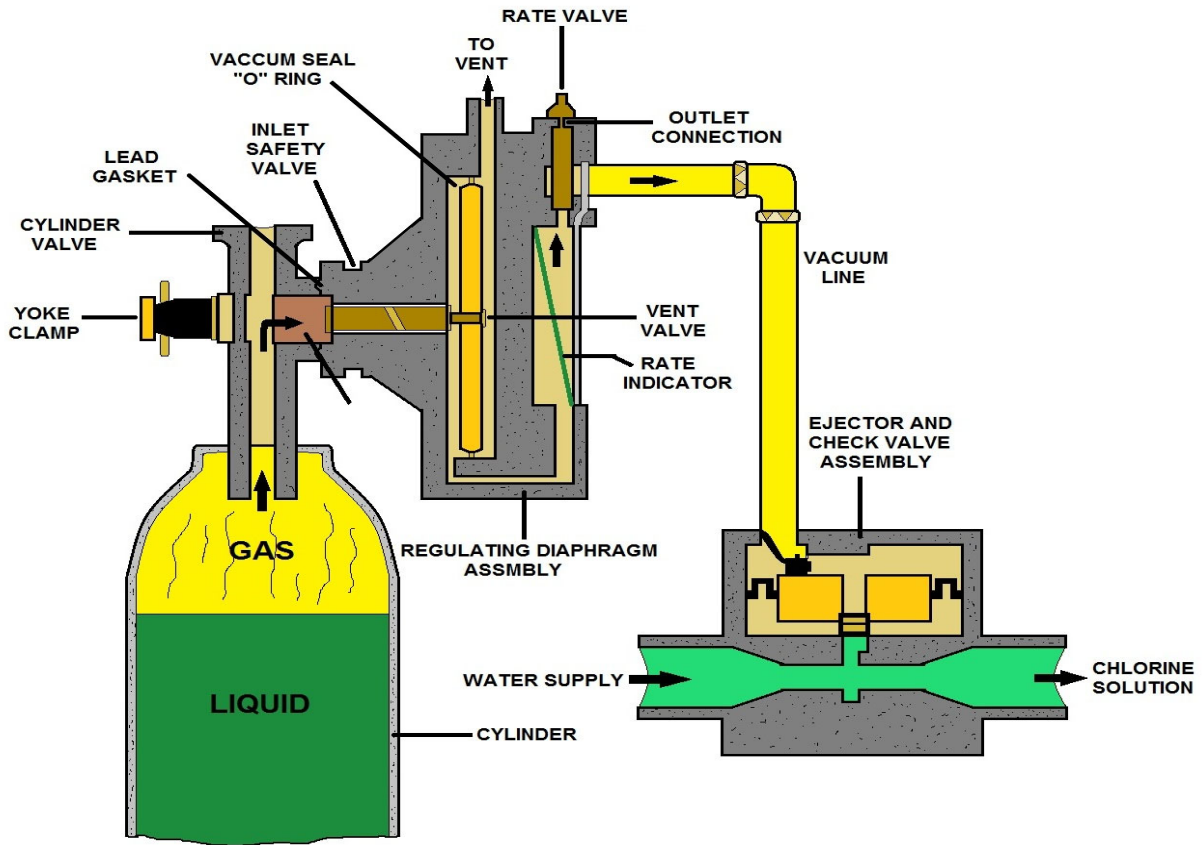
Top photograph, adjusting the Chlorine leak alarm sensor. Bottom photograph, Chlorine container weight scales.





1-ton chlorine containers. Automatic shutoff device connected to the liquid side only. The top valve is used for the gas. Remember that containers lay on their sides and cylinders stand upright. Roller bearings should not be used to rotate the container because of the ease of rotation is too great.





**150 LB SINGLE CHLORINE CYLINDER CHLORINATOR DIAGRAM #1**

**Cylinder Procedures**

When replacing the connection from a chlorine cylinder to a chlorinator always use a new, approved gasket on the connector and follow the manufacturer's instructions.

**Safety precautions when using chlorine gas:**

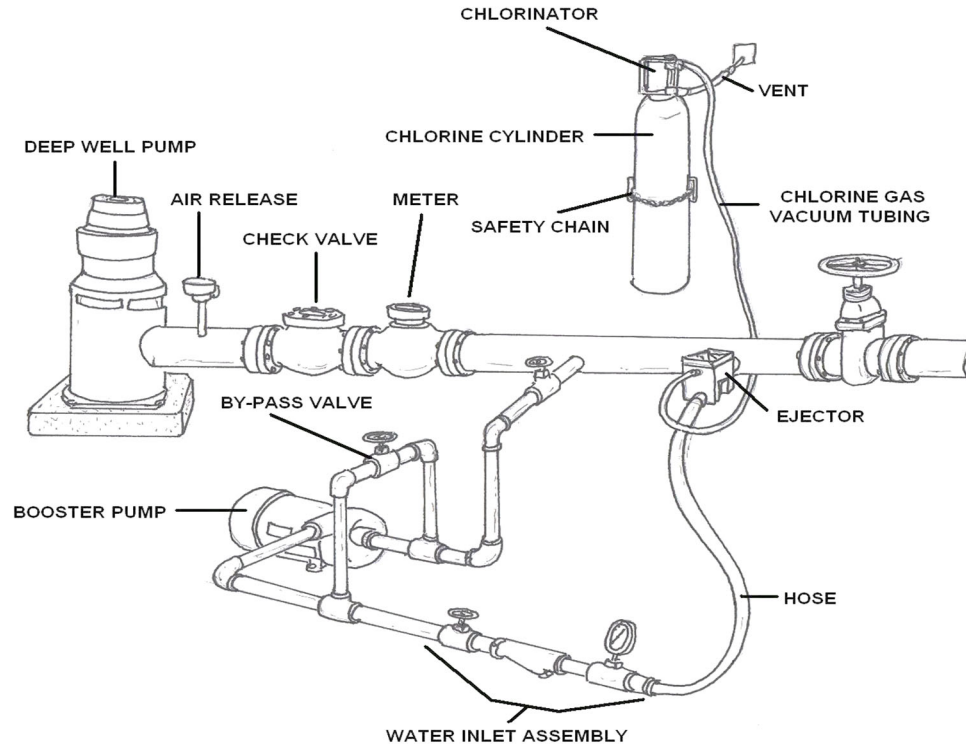
In addition to protective clothing and goggles, chlorine gas should be used only in a well-ventilated area so that any leaking gas cannot concentrate.

**Approved method for storing a chlorine cylinder:**

Secure each cylinder in an upright position, attach the protective bonnet over the valve and firmly secure each cylinder.

**Emergency procedures in the case of a large uncontrolled chlorine leak:**

Notify local emergency response team, warn and evacuate people in adjacent areas, be sure that no one enters the leak area without adequate self-contained breathing equipment.



## 150 LB SINGLE CHLORINE CYLINDER CHLORINATOR DIAGRAM #2

### Changing 100- and 150-Pound Cylinder Instructions

1. Turn valve stem clockwise to close cylinder valve.
2. Allow float in flow meter to drop to zero. Indicator on front of gas feeder should indicate no gas.
3. Wait about one minute. Float should remain at zero. If the float flutters or does not drop to zero, the valve is not closed tightly. Make sure the valve is closed before proceeding.
4. Turn off ejector, and make certain the gas supply indicator stays in the "No Gas" position by turning the "Reset" knob. If the indicator resets, either gas pressure is still present or there is an air leak in the system. Refer to instruction manual if an air leak is evident.
5. Loosen gas feeder yoke screw. Remove gas feeder from valve.
6. Replace gas cylinder. Be sure to use a chain or cable to secure the new cylinder properly.
7. Remove old lead gasket. Inspect and clean mating surfaces of gas feeder and valve. Install new lead gasket.
8. Position gas feeder on new gas cylinder and tighten yoke screw. Do not tighten excessively.
9. Crack open gas cylinder valve and close quickly.
10. Use ammonia solution to check for leaks. If a white cloud or vapor appears, turn on ejector and repeat steps 2, 3, 4, and correct leaks.
11. After you verify there are no leaks, open gas cylinder valve, about ¼-turn only, and leave cylinder wrench on valve.
12. Turn on the ejector.

### Notes:

- A. See your gas feeder manufacturer's guide for more detailed instructions.
- B. Immediately contact your gas supplier if the cylinder valve or cylinder is defective.

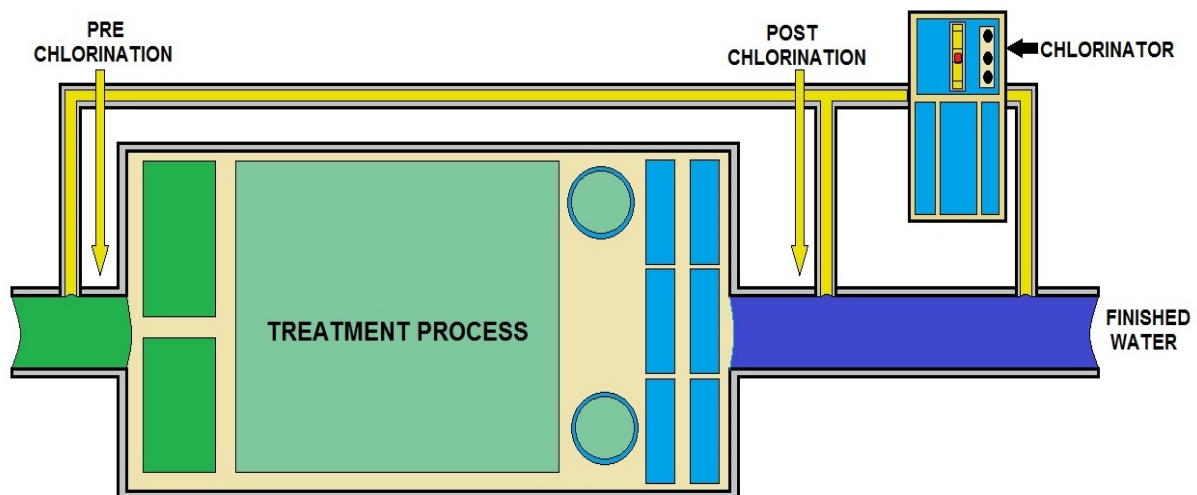


## Chlorine Leak Detection

Automatic chlorine leak detection and related alarm equipment shall be installed at all water treatment plants using chlorine gas. Leak detection shall be provided for the chlorine rooms. Chlorine leak detection equipment should be connected to a remote audible and visual alarm system and checked on a regular basis to verify proper operation.

Leak detection equipment shall not automatically activate the chlorine room ventilation system in such a manner as to discharge chlorine gas. During an emergency if the chlorine room is unoccupied, the chlorine gas leakage shall be contained within the chlorine room itself in order to facilitate a proper method of clean-up.

Consideration should also be given to the provision of caustic soda solution reaction tanks for absorbing the contents of leaking one-ton cylinders where such cylinders are in use. Chlorine leak detection equipment may not be required for very small chlorine rooms with an exterior door (i.e., floor area less than 10 feet squared (3m<sup>2</sup>)). You can use a spray solution of ammonia or a rag soaked with ammonia to detect a small Cl<sub>2</sub> leak. If there is a leak, the ammonia will create a white colored smoke, ammonium chloride. Check with OSHA and the chlorine manufacturer for specific information.



**CHLORINE FLOW IN A TREATMENT PLANT**

### Safety Equipment

The facility shall be provided with personnel safety equipment to include the following: Respiratory equipment, safety shower, eyewash, gloves, eye protection, protective clothing, cylinder and/or ton repair kits.

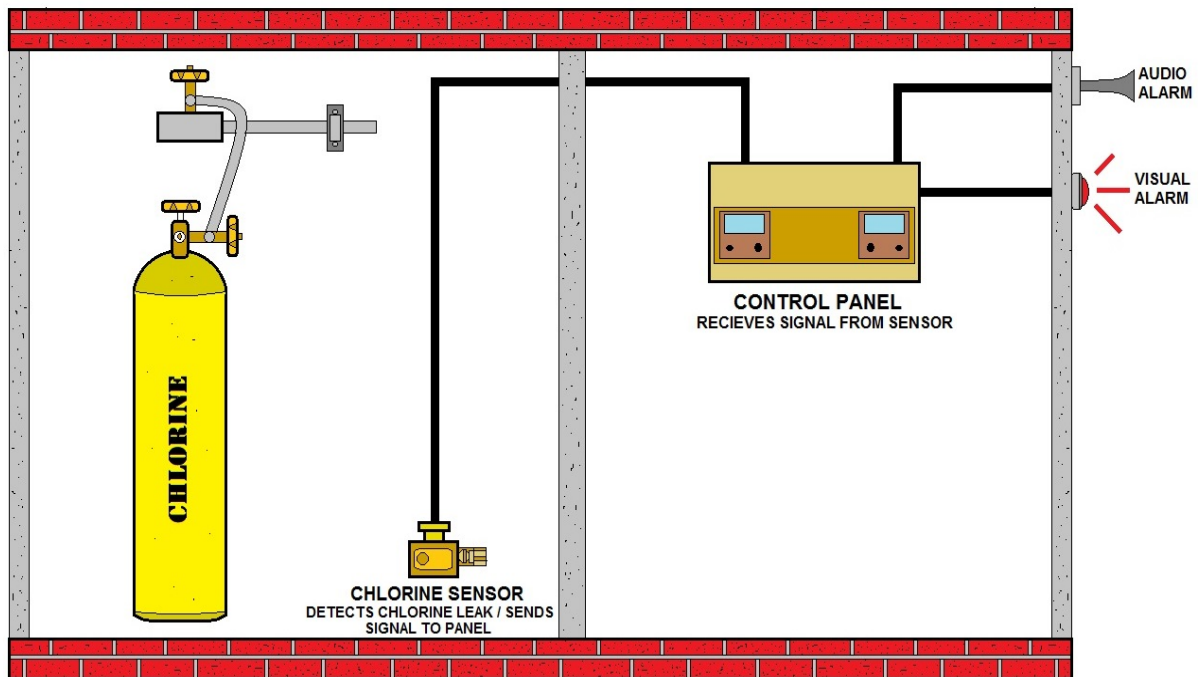
Respiratory equipment shall be provided which has been approved under the Occupational Health and Safety Act, General Safety Regulation - Selection of Respiratory Protective Equipment. Equipment shall be in close proximity to the access door(s) of the chlorine room.

## Chlorine Room Design Requirements

Where gas chlorination is practiced, the gas cylinders and/or the ton containers up to the vacuum regulators shall be housed in a gas-tight, well illuminated, corrosion resistant and mechanically ventilated enclosure. The chlorinator may or may not be located inside the chlorine room. The chlorine room shall be located at the ground floor level.

## Ventilation

Gas chlorine rooms shall have entirely separate exhaust ventilation systems capable of delivering one complete air change per minute during periods of chlorine room occupancy only - there shall be no continuous ventilation. The air outlet from the room shall be 6 inches (150 mm) above the floor and the point of discharge located to preclude contamination of air inlets to buildings or areas used by people. The vents to the outside shall have insect screens.



## CHLORINE STORAGE ROOM

Air inlets should be louvered near the ceiling, the air being of such temperature as to not adversely affect the chlorination equipment. Separate switches for fans and lights shall be outside the room at all entrance or viewing points, and a clear wire-reinforced glass window shall be installed in such a manner as to allow the operator to inspect from the outside of the room. Check with OSHA and the Chlorine manufacturer for specific information.

## Heating

Chlorine rooms shall have separate heating systems, if a forced air system is used to heat the building. Hot water heating system for the building will negate the need for a separate heating system for the chlorine room. The heat should be controlled at approximately 60° F or 15°C. Check with OSHA and the Chlorine manufacturer for specific information.

Cylinders or containers shall be protected to ensure that the chlorine maintains its gaseous state when entering the chlorinator.

### **Access**

All access to the chlorine room shall only be from the exterior of the building. Visual inspection of the chlorination equipment from inside may be provided by the installation of glass window(s) in the walls of the chlorine room. Windows should be at least 2 foot squared (0.20 m<sup>2</sup>) in area, and be made of clear wire reinforced glass. There should also be a 'panic bar' on the inside of the chlorine room door for emergency exit.



### **Storage of Chlorine Cylinders/Containers**

If necessary, a separate storage room may be provided to simply store the chlorine gas cylinders, with no connection to the line. The chlorine cylinder/container storage room shall have access either to the chlorine room or from the plant exterior, and arranged to prevent the uncontrolled release of spilled gas.

Chlorine gas storage room shall have provision for ventilation at thirty air changes per hour. Viewing glass windows and a panic button on the inside of door should also be provided. In very large facilities, entry into the chlorine rooms may be through a vestibule from outside.

### **Scrubbers**

For facilities located within residential or densely populated areas, consideration shall be given to provide scrubbers for the chlorine room.



1-TON CHLORINE CONTAINER VALVES



1-TON CHLORINE CONTAINER WITH HALOGEN VALVE

**Safety precautions when using chlorine gas:**

In addition to protective clothing and goggles, chlorine gas should be used only in a well-ventilated area so that any leaking gas cannot concentrate.

**Emergency procedures in the case of a large uncontrolled chlorine leak:**

Notify local emergency response team, warn and evacuate people in adjacent areas, be sure that no one enters the leak area without adequate self-contained breathing equipment.

## **Water Disinfectant Health Cautions**

*Water suppliers add a disinfectant to drinking water to kill germs such as giardia and e coli. Especially after heavy rainstorms, your water system may add more disinfectant to guarantee that these germs are killed.*

### **Chlorine**

Some people who use drinking water containing chlorine well in excess of the EPA standard could experience irritating effects to their eyes and nose. Some people who drink water containing chlorine well in excess of the EPA standard could experience stomach discomfort.

### **Chloramine**

Some people who use drinking water containing chloramines well in excess of the EPA standard could experience irritating effects to their eyes and nose. Some people who drink water containing chloramines well in excess of the EPA standard could experience stomach discomfort or anemia.

### **Chlorine Dioxide**

Some infants and young children who drink water containing chlorine dioxide in excess of the EPA standard could experience nervous system effects. Similar effects may occur in fetuses of pregnant women who drink water containing chlorine dioxide in excess of the EPA standard. Some people may experience anemia.

## **Disinfection Byproducts Section**

*Disinfection byproducts form when disinfectants added to drinking water to kill germs react with naturally-occurring organic matter in water.*

### **Total Trihalomethanes**

Some people who drink water containing trihalomethanes in excess of the EPA standard over many years may experience problems with their liver, kidneys, or central nervous systems, and may have an increased risk of getting cancer.

### **Haloacetic Acids**

Some people who drink water containing haloacetic acids in excess of the EPA standard over many years may have an increased risk of getting cancer.

### **Bromate**

Some people who drink water containing bromate in excess of the EPA standard over many years may have an increased risk of getting cancer.

### **Chlorite**

Some infants and young children who drink water containing chlorite in excess of EPA standard could experience nervous system effects. Similar effects may occur in fetuses of pregnant women who drink water containing chlorite in excess of the EPA's standard. Some people may experience anemia.

**Health advisories** provide additional information on certain contaminants. Health advisories are guidance values based on health effects other than cancer. These values are set for different durations of exposure (e.g., one-day, ten-day, longer-term, and lifetime).

<b>SYMPTOM OF CHLORINE POISONING:</b>
<b>DIFFICULTY IN BREATHING, ACCUMULATION IN LUNGS</b>
<b>BURNING SENSATION IN MOUTH, THROAT SWELLING</b>
<b>THROAT AND STOMACH PAIN, VOMITING</b>
<b>ACIDITY LEVELS IN BODY CHANGE, LOW BLOOD PRESSURE</b>
<b>BURNING AND IRRITATION OF EYES, TEMPORARY LOSS OF VISION</b>
<b>TISSUE DAMAGE, BURNS AND IRRITATION OF THE SKIN</b>

<b>EXPOSURE LEVELS (ppm)</b>	<b>EFFECTS OF CHLORINE ON HUMANS</b>
<b>0.2 - 0.4 ppm</b>	ODOR THRESHOLD (VARIES BY INDIVIDUAL)
<b>Less than 0.5 ppm</b>	NO KNOWN ACUTE OR CHRONIC EFFECT
<b>0.5 ppm</b>	ACGIH 8-HOUR TIME WEIGHTED AVERAGE
<b>1.0 ppm</b>	OSHA CEILING LEVEL (PEL) <span style="float: right;">TLV-STEL</span> ERPG - 1
<b>1.0 - 10 ppm</b>	IRRITATION OF THE EYES AND MUCOUS MEMBRANES OF THE UPPER RESPIRATORY TRACT. SEVERITY OF SYMPTOMS DEPENDS ON THE CONCENTRATIONS AND LENGTHS OF EXPOSURE
<b>3 ppm</b>	ERPG-2 (EMERGENCY RESPONSE PLANNING GUIDELINES AS VALUES DEVELOPED BY AIHA) IS THE MAXIMUM AIRBORNE CONCENTRATION BELOW WHICH IT IS BELIEVED THAT NEARLY ALL INDIVIDUALS COULD BE EXPOSED FOR UP TO 1-HOUR WITHOUT EXPERIENCING OR DEVELOPING IRREVERSABLE OR OTHER SERIOUS HEALTH EFFECTS THAT COULD IMPAIR AN INDIVIDUAL'S ABILITY TO TAKE PROTECTIVE ACTION.
<b>10 ppm</b>	NIOSH IDLH (IMMEDIATELY DANGEROUS TO LIFE AND HEALTH)
<b>20 ppm</b>	ERPG-3 IS THE MAXIMUM AIRBORNE CONCENTRATION BELOW WHICH IT IS BELIEVED THAT NEARLY ALL INDIVIDUALS COULD BE EXPOSED FOR UP TO 1-HOUR WITHOUT EXPERIENCING OR DEVELOPING LIFE-THREATENING HEALTH EFFECTS.

**EFFECTS OF CHLORINE EXPOSURE IN PARTS PER MILLION**

## Risks and Benefits of Chlorine

Current evidence indicates that the benefits of chlorinating our drinking water — reduced incidence of water-borne diseases — are much greater than the risks of health effects from THMs.

Although other disinfectants are available, chlorine continues to be the choice of water treatment experts. When used with modern water filtration practices, chlorine is effective against virtually all infective agents — bacteria, viruses, and protozoa. It is easy to apply, and most importantly, small amounts of chlorine remain in the water and continue to disinfect throughout the distribution system. This ensures that the water remains free of microbial contamination on its journey from the treatment plant to the consumer's tap.

A number of cities use ozone to disinfect their source water and to reduce THM formation. Although ozone is a highly effective disinfectant, it breaks down quickly, so that small amounts of chlorine or other disinfectants must be added to the water to ensure continued disinfection as the water is piped to the consumer's tap. Modifying water treatment facilities to use ozone can be expensive, and ozone treatment can create other undesirable by-products that may be harmful to health if they are not controlled (i.e., bromate).

<b>DISINFECTION OF WATER</b>	
<b>DISINFECTANT</b>	<b>WHAT DISINFECTANT IS USED FOR</b>
<b>OZONE (O<sub>3</sub>)</b>	<b>USED IN DESTROYING BACTERIA, ODORS AND VIRUSES (Scrambles DNA in Viruses to prevent reproduction)</b>
<b>CHLORINE (Cl<sub>2</sub>)</b>	<b>USED TO KILL DISEASE-CAUSING PATHOGENS SUCH AS BACTERIA, VIRUSES AND PROTOZOANS</b>
<b>POTASSIUM PERMANGANATE (KMnO<sub>4</sub>)</b>	<b>USED TO REMOVE IRON AND HYDROGEN SULFIDE, AND ALSO USED IN TREATMENT PLANTS TO CONTROL ZEBRA MUSSEL FORMATIONS</b>
<b>COPPER SULFATE (CuSO<sub>4</sub>)</b>	<b>USED CONTROL PLANT AND ALGAE GROWTH</b>
<b>CALCIUM HYPOCHLORITE (Ca(ClO)<sub>2</sub>)</b>	<b>DESTROYS DISEASE-CAUSING ORGANISMS INCLUDING BACTERIA, YEAST, FUNGUS, SPORES AND VIRUSES</b>
<b>CALCIUM HYDROXIDE (Lime) (CaO)</b>	<b>USED FOR pH CONTROL IN WATER TREATMENT TO PREVENT CORROSION OF PIPING</b>

### TYPES OF DISINFECTION FOR WATER TREATMENT

Examples of other disinfectants include chloramines and chlorine dioxide. Chloramines are weaker disinfectants than chlorine, especially against viruses and protozoa; however, they are very persistent and, as such, can be useful for preventing re-growth of microbial pathogens in drinking water distribution systems.

Chlorine dioxide can be an effective disinfectant, but it forms chlorate and chlorite, compounds whose toxicity has not yet been fully determined.

Assessments of the health risks from these and other chlorine-based disinfectants and chlorination by-products are currently under way. In general, the preferred method of controlling chlorination by-products is removal of the naturally occurring organic matter from the source water so it cannot react with the chlorine to form by-products. Removal of the precursors can be by adsorption on activated carbon beds. It is extremely important that water treatment plants ensure the methods used to control chlorination by-products do not compromise the effectiveness of water disinfection.

**Chlorine By-Products**

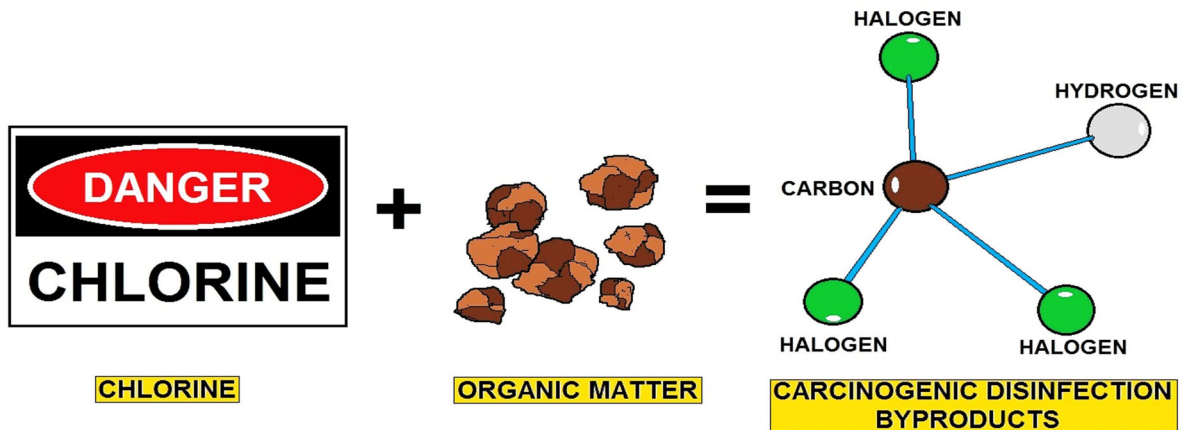
Chlorination by-products are the chemicals formed when the chlorine used to kill disease-causing microorganisms reacts with naturally occurring organic matter (i.e., decay products of vegetation) in the water. The most common chlorination by-products found in U.S. drinking water supplies are the trihalomethanes (THMs).

**The Principal Trihalomethanes are:**

Chloroform, bromodichloromethane, chlorodibromomethane, and bromoform. Other less common chlorination by-products includes the haloacetic acids and haloacetonitriles. The amount of THMs formed in drinking water can be influenced by a number of factors, including the season and the source of the water.

For example, THM concentrations are generally lower in winter than in summer, because concentrations of natural organic matter are lower and less chlorine is required to disinfect at colder temperatures.

THM levels are also low when wells or large lakes are used as the drinking water source, because organic matter concentrations are generally low in these sources. The opposite — high organic matter concentrations and high THM levels — is true when rivers or other surface waters are used as the source of the drinking water.



**DISINFECTION BYPRODUCT PRODUCTION DIAGRAM**

**Health Effects**

Laboratory animals exposed to very high levels of THMs have shown increased incidences of cancer. In addition, several studies of cancer incidence in human populations have reported associations between long-term exposure to high levels of chlorination by-products and an increased risk of certain types of cancer.



For instance, a recent study conducted in the Great Lakes basin reported an increased risk of bladder and possibly colon cancer in people who drank chlorinated surface water for 35 years or more.

### **Haloacetic Acids (HAA5)**

Haloacetic Acids (HAA5) are a group of chemicals that are formed along with other disinfection byproducts when chlorine or other disinfectants used to control microbial contaminants in drinking water react with naturally occurring organic and inorganic matter in water. The regulated haloacetic acids, known as HAA5, are: monochloroacetic acid, dichloroacetic acid, trichloroacetic acid, monobromoacetic acid, and dibromoacetic acid. EPA has published the Stage 1 Disinfectants/Disinfection Byproducts Rule to regulate HAA5 at 60 parts per billion annual average. This standard became effective for large surface water public water systems in December 2001 and for small surface water and all ground water public water systems in December 2003.

Bromate is a chemical that is formed when ozone used to disinfect drinking water reacts with naturally occurring bromide found in source water. EPA has established the Stage 1 Disinfectants/Disinfection Byproducts Rule to regulate bromate at annual average of 10 parts per billion in drinking water. This standard became effective for large public water systems by December 2001 and for small surface water and all ground public water systems in December 2003.

### **Chlorite**

Chlorite is a byproduct formed when chlorine dioxide is used to disinfect water. EPA has published the Stage 1 Disinfectants/Disinfection Byproducts Rule to regulate chlorite at a monthly average level of 1 part per million in drinking water. This standard became effective for large surface water public water systems in December 2001 and for small surface water and all ground water public water systems in December 2003.

### **Chloroform**

Chloroform, typically the most prevalent THM measured in chlorinated water, is probably the most thoroughly studied disinfection byproduct. Toxicological studies have shown that high levels of chloroform can cause cancer in laboratory animals. Extensive research conducted since the early 1990s provides a clearer picture of what this means for humans exposed to far lower levels through drinking water.

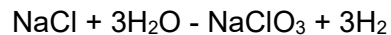
One study (Larson et al. 1994a) conducted by the Centers for Health Research (CIIT) observed that a very large dose of chloroform, when given to mice once per day into the stomach (a procedure known as gavage), produced liver damage and eventually cancer. In a second CIIT cancer study (Larson et al., 1994b), mice were given the same daily dose of chloroform through the animals' drinking water.

This time, no cancer was produced. Follow-up research showed that the daily gavage doses overwhelmed the capability of the liver to detoxify the chloroform, causing liver damage, cell death and regenerative cell growth, thereby increasing risks for cell mutation and cancer in exposed organs. When chloroform was given through drinking water, however, the liver could continually detoxify the chloroform as the mice sipped the water throughout the day. Without the initial liver toxicity, there was no cancer in the liver, kidney or other exposed organs (Butterworth et al., 1998).

In its most recent risk assessment, EPA considered the wealth of available information on chloroform, including the important work done at CIIT. EPA concludes that exposure to chloroform below the threshold level that causes cell damage is unlikely to increase the risk of cancer. While chloroform is likely to be carcinogenic at a high enough dose, exposures below a certain dose range are unlikely to pose any cancer risk to humans (US EPA, 2002a). For drinking water meeting EPA standards, chloroform is unlikely to be a health concern.

### **Sodium Chlorate**

Sodium chlorate is a chemical compound with the chemical formula (NaClO<sub>3</sub>). When pure, it is a white crystalline powder that is readily soluble in water. It is hygroscopic. It decomposes above 250 °C to release oxygen and leave sodium chloride. Industrially, sodium chlorate is synthesized from the electrolysis of a hot sodium chloride solution in a mixed electrode tank:



It can also be synthesized by passing chlorine gas into a hot sodium hydroxide solution. It is then purified by crystallization.

### **Chemical Oxygen Generation**

Chemical oxygen generators, such as those in commercial aircraft, provide emergency oxygen to passengers to protect them from drops in cabin pressure by catalytic decomposition of sodium chlorate. The catalyst is normally iron powder.

Barium peroxide (BaO<sub>2</sub>) is used to absorb the chlorine which is a minor product in the decomposition. Iron powder is mixed with sodium chlorate and ignited by a charge which is activated by pulling on the emergency mask. The reaction produces more oxygen than is required for combustion. Similarly, the Solidox welding system used pellets of sodium chlorate mixed with combustible fibers to generate oxygen.

Possible relationships between exposure to high levels of THMs and adverse reproductive effects in humans have also been examined recently. In a California study, pregnant women who consumed large amounts of tap water containing elevated levels of THMs were found to have an increased risk of spontaneous abortion.

The available studies on health effects do not provide conclusive proof of a relationship between exposure to THMs and cancer or reproductive effects, but indicate the need for further research to confirm their results and to assess the potential health effects of chlorination by-products other than THMs.

## Sodium Hypochlorite Sub-Section

CHEMICAL NAME	CHEMICAL FORMULA	FORM	% CHLORINE	STORAGE	QUALITY	ADVANTAGE	DISADVANTAGE
CHLORINE GAS	$\text{Cl}_2$	GAS	100%	MAY STORE FOR LONG PERIODS	CONSISTENTLY HIGH QUALITY	COST EFFECTIVE	BY-PRODUCT FORMATIONS (THM'S, HAA)
SODIUM HYPOCHLORITE	$\text{NaOCl}$	LIQUID	~ 12%	LIMITED DUE TO DECOMPOSITION	POOR QUALITY DUE TO LIMITED CONTROL	LESS TRAINING REQUIRED TO HANDLE DUE TO FEWER REGULATIONS	LIMITED SHELF LIFE AND HIGHER COST

### CHLORINE GAS VS. SODIUM HYPOCHLORITE (BLEACH)

#### Physical Properties - Sodium Hypochlorite

**Description:** Clear greenish yellow liquid.

**Warning properties:** Chlorine odor; inadequate warning of hazardous concentrations.

**Molecular weight:** 74.44 daltons

**Boiling point** (760 mm Hg): Decomposes above 40°C (HSDB 2001)

**Freezing point:** 6°C (21°F)

**Specific gravity:** 1.21 (14% NaOCl solution) (water=1)

**Water solubility:** 29.3 g/100 g at 32°F (0°C)

**Flammability:** Not flammable

#### Alternative Names

Bleach; Clorox; Carrel-Dakin solution

#### Incompatibilities

Calcium or sodium hypochlorite react explosively or form explosive compounds with many common substances such as ammonia, amines, charcoal, or organic sulfides

#### Introduction

The world's most universal and reliable means of water and wastewater disinfection is chlorination. Two fundamental methods include gas chlorination ( $\text{Cl}_2$ ) and liquid chlorination ( $\text{NaOCl}$ ) otherwise known as Sodium Hypochlorite. Sodium hypochlorite ( $\text{NaOCl}$ ) is a solution made from reacting chlorine with a sodium hydroxide solution. These two reactants are the major co-products from most chlor-alkali cells.

Sodium hypochlorite has a variety of uses and is an excellent disinfectant/antimicrobial agent. Sodium hypochlorite also significantly increases the pH of the water. When sodium hypochlorite is used, it must be counterbalanced by a strong acid like sodium bisulfate or muriatic acid to keep the pH within the ideal range.

The hypochlorite form of chlorine has been used since 1850. The most widely used form of hypochlorite is the liquid, sodium hypochlorite ( $\text{NaOCl}$ ), with more than 150 tons per day consumed in the United States.

Sodium hypochlorite application in cooling water is essentially the same as with gas chlorine; HOCl is produced as the active toxicant. The HOCl is equally susceptible to process contamination, has the same chlorine demand as gas chlorine and displays the same tendency to dissociate.

Sodium hypochlorite differs from chlorine gas in two respects: method of feed and hydrolyzation properties. Sodium hypochlorite can either be gravity-fed or applied with a metering pump. The latter is generally recognized as a consistently more accurate method. The second difference, in hydrolysis, lies in the end products. The NaOCl reaction with water liberates sodium hydroxide (NaOH).

The addition of NaOH differs in that it tends to add alkalinity to the water. In large concentrations it may artificially elevate pH, leading to precipitation of calcium carbonate. While NaOCl eliminates low pH corrosion as a concern, the use of large quantities in contaminated systems still introduces a high concentration of the chloride ion, which can be very aggressive to cooling system metals. Many of the other problems associated with chlorine remain present with sodium hypochlorite.

### **When was Sodium Hypochlorite Discovered?**

Sodium hypochlorite has a long history. Around 1785 the Frenchman Berthollet developed liquid bleaching agents based on sodium hypochlorite. The Javel company introduced this product and called it 'liqueur de Javel'. At first, it was used to bleach cotton. Because of its specific characteristics it soon became a popular compound. Hypochlorite can remove stains from clothes at room temperature. In France, sodium hypochlorite is still known as 'eau de Javel'.

### **Characteristics of Sodium hypochlorite**

Sodium hypochlorite is a clear, slightly yellowish solution with a characteristic odor.

Sodium hypochlorite has a relative density of is 1.1 (5.5% watery solution).

As a bleaching agent for domestic use it usually contains 5% sodium hypochlorite (with a pH of around 11, it is irritating). If it is more concentrated, it contains a concentration 10-15% sodium hypochlorite (with a pH of around 13, it burns and is corrosive).

Sodium hypochlorite is unstable. Chlorine evaporates at a rate of 0,75 gram active chlorine per day from the solution. Then heated sodium hypochlorite disintegrates. This also happens when sodium hypochlorite comes in contact with acids, sunlight, certain metals and poisonous and corrosive gasses, including chlorine gas. Sodium hypochlorite is a strong oxidator and reacts with flammable compounds and reductors. Sodium hypochlorite solution is a weak base that is inflammable. These characteristics must be kept in mind during transport, storage and use of sodium hypochlorite.

### **pH value When Sodium Hypochlorite is Added to Water**

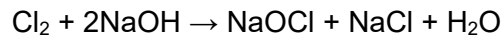
Due to the presence of caustic soda in sodium hypochlorite, the pH of the water is increased. When sodium hypochlorite dissolves in water, two substances form, which play a role in oxidation and disinfection. These are hypochlorous acid (HOCl) and the less active hypochlorite ion (OCl<sup>-</sup>). The pH of the water determines how much hypochlorous acid is formed. While sodium hypochlorite is used, hydrochloric (HCl) is used to lower the pH. Sulfuric acid (H<sub>2</sub>SO<sub>4</sub>) can be used as an alternative for acetic acid. Less harmful gasses are produced when sulfuric acid is used. Sulfuric acid is a strong acid that strongly reacts with bases and is very corrosive.

### How Can Sodium Hypochlorite be produced?

Sodium hypochlorite can be produced in two ways:

- By dissolving salt in softened water, which results in a concentrated brine solution. The solution is electrolyzed and forms a sodium hypochlorite solution in water. This solution contains 150 g active chlorine (Cl<sub>2</sub>) per liter. During this reaction the explosive hydrogen gas is also formed.

- By adding chlorine gas (Cl<sub>2</sub>) to caustic soda (NaOH). When this is done, sodium hypochlorite, water (H<sub>2</sub>O) and salt (NaCl) are produced according to the following reaction:



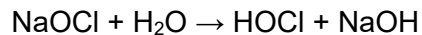
### Applications of Sodium Hypochlorite

Sodium hypochlorite is used on a large scale; for example agriculture, chemical industries, paint- and lime industries, food industries, glass industries, paper industries, pharmaceutical industries, synthetics industries and waste disposal industries all use it. In the textile industry sodium hypochlorite is used to bleach textile. It is sometimes added to industrial waste water--this is done to reduce odors.

Hypochlorite neutralizes sulfur hydrogen gas (SH) and ammonia (NH<sub>3</sub>). It is also used to detoxify cyanide baths in metal industries. Hypochlorite can be used to prevent algae and shellfish growth in cooling towers. In water treatment, hypochlorite is used to disinfect water. In households, hypochlorite is used frequently for the purification and disinfection of the house.

### How does Sodium Hypochlorite Disinfection Work?

By adding hypochlorite to water, hypochlorous acid (HOCl) is formed:



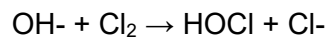
Hypochlorous acid is divided into hydrochloric acid (HCl) and oxygen (O). The oxygen atom is a very strong oxidant.

Sodium hypochlorite is effective against bacteria, viruses and fungi. Sodium hypochlorite disinfects the same way as chlorine does.

There are various ways to use sodium hypochlorite. For on-site salt electrolysis, a solution of salt (NaCl) in water is applied. Sodium (Na<sup>+</sup>) and chloride (Cl<sup>-</sup>) ions are produced.



Subsequently, chlorine and hydroxide react to form hypochlorite:



*You can work these problems in this section.*

### **Salt Electrolysis System**

The advantage of the salt electrolysis system is that no transport or storage of sodium hypochlorite is required. When sodium hypochlorite is stored for a long time, it becomes inactive. Another advantage of the onsite process is that chlorine lowers the pH and no other acid is required to lower pH.

The hydrogen gas that is produced is explosive and as a result ventilation is required for explosion prevention. This system is slow and a buffer of extra hypochlorous acid needs to be used. The maintenance and purchase of the electrolysis system is much more expensive than sodium hypochlorite.

When sodium hypochlorite is used, acetic or sulfuric acid are added to the water. An overdose can produce poisonous gasses. If the dosage is too low, the pH becomes too high and can irritate the eyes.

Because sodium hypochlorite is used both to oxidize pollutants (urine, sweat, cosmetics) and to remove pathogenic microorganisms, the required concentration of sodium hypochlorite depends on the concentrations of these pollutants. Especially the amount of organic pollutants helps determine the required concentration. If the water is filtered before sodium hypochlorite is applied, less sodium hypochlorite is needed.

### **Theory**

Disinfection with chlorine is very popular in water and wastewater treatment because of its low cost, ability to form a residual, and its effectiveness at low concentrations. Although it is used as a disinfectant, it is a dangerous and potentially fatal chemical if used improperly.

Despite the fact the disinfection process may seem simple, it is actually a quite complicated process. Chlorination in wastewater treatment systems is a fairly complex science which requires knowledge of the plant's effluent characteristics.

When free chlorine is added to the water, it takes on various forms depending on the pH of the wastewater. It is important to understand the forms of chlorine which are present because each has a different disinfecting capability. The acid form, HOCL, is a much stronger disinfectant than the hypochlorite ion, OCL<sup>-</sup>.

Ammonia present in the effluent can also cause problems as chloramines are formed, which have very little disinfecting power. Some methods to overcome the types of chlorine formed are to adjust the pH of the water prior to chlorination or to simply add a larger amount of chlorine. An adjustment in the pH would allow the operators to form the most desired form of chlorine, hypochlorous acid, which has the greatest disinfecting power.

Adding larger amounts of chlorine would be an excellent method to combat the chloramines because the ammonia present would bond to the chlorine but further addition of chlorine would stay in the hypochlorous acid or hypochlorite ion state.

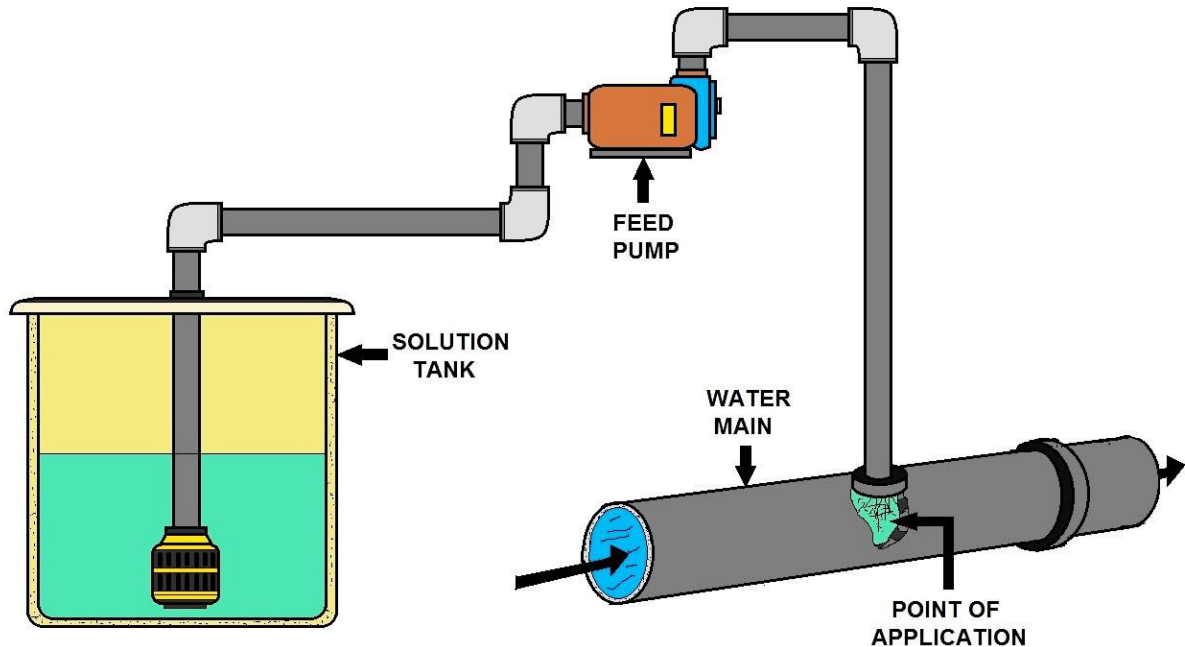
a) Chlorine gas, when exposed to water reacts readily to form hypochlorous acid, HOCl, and hydrochloric acid.  $\text{Cl}_2 + \text{H}_2\text{O} \rightarrow \text{HOCl} + \text{HCl}$

b) If the pH of the water is greater than 8, the hypochlorous acid will dissociate to yield hypochlorite ion.  $\text{HOCl} \leftrightarrow \text{H}^+ + \text{OCl}^-$  If however, the pH is much less than 7, and then HOCl will not dissociate.

c) If ammonia is present in the wastewater effluent, then the hypochlorous acid will react to form one three types of chloramines depending on the pH, temperature, and reaction time.

# Sodium Hypochlorite Solutions

## Recommendations for Preparing/Handling/Feeding



### SODIUM HYPOCHLORITE FEEDING

As a result of the pressures brought to bear by Health and Safety requirements, some users of gas have chosen to seek alternative forms of disinfectants for their water and wastewater treatment plants. One of these alternative forms is sodium hypochlorite (**NaOCl**). This is often purchased commercially at 10 to 15% strength.

The handling and storage of NaOCl presents the plant with a new and sometimes unfamiliar, set of equipment installation configurations and operating conditions.

**Product Stability** The oxidizing nature of this substance means that it should be handled with extreme care. As NaOCl is relatively unstable, it degrades over time.

#### **There are Three Ways in Which NaOCl Solutions Degrade**

- Chlorate-forming reaction due to age, temperature, light and minor reduction in pH.
- Oxygen-producing reaction that occurs when metals, such as iron, copper or nickel, or metal oxides are brought into contact with the solution.
- Chlorine-producing reaction when solution pH falls below 6.

#### **There are Many Factors that Affect the Stability of a NaOCl Solution**

- Initial solution strength.
- pH solution.
- Temperature of the solution.
- Exposure of the solution to sunlight.

## Exposure

There is no threshold value for sodium hypochlorite exposure. Various health effects occur after exposure to sodium hypochlorite. People are exposed to sodium hypochlorite by inhalation of aerosols. This causes coughing and a sore throat. After swallowing sodium hypochlorite the effects are stomach ache, a burning sensation, coughing, diarrhea, a sore throat and vomiting. Sodium hypochlorite on skin or eyes causes redness and pain. After prolonged exposure, the skin can become sensitive. Sodium hypochlorite is poisonous for water organisms. It is mutagenic and very toxic when it comes in contact with ammonium salts.

### Routes of Exposure

#### Inhalation

Hypochlorite solutions can liberate toxic gases such as chlorine. Chlorine's odor or irritant properties generally provide adequate warning of hazardous concentrations. However, prolonged, low-level exposures, such as those that occur in the workplace, can lead to olfactory fatigue and tolerance of chlorine's irritant effects.

Chlorine is heavier than air and may cause asphyxiation in poorly ventilated, enclosed, or low-lying areas. Children exposed to the same levels of gases as adults may receive a larger dose because they have greater lung surface area/body weight ratios and higher minute volumes/weight ratios. Children may be more vulnerable to corrosive agents than adults because of the smaller diameter of their airways. In addition, they may be exposed to higher levels than adults in the same location because of their short stature and the higher levels of chlorine found nearer to the ground.

#### Skin/Eye Contact

Direct contact with hypochlorite solutions, powder, or concentrated vapor causes severe chemical burns, leading to cell death and ulceration. Because of their relatively larger surface area/weight ratio, children are more vulnerable to toxicants affecting the skin.

#### Ingestion

Ingestion of hypochlorite solutions causes vomiting and corrosive injury to the gastrointestinal tract. Household bleaches (3 to 6% sodium hypochlorite) usually cause esophageal irritation, but rarely cause strictures or serious injury such as perforation. Commercial bleaches may contain higher concentrations of sodium hypochlorite and are more likely to cause serious injury. Metabolic acidosis is rare, but has been reported following the ingestion of household bleach. Pulmonary complications resulting from aspiration may also be seen after ingestion.

#### Sources/Uses

Sodium and calcium hypochlorite are manufactured by the chlorination of sodium hydroxide or lime. Sodium and calcium hypochlorite are used primarily as oxidizing and bleaching agents or disinfectants. They are components of commercial bleaches, cleaning solutions, and disinfectants for drinking water and waste water purification systems and swimming pools.

#### **Sodium Hypochlorite as a Disinfectant has the Following Advantages:**

It can be easily stored and transported when it is produced on-site. Dosage is simple; transport and storage of sodium hypochlorite are safe. Sodium hypochlorite is as effective as chlorine gas for disinfection. Sodium hypochlorite produces residual disinfectant.



## **Disadvantages**

Sodium hypochlorite is a dangerous and corrosive substance. While working with sodium hypochlorite, safety measures have to be taken to protect workers and the environment. Sodium hypochlorite should not come in contact with air, because that will cause it to disintegrate. Both sodium hypochlorite and chlorine do not deactivate Giardia Lambia and Cryptosporidium. The regulation for sodium hypochlorite is the same as the regulation considering chlorine. Household bleaches usually contain sodium hypochlorite in a 3% to 6% solution. Some sodium hydroxide (lye) is added to keep the pH high to avoid decomposition. If the solution is made more acidic, sodium hypochlorite will dissociate, producing chlorine gas and oxygen. It is made by bubbling chlorine gas through a solution of sodium hydroxide. In the environment, it breaks down into water, oxygen, and table salt.

## **Conditions that tend to increase gassing in Sodium Hypochlorite Solutions are:**

- \* Elevated temperatures
- \* High concentration solution
- \* Exposure to sunlight or UV rays
- \* Reduction in pressure
- \* Cavitation
- \* Poor piping conditions
- \* Contact with metallic impurities
- \* Contact with organic impurities
- \* Age of solution
- \* Quality of solution

## **Reciprocating Piston Metering Pumps**

When handling sodium hypochlorite and acids, be certain to wear gloves and a face shield for protection. Sodium hypochlorite is introduced to treated water by a chemical feeder (pump.) Chemical feeders tend to clog often, so it's important to clean the feeder regularly. Sodium Hypochlorite is subject to degradation within the piping and pump systems as it releases oxygen gas and results in crystallization of the residual. If the oxygen gas or vapor is allowed to build up within the piping and reagent head in sufficient volume, a typical reciprocating piston metering pump, used for accurately feeding chlorine to the process, will not function properly as gas in the pump head is compressed, minimizing the discharge check valve to open upon discharge stroke of the pump.

Consequently, this effect could require that the pump be re-primed for operation. Reciprocating piston metering pumps or diaphragm metering pumps have been historically preferred in the dispensing of Sodium Hypochlorite because of their superior ability to accurately dose chemicals into a process stream with great precision and repeatability at a constant pressure. Additionally, the diaphragm metering pump is seal-less and leak proof by design with negligible maintenance and simple commissioning.

Traditionally, the diaphragm metering pump industry has promoted the use of degas valves on the discharge port of the pump which diverts gas back to the suction supply source of the bleach. This method has been widely accepted and successful in many applications. However, the small diameter ports in the valve system tend to plug and require continuous flushing or cleaning through human intervention since the system is open to atmosphere on the discharge side of the orifice. Additionally, an external bypass piping system and degas valve assembly require additional costs and maintenance while presenting more opportunities for undesired chlorine leak paths.



## Calcium Hypochlorite Sub-Section (CaCl<sub>2</sub>O<sub>2</sub>)



### **Physical Properties** - Calcium Hypochlorite

**Description:** White powder, pellets or flat plates

**Warning properties:** Chlorine odor; inadequate warning of hazardous concentrations

**Molecular weight:** 142.98 daltons

**Boiling point** (760 mm Hg): Decomposes at 100°C (HSDB 2001)

**Freezing point:** Not applicable

**Specific gravity:** 2.35 (water = 1)

**Water solubility:** 21.4% at 76°F (25°C)

**Flammability:** Not flammable

### **Calcium Hypochlorite: Powder and Tablets**

There are two forms of calcium hypochlorite: powder and tablets. Tablets range in size from 5 mg about the size of an Aspirin to 3-inch tablets. Synonyms of calcium hypochlorite include Losantin, hypochlorous acid, calcium salt, BK powder, Hy-Chlor, chlorinated lime, lime chloride, chloride of lime, calcium oxychloride, HTH, mildew remover X-14, perchloron, and pittchlor.

Calcium hypochlorite is generally available as a white powder, pellets, or flat plates; sodium hypochlorite is usually a greenish yellow, aqueous solution. Although not flammable, they may react explosively.

Calcium hypochlorite decomposes in water to release chlorine and oxygen; sodium hypochlorite solutions can react with acids or ammonia to release chlorine or chloramine. Odor may not provide an adequate warning of hazardous concentrations.

### **Toxic**

Both hypochlorites are toxic by the oral and dermal routes and can react to release chlorine or chloramine which can be inhaled. The toxic effects of sodium and calcium hypochlorite are primarily due to the corrosive properties of the hypochlorite moiety. Systemic toxicity is rare, but metabolic acidosis may occur after ingestion.

### **Description**

Solid chlorine stands alone as the safest form of chlorine disinfection. Requiring only minimal safety equipment for handling, users can breathe easy knowing our tablets are safe for both people and the environment. The elimination of costly scrubbers, containment, or hazard response capability, guarantees lower initial costs and reduced operating expense. Calcium hypochlorite is generally available as a white powder, pellets, or flat plates. It decomposes readily in water or when heated, releasing oxygen and chlorine. It has a strong chlorine odor, but odor may not provide an adequate warning of hazardous concentrations. Calcium hypochlorite is not flammable, but it acts as an oxidizer with combustible material and may react explosively with ammonia, amines, or organic sulfides. Calcium hypochlorite should be stored in a dry, well-ventilated area at a temperature below 120°F (50°C) separated from acids, ammonia, amines, and other chlorinating or oxidizing agents.

### **Chlorine Tablet Feeder**

These feed systems are low maintenance and an extremely effective means to treat water or wastewater. Dry tablet feeder may or may not have mechanical components and most require no electricity. The dry tablet feeding system is a good alternative to liquid bleach and potential gas hazards. With no chlorine gas cylinders to handle, chlorine releases are non-existent. Process safety Management and Risk Management Program compliance worries disappear.

Chlorine Tablet Feeder Capacities: range - 1,500 to 200,000 (GPD)

Chlorine tablets are stable for 3 years or more.

If a tablet produces 1000 PPM in a liter of water when first off the press, the tablet will produce 1000 PPM plus. This guarantees the activity will be at least 100% 3 years later and probably for much longer than that. In fact, tablets have been stored for 6 years at 6% C and 42% C and still contained the specified levels of available chlorine.

Sodium hypochlorite liquid, on the other hand, is inherently unstable and degrades with age until all the active strength disappears. This degradation accelerates in conditions of high temperature or strong sunlight.





These two different tablet chlorinator feeding systems are installed as a sidestream (see the clear plastic line) to the mainstream water flow or directly in the well casing. Using a flow meter or timed device, a chlorine tablet is dropped or delivered inside the well casing or to another location in the distribution system. Sometimes, the chlorinated balance is piped to an integrated solution tank. Then the resulting concentrated chlorine solution is pumped into a pressurized line or holding tank. By mixing chlorinated water from the solution tank with unchlorinated water from the main stream, a controllable level of available chlorine is achieved.



**Accuracy**

Because of their stability, chlorine tablets are an accurate dose, always yielding the stated level of available chlorine in water or very slightly over, never under. Liquid chlorine strengths vary so widely and are mostly unknown (the container usually says "less than 5%") that it is impossible to make up accurate in-use solutions without access to laboratory equipment.

**Storage and Distribution**

In recent years, concern regarding the safety hazards associated with liquid chlorine has grown to such an extent that several major cities now restrict transportation of chlorine within their boundaries. Tablets, on the other hand, are easy and convenient to store and transport. One pallet containing 600 jars each of 200 tablets is equivalent to 120,000 x 1 liter in use bleach solutions of 1,000 PPM active chlorine concentration.

Liquid chlorine is bulky, heavy and prone to leakage and spillage. Chlorine tablets are compact, economical and safe to ship and can even be sent by airfreight.

**Effectiveness**

Both chlorine tablets and liquid Sodium hypochlorite produce Hypochlorous Acid (HOCl) and Hypochlorite ion (OCl-) in solution. It has been postulated by Ortenzio and Stuart in 1959 and again by Trueman in 1971 that Hypochlorous Acid is the predominantly active species whilst Hypochlorite ion has little activity due to its negative charge impeding penetration of the cell wall and membrane. The ratio of Hypochlorous Acid to Hypochlorite ion increases with acidity. Chlorine tablets have a pH of 6.7 and liquid hypochlorite a pH of between 9 and 12. Ergo; tablets have a greater disinfection capacity and are less prone to inactivation due to soiling.

**Safety**

Chlorine tablets in dry form will not leak or splash and do not damage clothing. Liquid chlorine can affect eyes, skin and mucous membranes; it is easily splashed and rots clothing.

**Corrosion**

Chlorine tablets are much less corrosive than liquid chlorine, which is highly corrosive to most metals

**Comparison**

The final very important comparison to be made between Sodium hypochlorite (NaOCl) and Sodium dichloroisocyanurate (NaDCC) is their neutralization by organic matter. They are both prone to this but by using horse serum, it has been shown (Coates 1988) that the degree of neutralization is directly proportional to the concentration of serum present.

However, the degree of neutralization of NaOCl disinfectant is much greater than that of NaDCC disinfectant and the disparity increases with the concentration of serum. Hence, where there is a high concentration of organic material present, NaDCC will be very much more effective than NaOCl.

The degree of inactivation of NaOCl and NaDCC solutions by different concentrations of horse serum demonstrates that NaDCC solutions are less prone to inactivation by serum than are NaOCl solutions. For example, in 30% serum it required only 4000 PPM av. Cl of NaDCC as opposed to 17000 PPM av Cl of NaOCl to exhibit similar bactericidal activity.

## Chlorine Facts Review

*This information is necessary to pass your post-quiz.*

### \* OSHA PEL 1 PPM - IDLH 10 PPM and Fatal Exposure Limit 1,000 PPM

The current Occupational Safety and Health Administration (**OSHA**) permissible exposure limit (**PEL**) for chlorine is 1 ppm (3 milligrams per cubic meter ( $\text{mg}/\text{m}^{(3)}$ )) as a ceiling limit. A worker's exposure to chlorine shall at no time exceed this ceiling level. \* **IDLH 10 PPM**

Physical and chemical properties of chlorine: A yellowish green, nonflammable and liquefied gas with an unpleasant and irritating smell. Can be readily compressed into a clear, amber-colored liquid, it is a noncombustible gas, and a strong oxidizer.

Liquid chlorine is about 1.5 times heavier than water and gaseous chlorine is about 2.5 times heavier than air. Atomic number of chlorine is 17. Cl is the elemental symbol and Cl<sub>2</sub> is the chemical formula.

Monochloramine, dichloramine, and trichloramine are also known as Combined Available Chlorine. Cl<sub>2</sub> + NH<sub>4</sub>.

HOCl and OCl<sup>-</sup>; The **OCL**- is the hypochlorite ion and both of these species are known as free available chlorine. These are the two main chemical species formed by chlorine in water and they are known collectively as hypochlorous acid and the hypochlorite ion.

When chlorine gas is added to water, it rapidly hydrolyzes. The chemical equation that best describes this reaction is **Cl<sub>2</sub> + H<sub>2</sub>O --> H<sup>+</sup> + Cl<sup>-</sup> + HOCl**. Hypochlorous acid is the most germicidal of the chlorine compounds with the possible exception of chlorine dioxide.

Yoke-type connectors should be used on a chlorine cylinder's valve, as a safer connection in case the threads on the valve may be worn.

The connection from a chlorine cylinder to a chlorinator should be made by using a new, approved gasket on the connector every time. Always follow your manufacturer's instructions.

On 1-ton chlorine gas containers, the chlorine pressure reducing valve should be located downstream of the evaporator when using an evaporator. This is the liquid chlorine supply line and it is going to be made into chlorine gas.

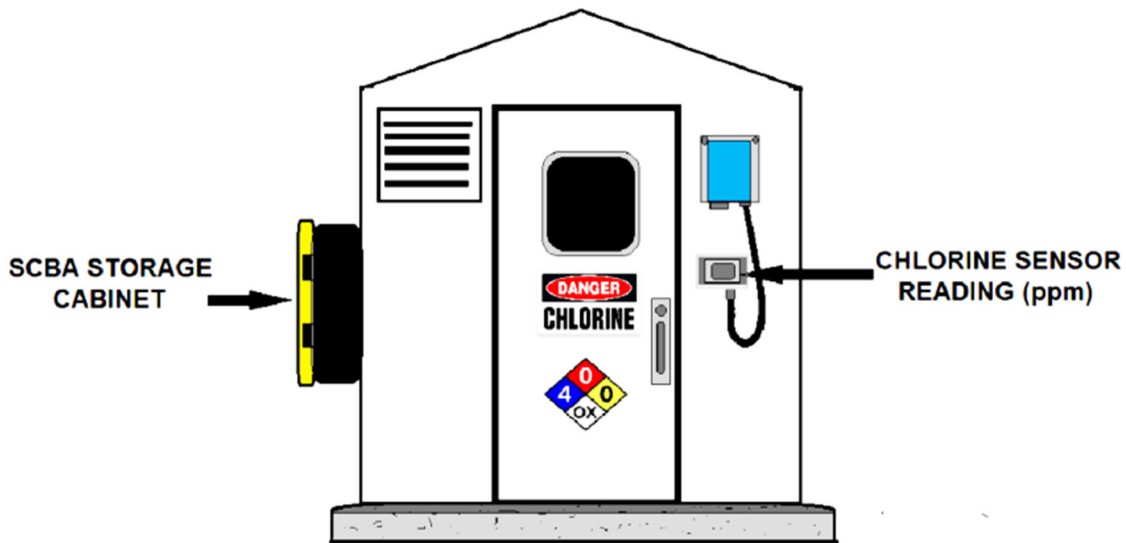
In water treatment, chlorine is added to the effluent before the contact chamber (before the clear well) for complete mixing. One reason for not adding it directly to the chamber is that the chamber has very little mixing due to low velocities.

Here are several safety precautions when using chlorine gas. In addition to protective clothing and goggles, chlorine gas should be used only in a well-ventilated area so that any leaking gas cannot concentrate.

Emergency procedures in the case of a large uncontrolled chlorine leak are as follows: Notify local emergency response team, warn and evacuate people in adjacent areas, and be sure that no one enters the leak area without adequate self-contained breathing equipment.

Here are several symptoms of chlorine exposure. Burning of eyes, nose, and mouth, coughing, sneezing, choking, nausea and vomiting, headaches and dizziness, fatal pulmonary edema, pneumonia, skin blisters and a little  $\text{Cl}_2$  will corrode the teeth and then progress to throat cancer.

Approved method for storing a 150 - 200-pound chlorine cylinder: Secure each cylinder in an upright position, attach the protective bonnet over the valve and firmly secure each cylinder. Never store near heat. Always store the empty in an upright, secure position with proper signage.



### FIBERGLASS CHLORINE STORAGE SHELTER

#### Operator and Public Safety

The design of gas chlorine facilities should consider operator and public safety as well as maintaining long-term plant reliability and operation.

Chlorination facilities are designed such that chlorine gas can be contained in the chlorine storage room. Doors and windows should be gas-tight to minimize escape of gaseous chlorine to the exterior atmosphere or building interior.

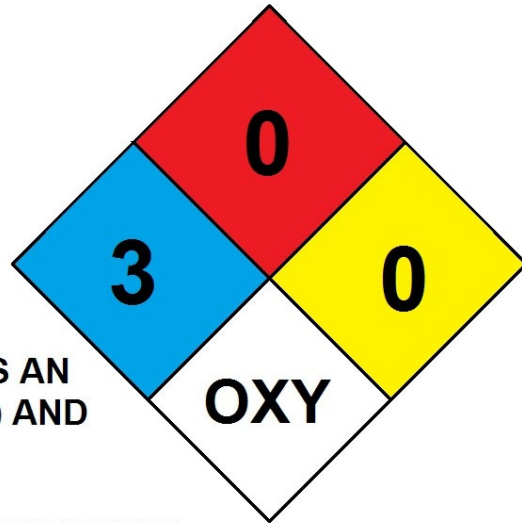
Leak detectors should be located 1 foot above the floor of the chlorine storage room and should activate an alarm when a chlorine leak occurs. It is preferable that the detector be capable of differentiating between two or more chlorine concentrations to alert personnel of the severity of the release. This would help determine the appropriate procedure for entrance to the room, ventilation, or other solutions.

Self-contained breathing apparatus (SCBA) should not be located within the chlorine storage room. It is preferable that this equipment be located in a convenient location where personnel can easily access it in the event of an emergency.



## Chlorine Health Hazard Sub-Section

- ◆ CHLORINE IS EXTREMELY IRRITATING AND CAN BURN THE EYES AND SKIN
- ◆ IF INHALED, CHLORINE CAUSES RESPIRATORY DISTRESS, AND POSSIBLY BE FATAL
- ◆ LIQUID CHLORINE RELEASE FORMS AN IMMEDIATE CLOUD (FLASH VAPOR) AND COOLS TO  $-29^{\circ}\text{F}$
- ◆ EXPOSURE TO CHLORINE LIQUID CAN CAUSE SEVERE FROSTBITE, AS WELL AS CHEMICAL BURNS.



## THE HEALTH EFFECTS OF CHLORINE EXPOSURE

### Signs and Symptoms of Exposure

1. Acute exposure: Acute exposure to low levels of chlorine results in eye, nose, and throat irritation, sneezing, excessive salivation, general excitement, and restlessness. Higher concentrations causes difficulty in breathing, violent coughing, nausea, vomiting, cyanosis, dizziness, headache, choking, laryngeal edema, acute tracheobronchitis, chemical pneumonia. Contact with the liquid can result in frostbite burns of the skin and eyes [Genium 1992].
2. Chronic exposure: Chronic exposure to low levels of chlorine gas can result in a dermatitis known as chloracne, tooth enamel corrosion, coughing, severe chest pain, sore throat, hemoptysis and increased susceptibility to tuberculosis [Genium 1992].

### Inhalation

Immediately remove the exposed person upwind from the contaminated area and contact the poison control center. Inhalation can cause coughing, sneezing, shortness of breath, sensation of tightness in the chest, as well as severe restlessness or anxiety, nausea, and vomiting. The nose and throat may become irritated; a stinging and burning sensation may be experienced. Immediate fatalities can occur as a result of suffocation. Delayed fatalities can occur as a result of pulmonary edema (fluid in the lungs). For this reason, rest and immediate attention after inhalation is important.

Persons with known cardiovascular or lung problems should not risk chlorine exposure. If breathing has stopped, give artificial respiration; if breathing is difficult, give oxygen if equipment and trained personnel are available. If exposed person is breathing, place in a comfortable position and keep person warm and at rest until medical assistance becomes available.

**Eye/Skin Contact**

Liquid and concentrated gas could produce severe burns and injury on contact.

**Eye**

Pour a gentle stream of warm water through the affected eye for at least 15 minutes. Contact the poison control center, emergency room or physician right away as further treatment will be necessary.

**Skin**

Run a gentle stream of water over the affected area for 15 minutes. A mild soap may be used if available. Contact the poison control center, emergency room or physician right away as further treatment will be necessary.

**Chronic**

Repeated exposures can result in a loss of ability to detect the odor of chlorine. Long term exposures may cause damage to teeth and inflammation or ulceration of the nasal passages.

**Ingestion**

Not applicable for gas. Liquid could produce severe burns and injury on contact.

**Pre-hospital Management**

\* Rescue personnel are at low risk of secondary contamination from victims who have been exposed only to gases released from hypochlorite solutions. However, clothing or skin soaked with industrial-strength bleach or similar solutions may be corrosive to rescuers and may release harmful gases.

\* Ingestion of hypochlorite solutions may cause pain in the mouth or throat, dysphagia, stridor, drooling, odynophagia, and vomiting. Hypochlorite irritates the skin and can cause burning pain, inflammation, and blisters. Acute exposure to gases released from hypochlorite solutions can cause coughing, eye and nose irritation, lacrimation, and a burning sensation in the chest. Airway constriction and noncardiogenic pulmonary edema may also occur.

\* There is no specific antidote for hypochlorite poisoning. Treatment is supportive.

**Hot Zone**

Rescuers should be trained and appropriately attired before entering the Hot Zone. If the proper equipment is not available, or if rescuers have not been trained in its use, assistance should be obtained from a local or regional HAZMAT team or other properly equipped response organization.

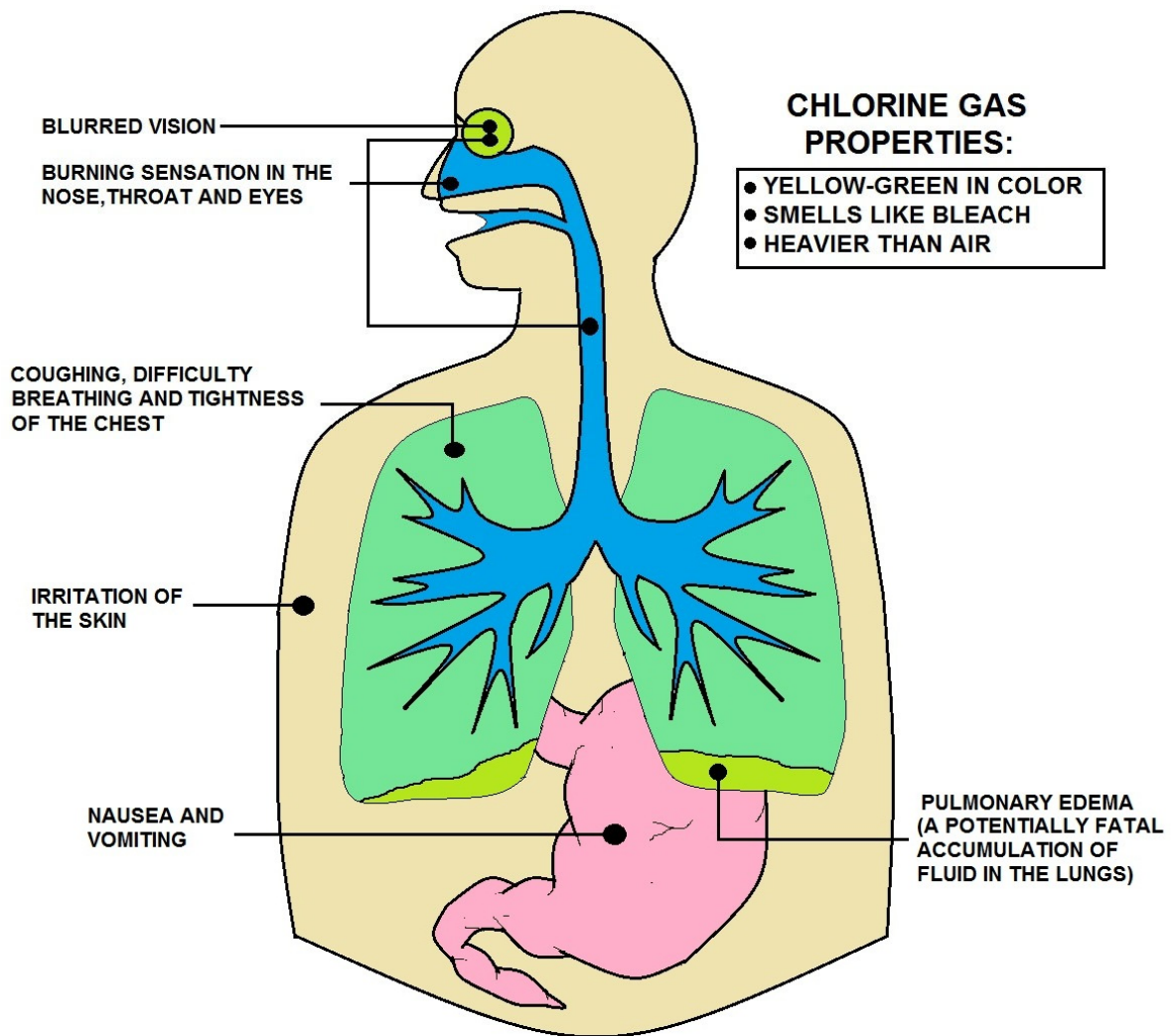
**Rescuer Protection**

Hypochlorite is irritating to the skin and eyes and in some cases may release toxic gases.

## Respiratory Protection

Positive-pressure, self-contained breathing apparatus (SCBA) is recommended in response to situations that involve exposure to potentially unsafe levels of chlorine gas.

**Skin Protection:** Chemical-protective clothing should be worn due to the risk of skin irritation and burns from direct contact with solid hypochlorite or concentrated solutions.



## HEALTH RISKS OF CHLORINE GAS

### ABC Reminders

Quickly establish a patient airway, ensure adequate respiration and pulse. If trauma is suspected, maintain cervical immobilization manually and apply a cervical collar and a backboard when feasible.

**Victim Removal**

If victims can walk, lead them out of the Hot Zone to the Decontamination Zone. Victims who are unable to walk may be removed on backboards or gurneys; if these are not available, carefully carry or drag victims to safety.

Consider appropriate management in victims with chemically-induced acute disorders, especially children who may suffer separation anxiety if separated from a parent or other adult.

**Decontamination Zone**

Victims exposed only to chlorine gas released by hypochlorite who have no skin or eye irritation do not need decontamination. They may be transferred immediately to the Support Zone. All others require decontamination as described below.

**Rescuer Protection**

If exposure levels are determined to be safe, decontamination may be conducted by personnel wearing a lower level of protection than that worn in the Hot Zone (described above).

**ABC Reminders**

Quickly establish a patient airway, ensure adequate respiration and pulse. Stabilize the cervical spine with a collar and a backboard if trauma is suspected. Administer supplemental oxygen as required. Assist ventilation with a bag-valve-mask device if necessary.

**Basic Decontamination**

Rapid decontamination is critical. Victims who are able may assist with their own decontamination. Remove and double-bag contaminated clothing and personal belongings. Flush exposed skin and hair with copious amounts of plain tepid water. Use caution to avoid hypothermia when decontaminating victims, particularly children or the elderly. Use blankets or warmers after decontamination as needed.

Irrigate exposed or irritated eyes with saline, Ringer's lactate, or D5W for at least 20 minutes. Eye irrigation may be carried out simultaneously with other basic care and transport. Remove contact lenses if it can be done without additional trauma to the eye. If a corrosive material is suspected or if pain or injury is evident, continue irrigation while transferring the victim to the support zone.

**In Cases of Ingestion, Do Not Induce Emesis or Offer Activated Charcoal.**

Victims who are conscious and able to swallow should be given 4 to 8 ounces of water or milk; if the victim is symptomatic, delay decontamination until other emergency measures have been instituted. Dilutants are contraindicated in the presence of shock, upper airway obstruction, or in the presence of perforation.

Consider appropriate management of chemically contaminated children at the exposure site. Provide reassurance to the child during decontamination, especially if separation from a parent occurs.

**Transfer to Support Zone**

As soon as basic decontamination is complete, move the victim to the Support Zone.

### **Support Zone**

Be certain that victims have been decontaminated properly (see Decontamination Zone above). Victims who have undergone decontamination or have been exposed only to vapor pose no serious risks of secondary contamination to rescuers. In such cases, Support Zone personnel require no specialized protective gear.

### **ABC Reminders**

Quickly establish a patient airway, ensure adequate respiration and pulse. If trauma is suspected, maintain cervical immobilization manually and apply a cervical collar and a backboard when feasible. Administer supplemental oxygen as required and establish intravenous access if necessary. Place on a cardiac monitor, if available.

### **Additional Decontamination**

1. Continue irrigating exposed skin and eyes, as appropriate.
2. In cases of ingestion, do not induce emesis or offer activated charcoal.
3. Victims who are conscious and able to swallow should be given 4 to 8 ounces of water or milk; if the victim is symptomatic, delay decontamination until other emergency measures have been instituted. Dilutants are contraindicated in the presence of shock, upper airway obstruction, or in the presence of perforation.

### **Advanced Treatment**

In cases of respiratory compromise, secure airway and respiration via endotracheal intubation. Avoid blind nasotracheal intubation or use of an esophageal obturator: only use direct visualization to intubate. When the patient's condition precludes endotracheal intubation, perform cricothyrotomy if equipped and trained to do so.

Treat patients who have bronchospasm with an aerosolized bronchodilator such as albuterol. Consider racemic epinephrine aerosol for children who develop stridor. Dose 0.25-0.75 mL of 2.25% racemic epinephrine solution in water, repeat every 20 minutes as needed cautioning for myocardial variability.

Patients who are comatose, hypotensive, or having seizures or who have cardiac arrhythmias should be treated according to advanced life support (ALS) protocols.

### **Transport to Medical Facility**

Only decontaminated patients or those not requiring decontamination should be transported to a medical facility. "Body bags" are not recommended. Report to the base station and the receiving medical facility the condition of the patient, treatment given, and estimated time of arrival at the medical facility. If a chemical has been ingested, prepare the ambulance in case the victim vomits toxic material. Have ready several towels and open plastic bags to quickly clean up and isolate vomitus.

### **Multi-Casualty Triage**

Consult with the base station physician or the regional poison control center for advice regarding triage of multiple victims. Patients who have ingested hypochlorite, or who show evidence of significant exposure to hypochlorite or chlorine (e.g., severe or persistent cough, dyspnea or chemical burns) should be transported to a medical facility for evaluation. Patients who have minor or transient irritation of the eyes or throat may be discharged from the scene after their names, addresses, and telephone numbers are recorded. They should be advised to seek medical care promptly if symptoms develop or recur.

## **Routes of Exposure**

Exposure to chlorine can occur through inhalation, ingestion, and eye or skin contact [Genium 1992].

## **Summary of Toxicology**

1. Effects on Animals: Chlorine is a severe irritant of the eyes, mucous membranes, skin, and lungs in experimental animals. The 1 hour LC(50) is 239 ppm in rats and 137 ppm in mice ([Sax and Lewis 1989]. Animals surviving sublethal inhalation exposures for 15 to 193 days showed marked emphysema, which was associated with bronchiolitis and pneumonia [Clayton and Clayton 1982]. Chlorine injected into the anterior chamber of rabbits' eyes resulted in severe damage with inflammation, opacification of the cornea, atrophy of the iris, and injury to the lens [Grant 1986].

2. Effects on Humans: Severe acute effects of chlorine exposure in humans have been well documented since World War I when chlorine gas was used as a chemical warfare agent. Other severe exposures have resulted from the accidental rupture of chlorine tanks.

These exposures have caused death, lung congestion, and pulmonary edema, pneumonia, pleurisy, and bronchitis [Hathaway et al. 1991]. The lowest lethal concentration reported is 430 ppm for 30 minutes [Clayton and Clayton 1982].

Exposure to 15 ppm causes throat irritation, exposures to 50 ppm are dangerous, and exposures to 1000 ppm can be fatal, even if exposure is brief [Sax and Lewis 1989; Clayton and Clayton 1982]. Earlier literature reported that exposure to a concentration of about 5 ppm caused respiratory complaints, corrosion of the teeth, inflammation of the mucous membranes of the nose and susceptibility to tuberculosis among chronically-exposed workers.

However, many of these effects are not confirmed in recent studies and are of very dubious significance [ACGIH 1991]. A study of workers exposed to chlorine for an average of 10.9 years was published in 1970. All but six workers had exposures below 1 ppm; 21 had TWAs above 0.52 ppm.

No evidence of permanent lung damage was found, but 9.4 percent had abnormal EKGs compared to 8.2 percent in the control group. The incidence of fatigue was greater among those exposed above 0.5 ppm [ACGIH 1991]. In 1981, a study was published involving 29 subjects exposed to chlorine concentrations up to 2.0 ppm for 4- and 8-hour periods.

Exposures of 1.0 ppm for 8 hours produced statistically significant changes in pulmonary function that were not observed at a 0.5 ppm exposure concentration.

Six of 14 subjects exposed to 1.0 ppm for 8 hours showed increased mucous secretions from the nose and in the hypopharynx.

Responses for sensations of itching or burning of the nose and eyes, and general discomfort were not severe, but were perceptible, especially at the 1.0 ppm exposure level [ACGIH 1991]. A 1983 study of pulmonary function at low concentrations of chlorine exposure also found transient decreases in pulmonary function at the 1.0 ppm exposure level, but not at the 0.5 ppm level [ACGIH 1991].

Acne (chloracne) is not unusual among persons exposed to low concentrations of chlorine for long periods of time. Tooth enamel damage may also occur [Parmeggiani 1983]. There has been one confirmed case of myasthenia gravis associated with chlorine exposure [NLM 1995].

### **Emergency Medical Procedures: [NIOSH to Supply]**

- Rescue: Remove an incapacitated worker from further exposure and implement appropriate emergency procedures (e.g., those listed on the Safety Data Sheet (formerly MSDS) required by OSHA's Hazard Communication Standard [29 CFR 1910.1200]).
- All workers should be familiar with emergency procedures, the location and proper use of emergency equipment, and methods of protecting themselves during rescue operations.

### **Exposure Sources and Control Methods**

The following operations may involve chlorine and lead to worker exposures to this substance:

#### **The Manufacture and Transportation of Chlorine**

- Used as a chlorinating and oxidizing agent in organic and inorganic synthesis; in the manufacture of chlorinated solvents, automotive antifreeze and antiknock compounds, polymers (synthetic rubber and plastics), resins, elastomers, pesticides, refrigerants, and in the manufacture of rocket fuel.
- Used as a fluxing, purification, and extraction agent in metallurgy.
- Used as a bacteriostat, disinfectant, odor control, and demulsifier in treatment of drinking water, swimming pools, and in sewage.
- Used in the paper and pulp, and textile industries for bleaching cellulose for artificial fibers; used in the manufacture of chlorinated lime; used in detinning and dezincing iron; used to shrink-proof wool.
- Used in the manufacture of pharmaceuticals, cosmetics, lubricants, flame proofing, adhesives, in special batteries containing lithium or zinc, and in hydraulic fluids; use in the processing of meat, fish, vegetables, and fruit.
- Used as bleaching and cleaning agents, and as a disinfectant in laundries, dishwashers, cleaning powders, cleaning dairy equipment, and bleaching cellulose.

Methods that are effective in controlling worker exposures to chlorine, depending on the feasibility of implementation, are as follows: process enclosure, local exhaust ventilation, general dilution, ventilation and personal protective equipment.

Workers responding to a release or potential release of a hazardous substance must be protected as required by paragraph (q) of OSHA's Hazardous Waste Operations and Emergency Response Standard 29 CFR.

#### **Good Sources of Information about Control Methods are as Follows:**

1. ACGIH [1992]. Industrial ventilation--a manual of recommended practice. 21st ed. Cincinnati, OH: American Conference of Governmental Industrial Hygienists.
2. Burton DJ [1986]. Industrial ventilation--a self-study companion. Cincinnati, OH: American Conference of Governmental Industrial Hygienists.
3. Alden JL, Kane JM [1982]. Design of industrial ventilation systems. New York, NY: Industrial Press, Inc.
4. Wadden RA, Scheff PA [1987]. Engineering design for control of workplace hazards. New York, NY: McGraw-Hill.
5. Plog BA [1988]. Fundamentals of industrial hygiene. Chicago, IL: National Safety Council.





## Alternative Disinfectants Sub-Section

### Chloramine

Chloramine is a very weak disinfectant for Giardia and virus reduction. It is recommended that it be used in conjunction with a stronger disinfectant. It is best utilized as a stable distribution system disinfectant because it limits the formation of DBPs. In the production of chloramines, the ammonia residuals in the finished water, when fed in excess of the stoichiometric amount needed, should be limited to inhibit growth of nitrifying bacteria.

### Chlorine Dioxide

Chlorine dioxide may be used for either taste and odor control or as a pre-disinfectant. Total residual oxidants (including chlorine dioxide and chlorite, but excluding chlorate) shall not exceed 0.30 mg/L during normal operation or 0.50 mg/L (including chlorine dioxide, chlorite and chlorate) during periods of extreme variations in the raw water supply. Chlorine dioxide provides good Giardia and virus protection, but its use is limited by the restriction on the maximum residual of 0.5 mg/L  $\text{ClO}_2$ /chlorite/chlorate allowed in finished water. Where chlorine dioxide is approved for use as an oxidant, the preferred method of generation is to entrain chlorine gas into a packed reaction chamber with a 25% aqueous solution of sodium chlorite ( $\text{NaClO}_2$ ).

**Warning:** Dry sodium chlorite is explosive and can cause fires in feed equipment if leaking solutions or spills are allowed to dry out.

### Ozone ( $\text{O}_3$ )

Ozone is a very effective disinfectant for both Giardia and viruses. Ozone CT values( contact time) must be determined for the ozone basin alone; an accurate T10 value must be obtained for the contact chamber, residual levels measured through the chamber and an average ozone residual calculated.

### Ultraviolet Radiation

The enormous temperatures on the sun create ultraviolet (UV) rays in great amounts, and this radiation is so powerful that all life on earth would be destroyed if these rays were not scattered by the atmosphere and filtered out by the layers of ozone gas that float some 20 miles above the earth.

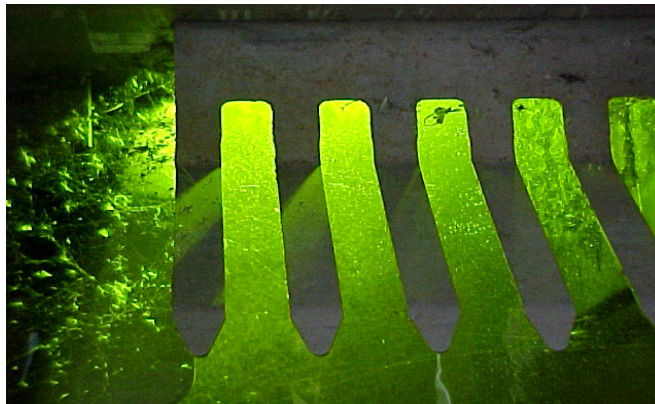


Photo of the green UV light

		MICROBIOLOGICAL SAFETY	CHEMICAL SAFETY	CUSTOMER AESTHETICS	EASE OF MONITORING	ABILITY TO TREAT DIFFICULT WATER	COST OF OPERATING	CAPITAL COSTS	STATE OF COMMERCIAL DEVELOPMENT	SCALE-UP	WASTE PRODUCTION AND ENERGY USE	RELIABILITY
GROUNDWATER	CHLORINE	-	-	-	+	+	+	+	+	+	+	-
	UF ONLY	-	+	+	-	+	●	●	-	-	●	-
	UV ONLY	+	+	+	●	+	+	●	+	+	●	●
	Alternate + Residual (1)	+	●	●	+	+	●	-	+	+	+	+
SURFACE WATER	CHLORINE ONLY	-	-	-	+	-	+	+	+	+	+	+
	Conventional pre-treat + CHLORINE	+	-	-	+	-	●	●	+	+	●	-
	UF ONLY	-	-	●	-	-	●	●	-	-	●	-
	Conventional pre-treat +UF	●	+	+	-	+	-	-	-	-	-	-
	Conventional pre-treat + OZONE + UF	-	●	-	-	+	-	-	-	-	-	-
	MF + UV	●	+	-	●	-	+	●	-	-	●	+
	Conventional pre-treat + UV	●	+	+	●	-	+	●	+	+	●	●
	Conventional pre-treat + OZONE + UV	+	●	+	+	+	-	-	+	+	●	+
	Alternative + Residual (2)	+	●	●	+	+	-	-	+	+	+	+

Conventional pre-treat = Coagulation / Sedimentation

UF - Ultrafiltration MF - Microfiltration

+ = Better than average

- = Worse than average

● = Average

(1) UF + Chlorine residual or Conv + UV + Chlorine residual

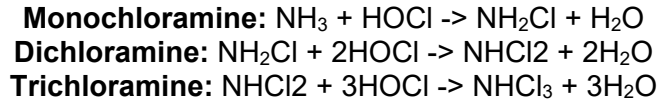
(2) Conv pre-treat + UF + Chlorine residual or MF + UV

+ Chlorine residual or Conv pre-treat + UV + Residual

## EFFECTIVE DISINFECTION ASSESMENT DETERMINATION CHART

## Chloramine Breakdown

Monochloramine and dichloramine are formed in the pH range of 4.5 to 8.5, however, monochloramine is most common when the pH is above 8. When the pH of the water is below 4.5, the most common form of chloramine is trichloramine, which produces a very foul odor. The equations for the formation of the different chloramines are as follows: (Reynolds & Richards, 1996)



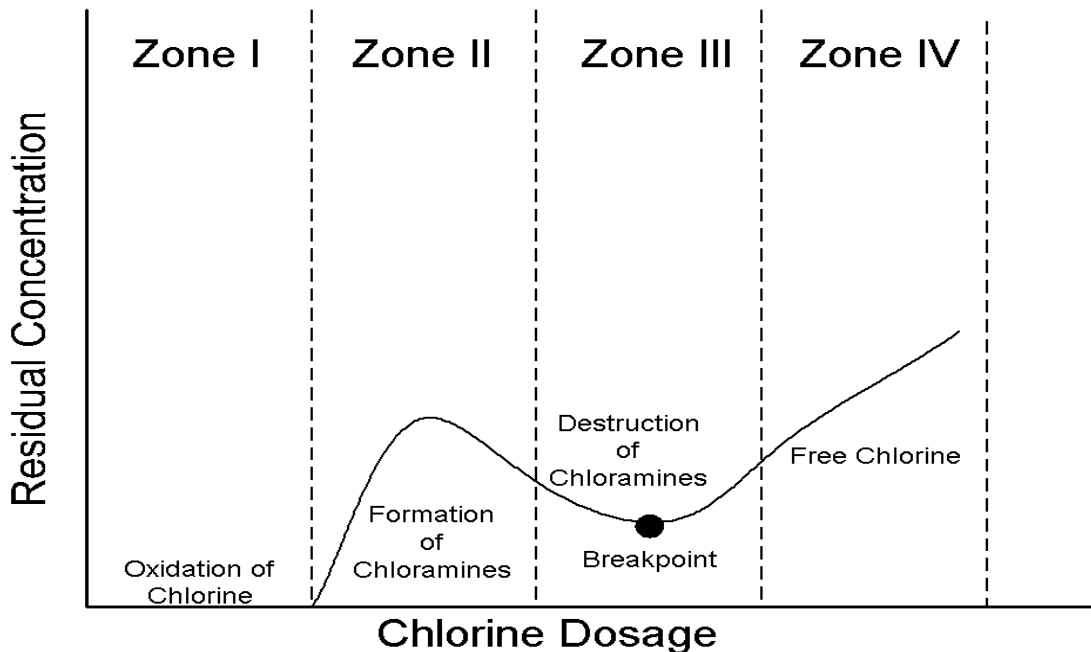
Chloramines are an effective disinfectant against bacteria but not against viruses. As a result, it is necessary to add more chlorine to the water to prevent the formation of chloramines and form other stronger forms of disinfectants.

The final step is that additional free chlorine reacts with the chloramine to produce hydrogen ion, water, and nitrogen gas that will come out of solution. In the case of the monochloramine, the following reaction occurs:



Thus, added free chlorine reduces the concentration of chloramines in the disinfection process. Instead the chlorine that is added is allowed to form the stronger disinfectant, hypochlorous acid.

Perhaps the most important stage of the water or wastewater treatment process is the disinfection stage. This stage is most critical because it has the greatest effect on public health as well as the health of the world's aquatic systems. It is important to realize that wastewater treatment is not a cut and dry process but requires in depth knowledge about the type of wastewater being treated and its characteristics to obtain optimum results.



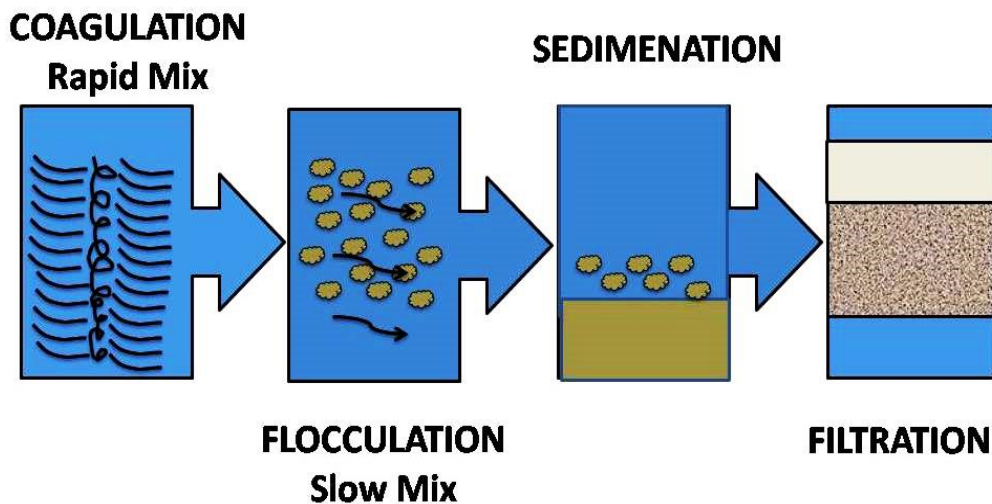
The graph shown on the last page depicts the chlorine residual as a function of increasing chlorine dosage with descriptions of each zone given below (Drawing by Erik Johnston, adapted from Reynolds and Richards, 1996).

- Zone I: Chlorine is reduced to chlorides.
- Zone II: Chloramines are formed.
- Zone III: Chloramines are broken down and converted to nitrogen gas that leaves the system (Breakpoint).
- Zone IV: Free residual.

Therefore, it is very important to understand the amount and type of chlorine that must be added to overcome the difficulties in the strength of the disinfectant that results from the water or wastewater's characteristics.

### Water Treatment Chlorination Sequence

The following is a schematic of a water treatment plant.



In water treatment, pre-chlorination is utilized mainly in situations where the inflow is taken from a surface water source such as a river, lake, or reservoir.

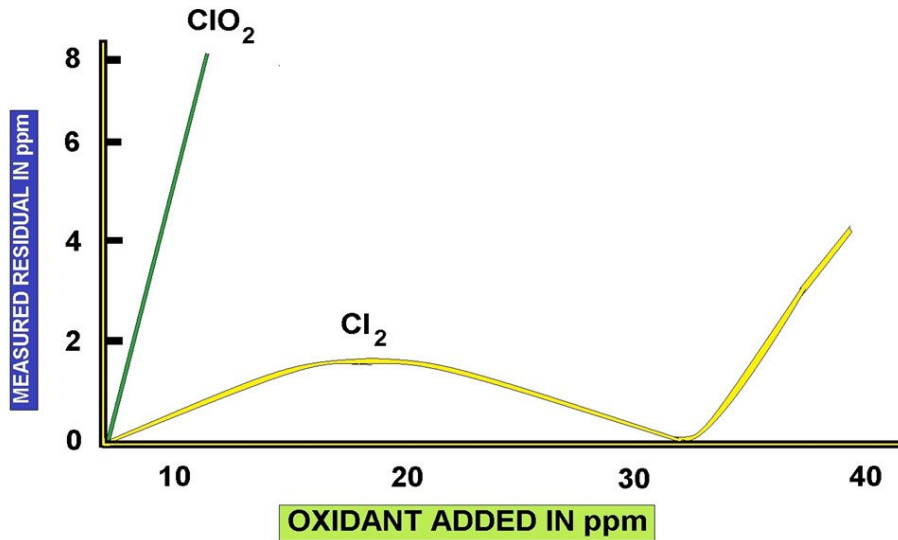
Chlorine is usually added in the rapid mixing chamber and effectively prevents the majority of algal growth. Algae is a problem in water treatment plants because it builds up on the filter media and increases the head which means that the filters need to be backwashed more frequently. In addition, the algal growth on the filter media causes taste and odor problems in the treated water.

### Post Chlorination

Post chlorination is almost always done in water treatment, but can be replaced with chlorine dioxide or chloramines. In this stage chlorine is fed to the drinking water stream which is then sent to the chlorine contact basin to allow the chlorine a long enough detention time to kill all viruses, bacteria, and protozoa that were not removed and rendered inactive in the prior stages of treatment.

Drinking water requires a large addition of chlorine because there must be a residual amount of chlorine in the water that will carry through the system until it reaches the tap of the user. After post chlorination, the water is retained in a clear well prior to distribution.

## Chlorine Dioxide Section



### USING CHLORINE DIOXIDE vs CHLORINE

Skin contact	Solutions are highly irritant
Skin Absorption	Gas may be absorbed, causing tissue and blood cell damage.
Eye Contact	Severe Irritant. Exposure may cause visual disturbance, i.e., seeing haloes around lights.
Inhalation	A severe respiratory irritant. May cause bronchospasm and pulmonary edema, which may be delayed in onset. May also cause severe headache. All symptoms may be delayed and long-lasting. Long-term exposure may cause bronchitis. An LC <sub>50</sub> value of 500 ppm/ 15m <sup>3</sup> (rat) is quoted in the literature.
Ingestion	Not applicable except for solutions, in which case the symptoms would be expected to parallel those for inhalation.
Exposure Limits	ACGIH 1992-93: TWA 0.1 ppm, STEL 0.3 ppm. Most legal limits are similar.
Irritancy	Severe
Sensitization	Information not available.
Carcinogenicity	Not listed by IARC or ACGIH.
Teratogenicity & Mutagenicity	No information is available.
Reproductive Toxicology	No information is available.
Toxicological Synergism	May have synergistic effects in conjunction with chlorine, other chlorine oxides, and chlorine fluorine compounds

The threshold limit value (TLV) established by the American Conference of Governmental Industrial Hygienists is 0.1 ppm. Two cases of poisoning (one fatal) resulted from exposure to less than 19 ppm while the victims were inside an empty bleach tank. Concentrations of 150 ppm were fatal to guinea pigs in 44 minutes. Characteristic acute effects from over exposure are coughing, eyes and nose watering and the development of a sore throat. Burns resulting from chlorine are severe since the decomposition produces  $Cl_2$ .

### **More about Chlorine Dioxide**

Chlorine dioxide is generated on-site at water treatment facilities. The popularity of chlorine dioxide as a water disinfectant increased in the 1970s when it was discovered that it did not promote THM formation. Chlorine dioxide ( $ClO_2$ ), long used in the paper industry, has been an acceptable and effective alternative to chlorination in cooling systems.

Chlorine dioxide is a yellow-green gas with an irritating odor not unlike chlorine. It cannot be compressed and shipped in a container, so it must be generated on site.

There are three proven methods of efficiently generating chlorine dioxide. The most common is the chlorine/chlorite or "one pump" method.  $ClO_2$  generation uses sodium chlorite ( $NaClO_2$ ) and chlorine gas. Chlorine gas is educted into a motive water stream in a  $ClO_2$  generator forming HOCl and HCl. Sodium chlorite is pumped into the stream and allowed to react in a generating column to produce  $ClO_2$ .

A second, common method of generation uses NaOCl and HCl in place of chlorine gas. Also referred to as the "three pump" method of generation, this method is valuable to a facility that wants to eliminate gaseous chlorine.

A third, more recent method of generation uses sodium chlorate ( $NaClO_3$ ) and sulfuric acid. This differs from the other two methods in that  $ClO_2$  is generated in a vacuum and is then educted into the motive water stream.

Chlorine dioxide holds many advantages over chlorine in cooling water systems.  $ClO_2$  is considerably more selective than chlorine in the presence of various compounds, which allows it to be more effective in contaminated systems. Table 2 lists a series of compounds for which chlorine would show a greater affinity than  $ClO_2$ . Under certain conditions  $ClO_2$  may, in fact, be two-and-one-half times more reactive than chlorine. Under efficient  $ClO_2$  generation, THMs are not formed and THM precursors are reduced. In one application, THM formation was reduced from 34 m g/l to 1 m g/l using  $ClO_2$ .

Chlorine dioxide does not hydrolyze in water as does chlorine and there is no dissociation of  $ClO_2$ . It remains fully active in a pH range far broader than chlorine or sodium hypochlorite. Since  $ClO_2$  remains a gas in water, it does not have the corrosive tendencies of chlorine gas. Its selectivity generally allows for lower dosages compared to chlorine, limiting the amount of aggressive  $Cl^-$  available to attack passivated metal surfaces. Finally,  $ClO_2$  is much less aggressive to traditional corrosion inhibitors.

Hypochlorous acid, whether formed from the dissolution of chlorine gas or sodium hypochlorite in water, has satisfactorily controlled microorganisms in cooling water systems. However, dissolution does yield a mineral acid or caustic soda that may adversely affect system pH, inhibitor passivation layers or metal surfaces.

Hypochlorous acid is heavily pH-dependent, because as system pH increases, there is a correspondingly rapid decrease in the concentration of the biocidally active species. It is also a non-specific oxidant which readily reacts with various organic and inorganic compounds that may be present in a cooling water system. Some of these reactions tend to yield undesirable by-products which are regulated or may be regulated in the future.

The effects of pH on hypochlorous acid and its reactivity with a variety of compounds both combine to vastly diminish its effectiveness in contaminated, high-pH cooling water systems. Conversely, chlorine dioxide remains completely pH-independent in the range where recirculating and once-through cooling systems are typically operated.

Since  $\text{ClO}_2$  is a dissolved gas in water, there is no mineral acid or caustic soda formation as happens when using HOCl. Chlorine dioxide tends to be much less, if not totally non-reactive, with many organic and inorganic compounds.

### **Chlorine Dioxide Advantages**

- Acts as an excellent virucide.
- Does not react with ammonia nitrogen to form chlorinated amines.
- Does not react with oxidizable material to form THMs; destroys up to 30% of THM precursors.
- Destroys phenols that cause taste and odor problems in potable water supplies.
- Forms fewer chlorinated DBPs such as THMs, HAAs and TOX.
- Disinfects and oxidizes effectively, including good disinfection of both *Giardia* and *Cryptosporidium*.
- Works at low dosage in post-disinfection step with no need of booster stations.
- Improves removal of iron and manganese by rapid oxidation and settling of oxidized compounds.
- Does not react with bromide to form bromate or brominated by-products.
- Has enhanced turbidity removal under certain conditions.

### **Chlorine Dioxide Disadvantages**

- Reacts with natural organic matter and forms inorganic by-products. Chlorite ion, and to a lesser extent chlorate ion, are formed when chlorine dioxide is used.
- Requires on-site generation equipment and handling of chemicals.
- Occasionally poses unique odor and taste problems.

### **First Aid and Treatment**

a) Remove the victim from the contaminated area at once. Loosen all constrictive clothing around the neck.

b) If breathing has stopped, apply artificial respiration.

c) Oxygen should be administered by an (external) Emergency Response Team in case of severe exposure.

d) Call a physician as soon as possible and keep patient warm and quiet.

e) If conscious, discourage coughing; essence of peppermint is sometimes given.

**Reactive Chemical Hazards**

- During preparation of gaseous  $\text{ClO}_2$  decomposition can occur beyond 100 mm Hg partial pressure or above  $100^\circ\text{C}$ .
- Chlorine dioxide is incompatible with ammonia, mercury vapors, methane, phosphine and hydrogen sulfide.
- Chlorine dioxide gas is a highly unstable substance. Long stagnation of the vapors will result in an explosive decomposition. Vapors are reactive with most organics.



## Chlorine Dioxide Methods

Most tests for chlorine dioxide rely upon its oxidizing properties. Consequently, numerous test kits are readily available that can be adapted to measure chlorine dioxide. In addition, new methods that are specific for chlorine dioxide are being developed. The following are the common analytical methods for chlorine dioxide:

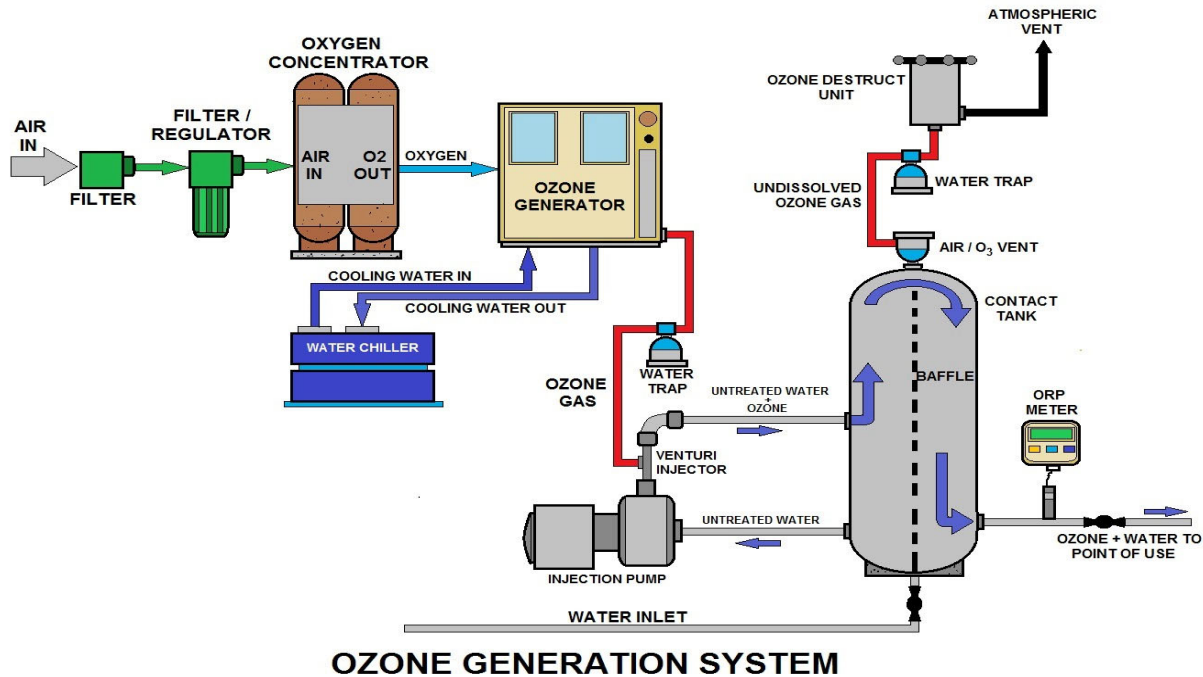
	DPD glycine	Chlorophenol Red	Direct Absorbance	Iodometric Titration	Amperometric Titration
<b>Method Type:</b>	Colorimetric	Colorimetric	Colorimetric	Titrimetric	Titrimetric
<b>How It Works</b>	Glycine removes Cl <sub>2</sub> ; ClO <sub>2</sub> forms a pink color, whose intensity is proportional to the ClO <sub>2</sub> concentration.	ClO <sub>2</sub> bleaches chlorophenol red indicator. The degree of bleaching is proportional to the concentration of ClO <sub>2</sub> .	The direct measurement of ClO <sub>2</sub> is determined between 350 and 450 nm.	Two aliquots are taken one is sparged with N <sub>2</sub> to remove ClO <sub>2</sub> . KI is added to the other sample at pH7 and titrated to a colorless endpoint. The pH is lowered to 2, the color allowed to reform and the titration continued. These titrations are repeated on the sparged sample.	
<b>Range</b>	0.5 to 5.0 ppm.	0.1 to 1.0 ppm	100 to 1000 ppm	> 1 ppm	< 1ppm
<b>Interferences</b>	Oxidizers	None	Color, turbidity	Oxidizers	
<b>Complexity</b>	Simple	Moderate	Simple	Moderate	High
<b>Equipment Required</b>	Spectrophotometer or Colorimeter			Titration equipment	Amperometric Titrator
<b>EPA Status</b>	Approved	Not approved	Not approved	Not approved	Approved
<b>Recommendation</b>	Marginal	Yes	Marginal	Yes	Marginal



# Ozone

## Ozone (O<sub>3</sub>)

Ozone is a very effective disinfectant for both Giardia and viruses. Ozone CT values( contact time) must be determined for the ozone basin alone; an accurate T10 value must be obtained for the contact chamber, residual levels measured through the chamber and an average ozone residual calculated.



### T10 Value Description

Effective contact time for disinfection, in minutes. The detention time corresponding to the time for which 90% of the water remains in the unit process. For ozone chambers, the T10 value is estimated as follows: If no ozone gas is applied, then  $T_{10} = (T_{50} * 0.7)$ ; else  $(T_{50} * 0.1)$ .

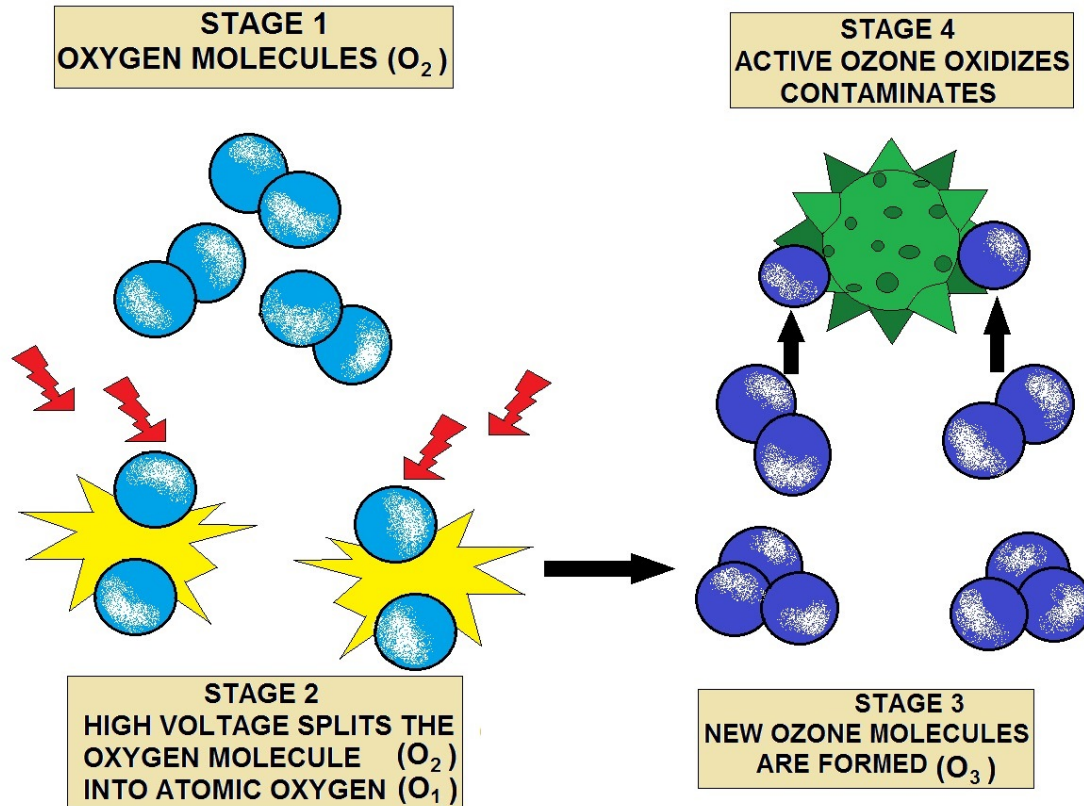
Ozone does not provide a system residual and should be used as a primary disinfectant only in conjunction with free and/or combined chlorine.

Ozone does not produce chlorinated byproducts (such as trihalomethanes) but it may cause an increase in such byproduct formation if it is fed ahead of free chlorine; ozone may also produce its own oxygenated byproducts such as aldehydes, ketones, or carboxylic acids. Ozone may also be used as an oxidant for removal of taste and odor, or may be applied as a pre-disinfectant. Any installed ozonation system must include adequate ozone leak detection alarm systems, and an ozone off-gas destruction system.

Ozone is probably the strongest oxidizing agent available for water treatment. Ozone is obtained by passing a flow of air or oxygen between two electrodes that are subjected to an alternating current in the order of 10,000 to 20,000 volts.



Liquid ozone is very unstable and can readily explode. As a result, it is not shipped and must be manufactured on-site. Ozone is a light blue gas at room temperature. It has a pungent odor similar to that sometimes noticed during and after heavy electrical storms. In use, ozone breaks down into oxygen and nascent oxygen.



## HOW OZONE IS PRODUCED

It is the nascent unpaired, loose oxygen molecule that produces the high oxidation, disinfections, and even sterilization. Each water has its own ozone demand, in the order of 0.5 ppm to 5.0 ppm. Contact time, temperature, and pH of the water are factors in determining ozone demand.

Ozone acts as a complete disinfectant. It is an excellent aid to the flocculation and coagulation process, and will remove practically all color, taste, odor, iron, and manganese. It does not form chloramines or THMs, and while it may destroy some THMs, it may produce other byproducts when followed by chlorination.

### Disinfectant Byproducts

Ozone is not practical for complete replacement of chlorine or chloramines, or of THM and other inorganics. Further, because of the possibility of formation of other carcinogens (such as aldehydes or phthalates) it falls into the same category as other disinfectants, because it can produce DBPs.

## Ultraviolet Radiation

The enormous temperatures on the sun create ultraviolet (**UV**) rays in great amounts, and this radiation is so powerful that all life on earth would be destroyed if these rays were not scattered by the atmosphere and filtered out by the layers of ozone gas that float some 20 miles above the earth.

This radiation can be artificially produced by sending strong electric currents through various substances. A sun lamp, for example, sends out UV rays that, when properly controlled, result in a suntan. Of course, too much UV will cause sunburn.

The UV lamp that can be used for the disinfection of water depends upon the low-pressure mercury vapor lamp to produce the ultraviolet energy. A mercury vapor lamp is one in which an electric arc is passed through an inert gas. This, in turn, will vaporize the mercury contained in the lamp resulting in the production of UV rays.



The lamp itself does not come into direct contact with the water. The lamp is placed inside a quartz tube, and the water is in contact with the outside of the quartz tube. Quartz is used in this case since practically none of the UV rays are absorbed by the quartz, allowing all of the rays to reach the water.

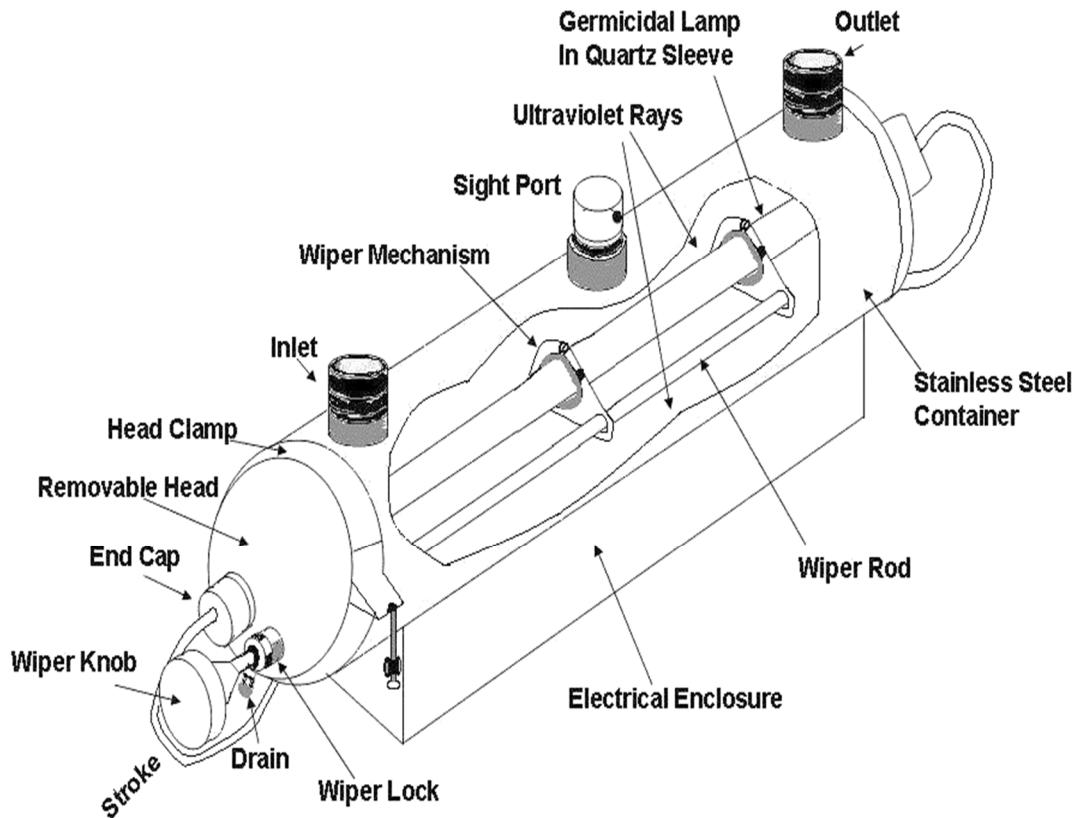
Ordinary glass cannot be used since it will absorb the UV rays, leaving little for disinfection. The water flows around the ultraviolet contactor (quartz tube). The UV sterilizer will consist of a various number of lamps and tubes, depending upon the amount of water to be treated.

As water enters the sterilizer, it is given a tangential flow pattern so that the water spins over and around the quartz sleeves. In this way, the microorganisms spend maximum time in contact with the outside of the quartz tube and the source of the UV rays. The basic design flow of water of certain UV units is in the order of 2.0 gpm for each inch of the lamp.

Further, the units are designed so the contact or retention time of the water in the unit is not less than 15 seconds. Most manufacturers claim UV lamps have a life of about 7,500 hours, which is about 1 years' time of continuous use.

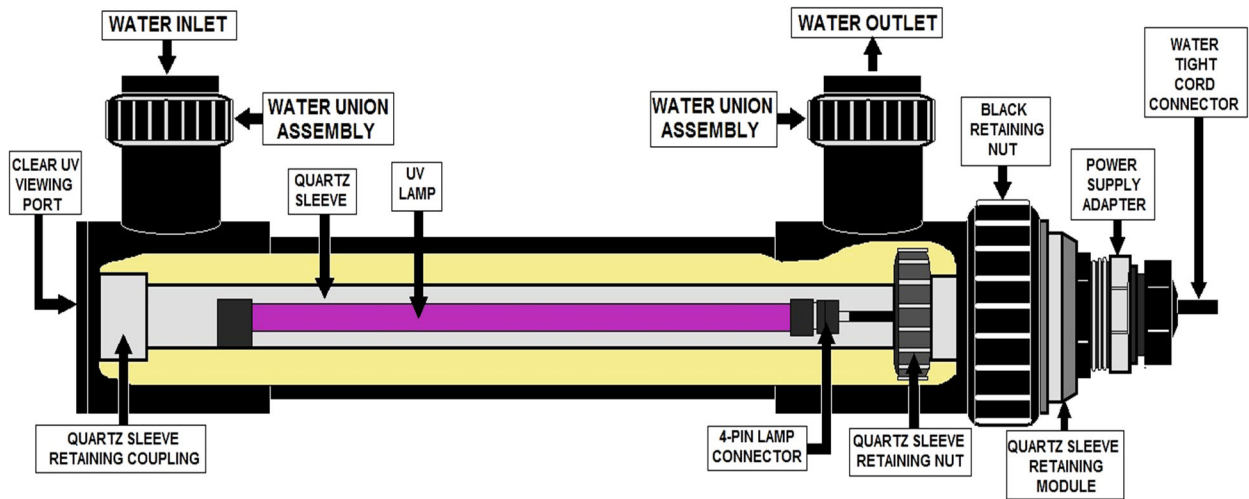
The lamp must be replaced when it loses about 40% to 50% of its UV output; in any installation this is determined by means of a photoelectric cell and a meter that shows the output of the lamp. Each lamp is outfitted with its own photoelectric cell, and an alarm that will be activated when the penetration drops to a preset level.

Ultraviolet radiation is an excellent disinfectant that is highly effective against viruses, molds, and yeasts; and it is safe to use. It adds no chemicals to the water, it leaves no residual, and it does not form THMs. It is used to remove traces of ozone and chloramines from the finished water. Alone, UV radiation will not remove precursors, but in combination with ozone, it is said to be effective in the removal of THM precursors and THMs.



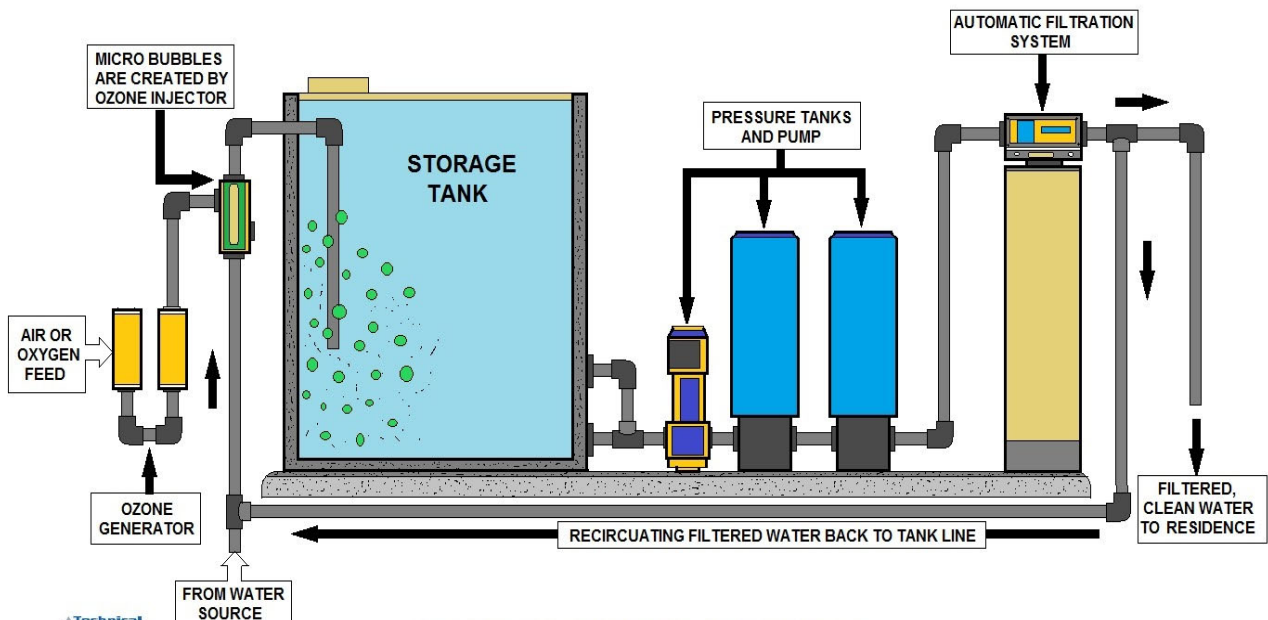
The germicidal effect of UV is thought to be associated with its absorption by various organic components essential to the cell's function. For effective use of ultraviolet, the water to be disinfected must be clean and free of any suspended solids. The water must also be colorless and free of any colloids, iron, manganese, taste, and odor. In addition, although water may appear to be clear, such substances as excesses of chlorides, bicarbonates, and sulfates affect absorption of the ultraviolet rays. The UV unit must be shielded from view for it will cause blindness. These parameters will probably require at least filtration of one type or another. The UV manufacturer will, of course, stipulate which pretreatment may be necessary.

## Process Comparison Diagrams



### UV LIGHT USED FOR DISINFECTION

Ultraviolet light for disinfection was primarily used in the wastewater industry because of its effectiveness to deactivate microorganisms and not having to dechlorinate the effluent for discharge. In drinking water, UV has become popular because it does not produce trihalomethanes, but there is no residual disinfectant. Another consideration is the cost to operate and maintain the UV unit, which is generally higher than simple chlorination.



### OZONE PROCESS EXAMPLE

## **Potential Water Treatment Disinfectants Health Hazards**

Most water suppliers add a disinfectant to drinking water to kill germs such as Giardia and e coli, especially after heavy rainstorms.

### **Chlorine**

Some people who use drinking water containing chlorine well in excess of EPA standards could experience irritating effects to their eyes and nose. Some people who drink water containing chlorine well in excess of EPA standards could experience stomach discomfort.

### **Chloramine**

Some people who use drinking water containing chloramines well in excess of EPA standards could experience irritating effects to their eyes and nose. Some people who drink water containing chloramines well in excess of EPA standards could experience stomach discomfort or anemia.

### **Chlorine Dioxide**

Some infants and young children who drink water containing chlorine dioxide in excess of EPA standards could experience nervous system effects. Similar effects may occur in fetuses of pregnant women who drink water containing chlorine dioxide in excess of EPA standards. Some people may experience anemia.

### **Disinfection Byproducts (DBPS)**

Disinfection byproducts form when disinfectants added to drinking water to kill germs react with naturally-occurring organic matter in water.

### **Total Trihalomethanes**

Some people who drink water containing trihalomethanes in excess of EPA standards over many years may experience problems with their liver, kidneys, or central nervous systems, and may have an increased risk of getting cancer.

### **Haloacetic Acids**

Some people who drink water containing haloacetic acids in excess of EPA standards over many years may have an increased risk of getting cancer.

### **Bromate**

Some people who drink water containing bromate in excess of EPA standards over many years may have an increased risk of getting cancer.

### **Chlorite**

Some infants and young children who drink water containing chlorite in excess of EPA standards could experience nervous system effects. Similar effects may occur in fetuses of pregnant women who drink water containing chlorite in excess of EPA standards. Some people may experience anemia.



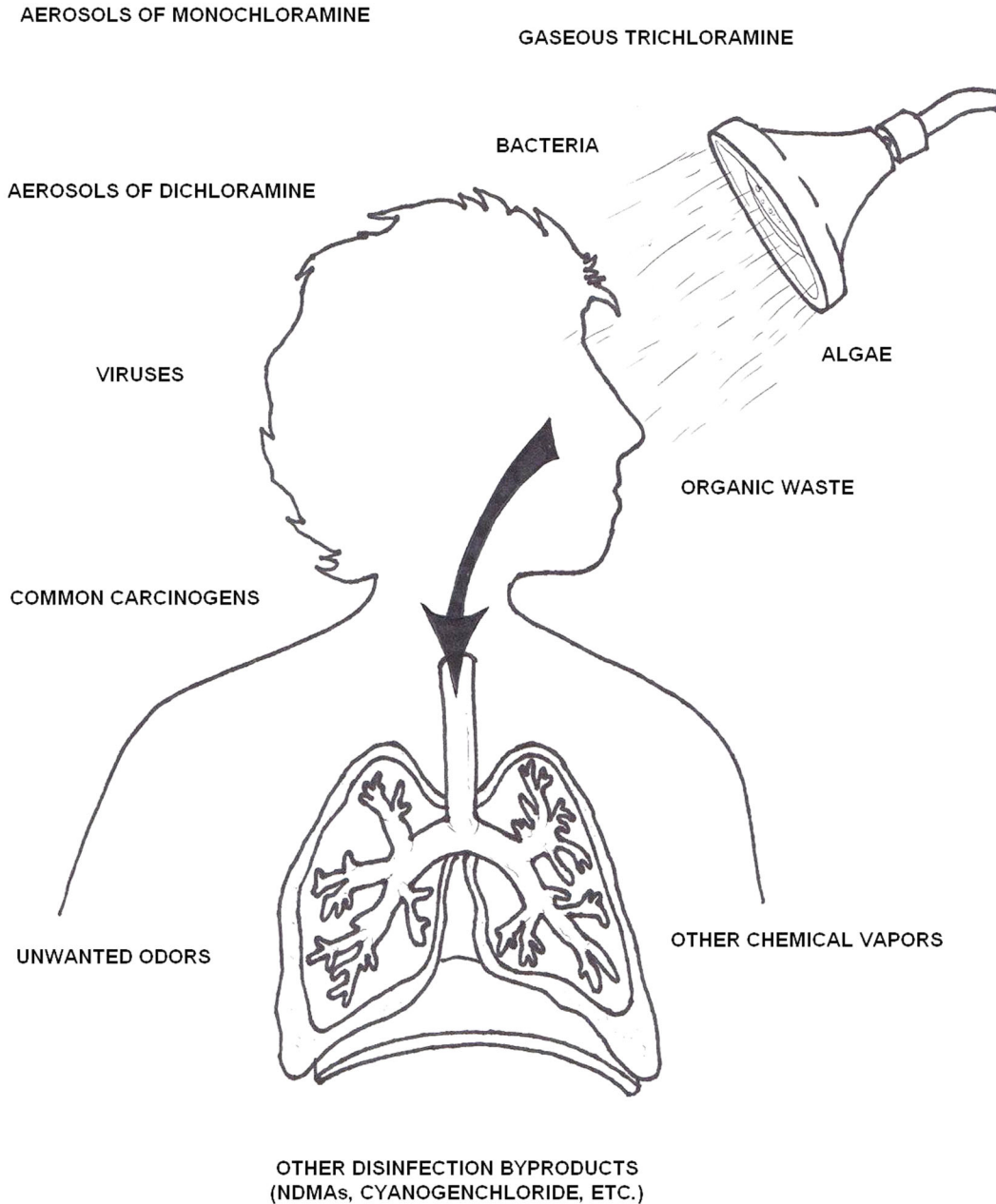
<b>Removal of Disinfection By-Products</b>		
<i><b>Disinfectant</b></i>	<i><b>Disinfectant By-product</b></i>	<i><b>Disinfectant By-product Removal</b></i>
Chlorine (HOCl)	Trihalomethane (THM)  Chloramine Chlorophenol	Granular Activated Carbon (GAC), resins, controlled coagulation, aeration. GAC-UV GAC
Chloramine (NH <sub>x</sub> Cl <sub>y</sub> )	Probably no THM Others?	GAC UV?
Chlorine dioxide (ClO <sub>2</sub> )	Chlorites Chlorates	Use of Fe <sub>2+</sub> in coagulation, RO, ion-exchange
Permanganate (KMnO <sub>4</sub> )	No THMs	
Ozone (O <sub>3</sub> )	Aldehydes, Carboxylics, Phthalates	GAC
Ultraviolet (UV)	None known	

The table indicates that most of the disinfectants will leave a by-product that is or would possibly be harmful to health. This may aid a decision as to whether or not precursors should be removed before these disinfectants are added to water.

If it is decided that removal of precursors is needed, research to date indicates that this removal can be attained through the application of controlled chlorination plus coagulation and filtration, aeration, reverse osmosis, nanofiltration, GAC or combinations of other processes.

	<b>CHLORINE AS A DISINFECTANT</b>	<b>ULTRAVIOLET GERMICIDAL IRRADIATION (UV) AS A DISINFECTANT</b>
<b>DISINFECTION BYPRODUCTS (DBPs)</b>	<b>X</b>	<b>No</b>
<b>CHEMICAL RESIDUAL</b>	<b>YES</b>	<b>No</b>
<b>CORROSIVE</b>	<b>X</b>	<b>No</b>
<b>COMMUNITY SAFETY RISKS</b>	<b>X</b>	<b>No</b>
<b>EFFECTIVE AGAINST CRYPTOSPORIDIUM AND GIARDIA</b>	DEPENDS ON TREATMENT PROCESS	<b>Yes</b>
<b>WELL-SUITED FOR CHANGING REGULATIONS</b>	DEPENDS ON TREATMENT PROCESS	<b>Yes</b>

## **CHLORINE vs. UV FOR DISINFECTION**



### Chloroform Information

Chloroform may be absorbed into the body through ingestion, inhalation, and through the skin. The largest source of human exposure to THMs in the U.S. is from the consumption of chlorinated drinking water. Besides consuming water, other water uses in the home may contribute significantly to total chloroform exposure both from breathing in chloroform vaporized into the air and from it passing through the skin during bathing. Swimming in chlorinated pools will also contribute to the total exposure from the same exposure paths. One study observed that a greater percentage of chloroform passed through the skin when bathing water temperatures were increased. Chloroform does not concentrate in plants; therefore, the contribution from food to total chloroform exposure is small.

## Summary

### Disinfection

Upon adding chlorine to water, two chemical species, known together as free chlorine, are formed. These species, hypochlorous acid (HOCl, electrically neutral) and hypochlorite ion (OCl<sup>-</sup>, electrically negative), behave very differently. Hypochlorous acid is not only more reactive than the hypochlorite ion, but is also a stronger disinfectant and oxidant.

The ratio of hypochlorous acid to hypochlorite ion in water is determined by the pH. At low pH (higher acidity), hypochlorous acid dominates while at high pH hypochlorite ion dominates. Thus, the speed and efficacy of chlorine disinfection against pathogens may be affected by the pH of the water being treated. Fortunately, bacteria and viruses are relatively easy targets of chlorination over a wide range of pH. However, treatment operators of surface water systems treating raw water contaminated by the parasitic protozoan *Giardia* may take advantage of the pH-hypochlorous acid relationship and adjust the pH to be effective against *Giardia*, which is much more resistant to chlorination than either viruses or bacteria.

Another reason for maintaining a predominance of hypochlorous acid during treatment has to do with the fact that pathogen surfaces carry a natural negative electrical charge. These surfaces are more readily penetrated by the uncharged, electrically neutral hypochlorous acid than the negatively charged hypochlorite ion. Moving through slime coatings, cell walls and resistant shells of waterborne microorganisms, hypochlorous acid effectively destroys these pathogens. Water is made microbiologically safe as pathogens either die or are rendered incapable of reproducing. A typical bacterium has a negatively charged slime coating on its exterior cell wall, which is effectively penetrated by electrically neutral hypochlorous acid, favored by lower pH's.

### Chloramines

Chloramines are chemical compounds formed by combining a specific ratio of chlorine and ammonia in water. Because chloramines are relatively weak as a disinfectant, they are almost never used as a primary disinfectant. Chloramines provide a durable residual, and are often used as a secondary disinfectant for long distribution lines and where free chlorine demand is high. Chloramines may also be used instead of chlorine in order to reduce chlorinated byproduct formation and to remove some taste and odor problems.

### Advantages

- Reduced formation of THMs, HAAs
- Will not oxidize bromide to bromine forming brominated byproducts
- More stable residual than free chlorine
- Excellent secondary disinfectant, has been found to be better than free chlorine at controlling coliform bacteria and biofilm growth
- Lower taste and odor than free chlorine

### Limitations

- Weak disinfectant and oxidant
- Requires shipment and handling of ammonia or ammonia compounds as well as chlorinating chemicals
- Ammonia is toxic to fish, and may pose problems for aquarium owners
- Will cause problems for kidney dialysis if not removed from water

## **Chlorine Dioxide**

Chlorine dioxide ( $\text{ClO}_2$ ) is generated on-site at water treatment facilities. In most generators sodium chlorite and elemental chlorine are mixed in solution, which almost instantaneously forms chlorine dioxide. Chlorine dioxide characteristics are quite different from chlorine.

In solution it is a dissolved gas, which makes it largely unaffected by pH but volatile and relatively easily stripped from solution. Chlorine dioxide is also a strong disinfectant and a selective oxidant. While chlorine dioxide does produce a residual it is only rarely used for this purpose.

### **Advantages**

- ✓ Effective against Cryptosporidium
- ✓ Up to five times faster than chlorine at inactivating Giardia
- ✓ Disinfection is only moderately affected by pH
- ✓ Will not form chlorinated byproducts (THMs, HAAs)
- ✓ Does not oxidize bromide to bromine (can form bromate in sunlight)
- ✓ More effective than chlorine in treating some taste and odor problems
- ✓ Selective oxidant used for manganese oxidation and targeting some chlorine resistant organics

### **Limitations**

- ✓ Inorganic byproduct formation (chlorite, chlorate)
- ✓ Highly volatile residuals
- ✓ Requires on-site generation equipment and handling of chemicals (chlorine and sodium chlorite)
- ✓ Requires a high level of technical competence to operate and monitoring equipment, product and residuals
- ✓ Occasionally poses unique odor and taste problems
- ✓ High operating cost (chlorite chemical cost is high)

## Topic 7- Disinfection Section Post Quiz

1. How should the connection from a chlorine cylinder to a chlorinator be replaced?
2. How many turns should a chlorine gas cylinder be initially opened?
3. If the temperature of a full chlorine cylinder is increased by 50°F or 30°C, what is the most likely result?
4. Liquid chlorine is about 1.5 times heavier than water and gaseous chlorine is about 2.5 times heavier than air.
5. For facilities located within residential or densely populated areas, consideration shall be given to provide \_\_\_\_\_ for the chlorine room.
6. What will be discharged when opening the top valve on a one-ton chlorine cylinder?
7. What are the approved methods for storing a chlorine cylinder?
8. What are normal conditions for a gas chlorination start-up?
9. Name a safety precaution when using chlorine gas?
10. What compounds are formed in water when chlorine gas is introduced?
11. Why should roller bearings not be used to rotate a one-ton chlorine container?
12. What are the physical and chemical properties of chlorine?

13. What are the necessary emergency procedures in the case of a large uncontrolled chlorine leak?

14. Name several symptoms of chlorine exposure.

15. 5 lbs. of a 70% concentration sodium hypochlorite solution is added to a tank containing 650 gallons of water. What is the chlorine dosage?

16. As soon as  $\text{Cl}_2$  gas enters the throat area, a victim will sense a sudden stricture in this area - nature's way of signaling to prevent passage of the gas to the lungs. At this point, the victim must attempt to do two things. Name them.

17. Positive pressure SCBAs and full face piece SARs can be used in oxygen deficient atmospheres containing less than what percentage of oxygen in the atmosphere?

18. Death is possible from asphyxia, shock, reflex spasm in the larynx, or massive pulmonary edema. Populations at special risk from chlorine exposure are individuals with pulmonary disease, breathing problems, bronchitis, or chronic lung conditions.

A. TRUE     B. FALSE

19. Chlorine gas reacts with water producing a strongly oxidizing solution causing damage to the moist tissue lining the respiratory tract when the tissue is exposed to chlorine. The respiratory tract is rapidly irritated by exposure to 10-20 ppm of chlorine gas in air, causing acute discomfort that warns of the presence of the toxicant.

A. TRUE     B. FALSE

20. Even brief exposure to 1,000 ppm of  $\text{Cl}_2$  can be fatal.

A. TRUE     B. FALSE

21. What are the two main chemical species formed by chlorine in water and what name are they known collectively as?

## Topic 8- Water Distribution Section

**Section Focus:** You will learn the basics of the water distribution system and cross-connection protection. Much of this section will also apply to the water treatment plant, for much of the same piping and valves are utilized at the plant. At the end of this section, you will be able to describe how treated water is delivered to the customer. There is a post quiz at the end of this section to review your comprehension and a final examination in the Assignment for your contact hours.

**Scope/Background:** The following EPA drinking water regulations pertain to distribution systems:

- Surface Water Treatment Rules (disinfectant residual and sanitary survey requirements)
- Stage 1 and 2 Disinfectants and Disinfection Byproducts Rules (DBPR) (monitoring for DBPs in the distribution system)
- Ground Water Rule (sanitary surveys)
- Revised Total Coliform Rule (monitoring for bacterial contamination in distribution systems)



Replacing a section of plastic water main

## Distribution Overview

The purpose of distribution system is to deliver potable water to consumer with appropriate quality, quantity and pressure. Distribution system is used to describe collectively the facilities/equipment used to supply water from its source to the point of usage.

Water distribution systems consist of an interconnected series of components. They include:

- pipes
- storage facilities
- components that convey drinking water

Water distribution systems meet fire protection needs for:

- cities
- homes
- schools
- hospitals
- businesses
- industries
- other facilities

Public water systems depend on distribution systems to provide an uninterrupted supply of pressurized safe drinking water to all consumers. Distribution system mains carry water from either:

- the treatment plant to the consumer; or
- the source to the consumer when treatment is absent.

Distribution systems span almost one million miles in the United States. They represent the vast majority of physical infrastructure for water supplies. Distribution system wear and tear can pose intermittent or persistent health risks.

### Water Quality and the Distribution System

New pipes are added to distribution systems as development occurs. The additions result in a wide variation in:

- Pipe sizes
- Materials
- Methods of construction
- Age within individual distribution systems and across the nation

As these systems age, deterioration can occur due to corrosion, materials erosion, and external pressures. Deteriorating water distribution systems can lead to:

- Breaches in pipes and storage facilities
- Intrusion due to water pressure fluctuation
- Main breaks



# Water Distribution Valve Section

## Introduction

Water distribution valves are provided in the design of the water systems to allow for the isolation and shut-off of water when emergency conditions occur. It is important to recognize that these valves are a critical link in the management of emergencies that occur in the distribution system. Additionally, these valves are usually operated infrequently therefore, the establishment of an annual valve exercising program is essential to the viability of an utility emergency operations plan.

Emergency operations of water valves presumes that the system operators are familiar with the exact locations of many key water valves within the water system. Equal in importance is the knowledge that when these valves need to be operated in order to isolate a section of the distribution system, they will operate and close effectively in order to prevent a large loss of the water recourse and excessive property damage.

Routine valve inspections should be conducted on the water system valves and the following tasks are accomplished:

- The accuracy of all valves and valve boxes is verified against existing records. If inconsistencies are found, the records are updated to reflect accurate information.
- An inspection is performed on each valve stem and nut to determine if any damage exists.
- The valve is fully closed and the number of turns necessary to accomplish a full closing is recorded.
- The valve is re-opened, and the system flows are re-established.
- The valve box and cover is cleaned, inspected for damaged and painted blue.

Exercising of all valves should be accomplished at the same time as the valve inspection. The exercising program assures that the valve operates and loosens any encrustation from valve seats and gates. Many valve manufacturers recommend that the valve stem be completely opened and then backed off by one complete turn.

## Installation of Valves – Assignment Notes

At intersections of distribution mains, the number of valves required is normally one less than the number of radiating mains.

All buried small- and medium-sized valves shall not be installed in the sidewalk for safety.

For large shutoff valves, it is necessary to surround the valve operator or entire valve within a vault or manhole to allow repair or replacement.

## Distribution System Design

System design depends on the area where you live. You may be a flatlander, like in Texas, and the services could be spread out for miles. You may live in the Rocky Mountain area and have many fluctuating elevations. Some areas may only serve residents on a part time basis and water will sit for long periods of time, while other areas may have a combination of peaks and valleys with short and long distances of service. Before you design the system, you need to ask yourself some basic questions.

1. *What is the source of water?*
2. *What is the population?*
3. *What kind of storage will I need for high demand and emergencies?*
4. *How will the pressure be maintained?*

### System Elements

The elements of a water distribution system include: distribution mains, arterial mains, storage reservoirs, and system accessories. These elements and accessories are described as follows:

**Distribution Mains** Distribution mains are the pipelines that make up the distribution system. Their function is to carry water from the water source or treatment works to users.

**Arterial Mains** Arterial mains are distribution mains of large size. They are interconnected with smaller distribution mains to form a complete gridiron system.

**Storage Reservoirs** Storage reservoirs are structures used to store water. They also equalize the supply or pressure in the distribution system. A common example of a storage reservoir is an aboveground water storage tank.



Inside a giant booster pump station.

## System Accessories Include the Following

**Booster stations** are used to increase water pressure from storage tanks for low-pressure mains.

**Valves** control the flow of water in the distribution system by isolating areas for repair or by regulating system flow or pressure.



Different types of Gate Valves. (Linear)

Top photograph is valve ready for a valve re-placement. It has a Mechanical Type Joint. Bottom photograph is OS&Y commonly found on fire lines. This is a Flange type joint. (Outside Screw and Yoke) As the gate is lifted or opened, the stem will rise.

Gate valves should be used in the distribution system for main line isolation only. Gate Valve is a linear type of valve. Gate valves should be stored upright with the gate down.

## **Distribution Valve Purpose**

The purpose of installing shutoff valves in water mains at various locations within the distribution system is to allow sections of the system to be taken out of service for repairs or maintenance, without significantly curtailing service over large areas.

Valves should be installed at intervals not greater than 5,000 feet in long supply lines, and 1,500 feet in main distribution loops or feeders. All branch mains connecting to feeder mains or feeder loops should have valves installed as close to the feeders as practical. In this way, branch mains can be taken out of service without interrupting the supply to other locations. In the areas of greatest water demand, or when the dependability of the distribution system is particularly important, valve spacing of 500 feet may be appropriate.

At intersections of distribution mains, the number of valves required is normally one less than the number of radiating mains. The valve omitted from the line is usually the one that principally supplies flow to the intersection. Shutoff valves should be installed in standardized locations (that is, the northeast corner of intersections or a certain distance from the center line of streets), so they can be easily found in emergencies. All buried small- and medium-sized valves should be installed in valve boxes. For large shutoff valves (about 30 inches in diameter and larger), it may be necessary to surround the valve operator or entire valve within a vault or manhole to allow repair or replacement.

### **Gate Valves**

Gate valves are used when a straight-line flow of fluid and minimum flow restriction are needed. Gate valves are so-named because the part that either stops or allows flow through the valve acts somewhat like a gate.

The gate is usually wedge-shaped. When the valve is wide open, the gate is fully drawn up into the valve bonnet. This leaves an opening for flow through the valve the same size as the pipe in which the valve is installed. Therefore, there is little pressure drop or flow restriction through the valve. Gate valves are not suitable for throttling purposes. The control of flow is difficult because of the valve's design, and the flow of fluid slapping against a partially open gate can cause extensive damage to the valve. Except as specifically authorized, gate valves should not be used for throttling.

### **Ball Valves**

Most ball valves are the quick-acting type. They require only a 90-degree turn to either completely open or close the valve. However, many are operated by planetary gears. This type of gearing allows the use of a relatively small handwheel and operating force to operate a fairly large valve. The gearing does, however, increase the operating time for the valve. Some ball valves also contain a swing check located within the ball to give the valve a check valve feature. Ball valves should be either fully-on or fully-off.

### **Valve Exercising**

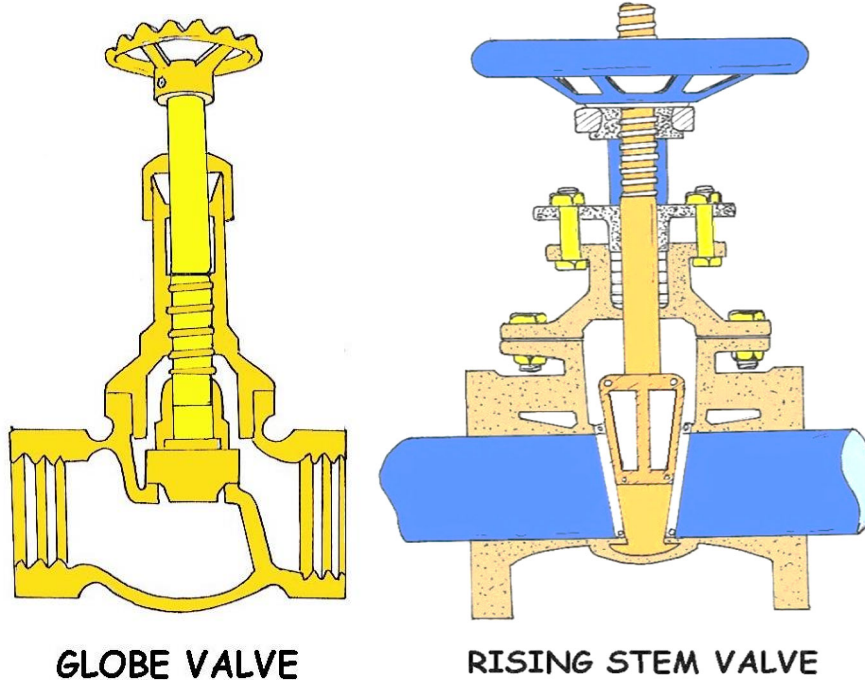
Valve exercising should be done once per year (especially main line valves) to detect malfunctioning valves and to prevent valves from becoming inoperable due to freezing or build-up of rust or corrosion. A valve inspection should include drawing valve location maps to show distances (ties) to the valves from specific reference points (telephone poles, stonelines, etc.).

## Common Rotary Valves

### Globe Valve *Rotary Valve*

Primarily used for flow regulation, and works similar to a faucet. They are rare to find in most distribution systems, but can be found at treatment plants. Always follow standard safety procedures when working on a valve. Most Globes have compact OS & Y type, bolted bonnet, rising stems with renewable seat rings. The disc results with most advanced design features provide the ultimate in dependable, economical flow control.

Globe valves should usually be installed with the inlet below the valve seat. For severe throttling service, the valve may be installed so that the flow enters over the top of the seat and goes down through it. Note that in this arrangement, the packings will be constantly pressurized. If the valve is to be installed near throttling service, verify with an outside contractor or a skilled valve technician. Globe valves, per se, are not suitable for throttling service.



The valve should be welded onto the line with the disc in the fully closed position. Leaving it even partially open can cause distortion and leaking. Allow time for the weld to cool before operating the valve the first time in the pipeline. The preferred orientation of a globe valve is upright. The valve may be installed in other orientations, but any deviation from vertical is a compromise. Installation upside down is not recommended because it can cause dirt to accumulate in the bonnet.

### Globe Valve Problems and Solutions

**If the valve stem is improperly lubricated or damaged--**Disassemble the valve and inspect the stem. Acceptable deviation from theoretical centerline created by joining center points of the ends of the stem is 0.005"/ft of stem. Inspect the threads for any visible signs of damage.

Small grooves less than 0.005" can be polished with an Emory cloth. Contact specialized services or an outside contractor if run-out is unacceptable or large grooves are discovered on the surface of the stem.

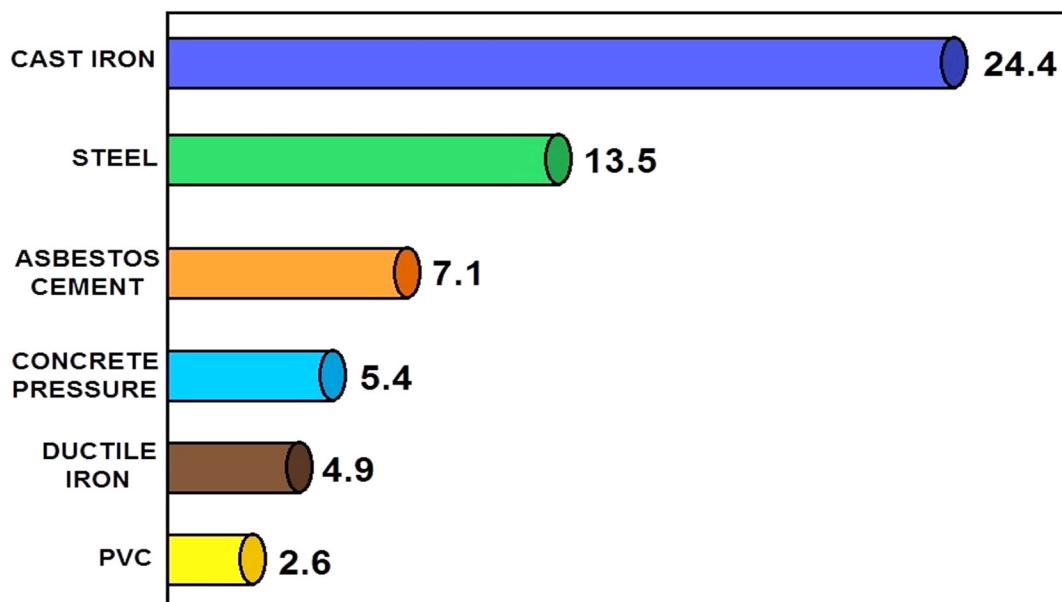
**If the valve packing compression is too tight**--Verify the packing bolt torque and adjust if necessary.

**Foreign debris is trapped on threads and/or in the packing area.**--This is a common problem when valves are installed outdoors in sandy areas and the areas not cleaned before operating.

Always inspect threads and packing area for particle obstructions; even seemingly small amounts of sand trapped on the drive can completely stop large valves from cycling. The valve may stop abruptly when a cycle is attempted. With the line pressure removed from the valve, disconnect the actuator, gear operator or handwheel and inspect the drive nut, stem, bearings and yoke bushing. Contaminated parts should be cleaned with a lint-free cloth using alcohol, varsol or equivalent. All parts should be re-lubricated before re-assembled. If the valves are installed outdoors in a sandy area, it may be desirable to cover the valves with jackets.

**If the valve components are faulty or damaged**--contact specialized services or an outside contractor.

**If the valve's handwheel is too small**--Increasing the size of the handwheel will reduce the amount of torque required to operate the valve. If a larger handwheel is installed, the person operating the valve must be careful not to over-torque the valve when closing it.



## WATER MAIN BREAKS

RATES REFLECT # OF BREAKS PER 100 MILES OF INSTALLED PIPE

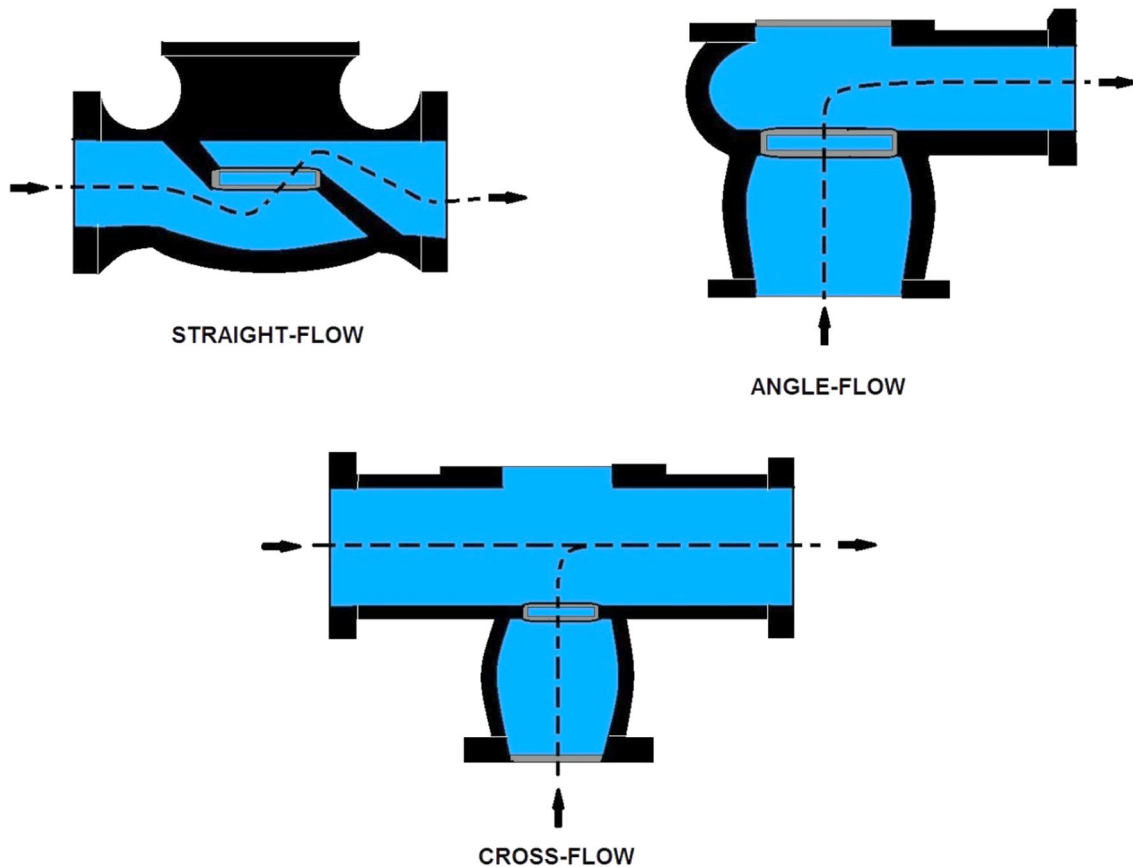
## Bellow Seal Valve

Always follow standard safety procedures when working on a valve.

Bellows seal valves provide a complete hermetic seal of the working fluid. They are used in applications where zero leakage of the working fluid into the environment is permitted.

Bellows seal valves are specially modified versions of the standard valves. The installation information that applies to gate and globe valves will apply to bellows seal valves.

A packing leak signifies that the bellows has ruptured or the bellows-assembly weld has a crack. Professor Rusty does not recommend repairing or reusing a damaged bellows. Instead, Professor Rusty suggests replacing the entire bonnet assembly including bellows and stem.



**GLOBE VALVE CONFIGURATIONS**

### **Pressure Sustaining Valve**

Pressure sustaining valves are used to sustain the system pressure to a predetermined maximum level. The applications balance the pressure distribution throughout the whole system by maintaining the minimum pressure for high altitude users. Pressure sustaining valves are also used to prevent discharging of the pipe system when any user starts to operate. More in a few more pages.

### **Pressure Reducing Valve**

Pressure reducing valves maintain a predetermined outlet pressure which remains steady and unaffected by either changing of inlet pressure and/or various demands. Pressure Reducing Valves are self-contained control valves which do not require external power. More in a few more pages.

### **Insertion Valves - Rotary Valve**

Sometimes you have to obtain a shut down and you have only two choices. Do it hot or cut in an insertion or inserting valve. An Insertion valve is normally a Gate Valve that is made to be installed on a hot water main. A few years ago, this was a serious feat. First, you had to pour ten yards of mud or cement and come back and cut the valve in. No longer. The Insertion valve machine and tap works like a tapping sleeve. The only difference is that the tap points up and not to the side. We recommend that any major system budget money to purchase this equipment. It will pay for itself on the first job. Otherwise, contract the work out. You can see in the photograph a manually operated tapping machine.



Hydro Stop valve insertion machine



## **Ball or Corporation Stop Rotary Valve** *Small Valves 2 inches and smaller*

Most commonly found on customer or water meters. All small backflow assemblies will have two Ball valves. It is the valve that is either fully on or fully off; and the one that you use to test the abilities of a water service rookie. The best trick is to remove the ball from the Ball valve and have a rookie *Jump a Stop*. The Corp is usually found at the water main on a saddle. Some people say that the purpose of the Corp is to regulate the service. I don't like that explanation. No one likes to dig up the street to regulate the service and Ball valves are only to be used fully on or fully off.



Most ball valves are the quick-acting type. They require only a 90-degree turn to either completely open or close the valve. However, many are operated by planetary gears. This type of gearing allows the use of a relatively small handwheel and operating force to operate a fairly large valve. Always follow standard safety procedures when working on a valve.

The gearing does, however, increase the operating time for the valve. Some ball valves also contain a swing check located within the ball to give the valve a check valve feature. The brass ball valve is often used for house appliance and industry appliance; the size range is 1/4"-4".

Brass or zinc is common for body, brass or iron for stem, brass or iron for ball, aluminum, stainless steel, or iron for handle including a Teflon seal in the ball housing.



Flush the pipeline before installing the valve. Debris allowed to remain in the pipeline (such as weld spatters, welding rods, bricks, tools, etc.) can damage the valve.

After installation, cycle the valve a minimum of three times and re-torque bolts as required. Ensure that the valve is in the open position and the inside of the body bore of the valve body/body end is coated with a suitable spatter guard.

## Butterfly Valve *Rotary Valve*

Usually a huge water valve found in both treatment plants and throughout the distribution system. If the valve is not broken, it is relatively easy to operate. It is usually accompanied with a Gate valve used as a by-pass to prevent water hammer. When I was a Valve man, it seemed that every Bypass valve was broken closed when near a Butterfly valve.

These are rotary type of valves usually found on large transmission lines. They may also have an additional valve beside it known as a "*bypass valve*" to prevent a water hammer.

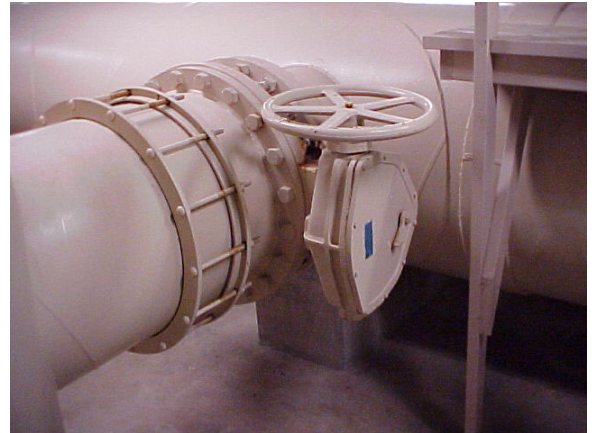
Some of these valves can require 300-600 turns to open or close. Most Valvemmen (or the politically correct term "Valve Operators") will use a machine to open or close a Butterfly Valve.

The machine will count the turns required to open or close the valve.

Butterfly valves should be installed with the valve shaft horizontal or inclined from vertical. Always follow standard safety procedures when working on a valve.

The valve should be mounted in the preferred direction, with the "HP" marking. Thermal insulation of the valve body is recommended for operating temperatures above 392°F (200°C). The valve should be installed in the closed position to ensure that the laminated seal in the disc is not damaged during installation.

If the pipe is lined, make sure that the valve disc does not contact the pipe lining during the opening stroke. Contact with lining can damage the valve disc.



54-inch Butterfly valve on a huge transmission line. Looks like a nice job however no shoring, no ladder or valve blocking, major safety violations.

## Butterfly Valve Problems

A butterfly valve may have jerky operation for the following reasons:

**If the packing is too tight**--Loosen the packing torque until it is only hand tight. Tighten to the required level and then cycle the valve. Re-tighten, if required. CAUTION: Always follow safety instructions when operating on valve.

**If the shaft seals are dirty or worn out**--Clean or replace components, as per assembly-disassembly procedure. CAUTION: Always follow safety instructions when operating on a valve.

**If the shaft is bent or warped**--The shaft must be replaced. Remove valve from service and contact an outside contractor or your expert fix-it person.

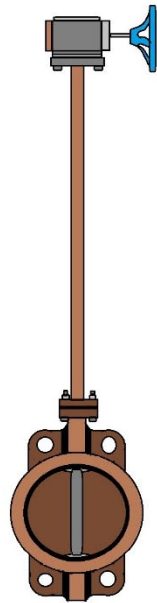
**If the valve has a pneumatic actuator, the air supply may be inadequate**--Increase the air supply pressure to standard operating level. Any combination of the following may prevent the valve shaft from rotating:

**If the actuator is not working**--Replace or repair the actuator as required. Please contact specialized services or an outside contractor for assistance.

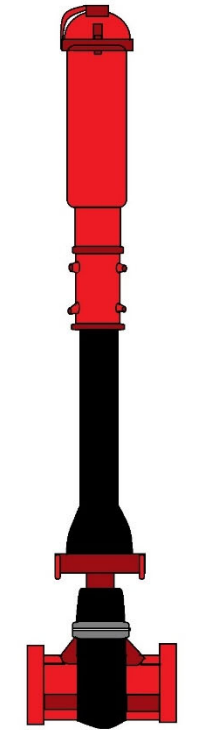
**If the valve is packed with debris**--Cycle the valve and then flush to remove debris. A full cleaning may be required if flushing the valve does not improve valve shaft rotation. Flush or clean valve to remove the debris.



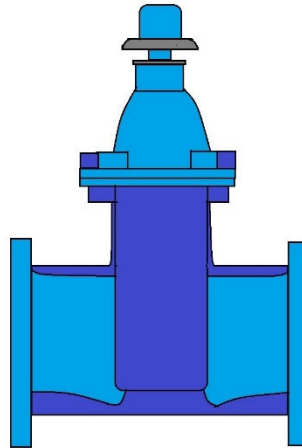
A broken 54-inch Butterfly and a worker inside the water main preparing the interior surface. Notice, this is a Permit Required Confined Space. Hot work permit is also required. Side note, there is a plastic version of the 54 and 60 inch Butterfly valve.



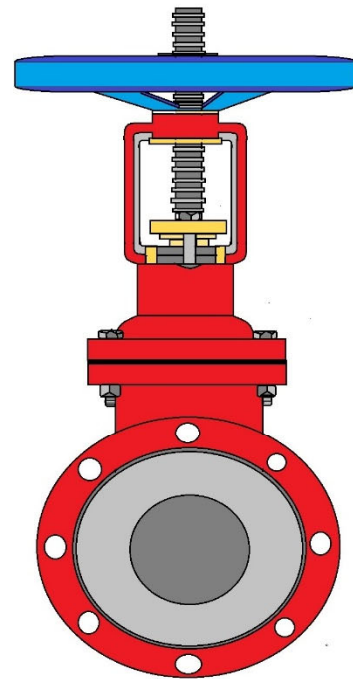
BUTTERFLY VALVE  
(with extension)



POST INDICATOR VALVE



GATE VALVE  
(non indicating type)

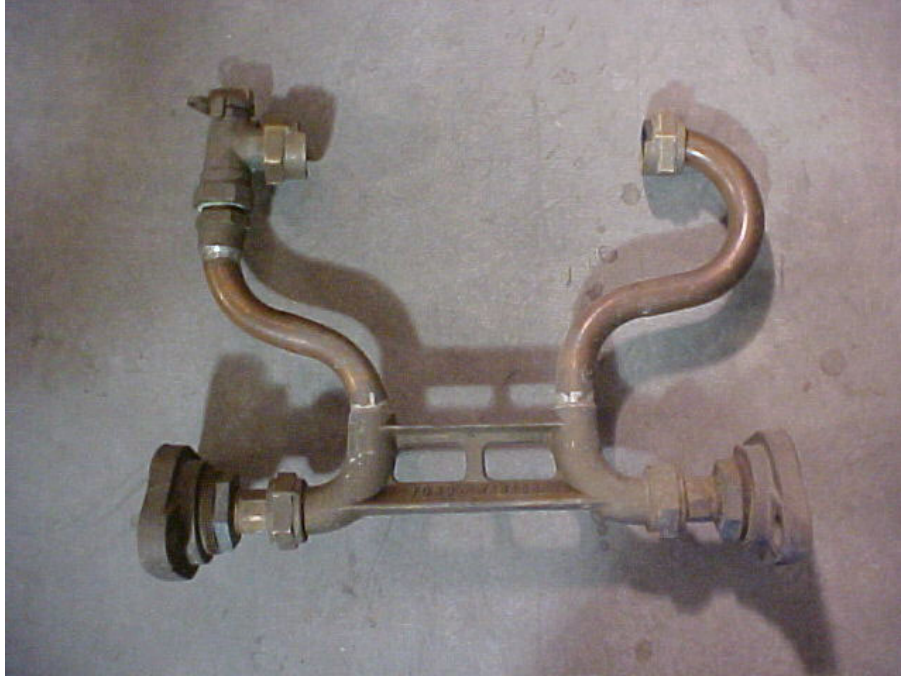


OUTSIDE SCREW & YOKE  
(OS&Y VALVE)

## TYPES OF VALVES

## Service Connections

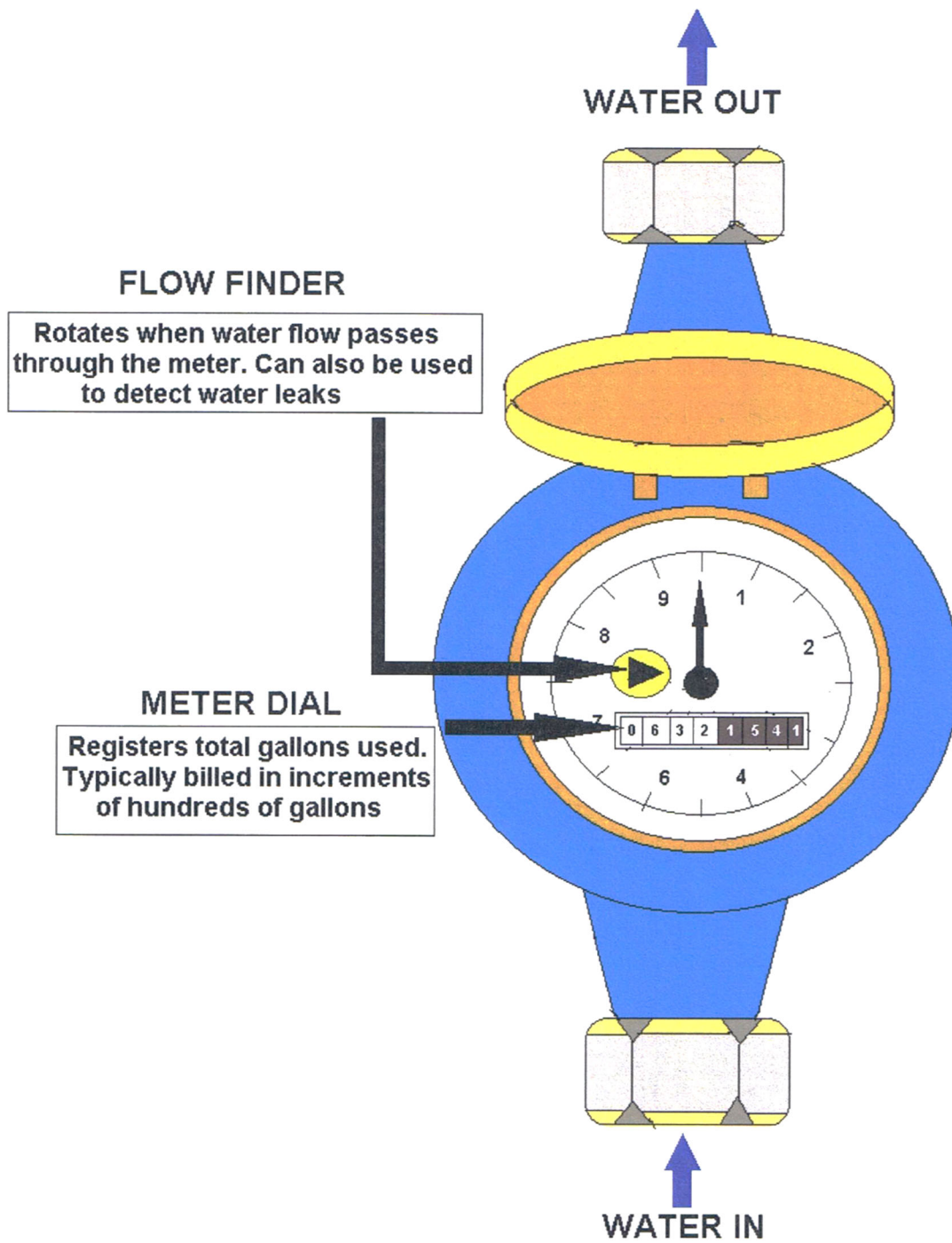
Service connections are used to connect individual buildings or other plumbing systems to the distribution system mains.



Water Meter Re-setter, riser or sometimes referred to as a copper yoke.



Common distribution repair fittings. Single check valve, Poly Pig, 1-inch repair clamp, 4-inch full circle clamp, T- Bolt and a corp. and saddle.



**RESIDENTIAL WATER METER (3/4")**

## Distribution System Layouts

There are three general ways systems are laid out to deliver water (photograph your quarter section layouts). They include:

- A. Tree systems
- B. Loop or Grid systems
- C. Dead-end systems: *Undesirable, taste and odor problems.*

### Tree System

Older water systems frequently were expanded without planning and developed into a treelike system. This consists of a single main that decreases in size as it leaves the source and progresses through the area originally served. Smaller pipelines branch off the main and divide again, much like the trunk and branches of a tree.



A treelike system is not desirable because the size of the old main limits the expansion of the system needed to meet increasing demands. In addition, there are many dead ends in the system where water remains for long periods, causing undesirable tastes and odors in nearby service lines. The most reliable means to provide water for firefighting is by designing redundancy into the system. There are several advantages gained by laying out water mains in a loop or grid, with feeder and distributor mains interconnecting at roadway intersections and other regular intervals.

### Friction Loss

Water will still be distributed through the system if a single section fails. The damaged section can be isolated and the remainder of the system will still carry water. Water supplied to fire hydrants will feed from multiple directions. Thus, during periods of peak fire flow demand, there will be less impact from "*friction loss*" in water mains as the velocity within any given section of main will be less since several mains will be sharing the supply.

The system shall be designed to maintain a minimum positive pressure of 25 psi. in all parts of the system at all times, 35 psi. is desirable.. Water pipe shall conform to applicable specifications and standards for the type of pipe to be used. The following shall govern the separation of water lines from possible sources of pollution:

1. Whenever possible, a water line shall be laid at least 10 feet horizontally from any existing or proposed sewer line.
2. Whenever water lines must cross sewers, the water line shall be laid at such an elevation that the bottom of the water line is 18 inches above the top of the sewer. This vertical separation shall be maintained for that portion of the water line located within 10 feet horizontally of any sewer or drain it crosses, said 10 feet to be measured as the normal distance from the water line to the drain or sewer. The sewer shall be constructed of cast iron pipe, type K copper, or Drain,

Waste and Vent (**DWV**) plastic pipe (Schedule 40) with water-tight joints for a distance of 10 feet from each side of the water line. All crossings shall be made at right angles.

3. Where conditions prevent the minimum horizontal and/or vertical separation specified above, special consultation shall be obtained from the Department to determine other routes of water piping.
4. No water line shall pass through, or come into contact with, any part of a sewer manhole.
5. There shall be no physical connection between a community water system and a non-community or private water system, unless the non-community or private water system conforms to community water system requirements.
6. Lines for potable water shall be laid at least 25 feet horizontally from any underground sewage seepage field.

#### **Plumbing Fixture Backflow Protection**

The water supply lines shall have no physical connection with nonpotable water supplies. All plumbing shall be in accord with the Uniform Plumbing Code.

All plumbing fixtures and other equipment connected to the water system shall be so constructed and installed so as to safeguard the water system from the possibility of contamination through cross-connections or backsiphonage.

Laundry units and equipment shall be so constructed and installed so as to prevent the contamination of the contents by the backflow of sewage.

Water main breaks are common and this problem is the primary reason to keep a free chlorine residual of at least 2 mg/l in the distribution system, another reason is backflow.





## Disinfection of Repaired Pipeline Sections

You should recognize that the protection of the public health of its water customers is the primary role of a water provider. Accordingly, the disinfection of all repaired water appurtenances is paramount to the return of the water system to its normal operation mode. Prior to initiating the disinfection process, a thorough cleaning of all repaired pipes and or reservoirs must be accomplished. The following table indicates the amount of Sodium Hypochlorite and Calcium Hypochlorite that is necessary to disinfect 100,000 gallons of water.

### Disinfection Table For 100,000 Gallons Of Water

Desired Chlorine Dose in MG/L	Pounds of Liquid Chlorine Required	Gallons of Sodium Hypo Chlorite			Pounds of Calcium Hypo Chlorite Required. 65% Available
		5% Available Chlorine	10% Available Chlorine	15% Available Chlorine	
2	1.7	3.9	2.0	1.3	2.6
10	8.3	19.4	9.9	12.8	12.8
50	42	97	49.6	64	64

### Spare Parts Inventory

You should maintain a complete inventory of spare parts for the maintenance and repair of all water transmission and distribution lines. The water lines in the system range in size between ¾ inch and 16 inches in diameter.

Additionally, you should maintain spare motor controls, pump ends, and motors for all wells and booster stations. Water system personnel can repair the entire range of water lines without assistance from outside contractors. Stand-by warehouse personnel should be available twenty four hours per day to assist in the delivery of spare parts in instances requiring emergency repair.

Preventative maintenance can extend the life of any water pipeline. Pipes can deteriorate on the inside as a result of corrosion and on the outside as a result of aggressive soil and moisture. The Water Department should maintain an intense leak detection program to effectively reduce operating costs and provide revenue savings by reducing lost and unaccounted for water. Leaks can originate in joints and fittings or any corroded portion of a pipeline.

Additionally, leaks will undermine the pavement and water soak the area around the leaking section of pipeline. When leaks are discovered, they should be repaired within twenty-four hours after properly locating all underground utilities through the Underground Service Alert or "**Blue Stake**" procedure.

## Distribution Key Words

**Solidification/Stabilization:** Solidification/stabilization (**S/S**) techniques are akin to locking the contaminants in the soil. It is a process that physically encapsulates the contaminant. This technique can be used alone or combined with other treatment and disposal methods. The most common form of S/S is a cement process. It simply involves the addition of cement or a cement-based mixture, which thereby limits the solubility or mobility of the waste constituents. These techniques are accomplished either in-situ, by injecting a cement based agent into the contaminated materials or ex situ, by excavating the materials, machine-mixing them with a cement-based agent, and depositing the solidified mass in a designated area. The goal of the S/S process is to limit the spread, via leaching of contaminated material. The end product resulting from the solidification process is a monolithic block of waste with high structural integrity. Types of solidifying/stabilizing agents include the following: Portland; gypsum; modified sulfur cement, consisting of elemental sulfur and hydrocarbon polymers; and grout, consisting of cement and other dry materials, such as acceptable fly ash or blast furnace slag. Processes utilizing modified sulfur cement are typically performed ex situ.

**Semi-volatile organic compounds:** Semi-volatile organic compounds (**SVOCs**) are compounds with higher vapor pressures than VOCs and therefore are released as gas much more slowly from materials. They are as likely to be transferred to humans by contact or by attaching to dust and being ingested.

Whereas VOCs tend to be emitted rapidly in the first few hours or days after installation of a product then taper off over time, SVOCs will be released by products more slowly and over a longer period of time.

**Toxicity Characteristic Leaching:** Toxicity characteristic leaching procedure (**TCLP**) is a soil sample extraction method for chemical analysis. An analytical method to simulate leaching through a landfill. The leachate is analyzed for appropriate substances.

TCLP comprises four fundamental procedures:

- Sample preparation for leaching
- Sample leaching
- Preparation of leachate for analysis
- Leachate analysis

The TCLP procedure is generally useful for classifying waste material for disposal options. Extremely contaminated material is expensive to dispose. Grading is required to ensure safe disposal and to avoid paying for disposal of 'clean fill'. The main problem is that the TCLP test is based on the assumption that the waste material will be buried in landfill along with organic material.

Organic matter is not really buried with other waste anymore (composting usually applies) and other leachate techniques may be more appropriate. The pH of the sample material is first established, and then leached with an acetic acid / sodium hydroxide solution at a 1:20 mix of sample to solvent. The leachate solution is sealed in extraction vessel for general analytes, or possibly pressure sealed as in zero-headspace extractions (ZHE) for volatile organic compounds and tumbled for 18 hours to simulate an extended leaching time in the ground.

## Types of Pipes Used in the Distribution Field

Several types of pipe are used in water distribution systems, but only the most common types used by operators will be discussed. These piping materials include copper, plastic, galvanized steel, and cast iron. Some of the main characteristics of pipes made from these materials are presented below.

### Plastic Pipe (PVC)

Plastic pipe has seen extensive use in current construction. Available in different lengths and sizes, it is lighter than steel or copper and requires no special tools to install. Plastic pipe has several advantages over metal pipe. It is flexible, it has superior resistance to rupture from freezing, it has complete resistance to corrosion and, in addition, it can be installed above ground or below ground.

One of the most versatile plastic and polyvinyl resin pipes is the polyvinyl chloride (**PVC**). PVC pipes are made of tough, strong thermoplastic material that has an excellent combination of physical and chemical properties. Its chemical resistance and design strength make it an excellent material for application in various mechanical systems.

Sometimes polyvinyl chloride is further chlorinated to obtain a stiffer design, a higher level of impact resistance, and a greater resistance to extremes of temperature. A CPVC pipe (a chlorinated blend of PVC) can be used not only in cold-water systems, but also in hot-water systems with temperatures up to 210°F. Economy and ease of installation make plastic pipe popular for use in either water distribution and supply systems or sewer drainage systems.



Various types and sizes of coupons or tap cut-outs. You will want to date and collect these cut-outs to determine the condition of the pipe or measure the corrosion.

### Plastic Pipe (PVC)

This is currently the most common type of pipe used in distribution systems. It is available in diameters of 1/2" and larger, and in lengths of 10', 20', and 40'. A main advantage is its light weight, allowing for easy installation. A disadvantage is its inability to withstand shock loads. Since it is non-metallic, a tracer wire must be installed with the PVC water main so that it can be located after burial.

The National Sanitation Foundation (**NSF**) currently lists most brands of PVC pipe as being acceptable for potable water use. This information should be stamped on the outside of the pipe, along with working pressure and temperature, diameter and pipe manufacturer. PVC pipe will have the highest C Factor of all the above pipes. The higher the C factor, the smoother the pipe.

### **Cast Iron (CIP)**

This is another type of piping material that has been in use for a long time. It is found in diameters from 3" to 48". Advantages of this material are its long life, durability and ability to withstand working pressures up to 350 psi. Disadvantages include the fact that it is heavy, difficult to install and does not withstand shock loading. Although it is not currently the material of choice, there is still a lot of it in the ground.

### **Ductile Iron Pipe (DIP)**

This was developed to overcome the breakage problems associated with cast iron pipe. It can be purchased in 4" to 45" diameters and lengths of 18' to 20'. Its main advantage is that it is nearly indestructible by internal or external pressures. It is manufactured by injecting magnesium into molten cast iron. It is sometimes protected from highly corrosive soils by wrapping the pipe in plastic sheeting prior to installation. This practice can greatly extend the life of this type of pipe.

### **Steel Pipe**

This pipe is often used in water treatment plants and pump stations. It is available in various diameters and in 20' or 21' lengths. Its main advantage is the ability to form it into a variety of shapes. It also exhibits good yielding and shock resistance. It has a smooth interior surface and can withstand pressures up to 250 psi. A disadvantage is that it is easily corroded by both soil and water.

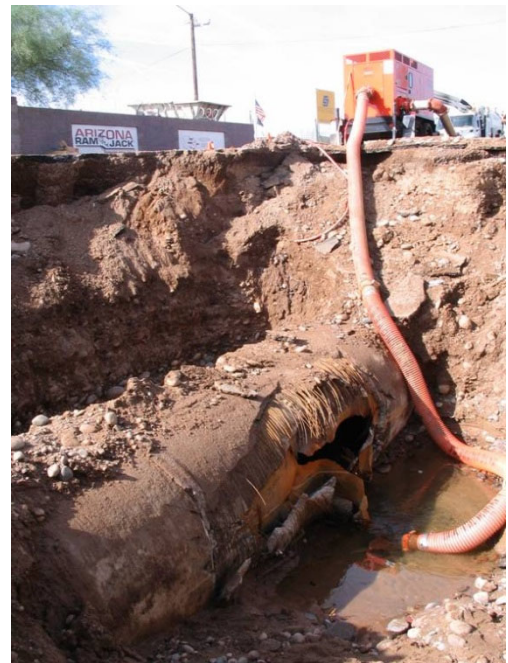
To reduce corrosion problems, steel pipe is usually galvanized or dipped in coal-tar enamel and wrapped with coal-tar impregnated felt.

At present, however, coal-tar products are undergoing scrutiny from a health standpoint and it is recommended that the appropriate regulatory agencies be contacted prior to use of this material.

### **Asbestos Cement Pipe (ACP)**

This pipe is manufactured from Portland cement, long fibrous asbestos and silica. It is available in diameters from 3" to 36" and in 13' lengths. Its main advantages are its ability to withstand corrosion and its excellent hydraulic flow characteristics due to its smoothness.

A major disadvantage is that it is brittle and is easily broken during construction or by shock loading. There is some concern regarding the possible release of asbestos fibers in corrosive water and there has been much debate over the health effects of ingested asbestos.



Of greater certainty, however, is the danger posed by inhalation of asbestos fibers. Asbestos is considered a hazardous material, and precautionary measures must be taken to protect water utility workers when cutting, tapping or otherwise handling this type of pipe.

### Galvanized Pipe

Galvanized pipe is commonly used for the water distributing pipes inside a building to supply hot and cold water to the fixtures. This type of pipe is manufactured in 21-ft lengths. It is

**Galvanized** (coated with zinc) both inside and outside at the factory to resist corrosion. Pipe sizes are based on nominal **INSIDE** diameters. Inside diameters vary with the thickness of the pipe. Outside diameters remain constant so that pipe can be threaded for standard fittings.



### Copper

Copper is one of the most widely used materials for tubing. This is because it does not rust and is highly resistant to any accumulation of scale particles in the pipe. This tubing is available in four different types: **K, L, and M for water and DWV for sewer applications.**

K has the thickest walls, and M, the thinnest walls, with L's thickness in between the other two. The thin walls of copper tubing are soldered to copper fittings. Soldering allows all the tubing and fittings to be set in place before the joints are finished. Generally, the result will be faster installation.

Type K copper tubing is available as either rigid (hard temper) or flexible (soft temper) and is primarily used for underground service in the water distribution systems.

Soft temper tubing is available in 40- or 60-ft coils, while hard temper tubing comes in 12- and 20-ft straight lengths. Type L copper tubing is also available in either hard or soft temper and either in coils or in straight lengths. The soft temper tubing is often used as replacement plumbing because of the tube's flexibility, which allows easier installation.

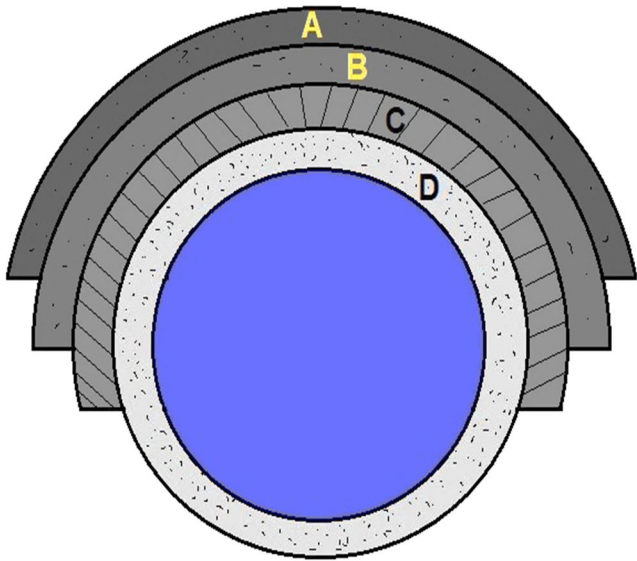
Type L copper tubing is widely used in water distribution systems.

Type M copper tubing is made in hard temper only and is available in straight lengths of 12 and 20 ft. It has a thin wall and is used for branch supplies where water pressure is low, but it is **NOT** used for mains and risers. It is also used for chilled water systems, for exposed lines in hot-water heating systems, and for drainage piping.

Notice that the pipe has been illegally cut with a power saw blade. Please check with OSHA on details on handling this common water pipe. ACP will not corrode like metal pipe but will become slow and stained by iron over time. It is easily cracked by heavy loads, but easily repaired with a clamp.

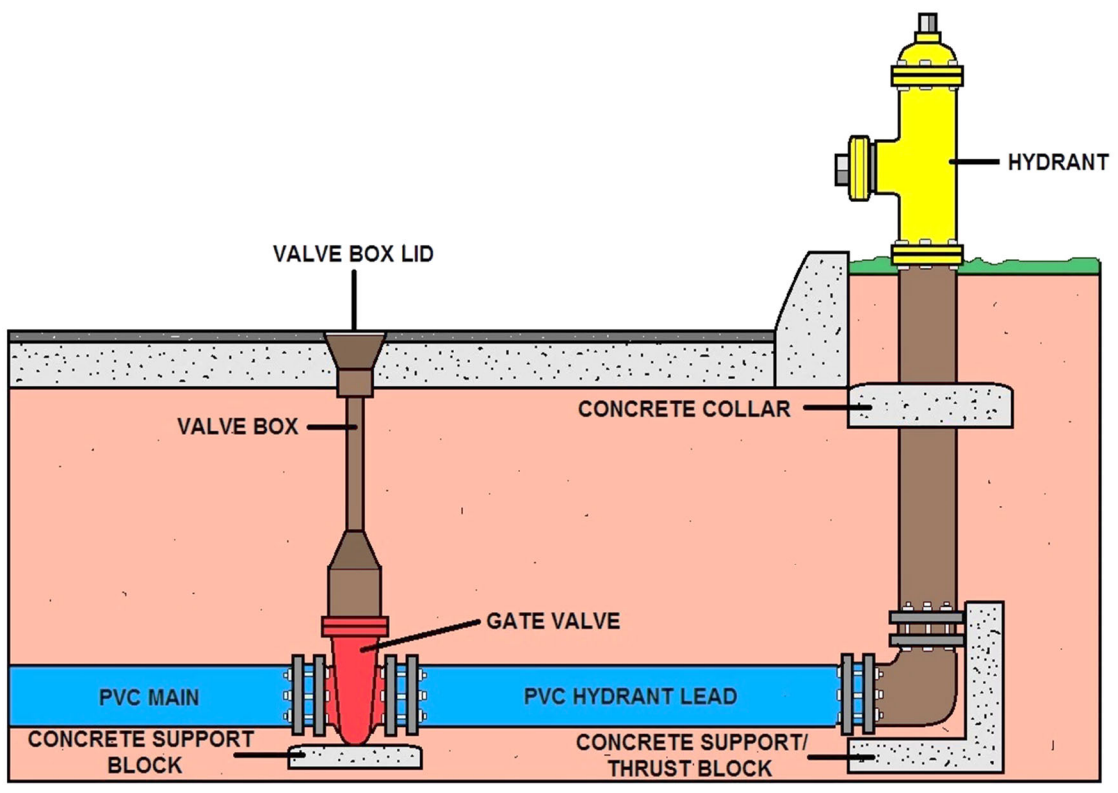


ACP Pipe with illegal power saw cut marks.



- A** - BITUMINOUS COATING
- B** - ZINC COATING
- C** - DUCTILE IRON PIPE
- D** - CEMENT MORTAR LINING

### DUCTILE PIPE DETAIL

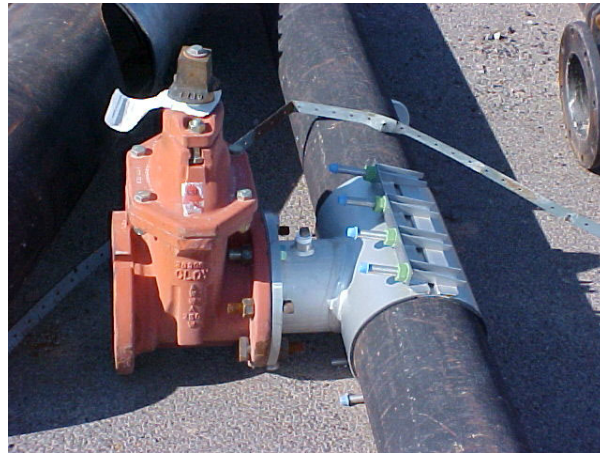


### PROPER FIRE HYDRANT AND ISOLATING GATE VALVE INSTALLATION

## Joints and Fittings

Fittings vary according to the type of piping material used. The major types commonly used in water service include elbows, tees, unions, couplings, caps, plugs, nipples, reducers, and adapters.

Besides bell-and-spigot joints, cast-iron water pipes and fittings are made with either flanged, mechanical, or screwed joints. The screwed joints are used only on small-diameter pipe.



Tapping Sleeve

A Gate Valve is used to isolate sections of water mains. Not to be used to throttle or regulate the flow. A Globe valve should be used to regulate the flow. Be sure to chlorinate or disinfect all distribution parts such as valves and piping!

### Caps

A pipe cap is a fitting with a female (inside) thread. It is used like a plug, except that the pipe cap screws on the male thread of a pipe or nipple.

### Couplings

The three common types of couplings are straight coupling, reducer, and eccentric reducer. The **STRAIGHT COUPLING** is for joining two lengths of pipe in a straight run that do not require additional fittings. A run is that portion of a pipe or fitting continuing in a straight line in the direction of flow.

A **REDUCER** is used to join two pipes of different sizes. The **ECCENTRIC REDUCER** (also called a **BELL REDUCER**) has two female (inside) threads of different sizes with centers so designed that when they are joined, the two pieces of pipe will not be in line with each other, but they can be installed to provide optimum drainage of the line.



### Elbows (or ELLS) 90° and 45°

These fittings (fig. 8-5, close to middle of figure) are used to change the direction of the pipe either 90 or 45 degrees. **REGULAR** elbows have female threads at both outlets. **STREET** elbows change the direction of a pipe in a closed space where it would be impossible or impractical to use an elbow and nipple.



Both 45 and 90-degree street elbows are available with one female and one male threaded end. The **REDUCING** elbow is similar to the 90-degree elbow except that one opening is smaller than the other is.

### Nipples

A nipple is a short length of pipe (12 in. or less) with a male thread on each end. It is used for extension from a fitting. At times, you may use the **DIELECTRIC** or **INSULATING TYPE** of fittings. These fittings connect underground tanks or hot-water tanks. They are also used with pipes of dissimilar metals. These help slow down corrosion that starts inside the pipe and works to the outside of the pipe.

Do not heat or solder dielectric fittings. You may melt the plastic coating on them.

Zinc is a coating on the outside and inside of pipes to slow corrosion. This process is called "**Galvanization**".

### Tees

A tee is used for connecting pipes of different diameters or for changing the direction of pipe runs. A common type of pipe tee is the **STRAIGHT** tee, which has a straight-through portion and a 90-degree takeoff on one side.



Notice the type of pipe connection device.  
Known as a "Restraining Flange".

All three openings of the straight tee are of the same size. Another common type is the **REDUCING** tee, similar to the straight tee just described, except that one of the threaded openings is of a different size than the other.



## Water Main Installation

Installation of new or replacement pipe sections should be in accordance with good construction practices. The line must be buried a minimum of 30" below the ground surface to prevent freezing. The line must be bedded and backfilled properly, ensuring protection from weather and surface loadings.

In addition, thrust blocking (*Kickers*) at all bends, tees, and valves is essential to hold the pipe in place and prevent separation of line sections. Thrust blocking is not necessary if the pipe is welded.

Disinfection of new installations or repaired sections is required prior to placing them in service. This can be accomplished by filling the line with a 25 mg/l free chlorine solution and allowing it to stand for 24 hours. Valves and fittings used in the waterworks industry are made of cast iron, steel, brass, stainless and fiberglass.

Enough gate valves should be placed throughout the system to enable problem areas (leaks, etc.) to be isolated and repaired with minimal service disruption. Air relief valves should be installed at highpoints in the system. Valves should be installed with valve boxes and covers.



Regardless of the type of pipe installed, certain maintenance routines should be performed on the distribution system to maintain water quality and optimal service. These programs should be scheduled and performed on a regular basis.

Flushing at blowoffs on dead end lines and at fire hydrants throughout the system should be done at least twice per year. Flushing is needed to remove stagnant water in dead ends and to remove accumulated sediment that results from turbidity, iron, manganese, etc.

This should also help minimize customer complaints of water quality. Flushing should always be done from the source to the ends of the system. Affected customers should be notified of this process in advance. To do an adequate job of flushing, the flow should reach a velocity of at least 2.5 feet per second, known as the "*minimum cleansing velocity*" of the system (at hydrant locations).

These tests are important to determine the adequacy of the distribution system in transmitting water, particularly during days of peak demand. Also, these tests can help determine if pipe capacity is decreasing over time due to internal corrosion or deposits.

Pressure tests should be done at various locations in the distribution system several times per year. This helps to monitor the performance of the system and alert the operator to problems such as leaks or internal deposits. It is sometimes advantageous to have certain points in the system continuously monitored to provide a constant evaluation of the system.

# Troubleshooting Table for Distribution System

## Problem

1. Dirty water complaints
2. Red water complaints
3. No or low water pressure
4. Excessive water usage.

## Possible Causes

- 1A. Localized accumulations of debris, solids/particulates in distribution mains.
- 1B. Cross connection between water system and another system carrying non-potable water.
- 2A. Iron content of water from source is high. Iron precipitates in mains and accumulates.
- 2B. Cast iron, ductile iron, or steel mains are corroding causing “rust” in the water.
- 3A. Source of supply, storage or pumping station interrupted.
- 3B. System cannot supply demands.
- 3C. Service line, meter, or connections shutoff, or clogged with debris.
- 3D. Broken or leaking distribution pipes.
- 3E. Valve in system closed or broken.
- 4A. More connections have been added to the system.
- 4B. Excessive leakage (>15% of production) is occurring, meters are not installed or not registering properly.
- 4C. Illegal connections have been made.

## Possible Solutions

- 1A. Collect and preserve samples for analysis if needed. Isolate affected part of main and flush.
- 1B. Collect and preserve samples for analysis if needed. Conduct survey of system for cross connections. Contact State Drinking Water Agency.
- 2A. Collect and test water samples from water source and location of complaints for iron. If high at both sites, contact regulatory agency, TA provider, consulting engineer or water conditioning company for assistance with iron removal treatment.
- 2B. Collect and analyze samples for iron and corrosion parameters. Contact State Drinking Water Agency , TA provider, consulting engineer or water conditioning company for assistance with corrosion control treatment.
- 3A. Check source, storage and pumping stations. Correct or repair as needed.
- 3B. Check to see if demands are unusually high. If so, try to reduce demand. Contact State Drinking Water Agency, TA provider or consulting engineer.
- 3C. Investigate and open or unclog service.
- 3D. Locate and repair break or leak.
- 3E. Check and open closed isolation and pressure-reducing valves. Repair or contact contractor if valves are broken.
- 4A. Compare increase in usage over time with new connections added over same period. If correlation evident take action to curtail demand or increase capacity if needed. Contact State Drinking Water Agency , TA provider or consulting engineer.
- 4B. Conduct a water audit to determine the cause. If leakage, contact regulatory agency, and consulting engineer or leak detection contractor.
- 4C. Conduct survey to identify connections.

## Water Storage Section

Water storage facilities and tanks vary in size, shape, and application. There are different types that are used in the water distribution systems, such as standpipes, elevated tanks and reservoirs, hydropneumatic tanks and surge tanks.

These tanks serve multiple purposes in the distribution system. Just the name alone can give you an idea of its purpose.

- **SURGE tanks**
- **RESERVOIRS**
- **ELEVATED tanks** *Water towers and Standpipes*

### Surge Tanks

What really causes water main breaks - **ENERGY** - when released in a confined space, such as a water distribution system. Shock waves are created when hydrants, valves, or pumps are opened and closed quickly, trapping the kinetic energy of moving water within the confined space of a piping system.

These shock waves can create a turbulence that travels at the speed of sound, seeking a point of release.

The release the surge usually finds is an elevated tank, but the surge doesn't always find this release quickly enough. Something has to give, often times, it's your pipe fittings.

Distribution operators are aware of this phenomenon! It's called **WATER HAMMER**.

This banging can be heard as water hammer.

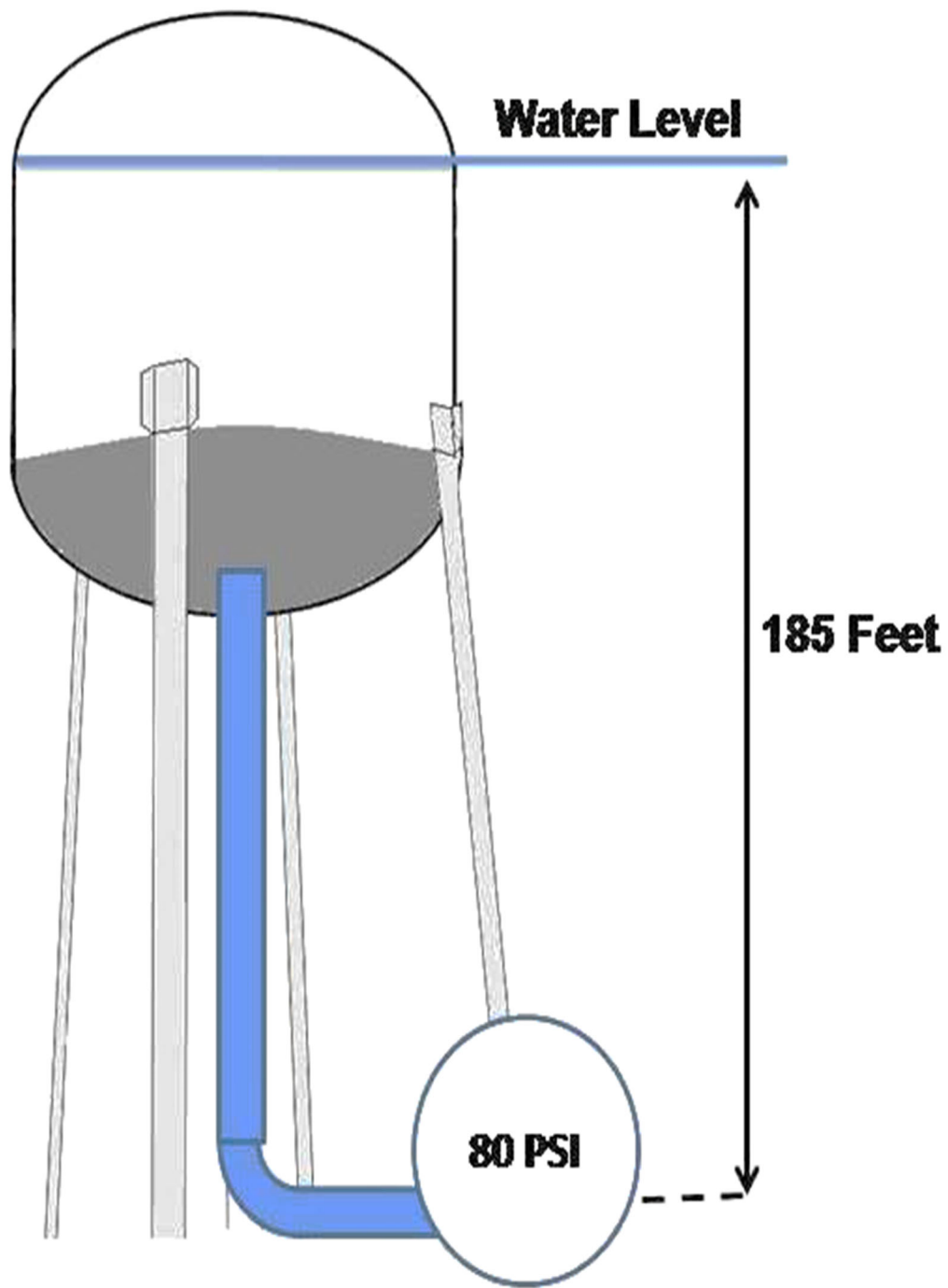
Try it at home - turn on your tap, then turn it off very quickly. You should hear a bang, and maybe even several. If you turn the tap off more slowly, it should stay quiet, as the liquid in the pipes slows down more gradually.

*A Surge tank should not be used for water storage.*

The goal of the water tower or standpipe is to store water high in the air, where it has lots of gravitational potential energy. This stored energy can be converted to pressure potential energy or kinetic energy for delivery to homes.

Since height is everything, building a cylindrical water tower is inefficient. Most of the water is then near the ground. By making the tower wider near the top, it puts most of its water high up.





## Backflow Cross-Connection Section



A Certified Backflow Tester (Jerry Durbin) examining a Double Check Detector check fire line assembly.

Notice the water meter in photo, it is to detect any un-authorized water usage used in the fire line.



## **Recent Backflow Situations**

### **Oregon 1993**

Water from a drainage pond, used for lawn irrigation, is pumped into the potable water supply of a housing development.

### **California 1994**

A defective backflow device in the water system of the County Courthouse apparently caused a sodium nitrate contamination that sent 19 people to the hospital.

### **New York 1994**

An 8-inch reduced pressure principle backflow assembly in the basement of a hospital discharged under backpressure conditions, dumping 100,000 gallons of water into the basement.

### **Nebraska 1994**

While working on a chiller unit of an air conditioning system at a nursing home, a hole in the coil apparently allowed Freon to enter the circulating water and from there into the city water system.

### **California 1994**

The blue tinted water in a pond at an amusement park backflowed into the city water system and caused colored water to flow from homeowners' faucets.

### **California 1994**

A film company shooting a commercial for television accidentally introduced a chemical into the potable water system.

### **Iowa 1994**

A backflow of water from the Capitol Building chilled water system contaminated potable water with Freon.

### **Indiana 1994**

A water main break caused a drop in water pressure allowing anti-freeze from an air conditioning unit to backsiphon into the potable water supply.

### **Washington 1994**

An Ethylene Glycol cooling system was illegally connected to the domestic water supply at a veterinarian hospital.

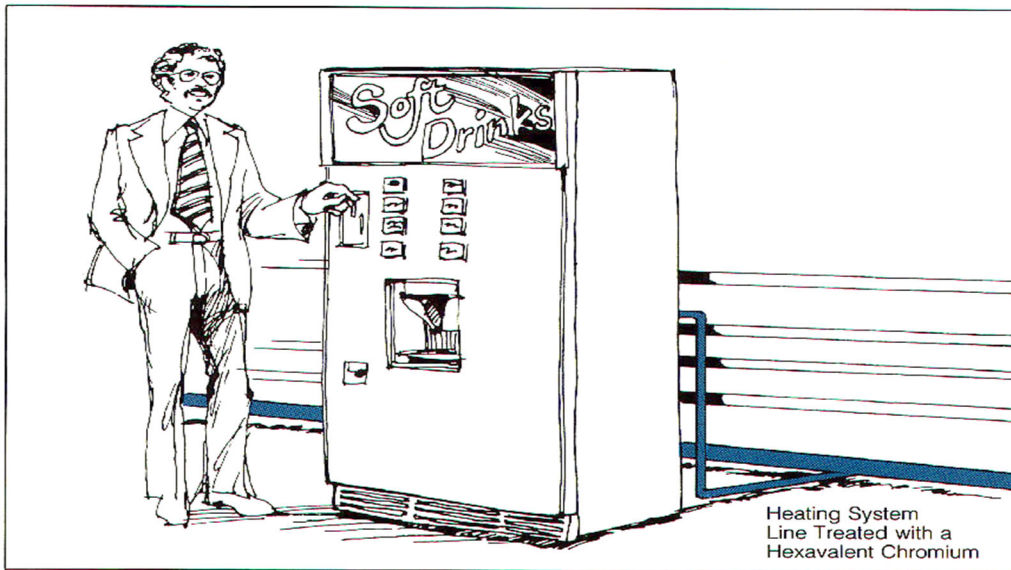
### **Ohio 1994**

An ice machine connected to a sewer sickened dozens of people attending a convention.

## Cross-Connection Terms

### Cross-Connection

A cross-connection is any temporary or permanent connection between a public water system or consumer's potable (i.e., drinking) water system and any source or system containing nonpotable water or other substances. An example is the piping between a public water system or consumer's potable water system and an auxiliary water system, cooling system, or irrigation system.



**Contaminant:** Any natural or man-made physical, chemical, biological, or radiological substance or matter in water, which is at a level that may have an adverse effect on public health, and which is known or anticipated to occur in public water systems.

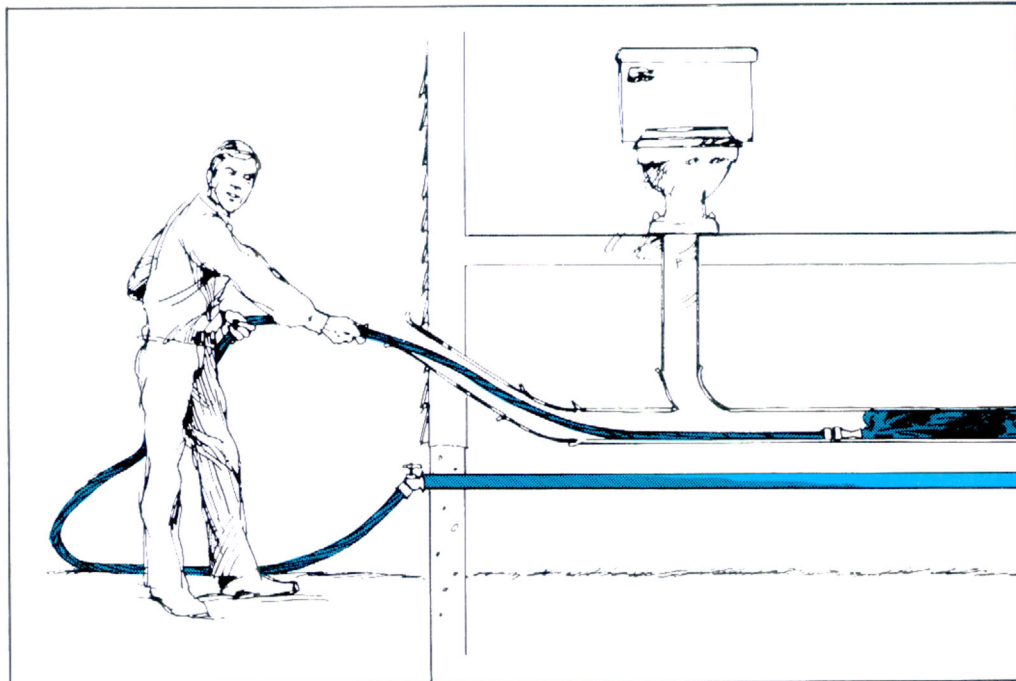
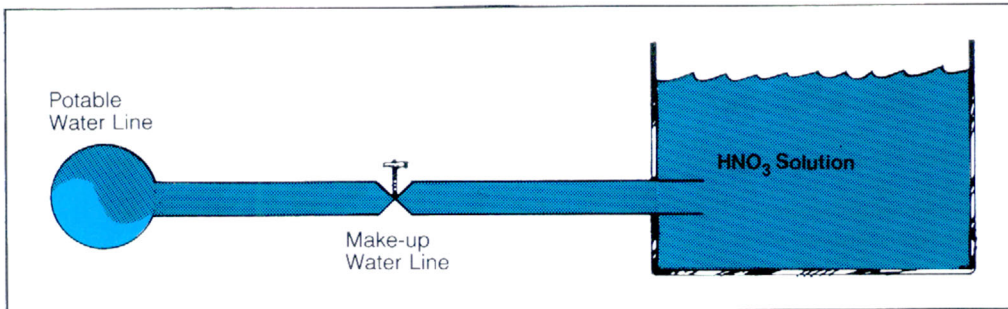
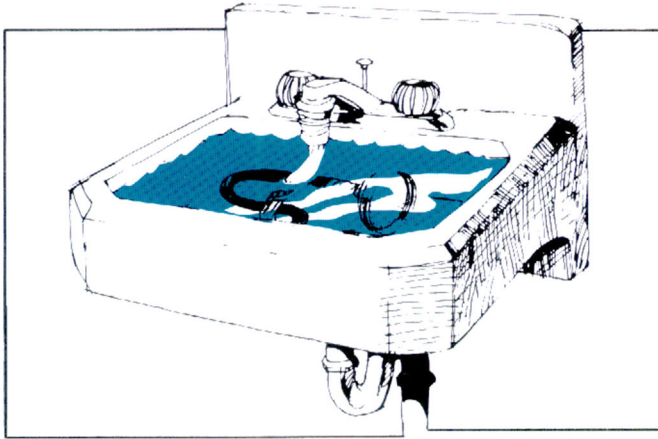
**Contamination:** To make something bad; to pollute or infect something. To reduce the quality of the potable (drinking) water and create an actual hazard to the water supply by poisoning or through spread of diseases.

**Corrosion:** The removal of metal from copper, other metal surfaces and concrete surfaces in a destructive manner. Corrosion is caused by improperly balanced water or excessive water velocity through piping or heat exchangers.

**Cross-Connection Failure:** Could be the source of an organic substance causing taste and odor problems in a water distribution system.

**Cross-Connection:** A physical connection between a public water system and any source of water or other substance that may lead to contamination of the water provided by the public water system through backflow. The mixing of two unlike qualities of water; for example, the mixing of good water with a polluting substance like a chemical.

## Common Cross-Connections

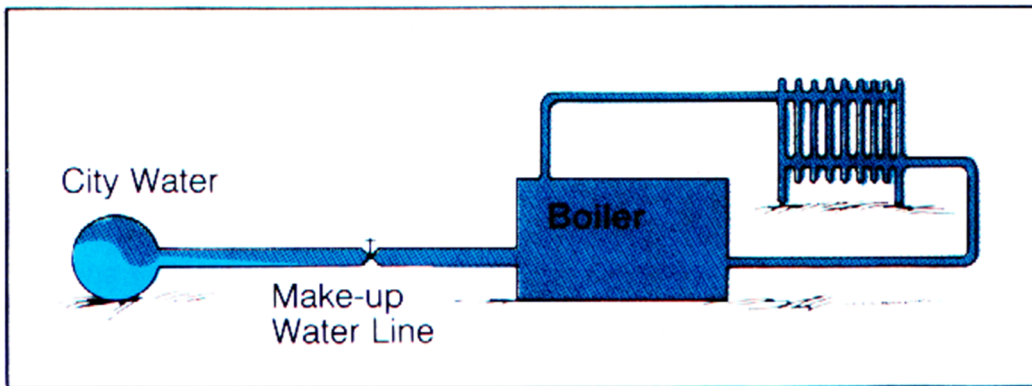




## Backflow

Backflow is the undesirable reversal of flow of nonpotable water or other substances through a cross-connection and into the piping of a public water system or consumer's potable water system. There are two types of backflow--**backpressure** and **backsiphonage**.

### Backsiphonage

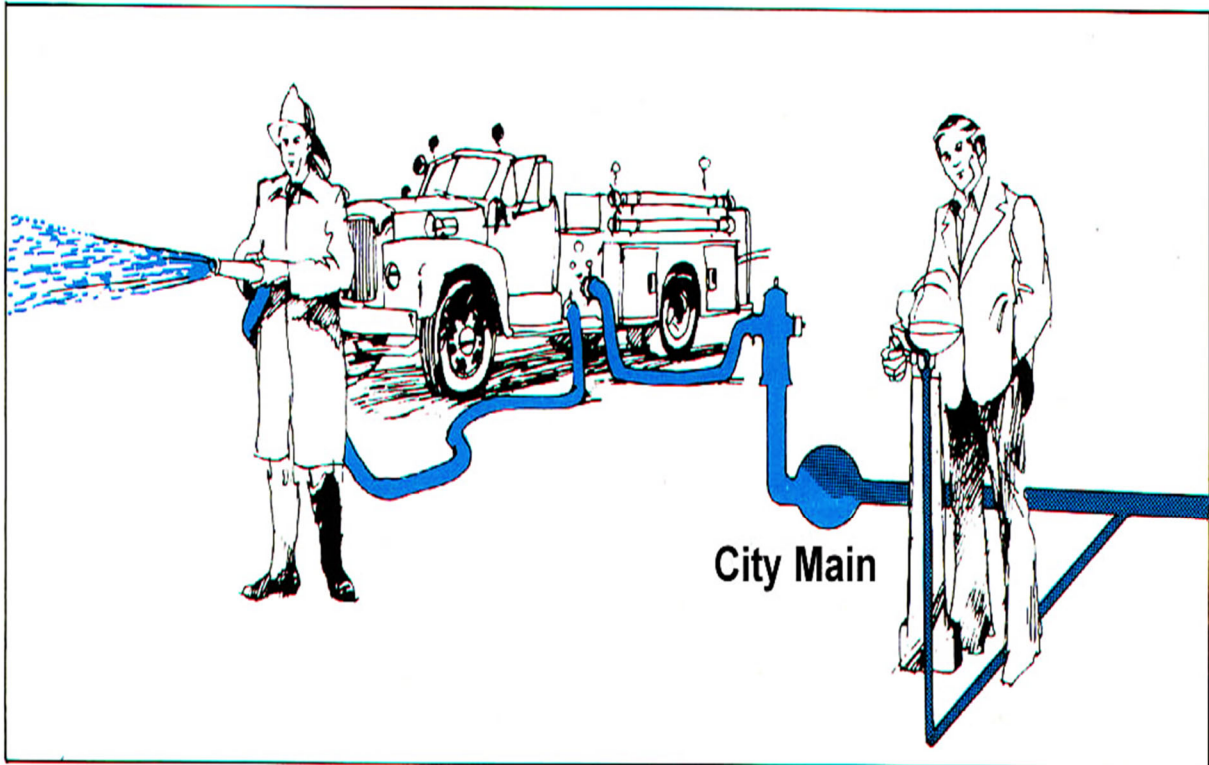


Backpressure

## Backsiphonage

Backsiphonage is backflow caused by a negative pressure (i.e., a vacuum or partial vacuum) in a public water system or consumer's potable water system. The effect is similar to drinking water through a straw.

Backsiphonage can occur when there is a stoppage of water supply due to nearby firefighting, a break in a water main, etc.



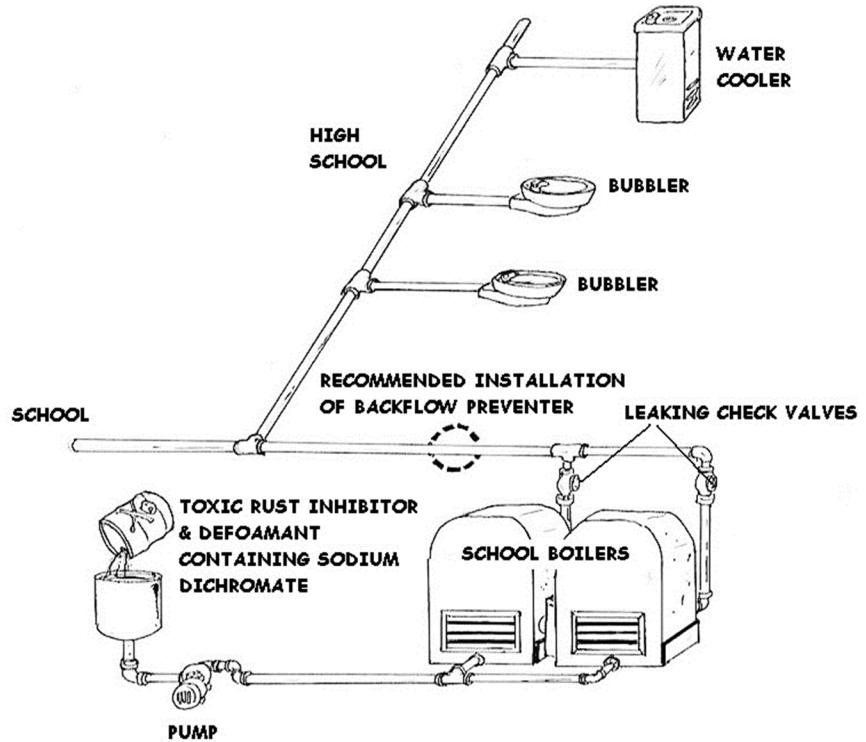
Cooling Tower - A common location for finding a cross-connection.

## Backpressure

Backpressure backflow is backflow caused by a downstream pressure that is greater than the upstream or supply pressure in a public water system or consumer's potable water system.

Backpressure (i.e., downstream pressure that is greater than the potable water supply pressure) can result from an increase in downstream pressure, a reduction in the potable water supply pressure, or a combination of both. Increases in downstream pressure can be created by pumps, temperature increases in boilers, etc.

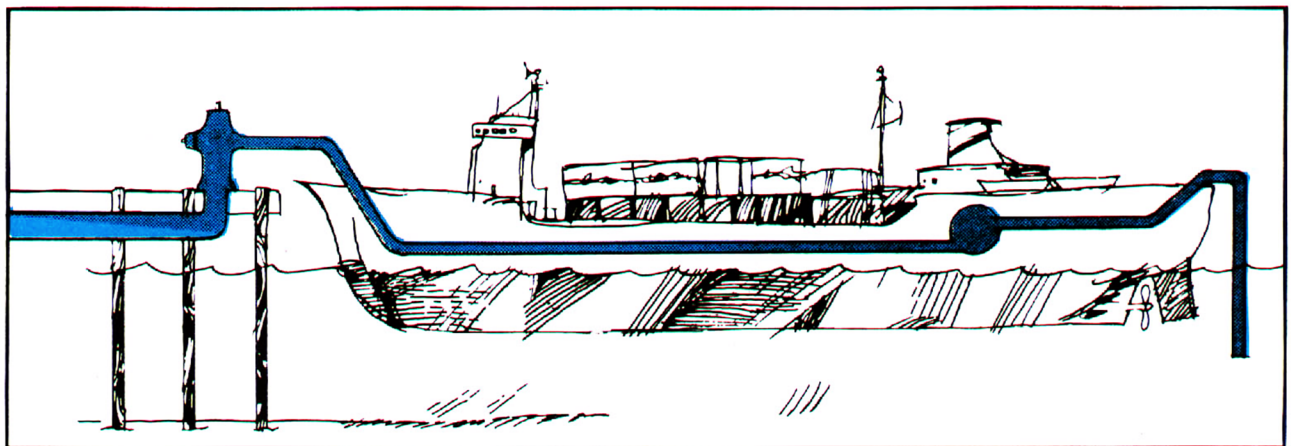
Reductions in potable water supply pressure occur whenever the amount of water being used exceeds the amount of water being supplied, such as during water line flushing, firefighting, or breaks in water mains.



## Backpressure

### Example:

Booster Pumps,  
Pressure Vessels,  
Boilers



## Backflow and Cross-Connection Review Statements

**Backflow Condition:** A continuous positive pressure in a distribution system is essential for preventing what event?

**Backflow or Cross-Connection Failure:** What might be the source of an organic substance causing taste and odor problems in a water distribution system?

**Backflow Prevention:** To stop or prevent the occurrence of, the unnatural act of reversing the normal direction of the flow of liquids, gases, or solid substances back in to the public potable (drinking) water supply. See Cross-connection control.

**Backflow:** Minimum water pressure must be maintained to ensure adequate customer service during peak flow periods. However, minimum positive pressure must be maintained in mains to protect against backflow or backsiphonage from cross-connections.

**Backflow:** Name the most common **CAUSE** for public water supply contamination. Backflow or cross-connection.

**Backflow:** To reverse the natural and normal directional flow of liquids, gases, or solid substances back in to the public potable (drinking) water supply. This is normally an undesirable effect.

**Backflow:** What does a backsiphonage condition usually cause? Reduced pressure or negative pressure on the service or supply side.

**Backflow:** What does a double check valve backflow assembly provide effective protection from? Both backpressure and backsiphonage of pollution only.

**Backflow:** What is equipment that utilizes water for cooling, lubrication, washing or as a solvent always susceptible to? A cross-connection.

**Backflow:** What is the definition of '**backflow**'? A reverse flow condition that causes water or mixtures of water and other liquids, gases, or substances to flow back into the distribution system.

**Backflow:** What is the difference between a reduced pressure principle backflow device and a double check backflow device? The RP has a relief valve.

**Backflow:** What is the maximum time period between having a backflow device tested by a certified backflow tester? 1 year.

**Backflow:** What must an operator ensure when installing a pressure vacuum breaker backflow device? It must be at least 12 inches above the highest downstream outlet.

## Backflow Prevention Methods and Assemblies

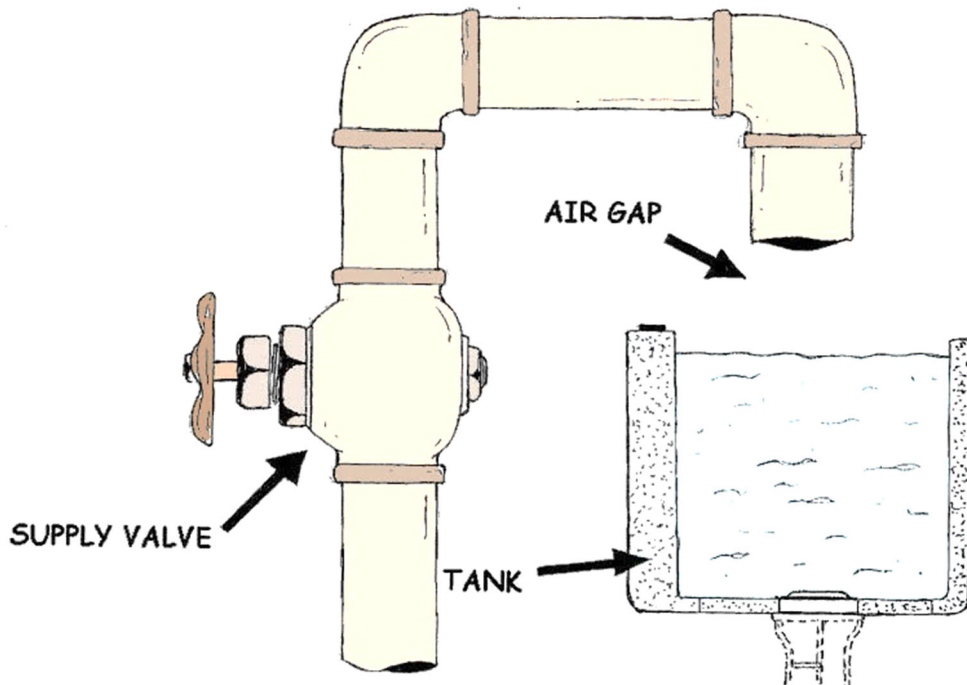
### Approved Air Gap Separation (AG)

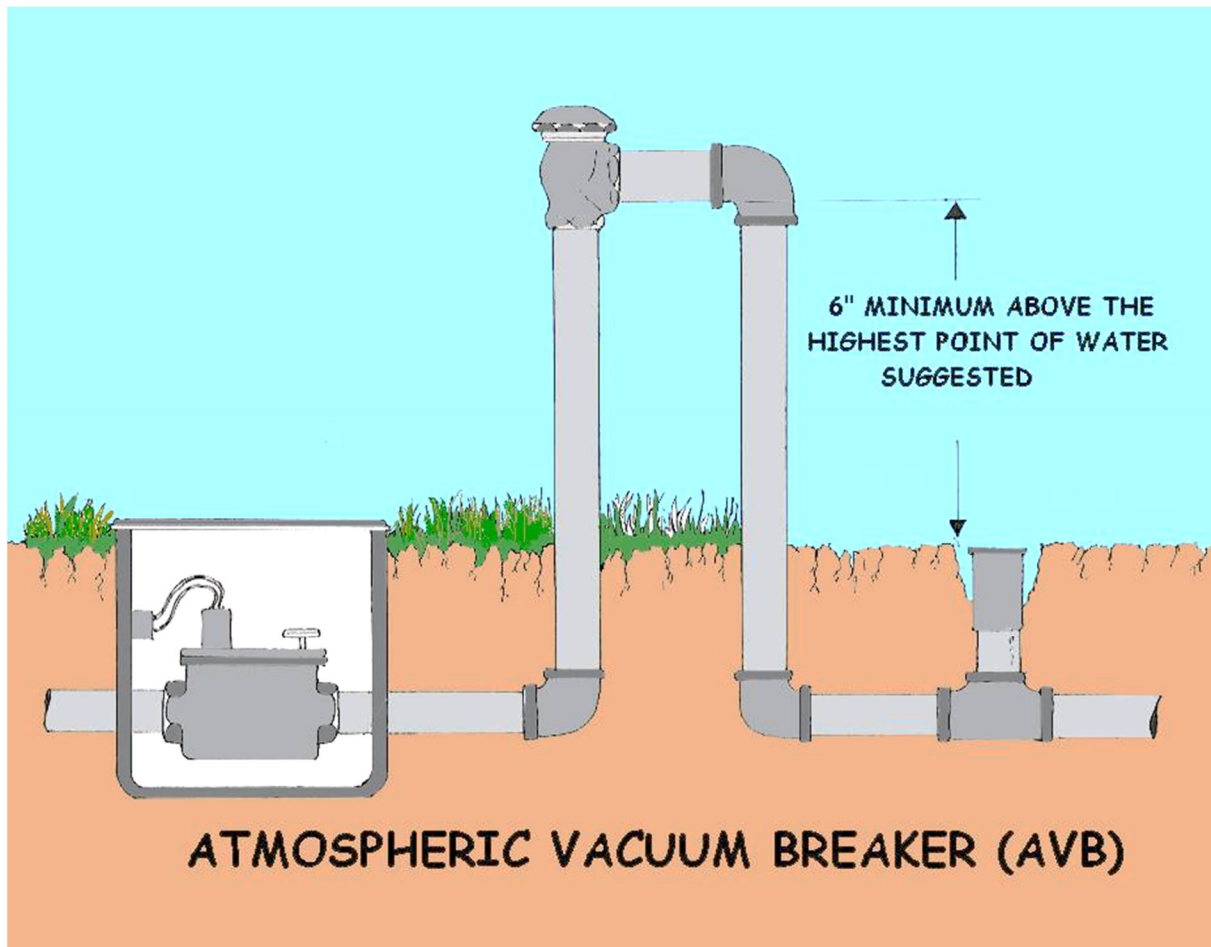
An approved air gap is a physical separation between the free flowing discharge end of a potable water supply pipeline, and the overflow rim of an open or non-pressure receiving vessel. These separations must be vertically orientated a distance of at least twice the inside diameter of the inlet pipe, but never less than one inch.

An obstruction around or near an air gap may restrict the flow of air into the outlet pipe and nullify the effectiveness of the air gap to prevent backsiphonage. When the air flow is restricted, such as in the case of an air gap located near a wall, the air gap separation must be increased. Also, within a building where the air pressure is artificially increased above atmospheric, such as a sports stadium with a flexible roof kept in place by air blowers, the air gap separation must be increased.

**Air Gap or Vacuum Breaker:** What should a potable water line be equipped with when connected to a chemical feeder for fluoride?

**Air Gap Separation:** A physical separation space that is present between the discharge vessel and the receiving vessel, for an example, a kitchen faucet.





## Atmospheric Vacuum Breaker (AVB)

The Atmospheric Vacuum Breaker contains a float check (poppet), a check seat, and an air inlet port. The device allows air to enter the water line when the line pressure is reduced to a gauge pressure of zero or below. The air inlet valve is not internally loaded.

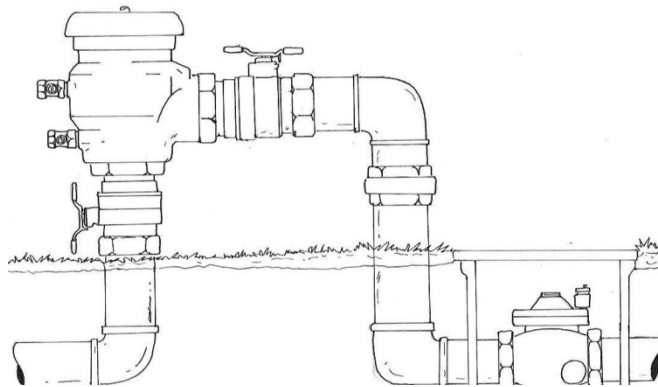
To prevent the air inlet from sticking closed, the device must not be installed on the pressure side of a shutoff valve, or wherever it may be under constant pressure more than 12 hours during a 24-hour period. Atmospheric vacuum breakers are designed only to prevent backflow caused by backsiphonage from low health hazards.

**Atmospheric Vacuum Breaker Uses:** Irrigation systems, commercial dishwasher and laundry equipment, chemical tanks and laboratory sinks (backsiphonage only, non-pressurized connections). (Note: hazard relates to the water purveyor's risk assessment; plumbing codes may allow AVB for high hazard fixture isolation).

## Pressure Vacuum Breaker Assembly (PVB)

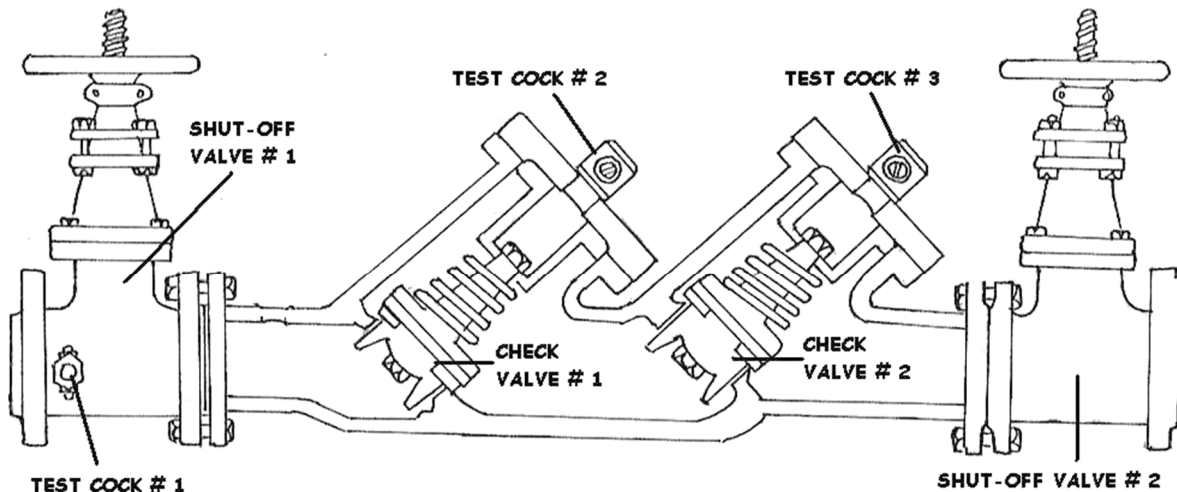
The Pressure Vacuum Breaker Assembly consists of a spring-loaded check valve, an independently operating air inlet valve, two resilient seated shutoff valves, and two properly located resilient seated test cocks. It shall be installed as a unit as shipped by the manufacturer. The air inlet valve is internally loaded to the open position, normally by means of a spring, allowing installation of the assembly on the pressure side of a shutoff valve.

PRESSURE VACUUM BREAKER ASSEMBLY



## Double Check Valve Assembly (DC)

The Double Check Valve Assembly consists of two internally loaded check valves, either spring loaded or internally weighted, two resilient seated full ported shutoff valves, and four properly located resilient seated test cocks. This assembly shall be installed as a unit as shipped by the manufacturer. The double check valve assembly is designed to prevent backflow caused by backpressure and backsiphonage from low health hazards.



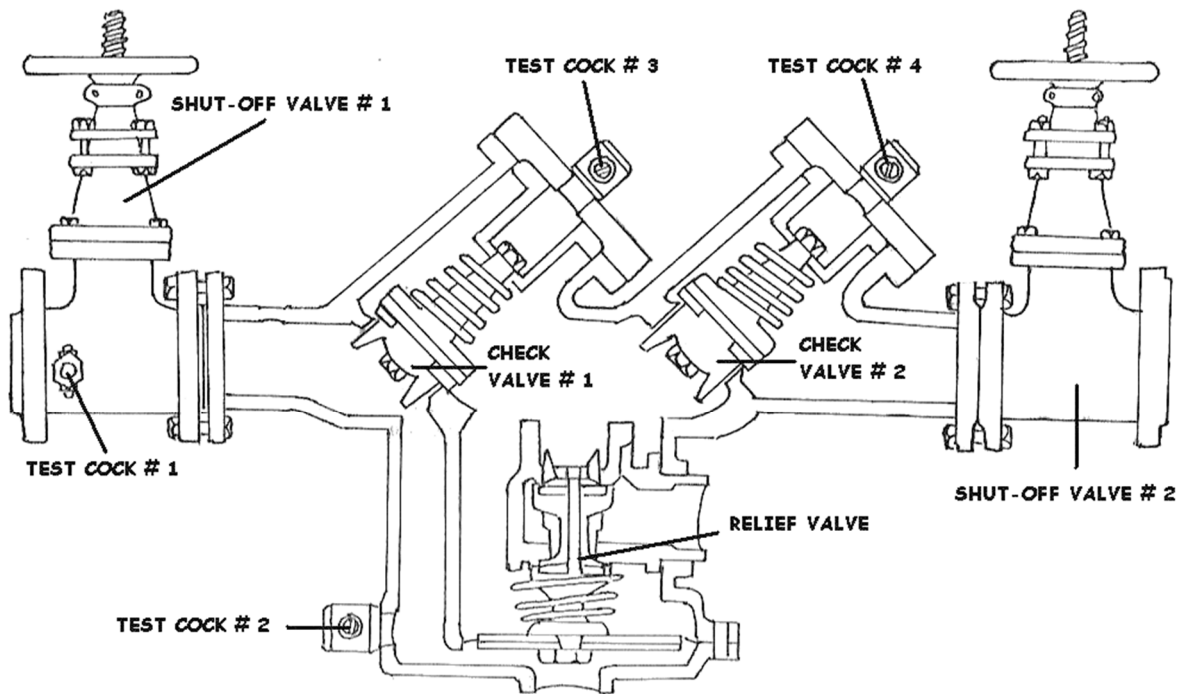
DOUBLE-CHECK BACKFLOW ASSEMBLY

## Reduced Pressure Backflow Assembly (RP)

The reduced pressure backflow assembly consists of two independently acting spring loaded check valves separated by a spring loaded differential pressure relief valve, two resilient seated full ported shutoff valves, and four properly located resilient seated test cocks. This assembly shall be installed as a unit shipped by the manufacturer.

During normal operation, the pressure between the two check valves, referred to as the zone of reduced pressure, is maintained at a lower pressure than the supply pressure. If either check valve leaks, the differential pressure relief valve maintains a differential pressure of at least two (2) psi between the supply pressure, and the zone between the two check valves, by discharging water to atmosphere. The reduced pressure backflow assembly is designed to prevent backflow caused by backpressure and backsiphonage from low to high health hazards.

### REDUCED-PRESSURE BACKFLOW ASSEMBLY



Various examples of RPs and these are easy to find around most water treatment facilities. Incredibly, these devices can be found installed incorrectly, or even installed backwards with the guts removed.



## Why do Backflow Preventers Have to be Tested Periodically?

Mechanical backflow preventers have internal seals, springs, and moving parts that are subject to fouling, wear, or fatigue. In addition, mechanical backflow preventers and air gaps can be bypassed. Therefore, all backflow preventers have to be tested periodically to ensure that they are functioning properly. A visual check of air gaps is sufficient, but mechanical backflow preventers have to be tested with properly calibrated gauge equipment.

Backflow prevention devices must be tested annually to ensure that they work properly. It is usually the responsibility of the property owner to have this test done and to make sure that a copy of the test report is sent to the Public Works Department or Water Purveyor.

If a device is not tested annually, Public Works or the Water Purveyor will normally notify the property owner, asking them to comply. If the property owner does not voluntarily test their device, the Water provider may be forced to turn off water service to that property. State law may require the Water provider to discontinue water service until testing is complete.

### Troubleshooting Table for Cross Connection Problem

1. Sudsy or soapy water.
3. Positive Coliform.
3. Coloring in the water (unusual colors such as bright blue).
4. Organic odors.

### Possible Causes

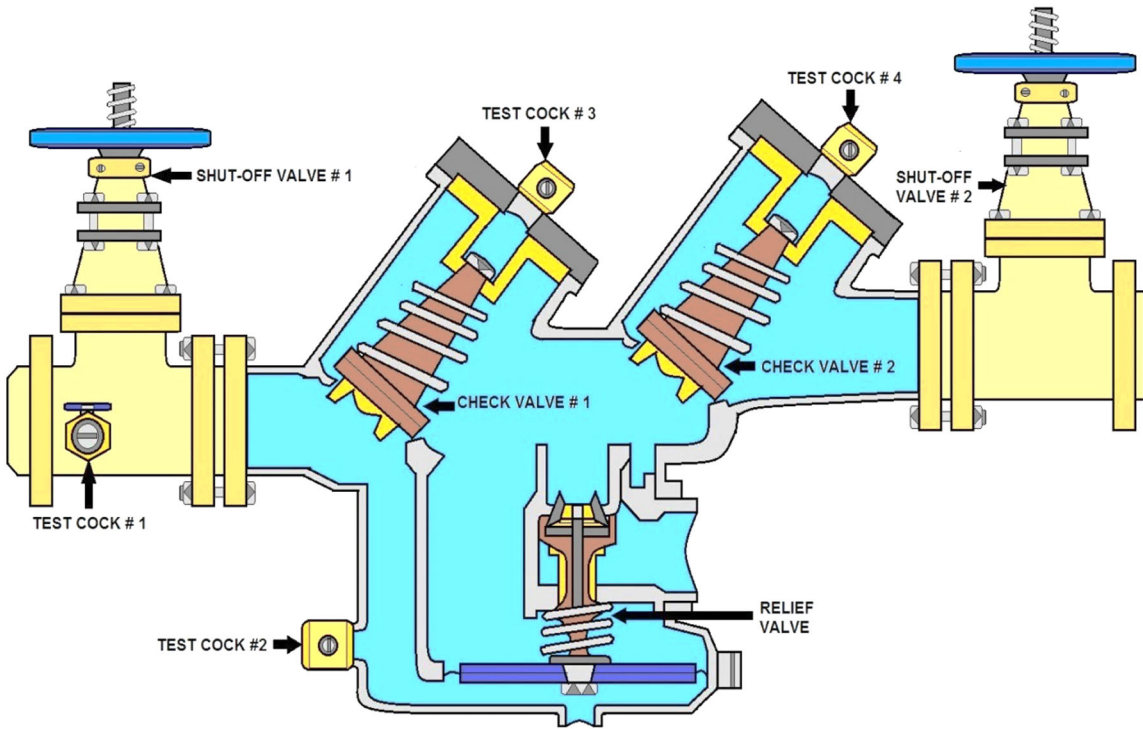
- 1A. Hose connected to an unprotected hose bib with the other end in a bucket or sink of soapy water.
- 2A. Hose connected to an unprotected hose bib with the other end lying on the floor of the pump house, on the ground in the car wash area, in the wading or swimming pool or other nonpotable liquid.
- 2B. Unprotected potable water line feeding a lawn irrigation system.
- 2C. Submerged inlet, e.g. faucet submerged.
- 3A. Backflow from toilet.
- 4A. Handheld pesticide/herbicide applicator attached to unprotected hose.

### Possible Solutions

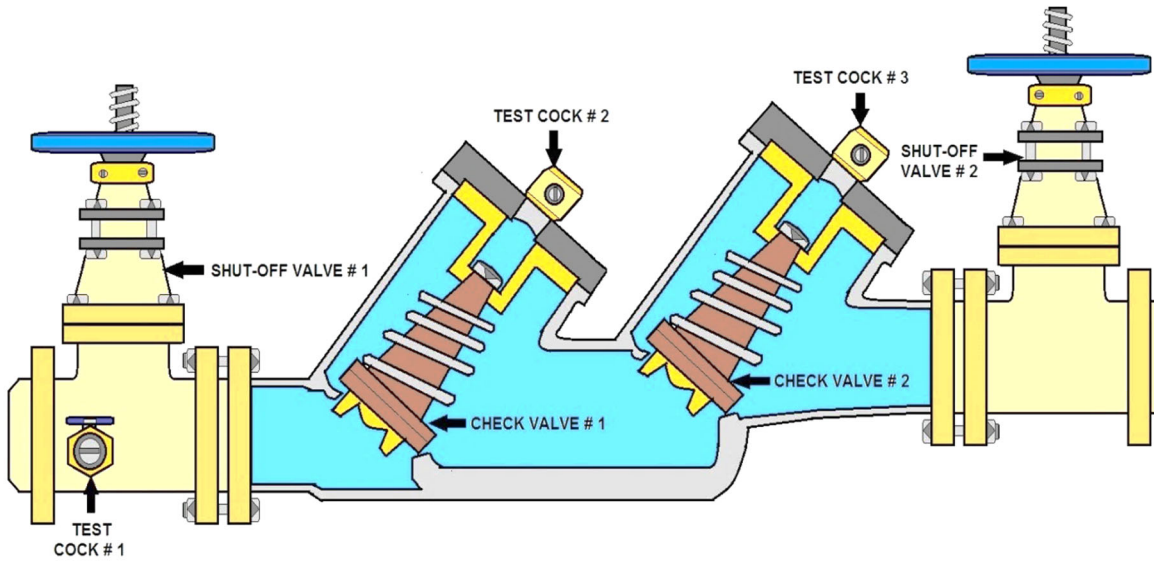
- 1A. Equip all hose bibs with an AVB.
- 2A. Equip all hose bibs with an AVB.
- 2B. Install a backflow preventer on the potable water line feeding the irrigation system.
- 2C. Relocate faucet above flood level.
- 3A. Get help. Bring in someone who understands cross connections to evaluate the system.
- 4A. Don't use these devices



This PVB is not 12 inches above the ground nor the highest downstream outlet.



**REDUCED - PRESSURE BACKFLOW ASSEMBLY**



**DOUBLE-CHECK BACKFLOW ASSEMBLY**

## Topic 8- Water Distribution Section Post Quiz

1. Booster stations are used to \_\_\_\_\_ from storage tanks for low-pressure mains.
2. According to the text, Gate valves should be used in the \_\_\_\_\_ for main line isolation.
3. Arterial main are interconnected with smaller distribution mains to form a complete gridiron system and are mains for?

### Water Distribution Valves

4. According to the text, at intersections of distribution mains, the number of valves required is normally one less than the number of?
5. All buried small- and medium-sized valves should be installed in the sidewalk.  
True or False
6. Most ball valves require only a 180-degree turn to either completely open or close the valve.  
True or False
7. Service connections are used to \_\_\_\_\_ or other plumbing systems to the distribution system mains.

### Backflow/Cross-Connection Section

8. What term represents any temporary or permanent connection between a public water system or consumer's potable water system and any source or system containing nonpotable water or other substances?
9. What term represents backflow caused by a negative pressure (i.e., a vacuum or partial vacuum) in a public water system or consumer's potable water system?
10. Backflow is the undesirable reversal of flow of nonpotable water or other substances through a \_\_\_\_\_ and into the piping of a public water system or consumer's potable water system.
11. The principal types of mechanical backflow preventer are the reduced-pressure principle assembly, the \_\_\_\_\_, and the double check valve assembly.

12. What term represents a stoppage of water supply due to nearby firefighting, a break in a water main?

13. What term represents backflow caused by a downstream pressure that is greater than the upstream or supply pressure in a public water system or consumer's potable water system?

14. What term represents two forms-backpressure and backsiphonage?

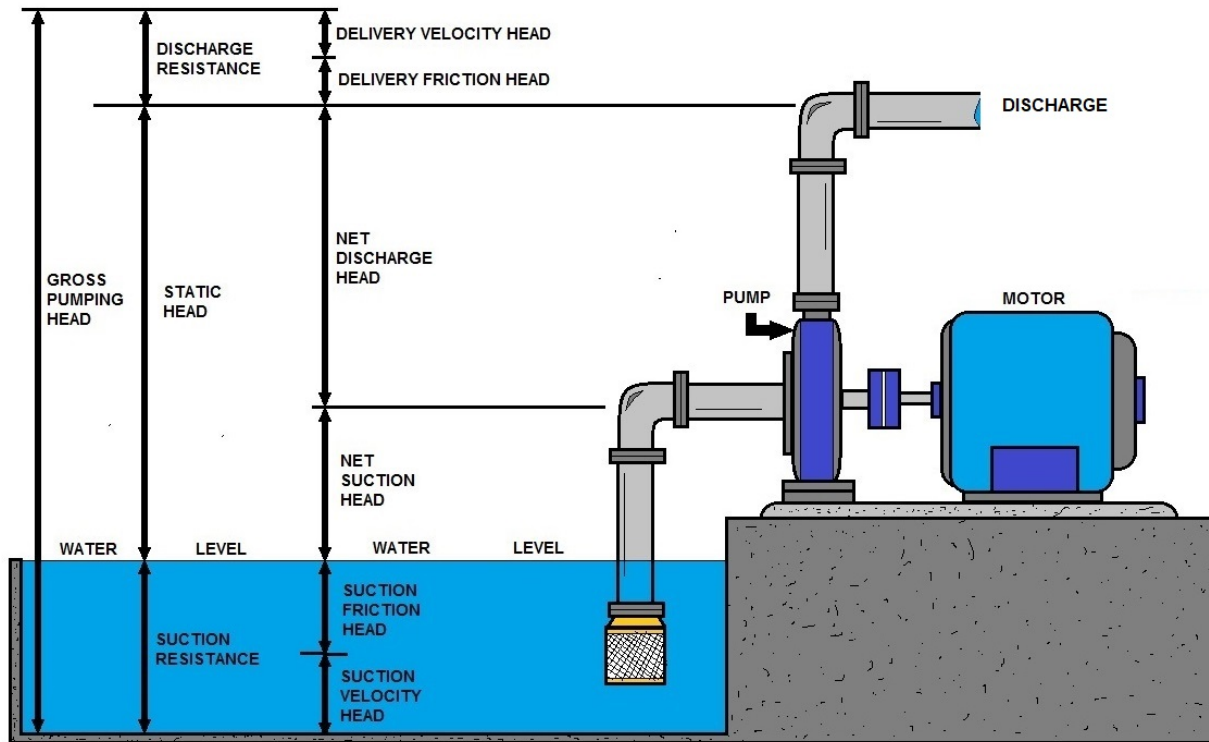
15. The basic mechanism for preventing backflow is a mechanical \_\_\_\_\_, which provides a physical barrier to backflow.

16. According to the text, basic means of preventing backflow is an \_\_\_\_\_, which either eliminates a cross-connection or provides a barrier to backflow.

## Topic 9 - Pumps and Motors Section

**Section Focus:** You will learn the basics of hydraulics, with a study into various pumps and motors. At the end of this section, you will be able to describe water pumps and motors and the associated hydraulic principles that are found in water treatment production. There is a post quiz at the end of this section to review your comprehension and a final examination in the Assignment for your contact hours.

**Scope/Background:** The main purpose of this section is to provide understanding of various water lifting procedures, basic pump fundamentals, hydraulic principles, theory, maintenance, related electrical and motor principles.



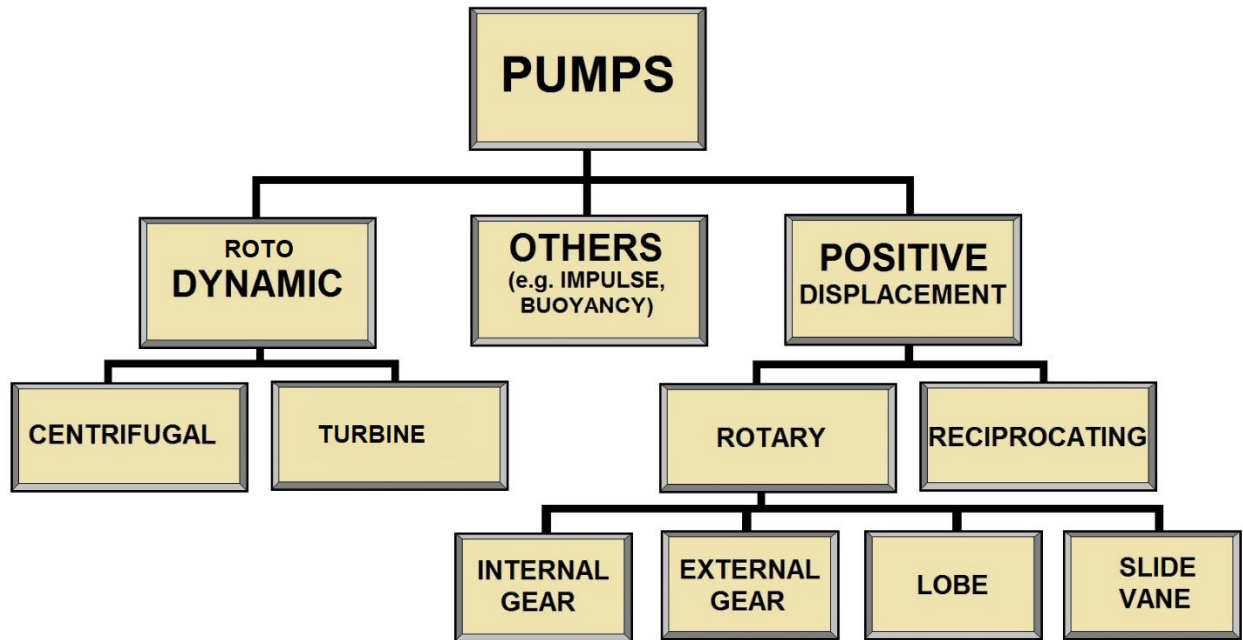
Technical Learning College

**FACTORS IN DETERMINING A TYPICAL PUMP INSTALLATION**

### Pump Introduction

Moving fluids plays a major role in the process of a plant. Liquid can only move on its own power from top to bottom or from a high pressure to a lower pressure system. This means that energy to the liquid must be added to move the liquid from a low to a higher level.

To add the required energy to liquids, pumps are used. There are many different definitions of a pump but it can be described as: A machine used for the purpose of transferring quantities of liquids, gases and even solids from one location to another.

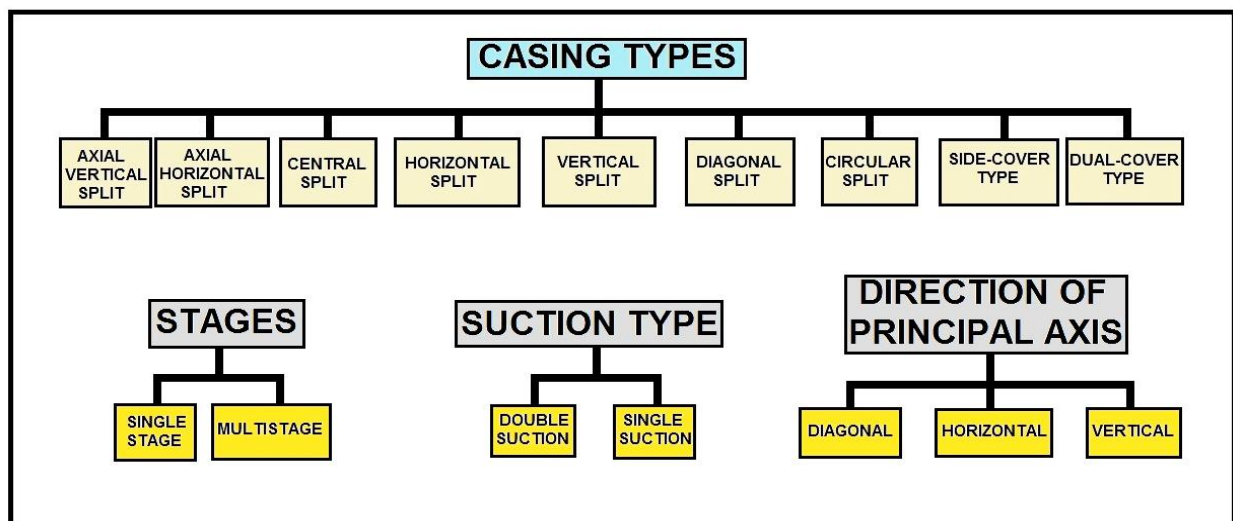


## Types of Pumps

Pump types generally fall into two main categories - Rotodynamic and Positive Displacement, of which there are many forms.

The Rotodynamic pump transfers rotating mechanical energy into kinetic energy in the form of fluid velocity and pressure. The Centrifugal and Liquid Ring pumps are types of rotodynamic pump, which utilize centrifugal force to transfer the fluid being pumped.

The Rotary Lobe pump is a type of positive displacement pump, which directly displaces the pumped fluid from pump inlet to outlet in discrete volumes.



## PUMP CONFIGURATIONS

## **Common Hydraulic Terms**

### **Head**

The height of a column or body of fluid above a given point expressed in linear units. Head is often used to indicate gauge pressure. Pressure is equal to the height times the density of the liquid.

### **Head, Friction**

The head required to overcome the friction at the interior surface of a conductor and between fluid particles in motion. It varies with flow, size, type, and conditions of conductors and fittings, and the fluid characteristics.

### **Head, Static**

The height of a column or body of fluid above a given point.

### **Hydraulics**

Engineering science pertaining to liquid pressure and flow.

### **Hydrokinetics**

Engineering science pertaining to the energy of liquid flow and pressure.

### **Pascal's Law**

A pressure applied to a confined fluid at rest is transmitted with equal intensity throughout the fluid.

### **Pressure**

The application of continuous force by one body upon another that it is touching; compression. Force per unit area, usually expressed in pounds per square inch (Pascal or bar).

### **Pressure, Absolute**

The pressure above zone absolute, i.e. the sum of atmospheric and gauge pressure. In vacuum related work it is usually expressed in millimeters of mercury. (mmHg).

### **Pressure, Atmospheric**

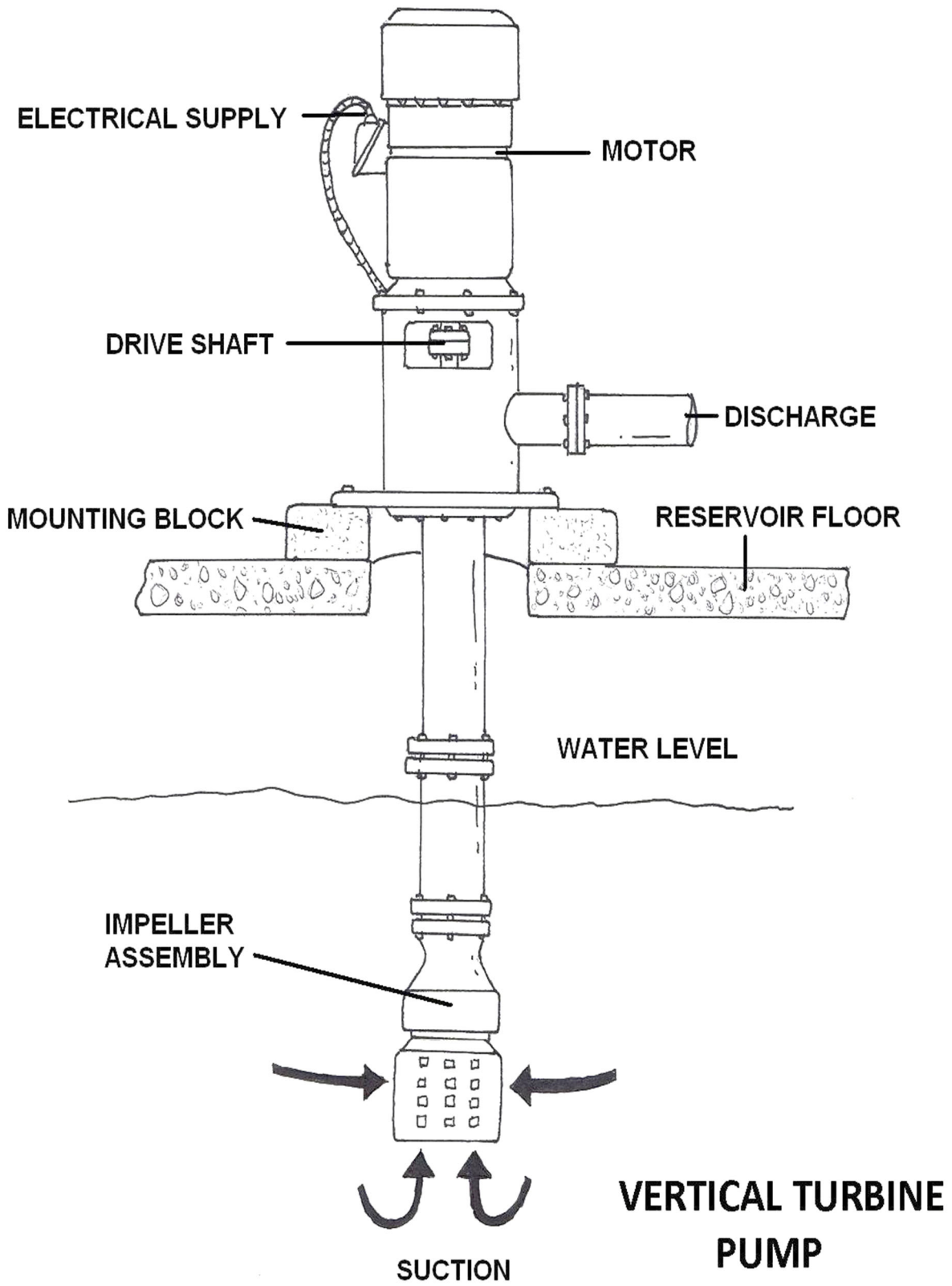
Pressure exerted by the atmosphere at any specific location. (Sea level pressure is approximately 14.7 pounds per square inch absolute, 1 bar = 14.5psi.)

### **Pressure, Gauge**

Pressure differential above or below ambient atmospheric pressure.

### **Pressure, Static**

The pressure in a fluid at rest.





## Hydraulic Principles Section

**Definition** **Hydraulics** is a branch of engineering concerned mainly with moving liquids. The term is applied commonly to the study of the mechanical properties of water, other liquids, and even gases when the effects of compressibility are small. Hydraulics can be divided into two areas, hydrostatics and hydrokinetics.

### **Hydraulics** *The Engineering science pertaining to liquid pressure and flow.*

The word **hydraulics** is based on the Greek word for water, and originally covered the study of the physical behavior of water at rest and in motion. Use has broadened its meaning to include the behavior of all liquids, although it is primarily concerned with the motion of liquids.

Hydraulics includes the manner in which liquids act in tanks and pipes, deals with their properties, and explores ways to take advantage of these properties.

Hydrostatics, the consideration of liquids at rest, involves problems of buoyancy and flotation, pressure on dams and submerged devices, and hydraulic presses. The relative incompressibility of liquids is one of its basic principles. Hydrodynamics, the study of liquids in motion, is concerned with such matters as friction and turbulence generated in pipes by flowing liquids, the flow of water over weirs and through nozzles, and the use of hydraulic pressure in machinery.

### **Hydrostatics**

Hydrostatics is about the pressures exerted by a fluid at rest. Any fluid is meant, not just water. Research and careful study on water yields many useful results of its own, however, such as forces on dams, buoyancy and hydraulic actuation, and is well worth studying for such practical reasons.



The definition of a fluid deserves careful consideration. It is usually stated that a fluid is a substance that cannot resist a shearing stress, so that pressures are normal to confining surfaces.

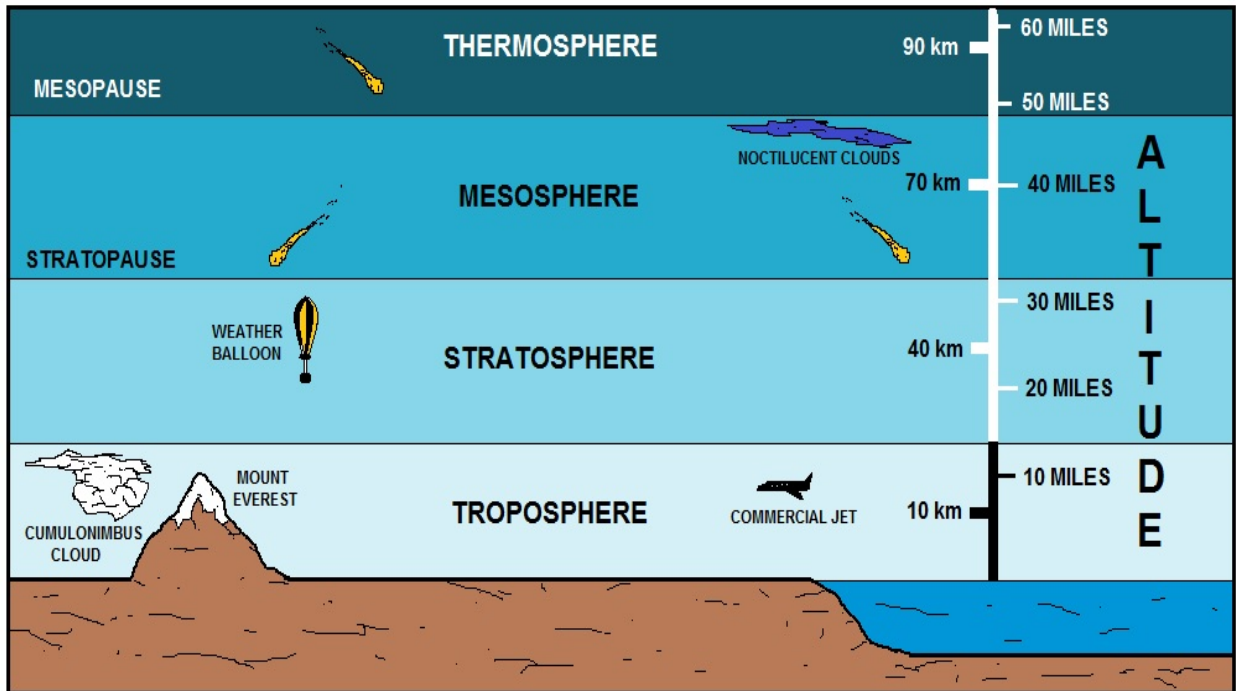
Geology has now shown us clear that there are substances which can resist shearing forces over short time intervals, and appear to be typical solids, but which flow like liquids over long time intervals. Such materials include wax and pitch, ice, and even rock.

A ball of pitch, which can be shattered by a hammer, will spread out and flow in months. Ice, a typical solid, will flow in a period of years, as shown in glaciers, and rock will flow over hundreds of years, as in convection in the mantle of the earth.

Shear earthquake waves, with periods of seconds, propagate deep in the earth, though the rock there can flow like a liquid when considered over centuries. The rate of shearing may not be strictly proportional to the stress, but exists even with low stress.

Viscosity may be the physical property that varies over the largest numerical range, competing with electrical resistivity.

There are several familiar topics in hydrostatics which often appears in expositions of introductory science, and which are also of historical interest and can enliven their presentation. Let's start our study with the principles of our atmosphere.



**ATMOSPHERE DIAGRAM**

## Atmospheric Pressure

The atmosphere is the entire mass of air that surrounds the earth. While it extends upward for about 300 miles, the section of primary interest is the portion that rests on the earth's surface and extends upward for about 7 1/2 miles. This layer is called the troposphere.

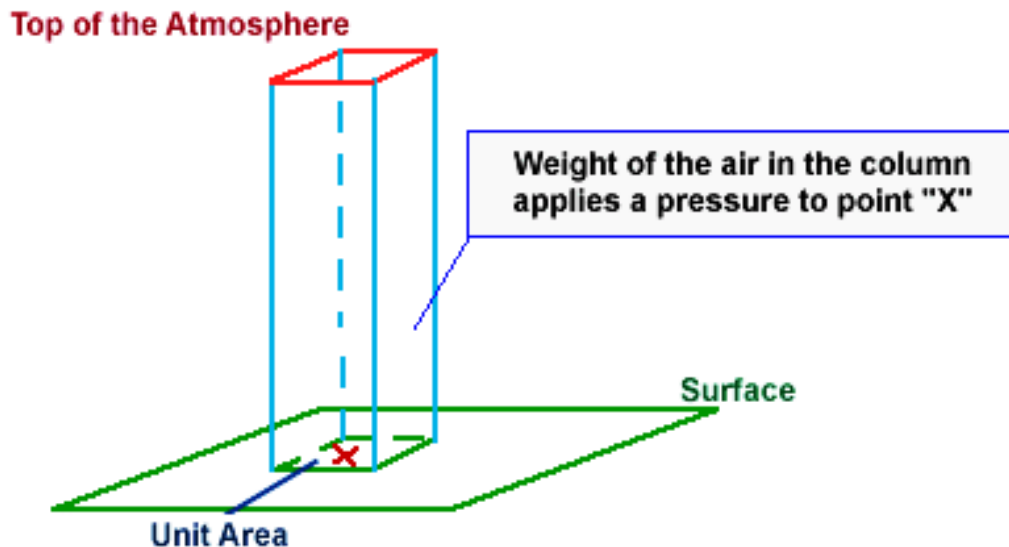
If a column of air 1-inch square extending all the way to the "top" of the atmosphere could be weighed, this column of air would weigh approximately 14.7 pounds at sea level. Thus, atmospheric pressure at sea level is approximately 14.7 psi.

As one ascends, the atmospheric pressure decreases by approximately 1.0 psi for every 2,343 feet. However, below sea level, in excavations and depressions, atmospheric pressure increases. Pressures under water differ from those under air because the weight of the water must be added to the pressure of the air.

Atmospheric pressure can be measured by any of several methods. The common laboratory method uses the mercury column barometer. The height of the mercury column serves as an indicator of atmospheric pressure. At sea level and at a temperature of 0° Celsius (C), the height of the mercury column is approximately 30 inches, or 76 centimeters. This represents a pressure of approximately 14.7 psi. The 30-inch column is used as a reference standard.

Another device used to measure atmospheric pressure is the aneroid barometer. The aneroid barometer uses the change in shape of an evacuated metal cell to measure variations in atmospheric pressure. The thin metal of the aneroid cell moves in or out with the variation of pressure on its external surface. This movement is transmitted through a system of levers to a pointer, which indicates the pressure.

The atmospheric pressure does not vary uniformly with altitude. It changes very rapidly. Atmospheric pressure is defined as the force per unit area exerted against a surface by the weight of the air above that surface. In the diagram below, the pressure at point "X" increases as the weight of the air above it increases. The same can be said about decreasing pressure, where the pressure at point "X" decreases if the weight of the air above it also decreases.



## Barometric Loop

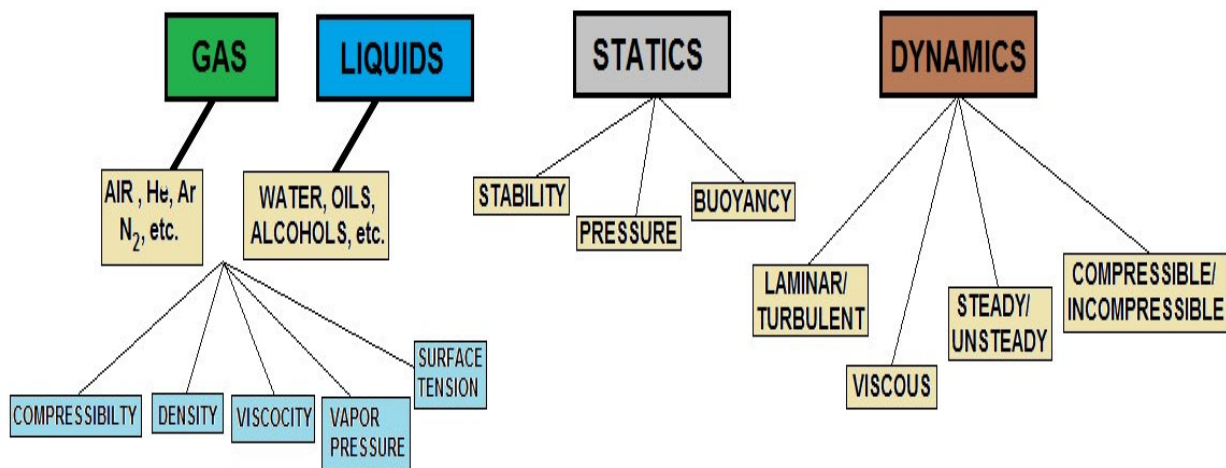
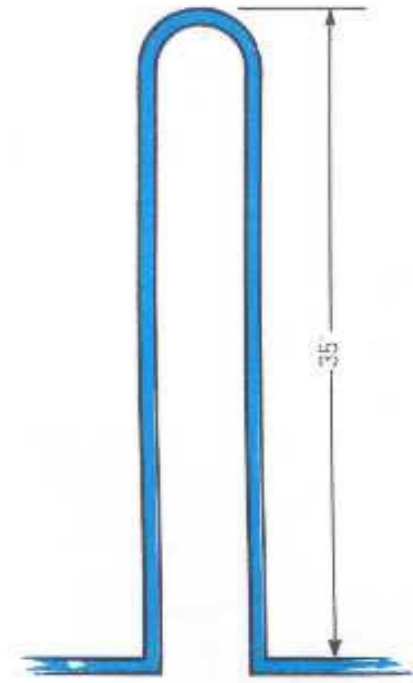
The barometric loop consists of a continuous section of supply piping that abruptly rises to a height of approximately 35 feet and then returns back down to the originating level. It is a loop in the piping system that effectively protects against backsiphonage. It may not be used to protect against back-pressure.

Its operation, in the protection against backsiphonage, is based upon the principle that a water column, at sea level pressure, will not rise above 33.9 feet. In general, barometric loops are locally fabricated, and are 35 feet high.

Pressure may be referred to using an absolute scale, pounds per square inch absolute (**psia**), or gauge scale, (**psiag**). Absolute pressure and gauge pressure are related. Absolute pressure is equal to gauge pressure plus the atmospheric pressure. At sea level, the atmospheric pressure is 14.7 psia.

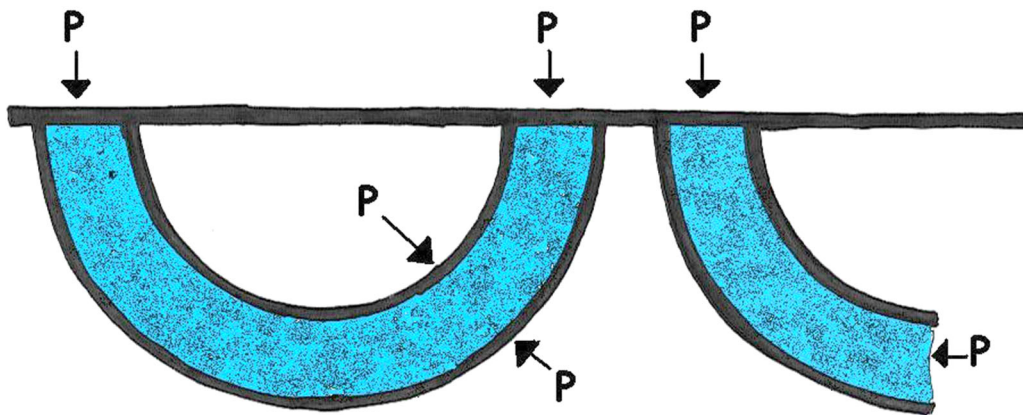
Absolute pressure is the total pressure.

Gauge pressure is simply the pressure read on the gauge. If there is no pressure on the gauge other than atmospheric, the gauge will read zero. Then the absolute pressure would be equal to 14.7 psi, which is the atmospheric pressure.



## Pressure

By a fluid, we have a material in mind like water or air, two very common and important fluids. Water is incompressible, while air is very compressible, but both are fluids. Water has a definite volume; air does not. Water and air have low viscosity; that is, layers of them slide very easily on one another, and they quickly their shapes when disturbed by flows. Other fluids, such as molasses, may have high viscosity and take a long time to come to equilibrium, but they are no less fluids. The coefficient of viscosity is the ratio of the shearing force to the velocity gradient. Hydrostatics deals with permanent, time-independent states of fluids, so viscosity does not appear, except as discussed in the Introduction.



**EQUALITY OF PRESSURE –CURTIAN RINGS DIAGRAM**

### Pressure Definition

A fluid, therefore, is a substance that cannot exert any permanent forces tangential to a boundary. Any force that it exerts on a boundary must be normal perpendicular to the boundary. Such a force is proportional to the area on which it is exerted, and is called a pressure.

We can imagine any surface in a fluid as dividing the fluid into parts pressing on each other, as if it were a thin material membrane, and so think of the pressure at any point in the fluid, not just at the boundaries. In order for any small element of the fluid to be in equilibrium, the pressure must be the same in all directions (or the element would move in the direction of least pressure), and if no other forces are acting on the body of the fluid, the pressure must be the same at all neighboring points.

### Pascal's Principle

Therefore, in this case the pressure will be the same throughout the fluid, and the same in any direction at a point (Pascal's Principle). Pressure is expressed in units of force per unit area such as dyne/cm<sup>2</sup>, N/cm<sup>2</sup> (pascal), pounds/in<sup>2</sup> (psi) or pounds/ft<sup>2</sup> (psf). The axiom that if a certain volume of fluid were somehow made solid, the equilibrium of forces would not be disturbed, is useful in reasoning about forces in fluids.

### Equality of Pressure

On earth, fluids are also subject to the force of gravity, which acts vertically downward, and has a magnitude  $\gamma = \rho g$  per unit volume, where  $g$  is the acceleration of gravity, approximately 981 cm/s<sup>2</sup> or 32.15 ft/s<sup>2</sup>,  $\rho$  is the density, the mass per unit volume, expressed in g/cm<sup>3</sup>, kg/m<sup>3</sup>, or slug/ft<sup>3</sup>, and  $\gamma$  is the specific weight, measured in lb/in<sup>3</sup>, or lb/ft<sup>3</sup> (pcf).

## Gravitation

Gravitation is an example of a body force that disturbs the equality of pressure in a fluid. The presence of the gravitational body force causes the pressure to increase with depth, according to the equation  $dp = \rho g dh$ , in order to support the water above. We call this relation the barometric equation, for when this equation is integrated, we find the variation of pressure with height or depth. If the fluid is incompressible, the equation can be integrated at once, and the pressure as a function of depth  $h$  is  $p = \rho gh + p_0$ .

The density of water is about  $1 \text{ g/cm}^3$ , or its specific weight is  $62.4 \text{ pcf}$ .

We may ask what depth of water gives the normal sea-level atmospheric pressure of  $14.7 \text{ psi}$ , or  $2117 \text{ psf}$ .

This is simply  $2117 / 62.4 = 33.9 \text{ ft}$  of water. This is the maximum height to which water can be raised by a suction pump, or, more correctly, can be supported by atmospheric pressure.

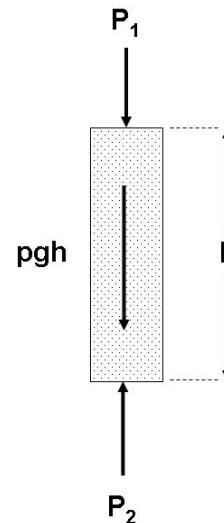
## Equality of Pressure

Professor James Thomson (brother of William Thomson, Lord Kelvin) illustrated the equality of pressure by a "curtain-ring" analogy shown in the diagram. A section of the toroid was identified, imagined to be solidified, and its equilibrium was analyzed.

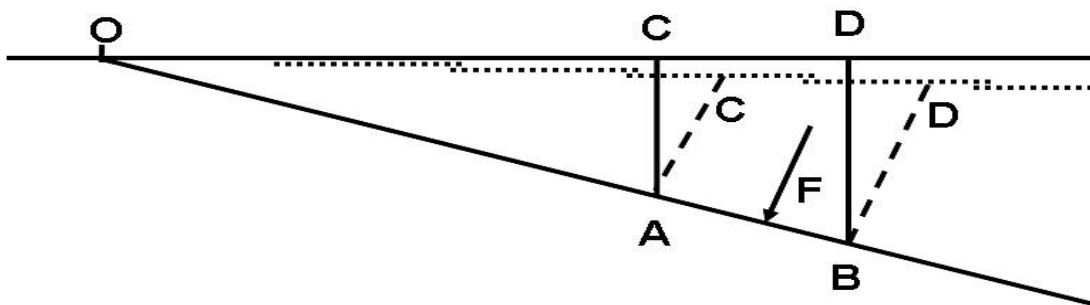
The forces exerted on the curved surfaces have no component along the normal to a plane section, so the pressures at any two points of a plane must be equal, since the fluid represented by the curtain ring was in equilibrium.

The diagrams illustrates the equality of pressures in orthogonal directions. This can be extended to any direction whatever, so Pascal's Principle is established. This demonstration is similar to the usual one using a triangular prism and considering the forces on the end and lateral faces separately.

Free Surface

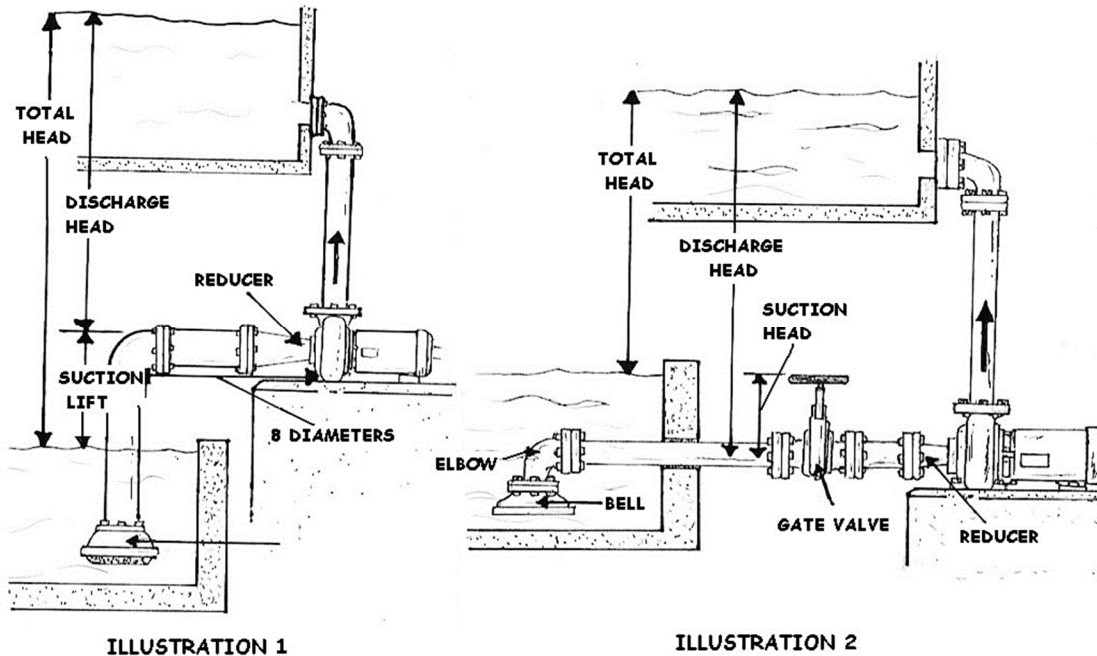


Increase of Pressure with Depth



THRUST ON A PLANE DIAGRAM

## General Pumping Fundamentals- Introduction



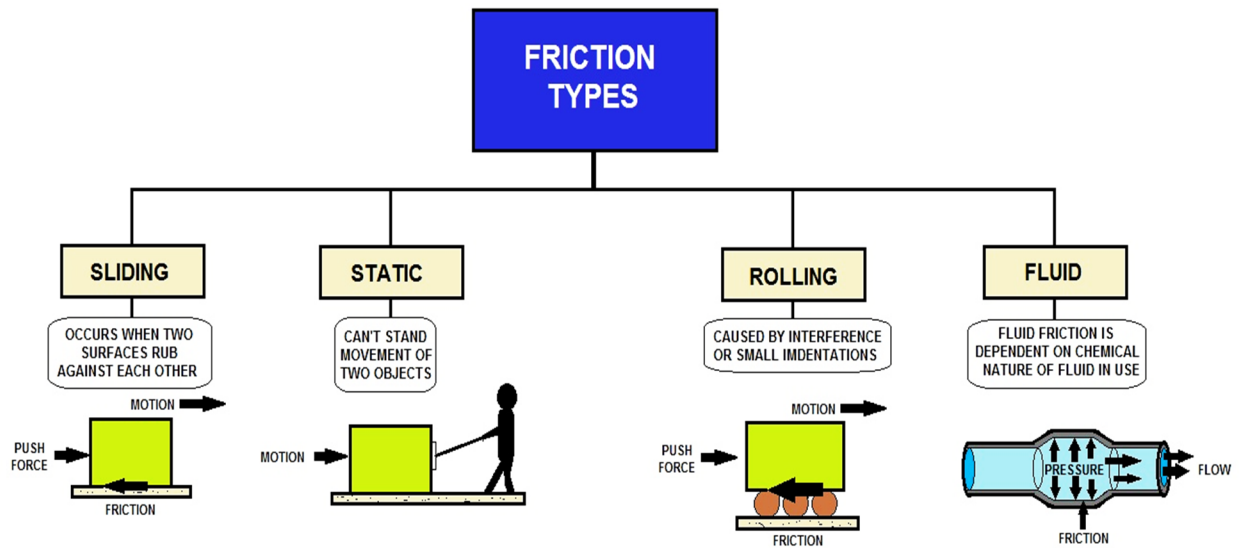
Here are the important points to consider about suction piping when the liquid being pumped is below the level of the pump:

- First, suction lift is when the level of water to be pumped is below the centerline of the pump. Sometimes suction lift is also referred to as 'negative suction head'.
- The ability of the pump to lift water is the result of a partial vacuum created at the center of the pump.
- This works similar to sucking soda from a straw. As you gently suck on a straw, you are creating a vacuum or a pressure differential. Less pressure is exerted on the liquid inside the straw, so that the greater pressure is exerted by the atmosphere on the liquid around the outside of the straw, causing the liquid in the straw to move up. By sucking on the straw, this allows atmospheric pressure to move the liquid.
- Look at the diagram illustrated as "1". The foot valve is located at the end of the suction pipe of a pump. It opens to allow water to enter the suction side, but closes to prevent water from passing back out of the bottom end.
- The suction side of pipe should be one diameter larger than the pump inlet. The required eccentric reducer should be turned so that the top is flat and the bottom tapered.

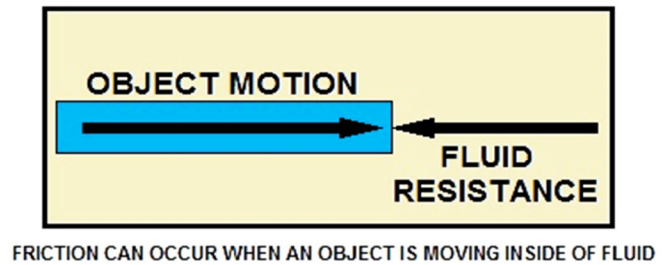
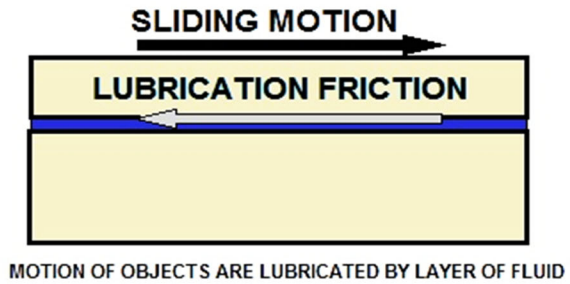
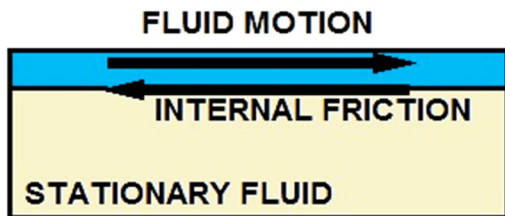
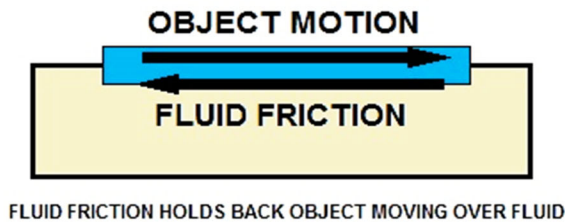
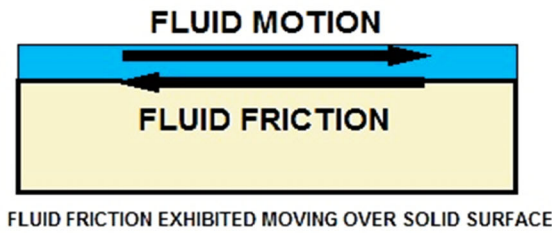
Notice in illustration "2" that the liquid is above the level of the pump. Sometimes this is referred to as 'flooded suction' or 'suction head' situations.

### Points to Note are:

If an elbow and bell are used, they should be at least one pipe diameter from the tank bottom and side. This type of suction piping must have a gate valve which can be used to prevent the reverse flow when the pump has to be removed. In the illustration, you can see in both cases the discharge head is from the centerline of the pump to the level of the discharge water. The total head is the difference between the two liquid levels.



**FRICTION TYPE EXAMPLES**



**EXAMPLES OF FLUID FRICTION**



## Dynamic Pump Definitions

### Hyperlink to the Glossary and Appendix

<http://www.abctlc.com/downloads/PDF/WTGlossary.pdf>

**Fluid:** Any substance that can be pumped such as oil, water, refrigerant, or even air.

**Gasket:** Flat material that is compressed between two faces to form a seal.

**Gland follower:** A bushing used to compress the packing in the stuffing box and to control leakoff.

**Gland sealing line:** A line that directs sealing fluid to the stuffing box.

**Horizontal pumps:** Pumps in which the center line of the shaft is horizontal.

**Impeller:** The part of the pump that increases the speed of the fluid being handled.

**Inboard:** The end of the pump closest to the motor.

**Inter-stage diaphragm:** A barrier that separates stages of a multi-stage pump.

**Key:** A rectangular piece of metal that prevents the impeller from rotating on the shaft.

**Keyway:** The area on the shaft that accepts the key.

**Kinetic energy:** Energy associated with motion.

**Lantern ring:** A metal ring located between rings of packing that distributes gland sealing fluid.

**Leak-off:** Fluid that leaks from the stuffing box.

**Mechanical seal:** A mechanical device that seals the pump stuffing box.

**Mixed flow pump:** A pump that uses both axial-flow and radial-flow components in one impeller.

**Multi-stage pumps:** Pumps with more than one impeller.

**Outboard:** The end of the pump farthest from the motor.

**Packing:** Soft, pliable material that seals the stuffing box.

**Positive displacement pumps:** Pumps that move fluids by physically displacing the fluid inside the pump.

**Radial bearings:** Bearings that prevent shaft movement in any direction outward from the center line of the pump.

**Radial flow:** Flow at 90° to the center line of the shaft.

**Retaining nut:** A nut that keeps the parts in place.

**Rotor:** The rotating parts, usually including the impeller, shaft, bearing housings, and all other parts included between the bearing housing and the impeller.

**Score:** To cause lines, grooves or scratches.

**Shaft:** A cylindrical bar that transmits power from the driver to the pump impeller.

**Shaft sleeve:** A replaceable tubular covering on the shaft.

**Shroud:** The metal covering over the vanes of an impeller.

**Slop drain:** The drain from the area that collects leak-off from the stuffing box.

**Slurry:** A thick, viscous fluid, usually containing small particles.

**Stages:** Impellers in a multi-stage pump.

**Stethoscope:** A metal device that can amplify and pinpoint pump sounds.

**Strainer:** A device that retains solid pieces while letting liquids through.

**Stuffing box:** The area of the pump where the shaft penetrates the casing.

**Suction:** The place where fluid enters the pump.

**Suction eye:** The place where fluid enters the pump impeller.

**Throat bushing:** A bushing at the bottom of the stuffing box that prevents packing from being pushed out of the stuffing box into the suction eye of the impeller.

**Thrust:** Force, usually along the center line of the pump.

**Thrust bearings:** Bearings that prevent shaft movement back and forth in the same direction as the center line of the shaft.

**Troubleshooting:** Locating a problem.

**Vanes:** The parts of the impeller that push and increase the speed of the fluid in the pump.

**Vertical pumps:** Pumps in which the center line of the shaft runs vertically.

**Volute:** The part of the pump that changes the speed of the fluid into pressure.

**Wearing rings:** Replaceable rings on the impeller or the casing that wear as the pump operates.

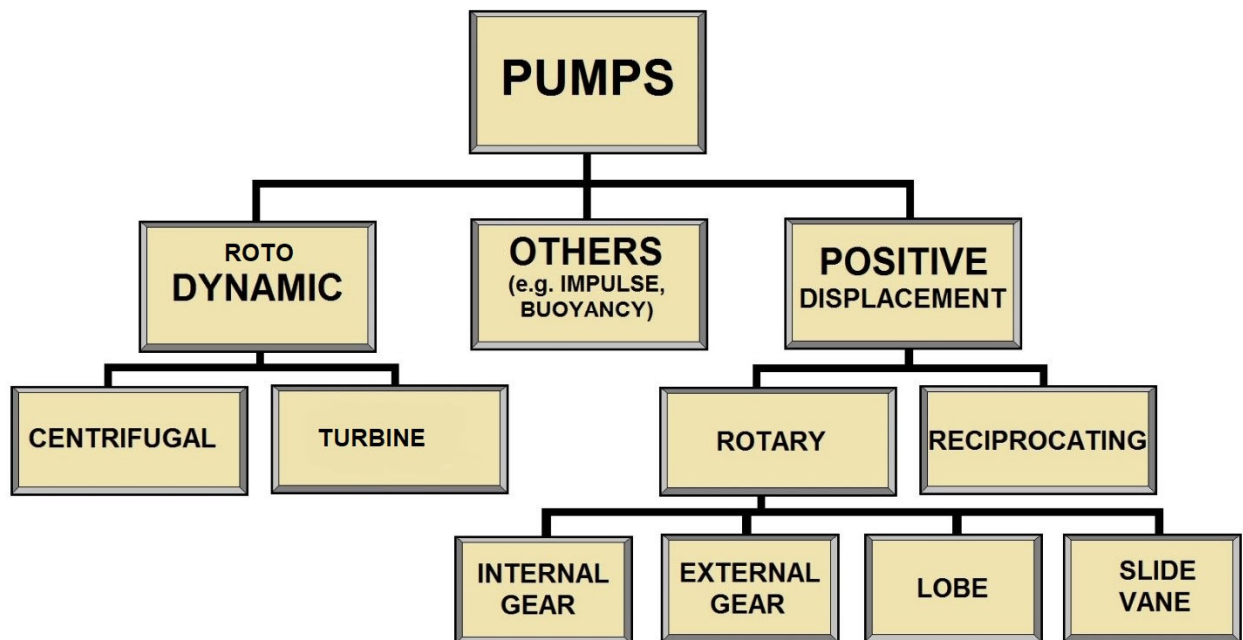
## Pump Introduction

Pumps are used to move or raise fluids. They are not only very useful, but are excellent examples of hydrostatics. Pumps are of two general types, hydrostatic or positive displacement pumps, and pumps depending on dynamic forces, such as centrifugal pumps. Here we will only consider positive displacement pumps, which can be understood purely by hydrostatic considerations. They have a piston (or equivalent) moving in a closely-fitting cylinder, and forces are exerted on the fluid by motion of the piston.

Let's cover the essentials first. The key to the whole operation is, of course, the *pump*. And regardless of what type it is (reciprocating piston, centrifugal, turbine or jet-ejector, for either shallow or deep well applications), its purpose is to move water and generate the delivery force we call pressure.

Sometimes — with centrifugal pumps in particular — pressure is not referred to in pounds per square inch but rather as the equivalent in elevation, called head. No matter; head in feet divided by 2.31 equals pressure, so it's simple enough to establish a common figure.

Pumps may be classified on the basis of the application they serve. All pumps may be divided into two major categories: (1) dynamic, in which energy is continuously added to increase the fluid velocities within the machine, and (2) displacement, in which the energy is periodically added by application of force.



### Types of Pumps

The family of pumps comprises a large number of types based on application and capabilities. The two major groups of pumps are dynamic and positive displacement.

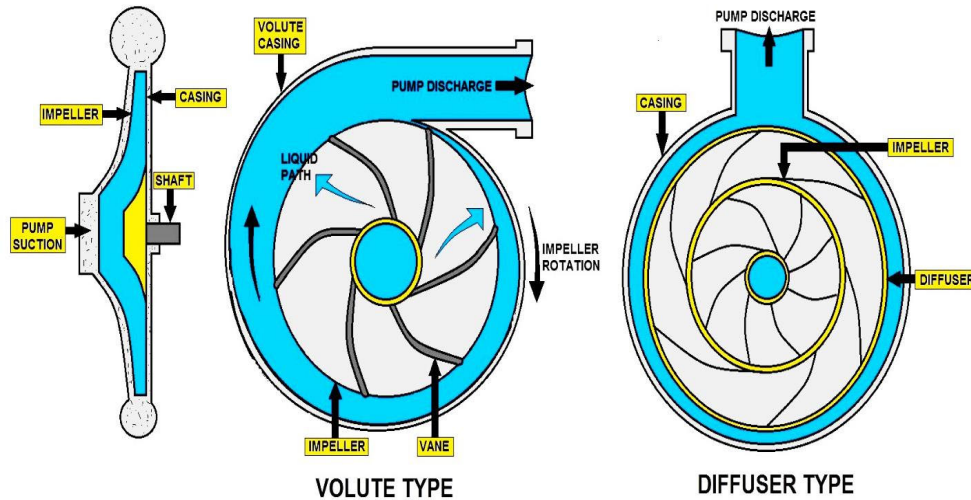
## Dynamic Pumps (Centrifugal Pump)

Centrifugal pumps are classified into three general categories:

**Radial flow**—a centrifugal pump in which the pressure is developed wholly by centrifugal force.

**Mixed flow**—a centrifugal pump in which the pressure is developed partly by centrifugal force and partly by the lift of the vanes of the impeller on the liquid.

**Axial flow**—a centrifugal pump in which the pressure is developed by the propelling or lifting action of the vanes of the impeller on the liquid.



### TYPES OF CENTRIFUGAL PUMPS

We have already seen an important example of this in the hydraulic lever or hydraulic press, which we have called quasi-static. The simplest pump is the syringe, filled by withdrawing the piston and emptied by pressing it back in, as its port is immersed in the fluid or removed from it.

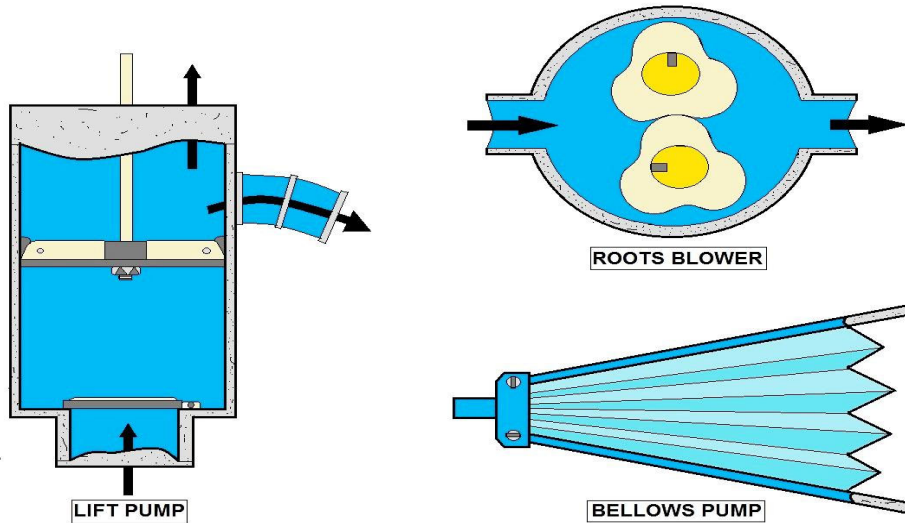
More complicated pumps have valves allowing them to work repetitively. These are usually check valves that open to allow passage in one direction, and close automatically to prevent reverse flow. There are many kinds of valves, and they are usually the most trouble-prone and complicated part of a pump.

The force pump has two check valves in the cylinder, one for supply and the other for delivery. The supply valve opens when the cylinder volume increases, the delivery valve when the cylinder volume decreases.

The lift pump has a supply valve and a valve in the piston that allows the liquid to pass around it when the volume of the cylinder is reduced. The delivery in this case is from the upper part of the cylinder, which the piston does not enter.

Diaphragm pumps are force pumps in which the oscillating diaphragm takes the place of the piston. The diaphragm may be moved mechanically, or by the pressure of the fluid on one side of the diaphragm.

Some positive displacement pumps are shown below. The force and lift pumps are typically used for water. The force pump has two valves in the cylinder, while the lift pump has one valve in the cylinder and one in the piston. The maximum lift, or "suction," is determined by the atmospheric pressure, and either cylinder must be within this height of the free surface.



### TYPES OF POSITIVE DISPLACEMENT PUMPS

The force pump, however, can give an arbitrarily large pressure to the discharged fluid, as in the case of a diesel engine injector. A nozzle can be used to convert the pressure to velocity, to produce a jet, as for firefighting. Fire fighting force pumps usually have two cylinders feeding one receiver alternately. The air space in the receiver helps to make the water pressure uniform.

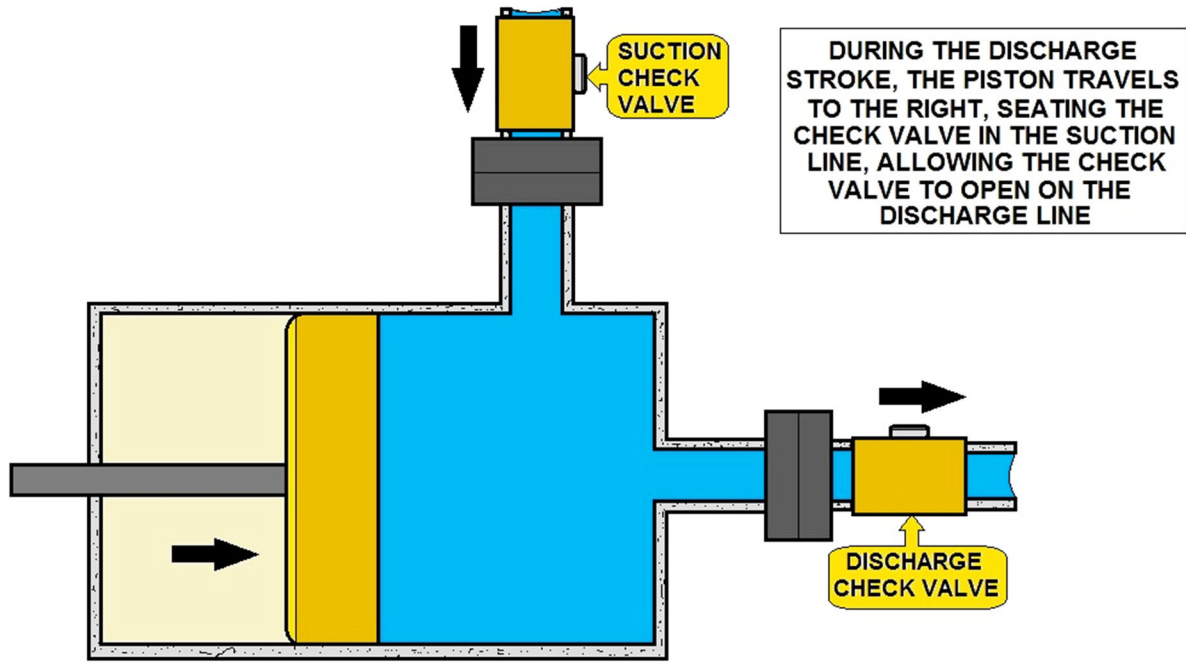
The three pumps below are typically used for air, but would be equally applicable to liquids. The Roots blower has no valves, their place taken by the sliding contact between the rotors and the housing.

The **Roots (rotating lobe) blower** can either exhaust a receiver or provide air under moderate pressure, in large volumes.

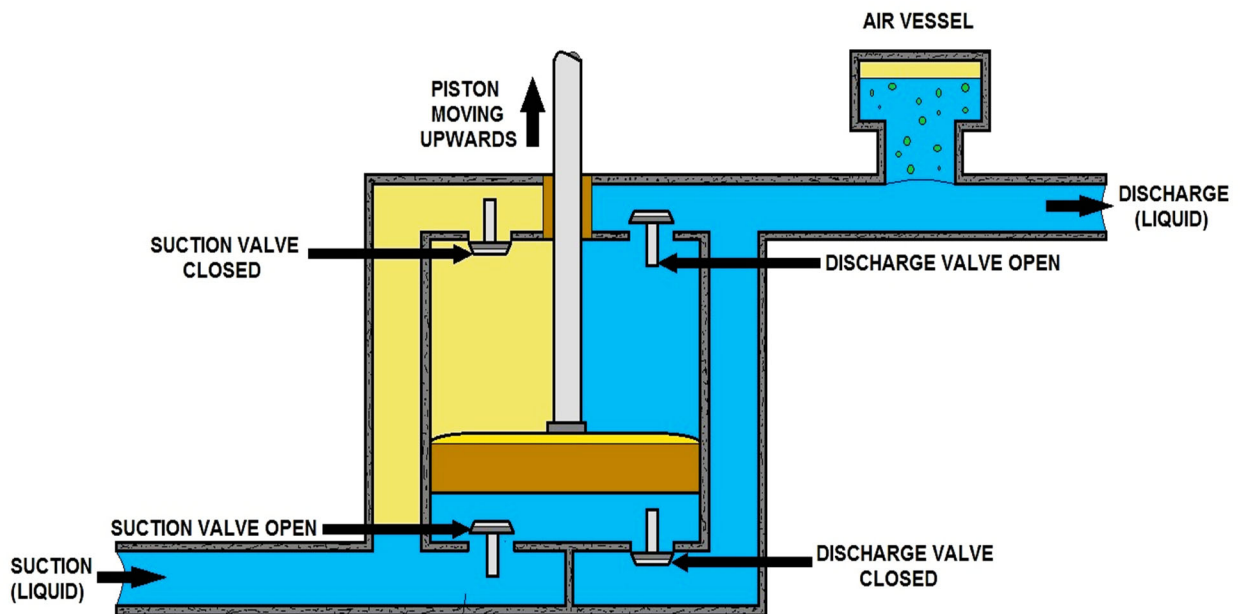
The **Bellows** is a very old device, requiring no accurate machining. The single valve is in one or both sides of the expandable chamber. Another valve can be placed at the nozzle if required. The valve can be a piece of soft leather held close to holes in the chamber.

The **bicycle pump** uses the valve on the valve stem of the tire or inner tube to hold pressure in the tire. The piston, which is attached to the discharge tube, has a flexible seal that seals when the cylinder is moved to compress the air, but allows air to pass when the movement is reversed. Diaphragm and vane pumps are not shown, but they act the same way by varying the volume of a chamber, and directing the flow with check valves.

# Positive Displacement Pump Examples



**PISTON TYPE –POSITIVE DISPLACEMENT PUMP**

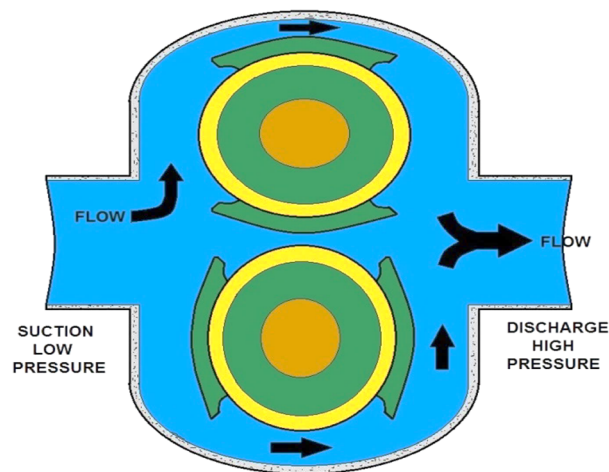


**POSITIVE DISPLACEMENT PUMP  
RECIPROCATING PISTON TYPE**

## Positive Displacement Pumps

A positive displacement pump has an expanding cavity on the suction side of the pump and a decreasing cavity on the discharge side. Liquid is allowed to flow into the pump as the cavity on the suction side expands and the liquid is forced out of the discharge as the cavity collapses. This principle applies to all types of positive displacement pumps whether the pump is a rotary lobe, gear within a gear, piston, diaphragm, screw, progressing cavity, etc.

A positive displacement pump, unlike a centrifugal pump, will produce the same flow at a given RPM no matter what the discharge pressure is. A positive displacement pump cannot be operated against a closed valve on the discharge side of the pump, i.e. it does not have a shut-off head like a centrifugal pump does. If a positive displacement pump is allowed to operate against a closed discharge valve, it will continue to produce flow that will increase the pressure in the discharge line until either the line bursts or the pump is severely damaged or both.



**POSITIVE DISPLACEMENT PUMP WITH ROTATING LOBES**

### Types of Positive Displacement Pumps

Single Rotor	Multiple Rotor
Vane	Gear
Piston	Lobe
Flexible Member	Circumferential Piston
Single Screw	Multiple Screw

**There are many other types of positive displacement pumps. We will look at:**

- Plunger pumps
- Diaphragm pumps
- Progressing cavity pumps, and
- Screw pumps

## Single Rotator Positive Displacement Pump

Component	Description
Vane	The vane(s) may be blades, buckets, rollers, or slippers that cooperate with a dam to draw fluid into and out of the pump chamber.
Piston	Fluid is drawn in and out of the pump chamber by a piston(s) reciprocating within a cylinder(s) and operating port valves.
Flexible Member	Pumping and sealing depends on the elasticity of a flexible member(s) that may be a tube, vane, or a liner.
Single Screw	Fluid is carried between rotor screw threads as they mesh with internal threads on the stator.

## Multiple Rotator

Component	Description
Gear	Fluid is carried between gear teeth and is expelled by the meshing of the gears that cooperate to provide continuous sealing between the pump inlet and outlet.
Lobe	Fluid is carried between rotor lobes that cooperate to provide continuous sealing between the pump inlet and outlet.
Circumferential piston	Fluid is carried in spaces between piston surfaces not requiring contacts between rotor surfaces.
Multiple Screw	Fluid is carried between rotor screw threads as they mesh.

## Plunger Pump

The plunger pump is a positive displacement pump that uses a plunger or piston to force liquid from the suction side to the discharge side of the pump. It is used for heavy sludge. The movement of the plunger or piston inside the pump creates pressure inside the pump, so you have to be careful that this kind of pump is never operated against any closed discharge valve. All discharge valves must be open before the pump is started, to prevent any fast build-up of pressure that could damage the pump.

## Diaphragm Pumps

In this type of pump, a diaphragm provides the mechanical action used to force liquid from the suction to the discharge side of the pump. The advantage the diaphragm has over the plunger is that the diaphragm pump does not come in contact with moving metal. This can be important when pumping abrasive or corrosive materials.

### There are three main types of diaphragm pumps available:

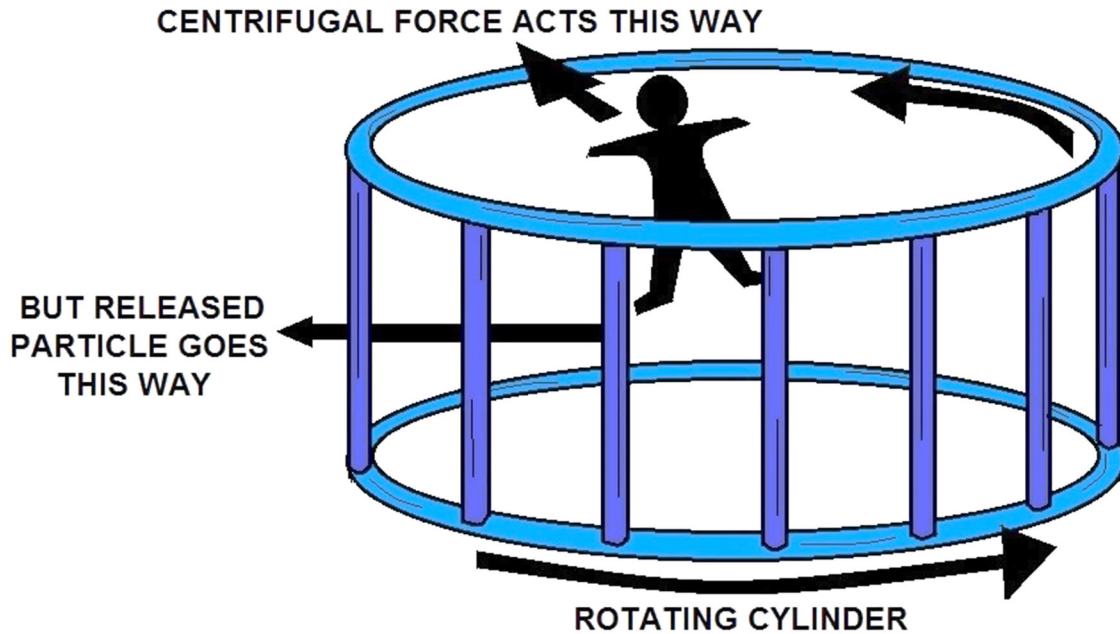
1. Diaphragm sludge pump
2. Chemical metering or proportional pump
3. Air-powered double-diaphragm pump



## Understanding the Basic Centrifugal Pump

The water pump commonly found in our systems is centrifugal pumps. These pumps work by spinning water around in a circle inside a cylindrical pump housing. The pump makes the water spin by pushing it with an impeller. The blades of this impeller project outward from an axle like the arms of a turnstile and, as the impeller spins, the water spins with it. As the water spins, the pressure near the outer edge of the pump housing becomes much higher than near the center of the impeller.

*There are many ways to understand this rise in pressure, and here are two:*



### CENTRIFUGAL WATER EFFECT IN ACTION DIAGRAM

First, you can view the water between the impeller blades as an object traveling in a circle. Objects do not naturally travel in a circle--they need an inward force to cause them to accelerate inward as they spin. Without such an inward force, an object will travel in a straight line and will not complete the circle. In a centrifugal pump, that inward force is provided by high-pressure water near the outer edge of the pump housing.

The water at the edge of the pump pushes inward on the water between the impeller blades and makes it possible for that water to travel in a circle. The water pressure at the edge of the turning impeller rises until it is able to keep water circling with the impeller blades.

You can also view the water as an incompressible fluid, one that obeys Bernoulli's equation in the appropriate contexts. As water drifts outward between the impeller blades of the pump, it must move faster and faster because its circular path is getting larger and larger. The impeller blades cause the water to move faster and faster. By the time the water has reached the outer edge of the impeller, it is moving quite fast. However, when the water leaves the impeller and arrives at the outer edge of the cylindrical pump housing, it slows down because of the loss of energy.

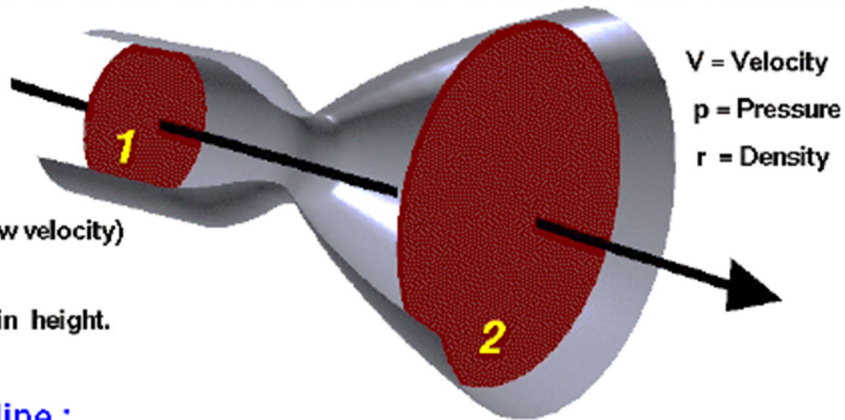


# Bernoulli's Equation

Glenn  
Research  
Center

## Restrictions :

- Inviscid
- Steady
- Incompressible (low velocity)
- No heat addition.
- Negligible change in height.



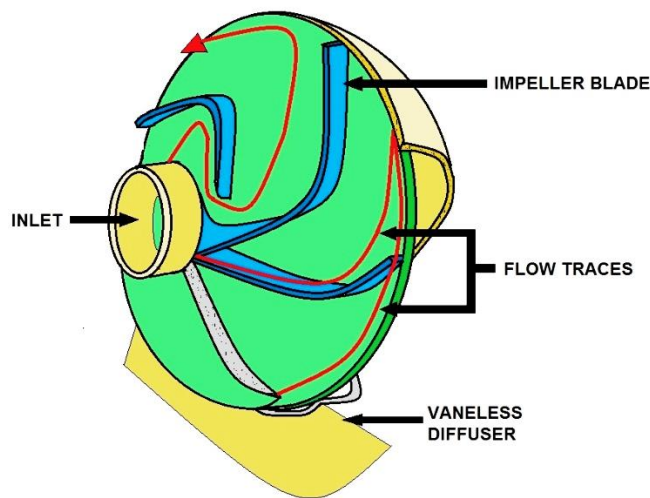
## Along a streamline :

static pressure + dynamic pressure = total pressure

$$p_s + \frac{rV^2}{2} = p_t$$

$$\left(p_s + \frac{rV^2}{2}\right)_1 = \left(p_s + \frac{rV^2}{2}\right)_2$$

Here is where Bernoulli's equation figures in. As the water slows down and its kinetic energy decreases, that water's pressure potential energy increases (*to conserve energy*). Thus, the slowing is accompanied by a pressure rise. That is why the water pressure at the outer edge of the pump housing is higher than the water pressure near the center of the impeller. When water is actively flowing through the pump, arriving through a hole near the center of the impeller and leaving through a hole near the outer edge of the pump housing, the pressure rise between center and edge of the pump is not as large.



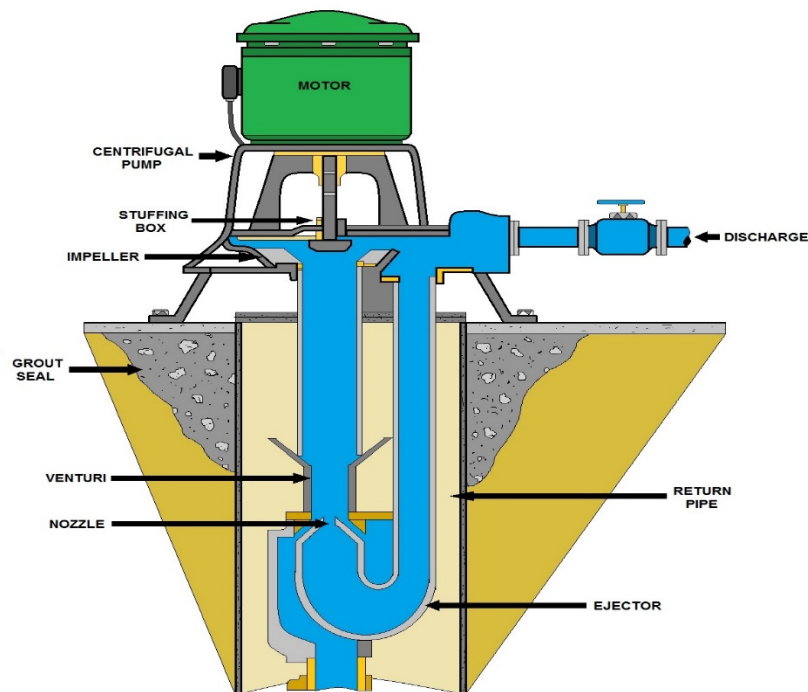
COMMON PUMP IMPELLER

## Three Types of Water Pumps

The most common type of water pumps used for municipal and domestic water supplies are *dynamic pumps* or also referred to as *variable displacement pumps*. A variable displacement pump will produce at different rates relative to the amount of pressure or lift the pump is working against. *Centrifugal* pumps are variable displacement pumps that are by far used the most. The water production well industry almost exclusively uses *Turbine* pumps, which are a type of centrifugal pump.

### Turbine Pump

The turbine pump utilizes *impellers* enclosed in single or multiple *bowls or stages* to lift water by *centrifugal force*. The impellers may be of either a *semi-open or closed type*. Impellers are rotated by the *pump motor*, which provides the horsepower needed to overcome the pumping head. A more thorough discussion of how these and other pumps work is presented later in this section. The size and number of stages, horsepower of the motor and pumping head are the key components relating to the pump's lifting capacity.



VERTICAL TURBINE INSTALLATION DIAGRAM

### Vertical Turbine Pump

*Vertical turbine pumps* are commonly used in groundwater wells but also in many other applications. These pumps are driven by a shaft rotated by a motor that is usually on the surface. The shaft turns the impellers within the pump housing while the water moves up the column.

This type of pumping system is also called a *line-shaft turbine*. The rotating shaft in a line shaft turbine is actually housed within the column pipe that delivers the water to the surface. The size of the column, impeller, and bowls are selected based on the desired pumping rate and lift requirements.

Column pipe sections can be threaded or coupled together while the drive shaft is coupled and suspended within the column by *spider bearings*. The spider bearings provide both a seal at the column pipe joints and keep the shaft aligned within the column. The water passing through the column pipe serves as the lubricant for the bearings. Some vertical turbines are lubricated by oil rather than water. These pumps are essentially the same as water lubricated units; only the drive shaft is enclosed within an *oil tube*.

Food grade oil is supplied to the tube through a gravity feed system during operation. The oil tube is suspended within the column by *spider flanges*, while the line shaft is supported within the oil tube by *brass or redwood bearings*. A continuous supply of oil lubricates the drive shaft as it proceeds downward through the oil tube.

A small hole located at the top of the pump bow unit allows excess oil to enter the well. This results in the formation of an oil film on the water surface within oil-lubricated wells. Careful operation of oil lubricated turbines is needed to ensure that the pumping levels do not drop enough to allow oil to enter the pump. Both water and oil lubricated turbine pump units can be driven by electric or fuel powered motors.

Most installations use an electric motor that is connected to the drive shaft by a keyway and nut. However, where electricity is not readily available, fuel powered engines may be connected to the drive shaft by a right angle drive gear. Also, both oil and water lubricated systems will have a strainer attached to the intake to prevent sediment from entering the pump.

When the line shaft turbine is turned off, water will flow back down the column, turning the impellers in a reverse direction. A pump and shaft can easily be broken if the motor were to turn on during this process. This is why a *time delay* or *ratchet* assembly is often installed on these motors to either prevent the motor from turning on before reverse rotation stops or simply not allow it to reverse at all.

### **Three Main Types of Diaphragm Pumps**

In the first type, the diaphragm is sealed with one side in the fluid to be pumped, and the other in air or hydraulic fluid. The diaphragm is flexed, causing the volume of the pump chamber to increase and decrease. A pair of non-return check valves prevents reverse flow of the fluid.

### **Volumetric Positive Displacement**

The second type of diaphragm pump also works with volumetric positive displacement, but differs in that the prime mover of the diaphragm is neither oil nor air; but is electro-mechanical, working through a crank or geared motor drive. This method flexes the diaphragm through simple mechanical action, and one side of the diaphragm is open to air. The third type of diaphragm pump has one or more unsealed diaphragms with the fluid to be pumped on both sides.

The diaphragm(s) again are flexed, causing the volume to change. When the volume of a chamber of either type of pump is increased (the diaphragm moving up), the pressure decreases, and fluid is drawn into the chamber.

When the chamber pressure later increases from decreased volume (the diaphragm moving down), the fluid previously drawn in is forced out. Finally, the diaphragm moving up once again draws fluid into the chamber, completing the cycle. This action is similar to that of the cylinder in an internal combustion engine.

## **Cavitation**

Cavitation is defined as the phenomenon of formation of vapor bubbles of a flowing liquid in a region where the pressure of the liquid falls below its vapor pressure. Cavitation is usually divided into two classes of behavior: inertial (or transient) cavitation and non-inertial cavitation. Inertial cavitation is the process where a void or bubble in a liquid rapidly collapses, producing a shock wave.

Such cavitation often occurs in pumps, propellers, impellers, and in the vascular tissues of plants. Non-inertial cavitation is the process in which a bubble in a fluid is forced to oscillate in size or shape due to some form of energy input, such as an acoustic field. Such cavitation is often employed in ultrasonic cleaning baths and can also be observed in pumps, propellers etc.

Cavitation is, in many cases, an undesirable occurrence. In devices such as propellers and pumps, cavitation causes a great deal of noise, damage to components, vibrations, and a loss of efficiency. When the cavitation bubbles collapse, they force liquid energy into very small volumes, thereby creating spots of high temperature and emitting shock waves, the latter of which are the source of a rattling noise.

The noise created by cavitation is a particular problem for military submarines, as it increases the chances of being detected by passive sonar. Although the collapse of a cavity is a relatively low-energy event, highly localized collapses can erode metals, such as steel, over time. The pitting caused by the collapse of cavities produces great wear on components and can dramatically shorten a propeller's or pump's lifetime. After a surface is initially affected by cavitation, it tends to erode at an accelerating pace.

The cavitation pits increase the turbulence of the fluid flow and create crevasses that act as nucleation sites for additional cavitation bubbles. The pits also increase the component's surface area and leave behind residual stresses. This makes the surface more prone to stress corrosion.

## **Impeller**

An impeller is a rotating component of a centrifugal pump, usually made of iron, steel, aluminum or plastic, which transfers energy from the motor that drives the pump to the fluid being pumped by accelerating the fluid outwards from the center of rotation.

The velocity achieved by the impeller transfers into pressure when the outward movement of the fluid is confined by the pump casing. Impellers are usually short cylinders with an open inlet (called an eye) to accept incoming fluid, vanes to push the fluid radially, and a splined center to accept a driveshaft.

<b>Factor</b>	<b>Dynamic (Centrifugal) Pump</b>	<b>Positive Displacement Pump</b>
Mechanics	Impellers pass on velocity from the motor to the liquid which helps move the fluid to the discharge port (produces flow by creating pressure).	Traps confined amounts of liquid and forces it from the suction to the discharge port (produces pressure by creating flow).
Performance	Flow rate varies with a change in pressure.	Flow rate remains constant with a change in pressure.
Viscosity	Flow rate rapidly decreases with increasing viscosity, even any moderate thickness, due to frictional losses inside the pump.	Due to the internal clearances high viscosities are handled easily and flow rate increases with increasing viscosity.
Efficiency	Efficiency peaks at a specific pressure; any variations decrease efficiency dramatically. Does not operate well when run off the middle of the curve; can cause damage and cavitation.	Efficiency is less affected by pressure, but if anything tends to increase as pressure increases. Can be run at any point on their curve without damage or efficiency loss.
Suction Lift	Standard models cannot create suction lift, although self-priming designs are available and manometric suction lift is possible through a non-return valve on the suction line.	Create a vacuum on the inlet side, making them capable of creating suction lift.
Shearing	High-speed motor leads to shearing of liquids. Not good for shear sensitive mediums.	Low internal velocity means little shear is applied to the pumped medium. Ideal for shear sensitive fluids.

# Submersible Pump

## Introduction

Submersible pumps are in essence very similar to turbine pumps. They both use impellers rotated by a shaft within the bowls to pump water. However, the pump portion is directly connected to the motor.

The pump shaft has a keyway in which the splined motor end shaft inserts. The water-tight motor is bolted to the pump housing. The pump's intake is located between the motor and the pump and is normally screened to prevent sediment from entering the pump and damaging the impellers.

The efficient cooling of submersible motors is very important, so these types of pumps are often installed such that flow through the well screen can occur upwards past the motor and into the intake. If the motor end is inserted below the screened interval or below all productive portions of the aquifer, it will not be cooled, resulting in premature motor failure.

Some pumps may have *pump shrouds* installed on them to force all the water to move past the motor to prevent overheating.

The shroud is a piece of pipe that attaches to the pump housing with an open end below the motor. As with turbine pumps, the size of the bowls and impellers, number of stages, and horsepower of the motor are adjusted to achieve the desired production rate within the limitations of the pumping head.



Insertion of motor spline into the pump keyway.

Left- Cut away of a small turbine pump without the motor.

## Key Pump Words

**NPSH:** Net positive suction head - related to how much suction lift a pump can achieve by creating a partial vacuum. Atmospheric pressure then pushes liquid into the pump. A method of calculating if the pump will work or not in a given application.

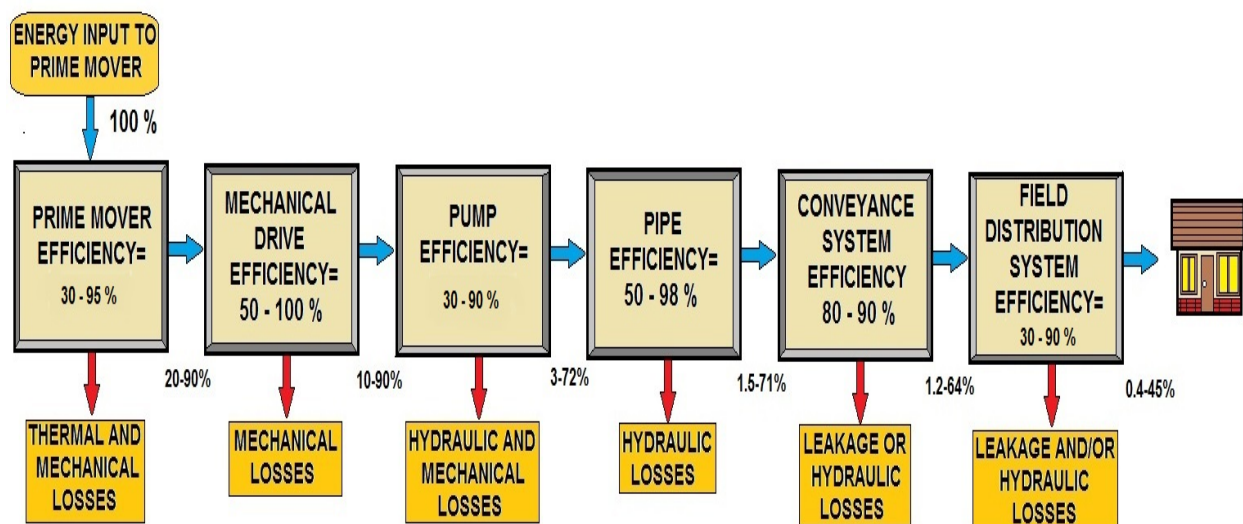
**S.G.:** Specific gravity. The weight of liquid in comparison to water at approx. 20 degrees C, when it has a SG = 1.

**Specific Speed:** A number which is the function of pump flow, head, efficiency etc. Not used in day to day pump selection, but very useful, as pumps with similar specific speed will have similar shaped curves, similar efficiency / NPSH / solids handling characteristics.

**Vapor Pressure:** If the vapor pressure of a liquid is greater than the surrounding air pressure, the liquid will boil.

**Viscosity:** A measure of a liquid's resistance to flow. i.e.: how thick it is. The viscosity determines the type of pump used, the speed it can run at, and with gear pumps, the internal clearances required.

**Friction Loss:** The amount of pressure / head required to 'force' liquid through pipe and fittings.



SYSTEM ENERGY EFFICIENCY LOSSES DIAGRAM



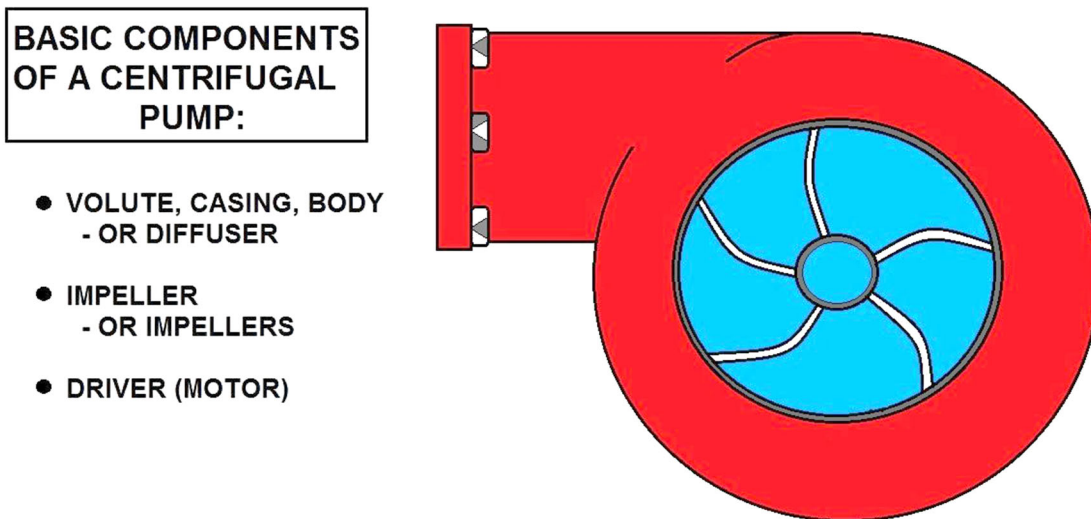
## Centrifugal Pump Sub-Section

By definition, a centrifugal pump is a machine, a machine that imparts energy to a fluid. This energy infusion can cause a liquid to flow, rise to a higher level, or both.

The centrifugal pump is an extremely simple machine. It is a member of a family known as rotary machines and consists of two basic parts: 1) the rotary element or impeller and 2) the stationary element or casing (volute). The figure at the bottom of the page is a cross section of a centrifugal pump and shows the two basic parts.

In operation, a centrifugal pump “slings” liquid out of the impeller via centrifugal force. One fact that must always be remembered: A pump does not create pressure, it only provides flow. Pressure is just an indication of the amount of resistance to flow.

Centrifugal pumps may be classified in several ways. For example, they may be either SINGLE STAGE or MULTI-STAGE. A single-stage pump has only one impeller. A multi-stage pump has two or more impellers housed together in one casing.



### BASICS OF A CENTRIFUGAL PUMP

As a rule, each impeller acts separately, discharging to the suction of the next stage impeller. This arrangement is called series staging. Centrifugal pumps are also classified as horizontal or vertical, depending upon the position of the pump shaft.

#### Impellers

The impellers used on centrifugal pumps may be classified as *single suction* or *double suction*. The single-suction impeller allows liquid to enter the eye from one side only. The double-suction impeller allows liquid to enter the eye from two directions.

Impellers are also classified as *closed* or *open*. Closed impellers have side walls that extend from the eye to the outer edge of the vane tips. Open impellers do not have these side walls. Some small pumps with single-suction impellers have only a casing wearing ring and no impeller ring. In this type of pump, the casing wearing ring is fitted into the end plate.

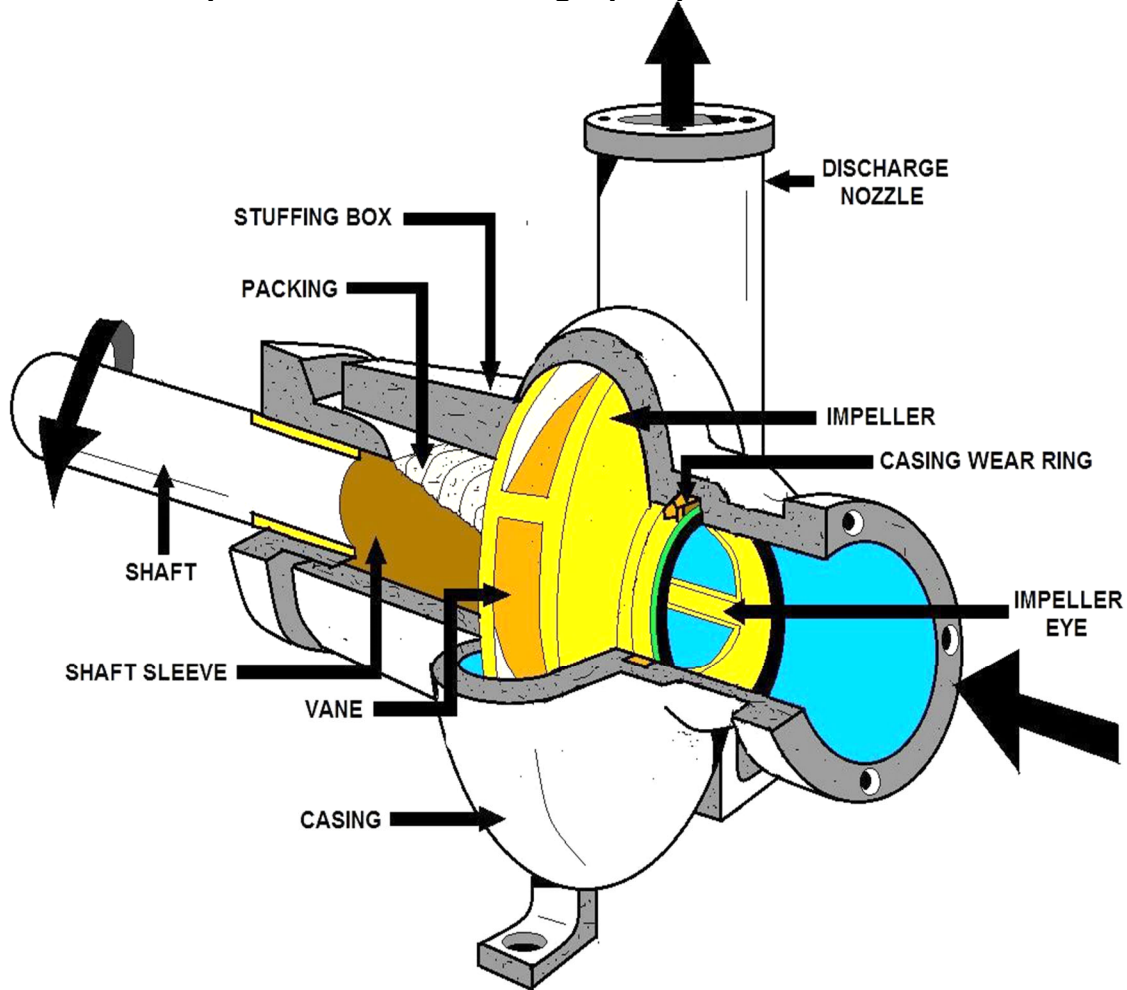
Recirculation lines are installed on some centrifugal pumps to prevent the pumps from overheating and becoming vapor bound, in case the discharge is entirely shut off or the flow of fluid is stopped for extended periods.

Seal piping is installed to cool the shaft and the packing, to lubricate the packing, and to seal the rotating joint between the shaft and the packing against air leakage. A lantern ring spacer is inserted between the rings of the packing in the stuffing box.

Seal piping leads the liquid from the discharge side of the pump to the annular space formed by the lantern ring. The web of the ring is perforated so that the water can flow in either direction along the shaft (between the shaft and the packing).

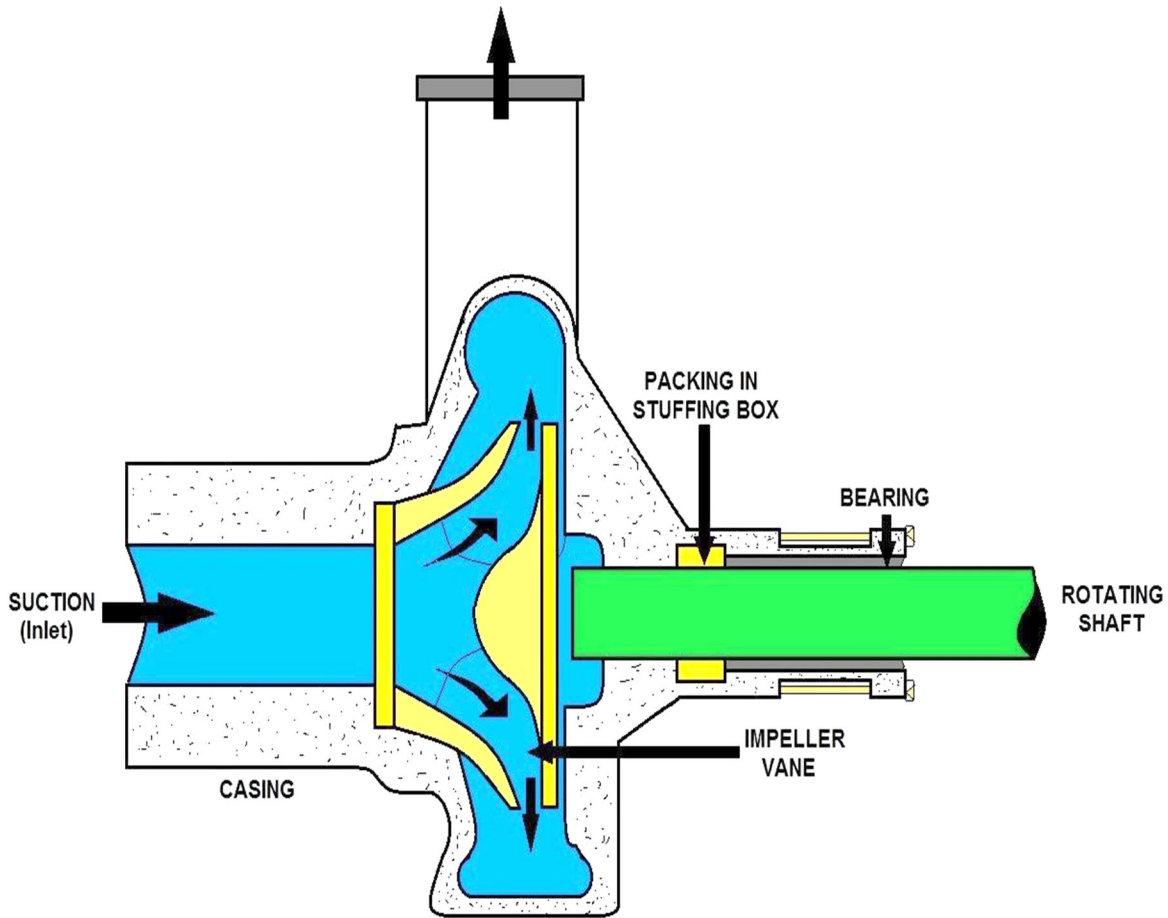
Water flinger rings are fitted on the shaft between the packing gland and the pump bearing housing. These flingers prevent water in the stuffing box from flowing along the shaft and entering the bearing housing.

**Look at the components of the centrifugal pump.**

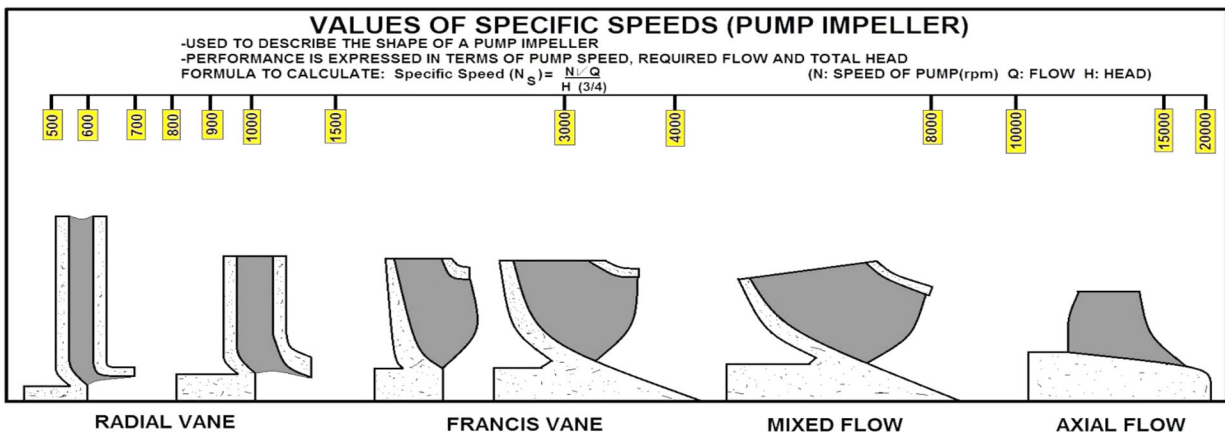


**CENTRIFUGAL PUMP BREAKDOWN DIAGRAM #1**

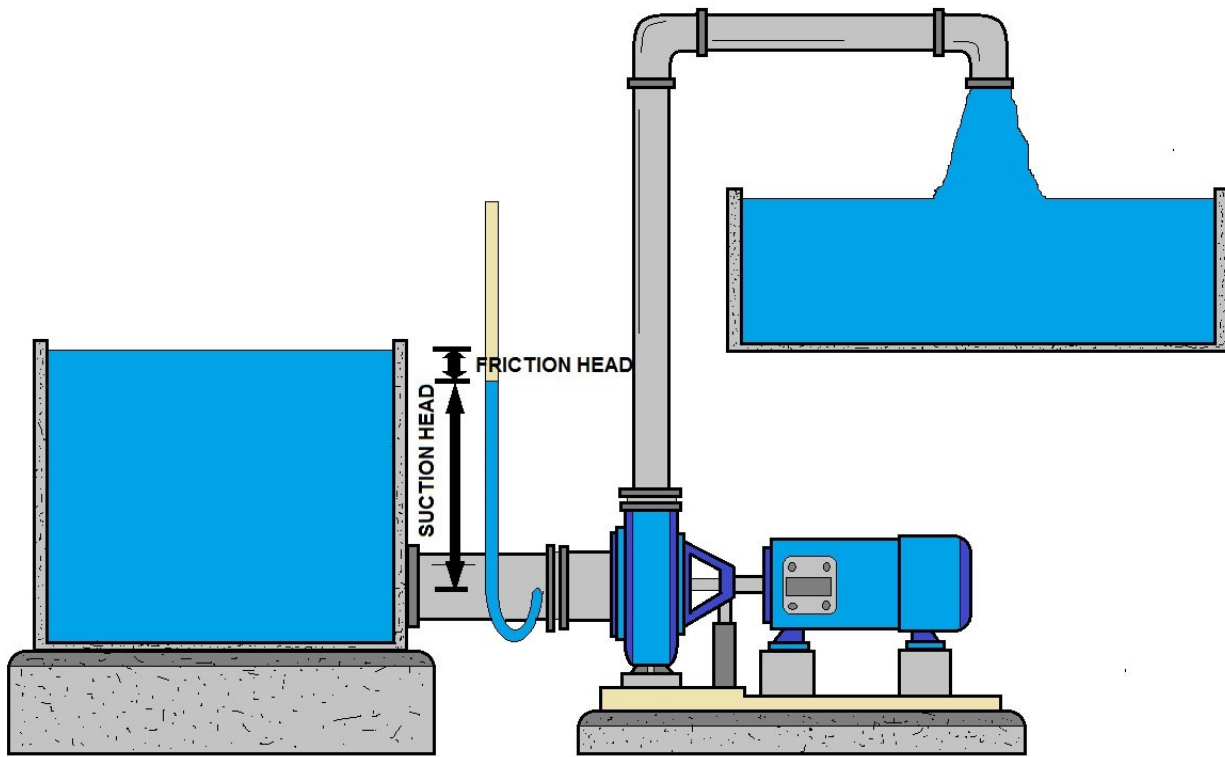
As the impeller rotates, it sucks the liquid into the center of the pump and throws it out under pressure through the outlet. The casing that houses the impeller is referred to as the volute, the impeller fits on the shaft inside. The volute has an inlet and outlet that carries the water as shown below.



**CENTRIFUGAL PUMP BREAKDOWN DIAGRAM #2**



**IMPELLER SPECIFIC SPEEDS - IMPELLER VANE CROSS SECTION**



**SUCTION HEAD (Suction Lift)**

## NPSH - Net Positive Suction Head

A pump creates a partial vacuum and atmospheric pressure forces water into the suction of the pump, and NPSH describes the concept. NPSH(r) is the Net Positive Suction Head Required by the pump, which is read from the pump performance curve. (Think of NPSH(r) as friction loss caused by the entry to the pump suction.)

NPSH (a) is the Net Positive Suction Head Available, which is calculated as follows:

$$\text{NPSH (a)} = p + s - v - f$$

Where:

'p'= atmospheric pressure,

's'= static suction (If liquid is below pump, it is shown as a negative value)

'v'= liquid vapor pressure

'f'= friction loss

NPSH (a) must exceed NPSH(r) to allow pump operation without cavitation. (It is advisable to allow approximately 1 meter difference for most installations.) The other important fact to remember is that water will boil at much less than 100 deg C<sup>o</sup> if the pressure acting on it is less than its vapor pressure, i.e. water at 95 deg C is just hot water at sea level, but at 1500m above sea level it is boiling water and vapor.

The vapor pressure of water at 95 degrees C is 84.53 kPa, there was enough atmospheric pressure at sea level to contain the vapor, but once the atmospheric pressure is dropped at a higher elevation, the vapor is able to escape. This is why vapor pressure is always considered in NPSH calculations when temperatures exceed 30 to 40 degrees C.

## Suction Lift

Suction conditions are some of the most important factors affecting centrifugal pump operation. If they are ignored during the design or installation stages of an application, they will probably come back to haunt you.

A pump cannot pull or "suck" a liquid up its suction pipe because liquids do not exhibit tensile strength. Therefore, they cannot transmit tension or be pulled. When a pump creates a suction, it is simply reducing local pressure by creating a partial vacuum. Atmospheric or some other external pressure acting on the surface of the liquid pushes the liquid up the suction pipe into the pump.

Atmospheric pressure at sea level is called absolute pressure (PSIA) because it is a measurement using absolute zero (a perfect vacuum) as a base. If pressure is measured using atmospheric pressure as a base it is called gauge pressure (PSIG or simply PSI).

Atmospheric pressure, as measured at sea level, is 14.7 PSIA. In feet of head it is:

$$\text{Head} = \text{PSI} \times 2.31 / \text{Specific Gravity}$$

**For Water it is:**

$$\text{Head} = 14.7 \times 2.31 / 1.0 = 34 \text{ Ft}$$

Thus, 34 feet is the theoretical maximum suction lift for a pump pumping cold water at sea level. No pump can attain a suction lift of 34 ft; however, well designed ones can reach 25 ft quite easily.

You will note, from the equation above, that specific gravity can have a major effect on suction lift. For example, the theoretical maximum lift for brine (Specific Gravity = 1.2) at sea level is 28 ft. The realistic maximum is around 20ft. Remember to always factor in specific gravity if the liquid being pumped is anything but clear, cold (68 degrees F) water.

In addition to pump design and suction piping, there are two physical properties of the liquid being pumped that affect suction lift:

**1) Maximum suction lift** is dependent upon the pressure applied to the surface of the liquid at the suction source. Maximum suction lift decreases as pressure decreases.

**2) Maximum suction lift** is dependent upon the vapor pressure of the liquid being pumped. The vapor pressure of a liquid is the pressure necessary to keep the liquid from vaporizing (boiling) at a given temperature. Vapor pressure increases as liquid temperature increases. Maximum suction lift decreases as vapor pressure rises.

It follows then, that the maximum suction lift of a centrifugal pump varies inversely with altitude. Conversely, maximum suction lift will increase as the external pressure on its source increases (for example: a closed pressure vessel).

## **Cavitation - Two Main Causes:**

A. NPSH (r) EXCEEDS NPSH (a)

Due to low pressure the water vaporizes (boils), and higher pressure implodes into the vapor bubbles as they pass through the pump, causing reduced performance and potentially major damage.

B. Suction or discharge recirculation. The pump is designed for a certain flow range, if there is not enough or too much flow going through the pump, the resulting turbulence and vortexes can reduce performance and damage the pump.

## **Affinity Laws**

The Centrifugal Pump is a very capable and flexible machine. Because of this it is unnecessary to design a separate pump for each job. The performance of a centrifugal pump can be varied by changing the impeller diameter or its rotational speed. Either change produces approximately the same results. Reducing impeller diameter is probably the most common change and is usually the most economical. The speed can be altered by changing pulley diameters or by changing the speed of the driver. In some cases both speed and impeller diameter are changed to obtain the desired results.

When the driven speed or impeller diameter of a centrifugal pump changes, operation of the pump changes in accordance with three fundamental laws. These laws are known as the "Laws of Affinity". They state that:

- 1) Capacity varies directly as the change in speed
- 2) Head varies as the square of the change in speed
- 3) Brake horsepower varies as the cube of the change in speed

**If, for example, the pump speed were doubled:**

- 1) Capacity will double
- 2) Head will increase by a factor of 4 (2 to the second power)
- 3) Brake horsepower will increase by a factor of 8 (2 to the third power)

These principles apply regardless of the direction (up or down) of the speed or change in diameter.

Consider the following example. A pump operating at 1750 RPM, delivers 210 GPM at 75' TDH, and requires 5.2 brake horsepower. What will happen if the speed is increased to 2000 RPM? First we find the speed ratio.

$$\text{Speed Ratio} = 2000/1750 = 1.14$$

**From the Laws of Affinity:**

1) Capacity varies directly or:  
 $1.14 \times 210 \text{ GPM} = 240 \text{ GPM}$

2) Head varies as the square or:  
 $1.14 \times 1.14 \times 75 = 97.5' \text{ TDH}$

3) BHP varies as the cube or:  
 $1.14 \times 1.14 \times 1.14 \times 5.2 = 7.72 \text{ BHP}$

Theoretically the efficiency is the same for both conditions. By calculating several points a new curve can be drawn.

Whether it be a speed change or change in impeller diameter, the Laws of Affinity give results that are approximate. The discrepancy between the calculated values and the actual values obtained in test are due to hydraulic efficiency changes that result from the modification. The Laws of Affinity give reasonably close results when the changes are not more than 50% of the original speed or 15% of the original diameter.

**Affinity Laws - Centrifugal Pumps**

If the speed or impeller diameter of a pump changes, we can calculate the resulting performance change using:

**Affinity laws**

- a. The flow changes proportionally to speed  
i.e.: double the speed / double the flow
- b. The pressure changes by the square of the difference  
i.e.: double the speed / multiply the pressure by 4
- c. The power changes by the cube of the difference  
i.e.: double the speed / multiply the power by 8

**Notes:**

1. These laws apply to operating points at the same efficiency.
2. Variations in impeller diameter greater than 10% are hard to predict due to the change in relationship between the impeller and the casing. For rough calculations you can adjust a duty point or performance curve to suit a different speed. NPSH (r) is affected by speed / impeller diameter change = **DANGER!**

## Pump Casing Sub-Section

There are many variations of centrifugal pumps. The most common type is an end suction pump. Another type of pump used is the split case. There are many variations of split case, such as; two-stage, single suction, and double suction. Most of these pumps are horizontal.

There are variations of vertical centrifugal pumps. The line shaft turbine is really a multistage centrifugal pump.

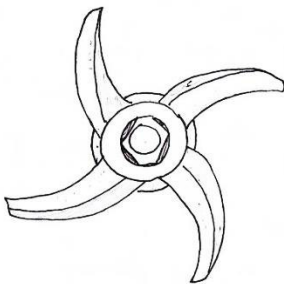
### Impeller

In most centrifugal pumps, the impeller looks like a number of cupped vanes on blades mounted on a disc or shaft. Notice in the picture below how the vanes of the impeller force the water into the outlet of the pipe.

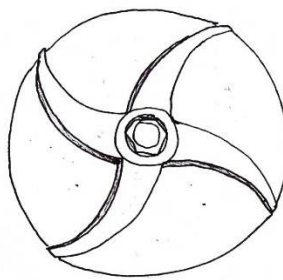
The shape of the vanes of the impeller is important. As the water is being thrown out of the pump, this means you can run centrifugal pumps with the discharged valve closed for a **SHORT** period of time. Remember the motor sends energy along the shaft, and if the water is in the volute too long it will heat up and create steam. Not good!

***Impellers are designed in various ways. We will look at:***

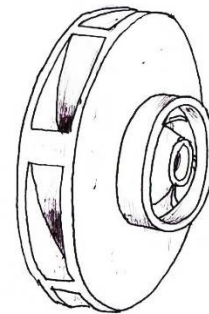
- Closed impellers
- Semi-open impellers
- Opened impellers, and
- Recessed impellers



**OPEN**



**SEMI-OPEN**



**CLOSED**

### IMPELLER TYPES

The impellers all cause a flow from the eye of the impeller to the outside of the impeller. These impellers cause what is called radial flow, and they can be referred to as radial flow impellers.

The critical distance of the impeller and how it is installed in the casing will determine if it is high volume / low pressure or the type of liquid that could be pumped.

**Axial flow** impellers look like a propeller and create a flow that is parallel to the shaft.



## Packing Seals



**PUMP PACKING BOX WITH ADJUSTMENT LOCK NUTS**

### **How can we prevent the water from leaking along the shaft?**

A special seal is used to prevent liquid leaking out along the shaft. There are two types of seals commonly used:

- **Packing seal**
- **Mechanical seal**

### **Leakage**

During pump operation, a certain amount of leakage around the shafts and casings normally takes place.

### **This leakage must be controlled for two reasons:**

- (1) to prevent excessive fluid loss from the pump, and
- (2) to prevent air from entering the area where the pump suction pressure is below atmospheric pressure.

The amount of leakage that can occur without limiting pump efficiency determines the type of shaft sealing selected. Shaft sealing systems are found in every pump. They can vary from simple packing to complicated sealing systems.

Packing is the most common and oldest method of sealing. Leakage is checked by the compression of packing rings that causes the rings to deform and seal around the pump shaft and casing.

The packing is lubricated by liquid moving through a lantern ring in the center of the packing. The sealing slows down the rate of leakage. It does not stop it completely, since a certain amount of leakage is necessary during operation. Mechanical seals are rapidly replacing conventional packing on centrifugal pumps.

**Some of the reasons for the use of mechanical seals are as follows:**

1. Leaking causes bearing failure by contaminating the oil with water. This is a major problem in engine-mounted water pumps.
2. Properly installed mechanical seals eliminate leakoff on idle (vertical) pumps. This design prevents the leak (water) from bypassing the water flinger and entering the lower bearings.

**Leakoff causes two types of seal leakage:**

- a. Water contamination of the engine lubrication oil.
- b. Loss of treated fresh water that causes scale buildup in the cooling system.

**Lantern Rings**

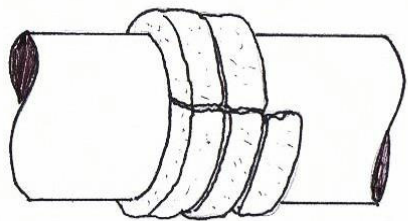
Lantern rings are used to supply clean water along the shaft. This helps to prevent grit and air from reaching the area. Another component is the slinger ring. The slinger ring is an important part of the pump because it is used to protect the bearings. Other materials can be used to prevent this burier.

**Wear Rings**

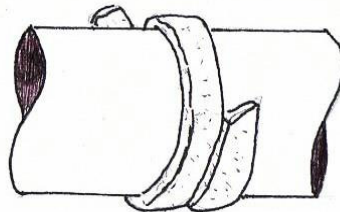
Not all centrifugal pumps have wear rings. However, when they are included, they are usually replaceable. Wear rings can be located on the suction side and head side of the volute. Wear rings could be made of the same metal but of different alloys. The wear ring on the head side is usually a harder alloy. It's called a "WEAR RING" and what would be the purpose?



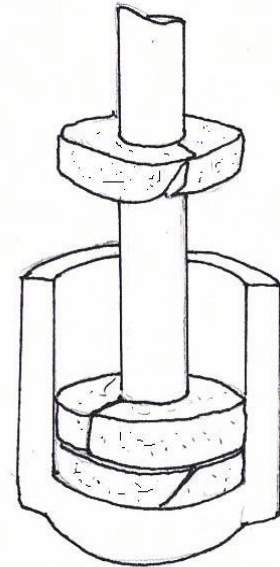
Finger is shown pointing to a Lantern Ring. This old school method of sealing a pump is still out there. Notice the packing on both sides of the ring. The packing joints need to be staggered and the purpose of this device is to allow pressurized cooling water to the Stuffing Box.



**INCORRECT**  
(butt joint)

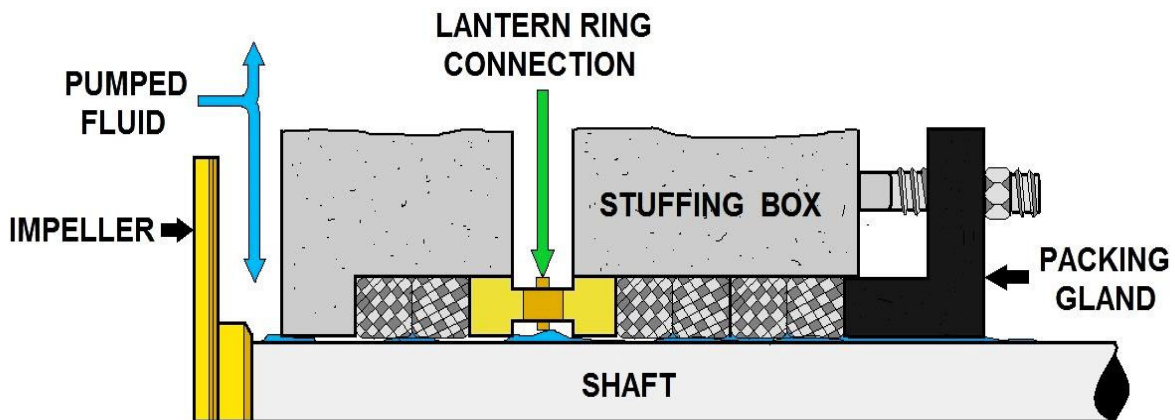


**CORRECT**  
(skive joint)  
(stagger @ 45 degree angle)



### Skive Packing Procedure

**Install one ring at a time:** Make sure it is clean, and has not picked up any dirt in handling. If desired, lubricate the shaft inside of the stuffing box. Seat rings firmly (except PTFE filament and Graphite yarn packing, which should be snugged up very gently, then tightened gradually after the pump is on stream). Joints of successive rings should be staggered and kept at least 90° apart. Each individual ring should be firmly seated with a tamping tool. When enough rings have been individually seated so that the nose of the gland will reach them, individual tamping should be supplemented by the gland.



**LANTERN RING BETWEEN PACKING FOR COOL / CLEAN FLUID BARRIER**



## Mechanical Seals

Mechanical seals are rapidly replacing conventional packing as the means of controlling leakage on rotary and positive-displacement pumps. Mechanical seals eliminate the problem of excessive stuffing box leakage, which causes failure of pump and motor bearings and motor windings.

### Mechanical Seals

There are many types of mechanical seals. The photograph below illustrates the basic components of a mechanical seal. Similar to the packing seal, clean water is fed at a pressure greater than that of the liquid being pumped. There is little or no leakage through the mechanical seal. The wearing surface must be kept extremely clean. Even fingerprints on the wearing surface can introduce enough dirt to cause problems.

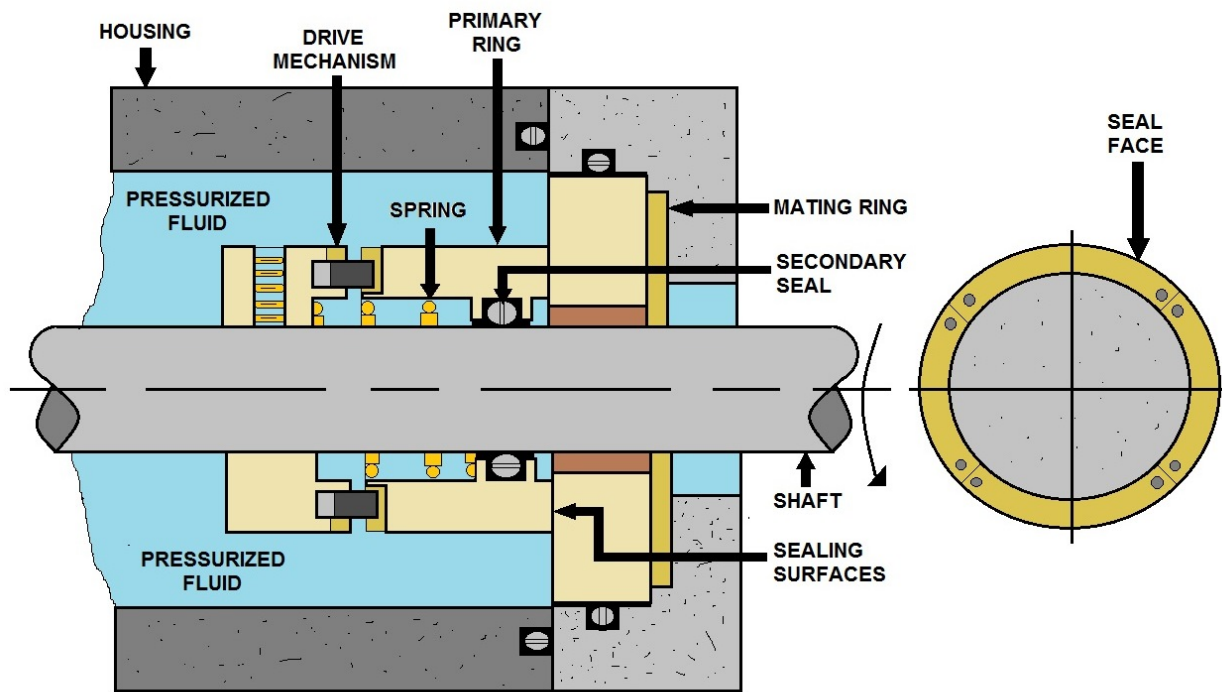


### MECHANICAL SEALS

Mechanical seals are ideal for pumps that operate in closed systems (such as fuel service and air-conditioning, chilled-water, and various cooling systems). They not only conserve the fluid being pumped, but also improve system operation.

The type of material used for the seal faces will depend upon the service of the pump. Most water service pumps use a carbon material for one of the seal faces and ceramic (tungsten carbide) for the other. When the seals wear out, they are simply replaced.

You should replace a mechanical seal whenever the seal is removed from the shaft for any reason, or whenever leakage causes undesirable effects on equipment or surrounding spaces. Do not touch a new seal on the sealing face because body acid and grease or dirt will cause the seal to pit prematurely and leak.



## MECHANICAL SEAL

### Stub or Step Sleeves

Mechanical shaft seals are positioned on the shaft by stub or step sleeves. Mechanical shaft seals must not be positioned by setscrews. Shaft sleeves are chamfered (beveled) on the outboard ends for easy mechanical seal mounting.

Mechanical shaft seals serve to ensure that position liquid pressure is supplied to the seal faces under all conditions of operation. They also ensure adequate circulation of the liquid at the seal faces to minimize the deposit of foreign matter on the seal parts.

## Motor Coupling Sub-Section

The pump coupling serves two main purposes:

- It couples or joins the two shafts together to transfer the rotation from motor to impeller.
- It compensates for small amounts of misalignment between the pump and the motor.

Remember that any coupling is a device in motion. If you have a 4-inch diameter coupling rotating at 1800 rpm, its outer surface is traveling about 20 mph. With that in mind, can you think of safety considerations?

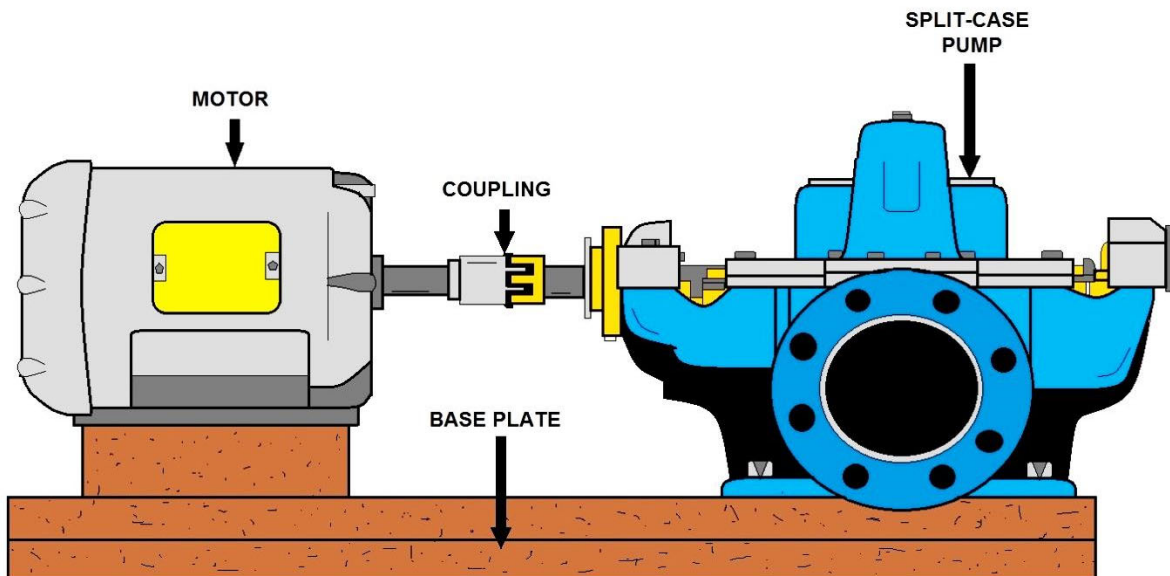
There are three commonly used types of couplings: **Rigid, Flexible and V-belts**.

### Rigid Coupling

Rigid couplings are most commonly used on vertically mounted pumps. The rigid coupling is usually specially keyed or constructed for joining the coupling to the motor shaft and the pump shaft. There are two types of rigid couplings: the flanged coupling, and the split coupling.

### Flexible Coupling

The flexible coupling provides the ability to compensate for small shaft misalignments. Shafts should be aligned as close as possible, regardless. The greater the misalignment, the shorter the life of the coupling. Bearing wear and life are also affected by misalignment.



**FLEXIBLE COUPLED PUMP AND MOTOR**

## Alignment of Flexible and Rigid Couplings

Both flexible and rigid couplings must be carefully aligned before they are connected.

Misalignment will cause excessive heat and vibration, as well as bearing wear. Usually, the noise from the coupling will warn you of shaft misalignment problems.

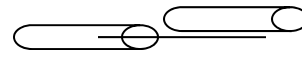
**Three types of shaft alignment problems are shown in the pictures below:**



ANGULAR MISALIGNMENT



ANGULAR AND PARALLEL



PARALLEL MISALIGNMENT

Different couplings will require different alignment procedures. We will look at the general procedures for aligning shafts.

1. Place the coupling on each shaft.
2. Arrange the units so they appear to be aligned. (Place shims under the legs of one of the units to raise it.)
3. Check the run-out, or difference between the driver and driven unit, by rotating the shafts by hand.
4. Turn both units so that the maximum run-out is on top.

Now you can check the units for both parallel and angular alignment. Many techniques are used, such as: straight edge, needle deflection (dial indicators), calipers, tapered wedges, and laser alignment.

### V-Belt Drive Couplings

V-belt drives connect the pump to the motor. A pulley is mounted on the pump and motor shaft. One or more belts are used to connect the two pulleys. Sometimes a separately mounted third pulley is used. This idler pulley is located off centerline between the two pulleys, just enough to allow tensioning of the belts by moving the idler pulley. An advantage of driving a pump with belts is that various speed ratios can be achieved between the motor and the pump. Alignment is still important, but less critical using v-belts.

### Shaft Bearings

There are three types of bearings commonly used: ball bearings, roller bearings, and sleeve bearings. Regardless of the particular type of bearings used within a system--whether it is ball bearings, a sleeve bearing, or a roller bearing--the bearings are designed to carry the loads imposed on the shaft.

Bearings must be lubricated. Without proper lubrication, bearings will overheat and seize.

Proper lubrication means using the correct type and the correct amount of lubrication. Similar to motor bearings, shaft bearings can be lubricated either by oil or by grease.



## Motor Sub-Section

We will now refer to the motor, coupling, and bearings. The power source of the pump is usually an electric motor. The motor is connected by a coupling to the pump shaft. The purpose of the bearings is to hold the shaft firmly in place, yet allow it to rotate. The bearing house supports the bearings and provides a reservoir for the lubricant. An impeller is connected to the shaft. The pump assembly can be a vertical or horizontal set-up; the components for both are basically the same.

### Motors

The purpose of this discussion on pump motors is to identify and describe the main types of motors, starters, enclosures, and motor controls, as well as to provide you with some basic maintenance and troubleshooting information. Although pumps may be driven by diesel or gasoline engines, pumps driven by electric motors are commonly used in our industry.

**There are two general categories of electric motors:**

- ✱ D-C motors, or direct current
- ✱ A-C motors, or alternating current

You can expect most motors at facilities to be A-C type.

### D-C Motors

The important characteristic of the D-C motor is that its speed will vary with the amount of current used. There are many different kinds of D-C motors, depending on how they are wound and their speed/torque characteristics.



### A-C Motors

There are a number of different types of alternating current motors, such as Synchronous, Induction, wound rotor, and squirrel cage. The synchronous type of A-C motor requires complex control equipment, since they use a combination of A-C and D-C. This also means that the synchronous type of A-C motor is used in large horsepower sizes, usually above 250 HP. The induction type motor uses only alternating current. The squirrel cage motor provides a relatively constant speed. The wound rotor type could be used as a variable speed motor.

### Motor Starters

All electric motors, except very small ones such as chemical feed pumps, are equipped with starters, either full voltage or reduced voltage. This is because motors draw a much higher current when they are starting and gaining speed. The purpose of the reduced voltage starter is to prevent the load from coming on until the amperage is low enough.

### Motor Enclosures

Depending on the application, motors may need special protection. Some motors are referred to as open motors. They allow air to pass through to remove heat generated when current passes through the windings. Other motors use specific enclosures for special environments or safety protection.



## Two Types of Totally Enclosed Motors

- ☞ **TENV**, or totally enclosed non-ventilated motor
- ☞ **TEFC**, or totally enclosed fan cooled motor

Totally enclosed motors include dust-proof, water-proof and explosion-proof motors. An explosion proof enclosure must be provided on any motor where dangerous gases might accumulate.

## Motor Controls

All pump motors are provided with some method of control, typically a combination of manual and automatic. Manual pump controls can be located at the central control panel, at the pump, or at the suction or discharge points of the liquid being pumped.

There are a number of ways in which automatic control of a pump motor can be regulated:

- ☞ Pressure and vacuum sensors
- ☞ Preset time intervals
- ☞ Flow sensors
- ☞ Level sensors

Two typical level sensors are the float sensor and the bubble regulator. The float sensor is pear-shaped and hangs in the wet well. As the height increases, the float tilts, and the mercury in the glass tube flows toward the end of the tube that has two wires attached to it. When the mercury covers the wires, it closes the circuit.



For a bubbler level sensor, a low pressure air supply is allowed to escape from a bubbler pipe in the wet well. The back-pressure on the air supply will vary with the liquid level over the pipe. Sensitive air pressure switches will detect this change and use this information to control pump operation.

## Motor Maintenance

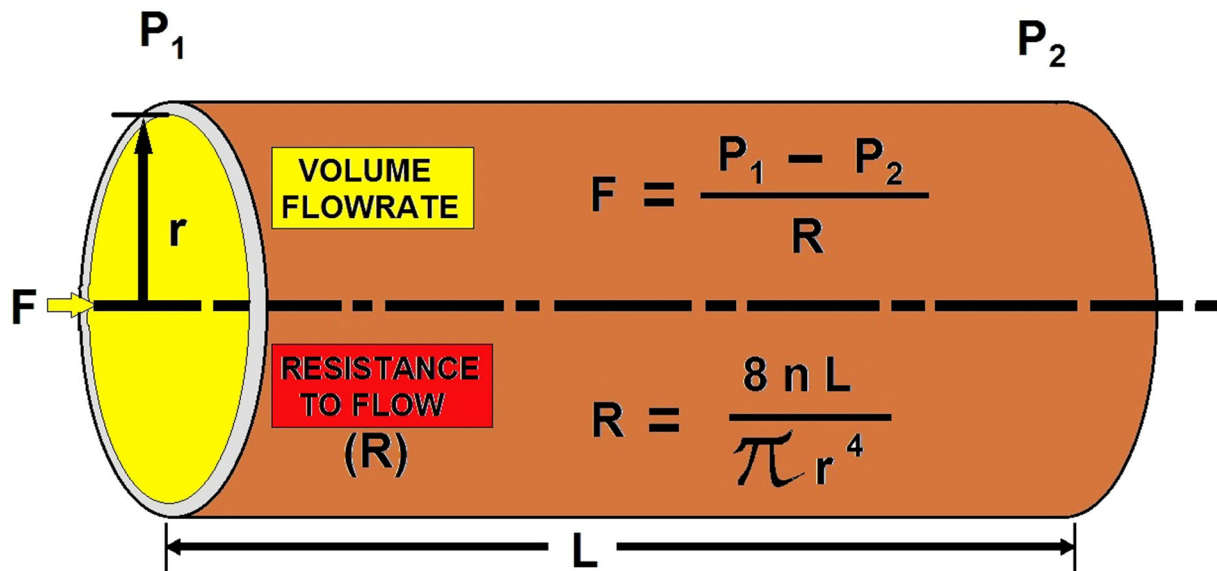
Motors should be kept clean, free of moisture, and lubricated properly. Dirt, dust, and grime will plug the ventilating spaces and can actually form an insulating layer over the metal surface of the motor.

*What condition would occur if the ventilation becomes blocked?*

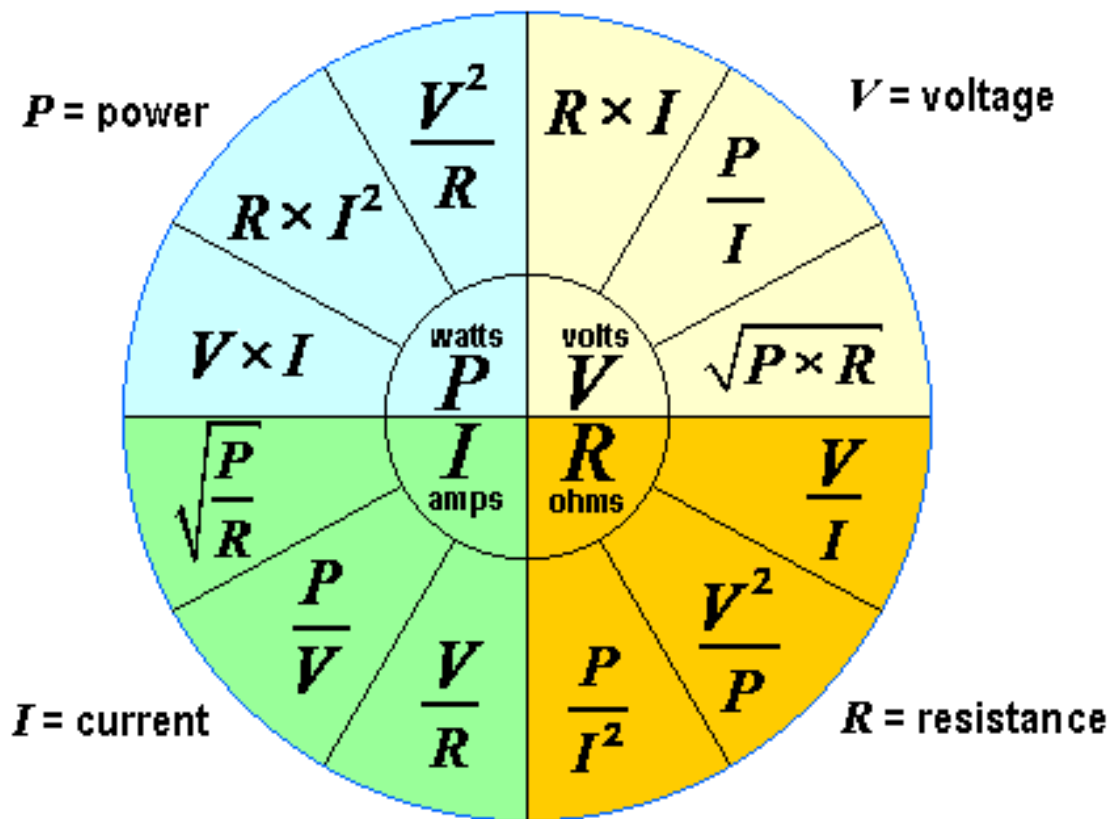


## Type of Motor Commutation

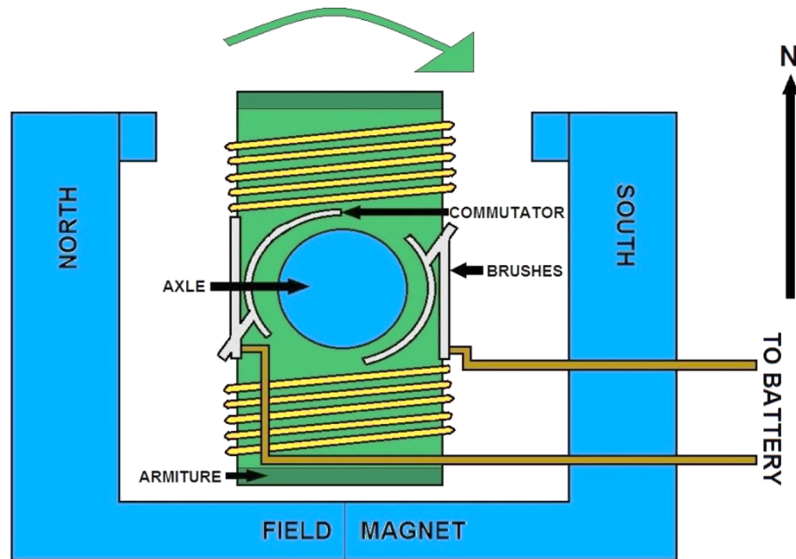
Self-Commutated		Externally Commutated		
Mechanical-Commutator Motors		Electronic-Commutator (EC) Motors	Asynchronous Machines	Synchronous Machines
AC	DC	AC	AC	
<ul style="list-style-type: none"> <li>• Universal motor (AC commutator series motor or AC/DC motor)</li> <li>• Repulsion motor</li> </ul>	<p>Electrically excited DC motor:</p> <ul style="list-style-type: none"> <li>• Separately excited</li> <li>• Series</li> <li>• Shunt</li> <li>• Compound</li> </ul> <p>PM DC motor</p>	<p>With PM rotor:</p> <ul style="list-style-type: none"> <li>• BLDC motor</li> </ul> <p>With ferromagnetic rotor:</p> <ul style="list-style-type: none"> <li>• SRM</li> </ul>	<p>Three-phase motors:</p> <ul style="list-style-type: none"> <li>• SCIM</li> <li>• WRIM</li> </ul> <p>AC motors:</p> <ul style="list-style-type: none"> <li>• Capacitor</li> <li>• Resistance</li> <li>• Split</li> <li>• Shaded-pole</li> </ul>	<p>Three-phase motors:</p> <ul style="list-style-type: none"> <li>• WRSM</li> <li>• PMSM or BLAC motor                             <ul style="list-style-type: none"> <li>○ IPMSM</li> <li>○ SPMSM</li> </ul> </li> <li>• Hybrid</li> </ul> <p>AC motors:</p> <ul style="list-style-type: none"> <li>• Permanent-split capacitor</li> <li>• Hysteresis</li> <li>• Stepper</li> <li>• SyRM</li> <li>• SyRM-PM hybrid</li> </ul>
Simple electronics	Rectifier, linear transistor(s) or DC chopper	More elaborate electronics	Most elaborate electronics (VFD), when provided	



## HAGEN-POISEULLE LAW



## Understanding Motors



The classic division of electric motors has been that of Direct Current (**DC**) types vs. Alternating Current (**AC**) types. This is more a de facto convention, rather than a rigid distinction. For example, many classic DC motors run happily on AC power.

The ongoing trend toward electronic control further muddles the distinction; as modern drivers have moved the commutator out of the motor shell. For this new breed of motor, driver circuits are relied upon to generate sinusoidal AC drive currents, or some approximation of. The two best examples are: the brushless DC motor and the stepping motor, both being polyphase AC motors requiring external electronic control.

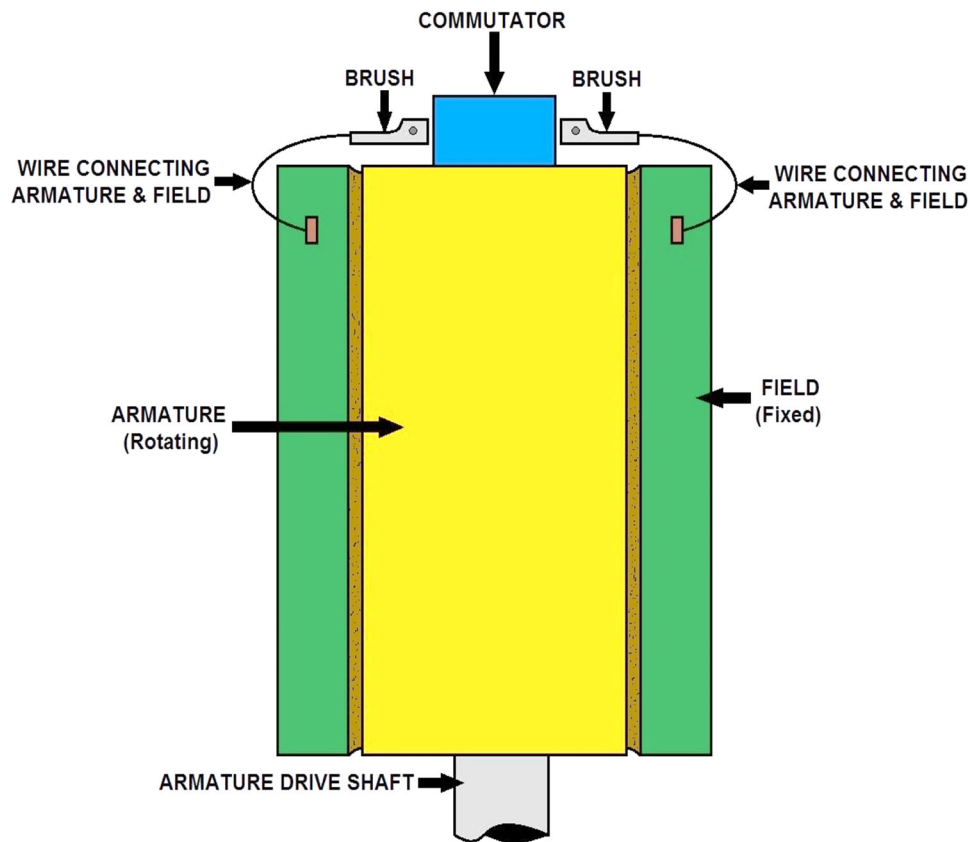
There is a clearer distinction between a synchronous motor and asynchronous types. In the synchronous types, the rotor rotates in synchrony with the oscillating field or current (e.g. permanent magnet motors). In contrast, an asynchronous motor is designed to slip; the most ubiquitous example being the common AC induction motor which must slip in order to generate torque.

A DC motor is designed to run on DC electric power. Two examples of pure DC designs are Michael Faraday's homopolar motor (which is uncommon), and the ball bearing motor, which is (so far) a novelty. By far the most common DC motor types are the brushed and brushless types, which use internal and external commutation respectively to create an oscillating AC current from the DC source -- so they are not purely DC machines in a strict sense.

### Brushed DC motors

The classic DC motor design generates an oscillating current in a wound rotor with a split ring commutator, and either a wound or permanent magnet stator. A rotor consists of a coil wound around a rotor that is then powered by any type of battery. Many of the limitations of the classic commutator DC motor are due to the need for brushes to press against the commutator. This creates friction. At higher speeds, brushes have increasing difficulty in maintaining contact. Brushes may bounce off the irregularities in the commutator surface, creating sparks. This limits the maximum speed of the machine.

The current density per unit area of the brushes limits the output of the motor. The imperfect electric contact also causes electrical noise. Brushes eventually wear out and require replacement, and the commutator itself is subject to wear and maintenance. The commutator assembly on a large machine is a costly element, requiring precision assembly of many parts.

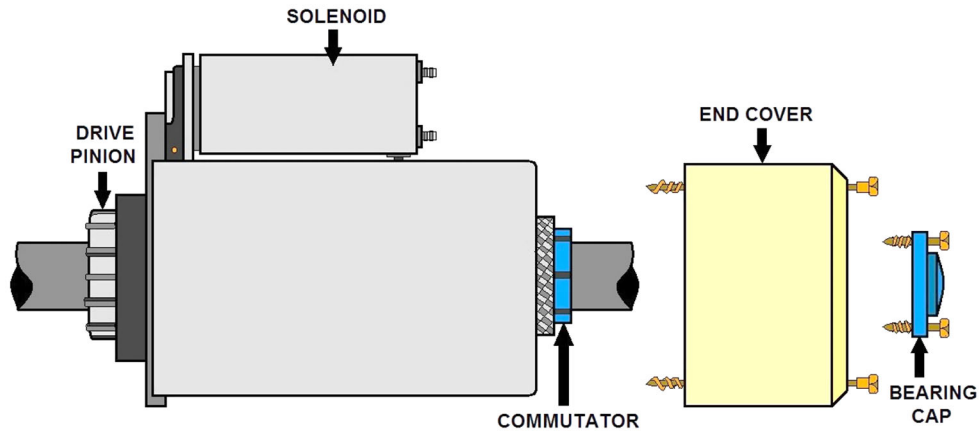


**DIAGRAM SHOWING MECHANICAL CONSTRUCTION OF A DC SERIES WOUND MOTOR**

### **Brushless DC Motors**

Some of the problems of the brushed DC motor are eliminated in the brushless design. In this motor, the mechanical "rotating switch" or commutator/brush gear assembly is replaced by an external electronic switch synchronized to the rotor's position. Brushless motors are typically 85-90% efficient, whereas DC motors with brush gear are typically 75-80% efficient.

Midway between ordinary DC motors and stepper motors lies the realm of the brushless DC motor. Built in a fashion very similar to stepper motors, these often use a permanent magnet external rotor, three phases of driving coils, one or more Hall Effect sensors to sense the position of the rotor, and the associated drive electronics. The coils are activated one phase after the other by the drive electronics, as cued by the signals from the Hall effect sensors. In effect, they act as three-phase synchronous motors containing their own variable-frequency drive electronics. Brushless DC motors are commonly used where precise speed control is necessary, as in computer disk drives or in video cassette recorders, the spindles within CD, CD-ROM (etc.) drives, and mechanisms within office products such as fans, laser printers, and photocopiers.



## STARTER MOTOR

### Universal Motors

A variant of the wound field DC motor is the universal motor. The name derives from the fact that it may use AC or DC supply current, although in practice they are nearly always used with AC supplies.

The principle is that in a wound field DC motor the current in both the field and the armature (and hence the resultant magnetic fields) will alternate (reverse polarity) at the same time, and hence the mechanical force generated is always in the same direction. In practice, the motor must be specially designed to cope with the AC current (impedance must be taken into account, as must the pulsating force), and the resultant motor is generally less efficient than an equivalent pure DC motor.

Operating at normal power line frequencies, the maximum output of universal motors is limited and motors exceeding one kilowatt are rare. But universal motors also form the basis of the traditional railway traction motor in electric railways. In this application, to keep their electrical efficiency high, they were operated from very low frequency AC supplies, with 25 Hz and 16 2/3 hertz operation being common. Because they are universal motors, locomotives using this design were also commonly capable of operating from a third rail powered by DC.

The advantage of the universal motor is that AC supplies may be used on motors which have the typical characteristics of DC motors, specifically high starting torque and very compact design if high running speeds are used. The negative aspect is the maintenance and short life problems caused by the commutator. As a result, such motors are usually used in AC devices such as food mixers and power tools which are used only intermittently.

Continuous speed control of a universal motor running on AC is very easily accomplished using a thyristor circuit, while stepped speed control can be accomplished using multiple taps on the field coil. Household blenders that advertise many speeds frequently combine a field coil with several taps and a diode that can be inserted in series with the motor (causing the motor to run on half-wave rectified AC).

## Moisture

Moisture harms the insulation on the windings to the point where they may no longer provide the required insulation for the voltage applied to the motor. In addition, moisture on windings tend to absorb acid and alkali fumes, causing damage to both insulation and metals. To reduce problems caused by moisture, the most suitable motor enclosure for the existing environment will normally be used. It is recommended to run stand by motors to dry up any condensation which accumulates in the motor.

### Motor Lubrication

Friction will cause wear in all moving parts, and lubrication is needed to reduce this friction. It is very important that all your manufacturer's recommended lubrication procedures are strictly followed. You have to be careful not to add too much grease or oil, as this could cause more friction and generate heat.

#### To grease the motor bearings, this is the usual approach:

1. Remove the protective plugs and caps from the grease inlet and relief holes.
2. Pump grease in until fresh starts coming from the relief hole.

If fresh grease does not come out of the relief hole, this could mean that the grease has been pumped into the motor windings. The motor must then be taken apart and cleaned by a qualified service representative.

#### To change the oil in an oil lubricated motor, this is the usual approach:

1. Remove all plugs and let the oil drain.
2. Check for metal shearing (pieces of metal in the oil).
3. Replace the oil drain.
4. Add new oil until it is up to the oil level plug.
5. Replace the oil level and filter plug.

Never mix oils, since the additives of different oils when combined can cause breakdown of the oil.





## Maintenance Sub-Section

### Maintenance of Centrifugal Pumps

When properly installed, maintained and operated, centrifugal pumps are usually trouble-free. Some of the most common preventative and corrective maintenance actions that you may be required to perform are discussed in the following sections.

**Repacking** - Lubrication of the pump packing is extremely important. The quickest way to wear out the packing is to forget to open the water piping to the seals or stuffing boxes. If the packing is allowed to dry out, it will score the shaft. When operating a centrifugal pump, be sure there is always a slight trickle of water coming out of the stuffing box or seal. How often the packing in a centrifugal pump should be renewed depends on several factors, such as the type of pump, condition of the shaft sleeve, and hours in use.



To ensure the longest possible service from pump packing, make certain the shaft or sleeve is smooth when the packing is removed from a gland. Rapid wear of the packing will be caused by roughness of the shaft sleeve (or shaft where no sleeve is installed). If the shaft is rough, it should be sent to the machine shop for a finishing cut to smooth the surface. If it is very rough, or has deep ridges in it, it will have to be renewed. It is absolutely necessary to use the correct packing.

When replacing packing, be sure the packing fits uniformly around the stuffing box. If you have to flatten the packing with a hammer to make it fit, **YOU ARE NOT USING THE RIGHT SIZE**. Pack the box loosely, and set up the packing gland lightly. Allow a liberal leak-off for stuffing boxes that operate above atmospheric pressure.

Next, start the pump. Let it operate for about 30 minutes before you adjust the packing gland for the desired amount of leak-off. This gives the packing time to run-in and swell. You may then begin to adjust the packing gland. Tighten the adjusting nuts one flat at a time. Wait about 30 minutes between adjustments. Be sure to tighten the same amount on both adjusting nuts. If you pull up the packing gland unevenly (or cocked), it will cause the packing to overheat and score the shaft sleeves. Once you have the desired leak-off, check it regularly to make certain that sufficient flow is maintained.

### Last Word on Mechanical Seals

Mechanical seals are rapidly replacing conventional packing as the means of controlling leakage on rotary and positive-displacement pumps. Mechanical seals eliminate the problem of excessive stuffing box leakage, which causes failure of pump and motor bearings and motor windings.

Mechanical seals are ideal for pumps that operate in closed systems (such as fuel service and air-conditioning, chilled-water, and various cooling systems). They not only conserve the fluid being pumped, but also improve system operation.



The type of material used for the seal faces will depend upon the service of the pump. Most water service pumps use a carbon material for one of the seal faces and ceramic (tungsten carbide) for the other. When the seals wear out, they are simply replaced.

You should replace a mechanical seal whenever the seal is removed from the shaft for any reason, or whenever leakage causes undesirable effects on equipment or surrounding spaces. Do not touch a new seal on the sealing face because body acid and grease or dirt will cause the seal to pit prematurely and leak.

Mechanical shaft seals are positioned on the shaft by stub or step sleeves. Mechanical shaft seals must not be positioned by setscrews. Shaft sleeves are chamfered (beveled) on outboard ends for easy mechanical seal mounting.

Mechanical shaft seals serve to ensure that liquid pressure is supplied to the seal faces under all conditions of operation. They also ensure adequate circulation of the liquid at the seal faces to minimize the deposit of foreign matter on the seal parts.

## **Pump Not Delivering Water**

If your pump is not delivering water, verify that the pump shaft is turning in the direction of the arrow on the pump casing. As viewed from the motor end, the rotation is usually clockwise, but check the startup instructions that came with the pump.

On three-phase motors, swap any two power leads to change rotation. It is recommended that a qualified electrician perform this task.

If the pump does not prime, check for air leaks on discharge valves. Many all-metal gate-type valves will not seal properly to create a vacuum. Sand or other debris lodged between the rubber flap and the valve seat will prevent check valves from sealing and forming a tight joint. See if the rubber face is cracked or chipped and not seating. Replace the gate valve or check valve. Check connections between pump and primer. On a hand primer, if grass or other debris is lodged in the check valve, air is pulled back into the pump at every stroke and the pump will not prime. After proper priming, fill the system slowly.

### **Pump Maintenance Tasks**

#### **Twice a Year:**

- Thoroughly clean suction and discharge piping and connections, removing moss and debris.
- Tighten all drain and fill plugs in the pump volute case to avoid air and water leaks. Use a pipe thread compound on all pipe threads.
- Check for cracks or holes in the pump case.
- Clean trash screening device and screens on the suction pipe.

### **Servicing Impeller and Wear Rings**

If you suspect that your pump impeller is clogged or damaged, or that the wear rings are worn, you can dismantle the pump. This will take some work and is best done in the shop. Or have a qualified pump repair shop undertake this procedure.

Always follow the directions in the manufacturer's manual, if available, instead of the following simplified directions.

- Remove suction cover or volute case.
- Remove debris from impeller and volute. Remove pebbles lodged between vanes.
- Check wear at the impeller eye and vanes. If worn, repair or replace the impeller.
- Re-machine or replace wear ring if clearance is greater than 1/32 inch per side.
- Replace suction cover or volute. Use a new gasket.

#### **On the Suction Side of Pump:**

- A well designed and screened sump that keeps trash away.
- Suction line joints that are airtight under a vacuum.
- No high spots where air can collect.
- A suction line water velocity of five feet per second (fps) or less; two to three fps is best.
- A suction entrance at least two pipe bell diameters from sump inlet.
- A suction lift (vertical distance from water surface to pump impeller) less than 15 to 20 feet.
- An eccentric reducer to keep air from becoming trapped in the reducer fitting.
- A vacuum gauge to indicate whether the primer is pulling a vacuum or just moving air through the pump.

### **On the Discharge Side of Pump:**

- A valve size that is the same diameter as the mainline.
- A non-slam check valve to prevent back spin when shutting off the pump.
- An air relief device when a buried mainline is used.
- A discharge line water velocity of less than seven fps. Five fps is best.
- An energy efficient 1800 rpm motor with a 15 percent safety factor.
- A simple shade over the motor.

### **Turbine Pump Installation**

The properly constructed well should also:

- Be at least six inches in diameter larger than the outside diameter of the well casing when a gravel pack is required.
- Have horizontal well screen slots that continue below the pumping water level. The openings should hold back at least 85 percent of the surrounding material.

### **Control Panel for Electric Motors**

The importance of a properly installed control panel cannot be overemphasized for personal safety and for protecting your investment in your pump and motor. Your control panel should:

- Have a shade over it to keep thermal breakers cool.
- Be mounted on secure poles or foundation.
- Have any missing knockout plugs and other holes in the starting switch box replaced and screened or puttied against rodents, insects, and dirt.
- Have a small hole (3/16-inch diameter) in the bottom of the panel to allow moisture to drain.

Your control panel should include the following controls at a minimum:

- Circuit breaker(s) for overload currents.
- Lightning arrester.
- Surge protector.
- Phase failure relay, to protect the motor from phase reversal or failure and from low voltage.
- A pressure switch to shut off the motor if pumping pressure drops to undesirable levels.

### **Casing**

Casing is the tubular structure that is placed in the drilled well to maintain the well opening. Along with grout, the casing also confines the ground water to its zone underground and prevents contaminants from mixing with the water. Some states or local governing agencies have laws that require minimum lengths for casing.

The most common materials for well casing are carbon steel, plastic (most commonly, but not exclusively, PVC), and stainless steel. Different geologic formations dictate what type of casing can be used. For example, parts of the country where hard rock lies underground are known strictly as “steel states.”

Residents in some areas have a choice between steel and PVC, both of which have advantages. PVC is lightweight, resistant to corrosion, and relatively easy for contractors to install. However, it is not as strong and not as resistant to heat as steel. Steel, though, is susceptible to corrosion, can have scale build-up, and can cost more than PVC. Some contractors also use concrete, fiberglass, and asbestos cement casing.

**Caps**

On the top of the casing should be an approved well cap. It should fit snugly so debris, insects, or small animals can't find their way into the well system.

Well caps are usually aluminum or a thermoplastic, and include a vented screen so that the pressure difference between the inside and outside of the well casing may be equalized when water is pumped from the well.

The casing and cap should extend at least 6 to 8 inches above the ground. If the well is near a river or stream, it should extend at least past the flood level to prevent overflows from contaminating the ground water.

**Well Screens**

Well screens are filtering devices used to prevent excess sediment from entering the well. They attach to the bottom of the casing, allowing water to move through the well, while keeping out most gravel and sand. The most popular screens are continuous slot, slotted pipe, and perforated pipe.

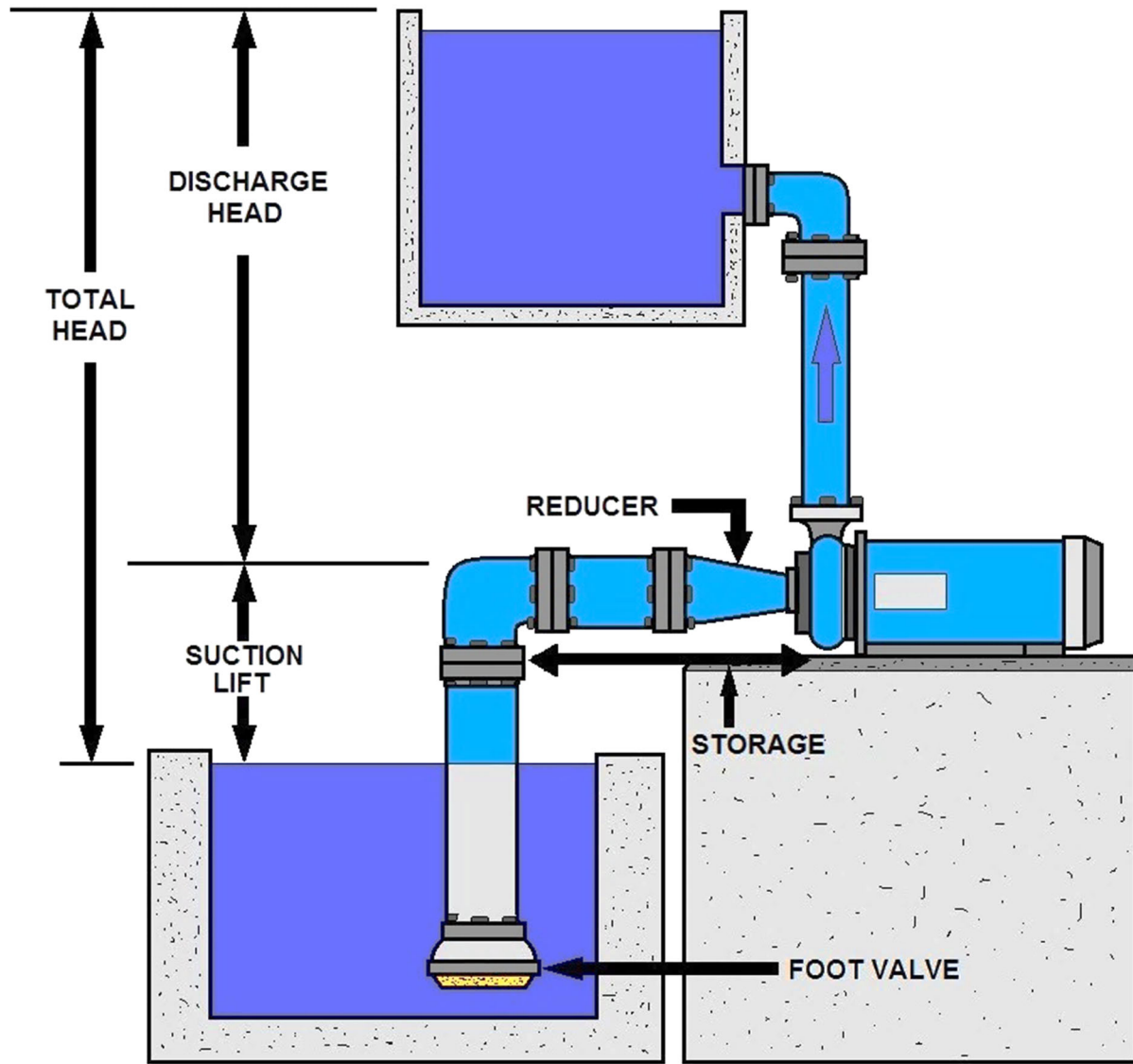
Perforated pipe is a length of casing that has holes or slots drilled into the pipe. It is not efficient for aquifers that feature a lot of sand and gravel because it has wide openings.

There is less open area in the other two types of screens. Continuous slot screens are made of wire or plastic wrapped around a series of vertical rods. Slotted pipe screens, which have the least amount of open area, feature machine-cut slots into steel or plastic casing at set distances.

**Pitless Adapters**

Pitless adapters provide wells with a sanitary — and frost-proof — seal between the well casing and the water line running to the well system owner's house.

After a frost line is determined for the area where the well is being installed, the adapter is connected to the well casing below the frost line. Water from the well is then diverted horizontally at the adapter to prevent it from freezing.



## PUMPING FACTORS

## Pump Troubleshooting Sub-Section

Some of the operating problems you may encounter with centrifugal pumps as an Operator, together with the probable causes, are discussed in the following paragraphs.

If a centrifugal pump **DOES NOT DELIVER ANY LIQUID**, the trouble may be caused by

- (1) insufficient priming;
- (2) insufficient speed of the pump;
- (3) excessive discharge pressure, such as might be caused by a partially closed valve or some other obstruction in the discharge line;
- (4) excessive suction lift;
- (5) clogged impeller passages;
- (6) the wrong direction of rotation (this may occur after motor overhaul);
- (7) clogged suction screen (if used);
- (8) ruptured suction line; or
- (9) loss of suction pressure.

If a centrifugal pump delivers some liquid but operates at **INSUFFICIENT CAPACITY**, the trouble may be caused by

- (1) air leakage into the suction line;
- (2) air leakage into the stuffing boxes in pumps operating at less than atmospheric pressure;
- (3) insufficient pump speed;
- (4) excessive suction lift;
- (5) insufficient liquid on the suction side;
- (6) clogged impeller passages;
- (7) excessive discharge pressure; or
- (8) mechanical defects, such as worn wearing rings, impellers, stuffing box packing, or sleeves.

If a pump **DOES NOT DEVELOP DESIGN DISCHARGE PRESSURE**, the trouble may be caused by

- (1) insufficient pump speed;
  - (2) air or gas in the liquid being pumped;
  - (3) mechanical defects, such as worn wearing rings, impellers, stuffing box packing, or sleeves;
- or
- (4) reversed rotation of the impeller (3-phase electric motor-driven pumps).

If a pump **WORKS FOR A WHILE AND THEN FAILS TO DELIVER LIQUID**, the trouble may be caused by

- (1) air leakage into the suction line;
- (2) air leakage in the stuffing boxes;
- (3) clogged water seal passages;
- (4) insufficient liquid on the suction side; or
- (5) excessive heat in the liquid being pumped.

If a motor-driven centrifugal pump **DRAWS TOO MUCH POWER**, the trouble will probably be indicated by overheating of the motor. The basic causes may be

- (1) operation of the pump to excess capacity and insufficient discharge pressure;
- (2) too high viscosity or specific gravity of the liquid being pumped; or
- (3) misalignment, a bent shaft, excessively tight stuffing box packing, worn wearing rings, or other mechanical defects.

**VIBRATION** of a centrifugal pump is often caused by

- (1) misalignment;
- (2) a bent shaft;
- (3) a clogged, eroded, or otherwise unbalanced impeller; or
- (4) lack of rigidity in the foundation. Insufficient suction pressure may also cause vibration and cavitation, as well as noisy operation and fluctuating discharge pressure, particularly in pumps that handle hot or volatile liquids.

If the pump fails to build up pressure when the discharge valve is opened and the pump comes up to normal operating speed, proceed as follows:

1. Shut the pump discharge valve.
2. Secure the pump.
3. Open all valves in the pump suction line.
4. Prime the pump (*fill casing with the liquid being pumped*) and be sure that all air is expelled through the air cocks on the pump casing.
5. Restart the pump. If the pump is electrically driven, be sure the pump is rotating in the correct direction.
6. Open the discharge valve to "load" the pump. If the discharge pressure is not normal when the pump is up to its proper speed, the suction line may be clogged, or an impeller may be broken. It is also possible that air is being drawn into the suction line or into the casing. If any of these conditions exist, stop the pump and continue troubleshooting according to the technical manual for that unit.

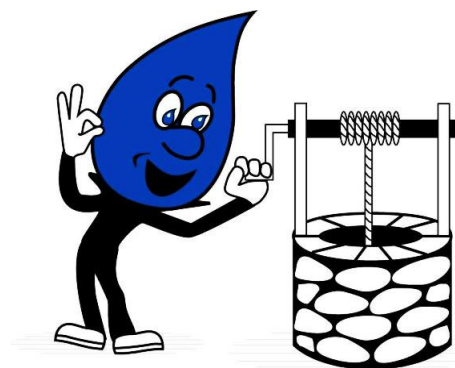


## Troubleshooting Table for Well/Pump Problems

1. Well pump will not start.
2. Well pump will not shut off.
3. Well pump starts and stops too frequently (excessive cycle rate).
4. Sand sediment is present in the water.
5. Well pump operates with reduced flow.
6. Well house flooded without recent precipitation.
7. Red or black water complaints.
8. Raw water appears turbid or a light tan color following rainfall.
9. Coliform tests are positive.

### Possible Causes

- 1A. Circuit breaker or overload relay tripped.
- 1B. Fuse(s) burned out.
- 1C. No power to switch box.
- 1D. Short, broken or loose wire.
- 1E. Low voltage.
- 1F. Defective motor.
- 1G. Defective pressure switch.
- 2A. Defective pressure switch.
- 2B. Cut-off pressure setting too high.
- 2C. Float switch or pressure transducer not functioning.
- 3A. Pressure switch settings too close.
- 3B. Pump foot valve leaking.
- 3C. Water-logged hydropneumatic tank.
- 4A. Problems with well screen or gravel envelope.
- 5A. Valve on discharge partially closed or line clogged.
- 5B. Well is over-pumped.
- 5C. Well screen clogged.
- 6A. Check valve not operating properly.
- 6B. Leakage occurring in discharge piping or valves.
- 7A. Water contains excessive iron (red brown) and/or manganese (black water).
- 7B. Complainant's hot water needs maintenance.
- 8A. Surface water entering or influencing well.
- 9A. Sample is invalid.
- 9B. Sanitary protection of well has been breached.



### Possible Solutions

- 1A. Reset breaker or manual overload relay.
- 1B. Check for cause and correct, replace fuse(s).
- 1C. Check incoming power supply. Contact power company.
- 1D. Check for shorts and correct, tighten terminals, replace broken wires.
- 1E. Check incoming line voltage. Contact power company if low.
- 1F. Contact electrical contractor.
- 1G. Check voltage of incoming electric supply with pressure switch closed. Contact power company if voltage low. Perform maintenance on switch if voltage normal.
- 2A. Check switch for proper operation. Replace switch.
- 2B. Adjust setting.
- 2C. Check and replace components or cable as needed.
- 3A. Adjust settings.

- 3B. Check for backflow. Contact well contractor.
- 3C. Check air volume. Add air if needed. If persistent, check air compressor, relief valve, air lines and connections, and repair if needed.
- 4A. Contact well contractor.
- 5A. Open valve, unclog discharge line.
- 5B. Check static water level and compare to past readings. If significantly lower, notify well contractor.
- 5C. Contact well contractor.
- 6A. Repair or replace check valve.
- 6B. Inspect and repair/replace as necessary.
- 7A. Test for iron and manganese at well. If levels exceed 0.3 mg/L iron or 0.005mg/L manganese, contact regulatory agency, TA provider or water treatment contractor.
- 7B. Check hot water heater and flush if needed.
- 8A. Check well for openings that allow surface water to enter. Check area for sinkholes, fractures, or other physical evidence of surface water intrusion. Check water turbidity. Notify regulatory agency if >0.5 NTU. Check raw water for coliform bacteria. Notify regulatory agency immediately if positive.
- 9A. Check sampling technique, sampling container, and sampling location and tap.
- 9B. Notify regulatory agency immediately and re-sample for re-testing.



This brush is used to dislodge debris inside well casing. Just a big toilet cleaning brush.

## SCADA - Simply Explained

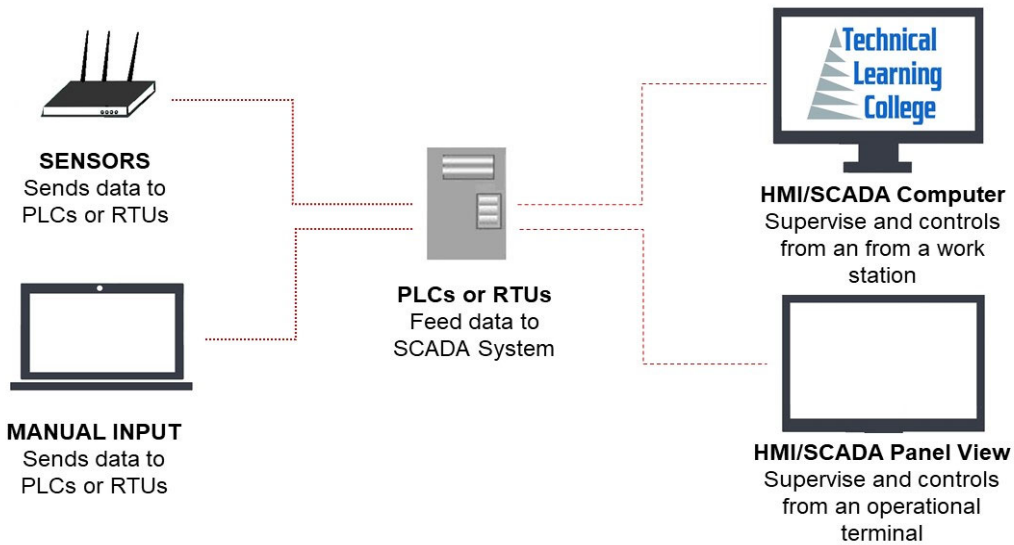


Supervisory control and data acquisition – SCADA refers to ICS (industrial control systems) used to control infrastructure processes (water treatment, wastewater treatment, gas pipelines, wind farms, etc.), facility-based processes (airports, space stations, ships, etc.) or industrial processes (production, manufacturing, refining, power generation, etc.).

Supervisory Control and Data Acquisition (SCADA) is a control system architecture that uses computers, networked data communications and graphical user interfaces for high-level process supervisory management, but uses other peripheral devices such as programmable logic controller (PLC) and discrete PID controllers to interface with the process plant or machinery. The use of SCADA has been also considered for management and operations of project-driven-process in construction.

### **The following subsystems are usually present in SCADA systems:**

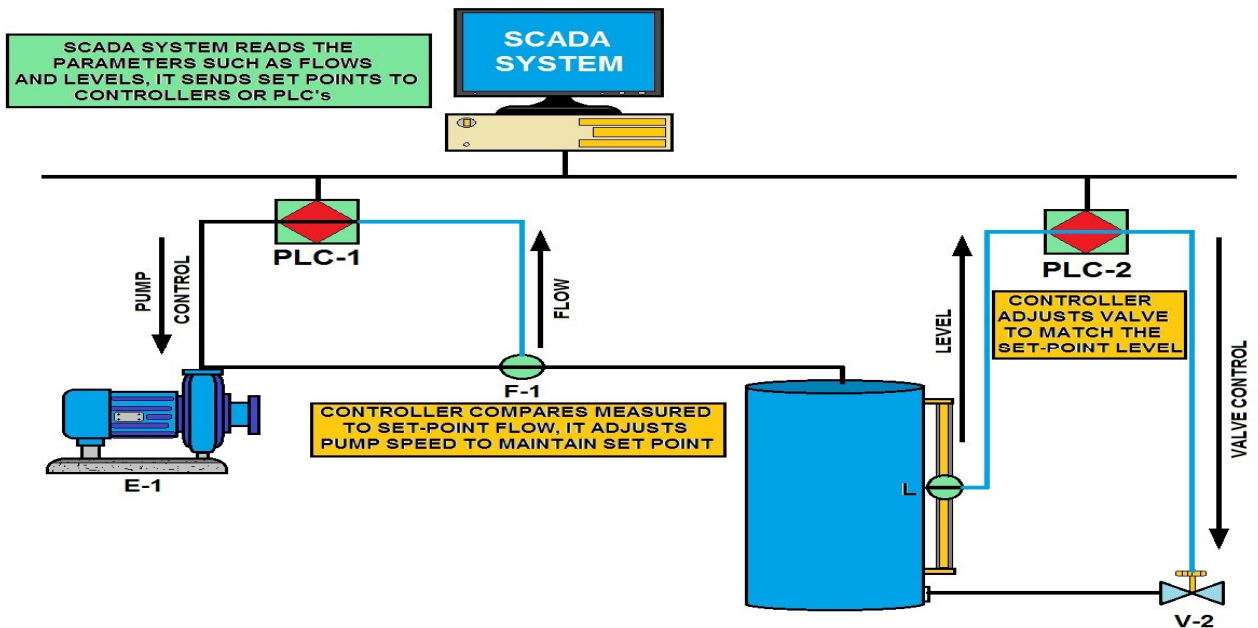
- The apparatus used by a human operator; all the processed data are presented to the operator
  - A supervisory system that gathers all the required data about the process
  - Remote Terminal Units (RTUs) connected to the sensors of the process, which helps to convert the sensor signals to the digital data and send the data to supervisory stream.
  - Programmable Logic Controller (PLCs) used as field devices
  - Communication infrastructure connects the Remote Terminal Units to supervisory system.
- Generally, a SCADA system does not control the processes in real time – it usually refers to the system that coordinates the processes in real time.



## BASIC SCADA DIAGRAM

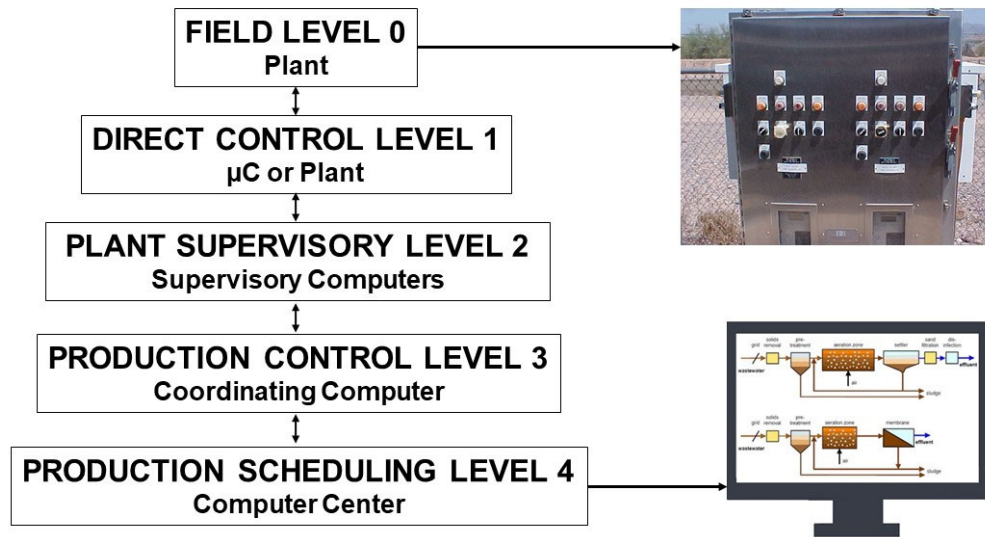
### Remote Operation

SCADA is a real time control tool. It is not supposed to be a tool for detailed analysis of past performance but you the operator are able to research past performance to be able to react to current conditions. Thus, some form of trending is included with SCADA. The trending function is as close to analysis as most SCADA software get. Let us say a water treatment operator wants to examine chemical usage in GAC filters and determine how each filter behaved over the past six weeks. In this case, SCADA is your tool of choice. Some SCADA let you look at these three filters and compare their performance with some time period in the past.



**SCADA SYSTEM**  
(SUPERVISORY CONTROL and DATA ACQUISITION)

## SCADA Systems Concepts



### FUNCTION LEVELS OF CONTROL OPERATION

SCADA refers to the centralized systems that control and monitor the entire sites, or they are the complex systems spread out over large areas. Nearly all the control actions are automatically performed by the remote terminal units (RTUs) or by the programmable logic controllers (PLCs). The restrictions to the host control functions are supervisory level intervention or basic overriding.

For example, the PLC (in an industrial process) controls the flow of cooling water, the SCADA system allows any changes related to the alarm conditions and set points for the flow (such as high temperature, loss of flow, etc.) to be recorded and displayed.

Data acquisition starts at the PLC or RTU level, which includes the equipment status reports, and meter readings. Data is then formatted in such way that the operator of the control room can make the supervisory decisions to override or adjust normal PLC (RTU) controls, by using the HMI.

SCADA systems mostly implement the distributed databases known as tag databases, containing data elements called points or tags. A point is a single output or input value controlled or monitored by the system. Points are either 'soft' or 'hard'.

The actual output or input of a system is represented by a hard point, whereas the soft point is a result of different math and logic operations applied to other points. These points are usually stored as timestamp-value pairs.

Series of the timestamp-value pairs gives history of the particular point. Storing additional metadata with the tags is common (these additional data can include comments on the design time, alarm information, path to the field device or the PLC register).

The key attribute of a SCADA system is its ability to perform a supervisory operation over a variety of other proprietary devices.

SCADA systems typically use a tag database, which contains data elements called tags or points, which relate to specific instrumentation or actuators within the process system according to such as the Piping and instrumentation diagram. Data is accumulated against these unique process control equipment tag references.

### **Referring to the Diagram**

**Level 0** contains the field devices such as flow and temperature sensors, and final control elements, such as control valves.

**Level 1** contains the industrialized input/output (I/O) modules, and their associated distributed electronic processors.

**Level 2** contains the supervisory computers, which collate information from processor nodes on the system, and provide the operator control screens.

**Level 3** is the production control level, which does not directly control the process, but is concerned with monitoring production and targets.

**Level 4** is the production scheduling level.

Level 1 contains the programmable logic controllers (PLCs) or remote terminal units (RTUs).

Level 2 contains the SCADA software and computing platform. The SCADA software exists only at this supervisory level as control actions are performed automatically by RTUs or PLCs. SCADA control functions are usually restricted to basic overriding or supervisory level intervention.

For example, a PLC may control the flow of cooling water through part of an industrial process to a set point level, but the SCADA system software will allow operators to change the set points for the flow.

The SCADA also enables alarm conditions, such as loss of flow or high temperature, to be displayed and recorded. A feedback control loop is directly controlled by the RTU or PLC, but the SCADA software monitors the overall performance of the loop.

Levels 3 and 4 are not strictly process control in the traditional sense, but are where production control and scheduling takes place.

Data acquisition begins at the RTU or PLC level and includes instrumentation readings and equipment status reports that are communicated to level 2 SCADA as required.

Data is then compiled and formatted in such a way that a control room operator using the HMI (Human Machine Interface) can make supervisory decisions to adjust or override normal RTU (PLC) controls. Data may also be fed to a historian, often built on a commodity database management system, to allow trending and other analytical auditing.

## Topic 9 - Pumps and Motors Section Post Quiz

### Definitions

1. What is the mechanical device that seals the pump stuffing box?
2. What definition represents is the energy associated with circular motion?
3. What is the fluid that leaks from the stuffing box?

### Hydraulic Terms

4. What is the engineering science pertaining to liquid pressure and flow?
5. What gauge pressure means?

### Pump Introduction

6. The key to understanding a pumps operation is that a pump moves water and generates the delivery \_\_\_\_\_ we call pressure.
7. Centrifugal pump operation — pressure is not usually referred to in pounds per square inch but rather as the equivalent in elevation, called?
8. According to the text, pumps may be classified on the basis of the application they serve.  
A. True                      B. False
9. According to the text, all pumps may be divided into two major categories: (1) dynamic and (2)?

### Understanding the Basic Water Pump

10. According to the text, the centrifugal pumps work by spinning water around in a circle inside a?  
A. True                      B. False
11. The pump makes the water spin by “pulling” it with an impeller.  
A. True                      B. False

12. The blades of this impeller project inward from an axle like the arms of a turnstile and, as the impeller spins, the water moves through it.

A. True                      B. False

13. In a centrifugal pump, the water pressure at the edge of the turning impeller rises until it is able to keep water circling with the?

14. In a centrifugal pump, as water drifts outward between the \_\_\_\_\_ of the pump, it must move faster and faster because its circular path is getting larger and larger.

15. As the water slows down and its kinetic energy decreases, that water's pressure potential energy increases.

A. True                      B. False

16. As the water spins, the pressure near the outer edge of the pump housing becomes much lower than near the center of the impeller.

A. True                      B. False



## Math Formulas and Conversions

$$\text{Acid Feed Rate} = \frac{(\text{Waste Flow}) (\text{Waste Normality})}{\text{Acid Normality}}$$

$$\text{Alkalinity} = \frac{(\text{mL of Titrant}) (\text{Acid Normality}) (50,000)}{\text{mL of Sample}}$$

$$\text{Amperage} = \text{Voltage} \div \text{Ohms}$$

$$\text{Area of Circle} = (0.785)(\text{Diameter}^2) \text{ OR } (\pi)(\text{Radius}^2)$$

$$\text{Area of Rectangle} = (\text{Length})(\text{Width})$$

$$\text{Area of Triangle} = \frac{(\text{Base}) (\text{Height})}{2}$$

$$\text{C Factor Slope} = \text{Energy loss, ft.} \div \text{Distance, ft.}$$

$$\text{C Factor Calculation} = \text{Flow, GPM} \div [193.75 (\text{Diameter, ft.})^2.63(\text{Slope})^{0.54}]$$

$$\text{Chemical Feed Pump Setting, \% Stroke} = \frac{(\text{Desired Flow}) (100\%)}{\text{Maximum Flow}}$$

$$\text{Chemical Feed Pump Setting, mL/min} = \frac{(\text{Flow, MGD}) (\text{Dose, mg/L}) (3.785\text{L/gal}) (1,000,000 \text{ gal/MG})}{(\text{Liquid, mg/mL}) (24 \text{ hr. / day}) (60 \text{ min/hr.})}$$

$$\text{Chlorine Demand (mg/L)} = \text{Chlorine dose (mg/L)} - \text{Chlorine residual (mg/L)}$$

$$\text{Circumference of Circle} = (3.141) (\text{Diameter})$$

$$\text{Composite Sample Single Portion} = \frac{(\text{Instantaneous Flow}) (\text{Total Sample Volume})}{(\text{Number of Portions}) (\text{Average Flow})}$$

$$\text{Detention Time} = \frac{\text{Volume}}{\text{Flow}}$$

$$\text{Digested Sludge Remaining, \%} = \frac{(\text{Raw Dry Solids}) (\text{Ash Solids}) (100\%)}{(\text{Digested Dry Solids}) (\text{Digested Ash Solids})}$$

$$\text{Discharge} = \frac{\text{Volume}}{\text{Time}}$$

$$\text{Dosage, lbs/day} = (\text{mg/L})(8.34)(\text{MGD})$$

Dry Polymer (lbs.) = (gal. of solution) (8.34 lbs/gal)(% polymer solution)

Efficiency, % =  $\frac{(\text{In} - \text{Out}) (100\%)}{\text{In}}$

Feed rate, lbs/day =  $\frac{(\text{Dosage, mg/L}) (\text{Capacity, MGD}) (8.34 \text{ lbs/gals})}{(\text{Available fluoride ion}) (\text{Purity})}$

Feed rate, gal/min (Saturator) =  $\frac{(\text{Plant capacity, gal/min.}) (\text{Dosage, mg /L})}{18,000 \text{ mg/L}}$

Filter Backwash Rate =  $\frac{\text{Flow}}{\text{Filter Area}}$

Filter Yield, lbs/hr./sq. ft =  $\frac{(\text{Solids Loading, lbs/day}) (\text{Recovery, \% / 100\%})}{(\text{Filter operation, hr./day}) (\text{Area, ft}^2)}$

Flow, cu. ft./sec. = (Area, Sq. Ft.)(Velocity, ft./sec.)

Gallons/Capita/Day =  $\frac{\text{Gallons / day}}{\text{Population}}$

Hardness =  $\frac{(\text{mL of Titrant}) (1,000)}{\text{mL of Sample}}$

Horsepower (brake) =  $\frac{(\text{Flow, gpm}) (\text{Head, ft})}{(3,960) (\text{Efficiency})}$

Horsepower (motor) =  $\frac{(\text{Flow, gpm}) (\text{Head, ft})}{(3960) (\text{Pump, Eff}) (\text{Motor, Eff})}$

Horsepower (water) =  $\frac{(\text{Flow, gpm}) (\text{Head, ft})}{(3960)}$

Hydraulic Loading Rate =  $\frac{\text{Flow}}{\text{Area}}$

Leakage (actual) = Leak rate (GPD) ÷ [Length (mi.) x Diameter (in.)]

Mean = Sum of values ÷ total number of values

Mean Cell Residence Time (MCRT) =  $\frac{\text{Suspended Solids in Aeration System, lbs}}{\text{SS Wasted, lbs / day} + \text{SS lost, lbs / day}}$

$$\text{Organic Loading Rate} = \frac{\text{Organic Load, lbs BOD / day}}{\text{Volume}}$$

$$\text{Oxygen Uptake} = \frac{\text{Oxygen Usage}}{\text{Time}}$$

$$\text{Pounds per day} = (\text{Flow, MGD}) (\text{Dose, mg/L}) (8.34)$$

$$\text{Population Equivalent} = \frac{(\text{Flow MGD}) (\text{BOD, mg/L}) (8.34 \text{ lbs / gal})}{\text{Lbs BOD / day / person}}$$

$$\text{RAS Suspended Solids, mg/l} = \frac{1,000,000}{\text{SVI}}$$

$$\text{RAS Flow, MGD} = \frac{(\text{Infl. Flow, MGD}) (\text{MLSS, mg/l})}{\text{RAS Susp. Sol., mg/l} - \text{MLSS, mg/l}}$$

$$\text{RAS Flow \%} = \frac{(\text{RAS Flow, MGD}) (100 \%)}{\text{Infl. Flow, MGD}}$$

$$\text{Reduction in Flow, \%} = \frac{(\text{Original Flow} - \text{Reduced Flow}) (100\%)}{\text{Original Flow}}$$

$$\text{Slope} = \frac{\text{Drop or Rise}}{\text{Run or Distance}}$$

$$\text{Sludge Age} = \frac{\text{Mixed Liquor Solids, lbs}}{\text{Primary Effluent Solids, lbs / day}}$$

$$\text{Sludge Index} = \frac{\% \text{ Settleable Solids}}{\% \text{ Suspended Solids}}$$

$$\text{Sludge Volume Index} = \frac{(\text{Settleable Solids, \%}) (10,000)}{\text{MLSS, mg/L}}$$

$$\text{Solids, mg/L} = \frac{(\text{Dry Solids, grams}) (1,000,000)}{\text{mL of Sample}}$$

$$\text{Solids Applied, lbs/day} = (\text{Flow, MGD})(\text{Concentration, mg/L})(8.34 \text{ lbs/gal})$$

$$\text{Solids Concentration} = \frac{\text{Weight}}{\text{Volume}}$$

$$\text{Solids Loading, lbs/day/sq. ft} = \frac{\text{Solids Applied, lbs / day}}{\text{Surface Area, sq. ft}}$$

$$\text{Surface Loading Rate} = \frac{\text{Flow}}{\text{Rate}}$$

$$\text{Total suspended solids (TSS), mg/L} = \frac{\text{Dry weight, mg}}{(1,000 \text{ mL/L}) \div (\text{Sample vol., mL})}$$

$$\text{Velocity} = \frac{\text{Flow}}{\text{Area}} \quad \text{O R} \quad \frac{\text{Distance}}{\text{Time}}$$

$$\text{Volatile Solids, \%} = \frac{(\text{Dry Solids} - \text{Ash Solids}) (100\%)}{\text{Dry Solids}}$$

$$\text{Volume of Cone} = (1/3)(0.785)(\text{Diameter}^2)(\text{Height})$$

$$\text{Volume of Cylinder} = (0.785)(\text{Diameter}^2)(\text{Height}) \text{ OR } (\pi)(r^2)(h)$$

$$\text{Volume of Rectangle} = (\text{Length})(\text{Width})(\text{Height})$$

$$\text{Volume of Sphere} = [(\pi)(\text{diameter}^3)] \div 6$$

$$\text{Waste Milliequivalent} = (\text{mL}) (\text{Normality})$$

$$\text{Waste Normality} = \frac{(\text{Titrant Volume}) (\text{Titrant Normality})}{\text{Sample Volume}}$$

$$\text{Weir Overflow Rate} = \frac{\text{Flow}}{\text{Weir Length}}$$

### Conversion Factors

1 acre = 43,560 square feet

1 cubic foot = 7.48 gallons

1 foot = 0.305 meters

1 gallon = 3.785 liters

1 gallon = 8.34 pounds

1 grain per gallon = 17.1 mg/L

1 horsepower = 0.746 kilowatts

1 million gallons per day = 694.45 gallons per minute

1 pound = 0.454 kilograms

1 pound per square inch = 2.31 feet of water

1% = 10,000 mg/L

Degrees Celsius = (Degrees Fahrenheit - 32) (5/9)

Degrees Fahrenheit = (Degrees Celsius \* 9/5) + 32

64.7 grains = 1 cubic foot

1,000 meters = 1 kilometer

1,000 grams = 1 kilogram

# Post Quiz Answers

## Topic 1- Water Quality Post Quiz Answers

1. Activated alumina, 2. Total Dissolved Solids, 3. Ethylenediaminetetraacetic acid (EDTA), 4. Radon gas, 5. Arsenic, 6. Arsenic, 7. True, 8. True, 9. The Stage 2 DBP rule, 10. The Stage 2 DBP rule, 11. Cryptosporidium, 12. The Stage 2 DBPR, 13. The Stage 2 DBPR, 14. Stage 2 DBPR, 15. False, 16. True, 17. True, 18. False.

## Topic 2- Bacteria Monitoring Post Quiz Answers

1. False, 2. False, 3. True, 4. False, 5. False, 6. True, 7. True, 8. True, 9. True, 10. False

## Topic 3- Water Treatment Section Answers

1. pH, 2. Shear pin, 3. Detention Time, 4. NaOH (also called Sodium Hydroxide), 5. Polymer, 6. Coagulation, 7. Stuck together, 8. Coagulant chemicals, 9. False, 10. True, 11. False, 12. True, 13. True, 14. True

## Topic 4- Water Treatment Filtration Section Post Quiz Answers

1. Raw Turbidity, 2. Zinc Orthophosphate, 3. Schmutzdecke, 4. Settled Solids, 5. Hydrofluosilicic Acid, 6. Flash mix, 7. Short-Circuiting, 8. Turbidity, pH, and chlorine residual, 9. Polymer, 10. NaOH (also called Sodium Hydroxide), 11. Positive or negative, 12. True, 13. True, 14. False, 15. False

## Topic 5 -Advanced Treatment Section Post Quiz Answers

1. Nollet, 2. A membrane, 3. True, 4. False, 5. Microfiltration (MF), 6. False, 7. Ultrafiltration (UF), 8. Nanofiltration (NF), 9. Chloride and sodium, 10. Cold sterilization, 11. Permeate, 12. Fouling

## Topic 6 - Water Laboratory Procedures Section Answers

1. Acidity or basicity, 2. True, 3. Strip test paper, 4. False, 5. Alkalinity, 6. 2-10, 7. Compounds, 8. Hydrogen, 9. Carbonate, 10. Scale

## Topic 7- Disinfection Section Post Quiz Answers

1. Use a new, approved gasket on the connector, 2. 1/4 turn to unseat the valve, then open one complete turn, 3. The cylinder may rupture, 4. 1.5 -2.5, 5. Scrubbers, 6. Gas chlorine, 7. Secure each cylinder in an upright position. Attach the protective bonnet over the valve. Firmly secure each cylinder, 8. Open chlorine metering orifice slightly. Inspect vacuum lines. Start injector water supply, 9. In addition to protective clothing and goggles, chlorine gas should be used only in a well-ventilated area so that any leaking gas cannot concentrate, 10. Chlorine gas forms a mixture of hydrochloric and hypochlorous acids, 11. Because it is too easy to roll, 12. A yellowish green, nonflammable and liquefied gas with an unpleasant and irritating smell. Can be readily compressed into a clear, amber colored liquid, a noncombustible gas, and a strong oxidizer. Chlorine is about 1.5 times heavier than water and gaseous chlorine is about 2.5 times heavier than air, 13. Notify local emergency response team. Warn and evacuate people in adjacent areas, be sure that no one enters the leak area without adequate self-contained breathing equipment, 14. Burning of eyes, nose, and mouth; lacrimation and rhinorrhea; Coughing, sneezing, choking, nausea and vomiting; headaches and dizziness; Fatal pulmonary edema; pneumonia; conjunctivitis; keratitis; pharyngitis; burning chest pain; dyspnea; hemoptysis; hypoxemia; dermatitis; and skin blisters, 15. 646 mg/L, 16. Get out of the area of the leak, proceeding upwind, and 2) take

only very short breaths through the mouth, 17. 0.195 or also written 19.5%, 18. True, 19. True, 20. True, 21. HOCl and OCl<sup>-</sup>; free available chlorine

**Topic 8- Water Distribution Section Post Quiz Answers**

1. Increase water pressure, 2. Distribution system, 3. Distribution mains of large size, 4. Radiating mains, 5. False, 6. False, 7. Connect individual buildings, 8. Cross-connection, 9. Backsiphonage, 10. Cross-connection, 11. Vacuum breaker, 12. Backsiphonage, 13. Backpressure, 14. Backflow, 15. Backflow preventer, 16. Air gap

**Topic 9 - Pumps and Motors Section Answers**

1. Mechanical seal, 2. Kinetic energy, 3. Leak-off, 4. Hydraulics, 5. Head, 6. Delivery force, 7. Head, 8. True, 9. Displacement, 10. Cylindrical pump housing, 11. False, 12. False, 13. Impeller blade(s), 14. Impeller blade(s), 15. True, 16. False,

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